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Part 3: Commands

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Trusted Platform Module Library

Part 3: Commands

1 Scope

This TPM 2.0 Part 3 of the *Trusted Platform Module Library* specification contains the definitions of the TPM commands. These commands make use of the constants, flags, structures, and union definitions defined in TPM 2.0 Part 2.

The detailed description of the operation of the commands is written in the C language with extensive comments. The behavior of the C code in this TPM 2.0 Part 3 is normative but does not fully describe the behavior of a TPM. The combination of this TPM 2.0 Part 3 and TPM 2.0 Part 4 is sufficient to fully describe the required behavior of a TPM.

The code in parts 3 and 4 is written to define the behavior of a compliant TPM. In some cases (e.g., firmware update), it is not possible to provide a compliant implementation. In those cases, any implementation provided by the vendor that meets the general description of the function provided in TPM 2.0 Part 3 would be compliant.

The code in parts 3 and 4 is not written to meet any particular level of conformance nor does this specification require that a TPM meet any particular level of conformance.

2 Terms and Definitions

For the purposes of this document, the terms and definitions given in TPM 2.0 Part 1 apply.

3 Symbols and abbreviated terms

For the purposes of this document, the symbols and abbreviated terms given in TPM 2.0 Part 1 apply.

4 Notation

4.1 Introduction

For the purposes of this document, the notation given in TPM 2.0 Part 1 applies.

Command and response tables use various decorations to indicate the fields of the command and the allowed types. These decorations are described in this clause.

4.2 Table Decorations

The symbols and terms in the Notation column of Table 1 are used in the tables for the command schematics. These values indicate various qualifiers for the parameters or descriptions with which they are associated.

Table 1 — Command Modifiers and Decoration



| Notation | Meaning |
|----------|--|
| + | <p>A Type decoration – When appended to a value in the Type column of a command, this symbol indicates that the parameter is allowed to use the “null” value of the data type (see in TPM 2.0 Part 2, <i>Conditional Types</i>). The null value is usually TPM_RH_NULL for a handle or TPM_ALG_NULL for an algorithm selector.</p> <p>NOTE This decoration is not appended to response parameters.</p> |
| @ | <p>A Name decoration – When this symbol precedes a handle parameter in the “Name” column, it indicates that an authorization session is required for use of the entity associated with the handle. If a handle does not have this symbol, then an authorization session is not allowed.</p> |
| +PP | <p>A Description modifier – This modifier may follow TPM_RH_PLATFORM in the “Description” column to indicate that Physical Presence is required when <i>platformAuth/platformPolicy</i> is provided.</p> |
| +{PP} | <p>A Description modifier – This modifier may follow TPM_RH_PLATFORM to indicate that Physical Presence may be required when <i>platformAuth/platformPolicy</i> is provided. The commands with this notation may be in the <i>setList</i> or <i>clearList</i> of TPM2_PP_Commands().</p> |
| {NV} | <p>A Description modifier – This modifier may follow the <i>commandCode</i> in the “Description” column to indicate that the command may result in an update of NV memory and be subject to rate throttling by the TPM. If the command code does not have this notation, then a write to NV memory does not occur as part of the command actions.</p> <p>NOTE Any command that uses authorization may cause a write to NV if there is an authorization failure. A TPM may use the occasion of command execution to update the NV copy of clock.</p> |
| {F} | <p>A Description modifier – This modifier indicates that the “flushed” attribute will be SET in the TPMA_CC for the command. The modifier may follow the <i>commandCode</i> in the “Description” column to indicate that any transient handle context used by the command will be flushed from the TPM when the command completes. This may be combined with the {NV} modifier but not with the {E} modifier.</p> <p>EXAMPLE 1 {NV F}</p> <p>EXAMPLE 2 TPM2_SequenceComplete() will flush the context associated with the <i>sequenceHandle</i>.</p> |
| {E} | <p>A Description modifier – This modifier indicates that the “extensive” attribute will be SET in the TPMA_CC for the command. This modifier may follow the <i>commandCode</i> in the “Description” column to indicate that the command may flush many objects and re-enumeration of the loaded context likely will be required. This may be combined with the {NV} modifier but not with the {F} modifier.</p> <p>EXAMPLE 1 {NV E}</p> <p>EXAMPLE 2 TPM2_Clear() will flush all contexts associated with the Storage hierarchy and the Endorsement hierarchy.</p> |

| Notation | Meaning |
|-------------|--|
| Auth Index: | A Description modifier – When a handle has a “@” decoration, the “Description” column will contain an “Auth Index:” entry for the handle. This entry indicates the number of the authorization session. The authorization sessions associated with handles will occur in the session area in the order of the handles with the “@” modifier. Sessions used only for encryption/decryption or only for audit will follow the handles used for authorization. |
| Auth Role: | <p>A Description modifier – This will be in the “Description” column of a handle with the “@” decoration. It may have a value of USER, ADMIN or DUP.</p> <p>If the handle has the Auth Role of USER and the handle is an Object, the type of authorization is determined by the setting of <i>userWithAuth</i> in the Object’s attributes. If the handle is TPM_RH_OWNER, TPM_RH_ENDORSEMENT, or TPM_RH_PLATFORM, operation is as if <i>userWithAuth</i> is SET. If the handle references an NV Index, then the allowed authorizations are determined by the settings of the attributes of the NV Index as described in TPM 2.0 Part 2, “TPMA_NV (NV Index Attributes).”</p> <p>If the Auth Role is ADMIN and the handle is an Object, the type of authorization is determined by the setting of <i>adminWithPolicy</i> in the Object’s attributes. If the handle is TPM_RH_OWNER, TPM_RH_ENDORSEMENT, or TPM_RH_PLATFORM, operation is as if <i>adminWithPolicy</i> is SET. If the handle is an NV index, operation is as if <i>adminWithPolicy</i> is SET (see 5.6 e)2)).</p> <p>If the DUP role is selected, authorization may only be with a policy session (DUP role only applies to Objects).</p> <p>When either ADMIN or DUP role is selected, a policy command that selects the command being authorized is required to be part of the policy.</p> <p>EXAMPLE TPM2_Certify requires the ADMIN role for the first handle (<i>objectHandle</i>). The policy authorization for <i>objectHandle</i> is required to contain TPM2_PolicyCommandCode(<i>commandCode</i> == TPM_CC_Certify). This sets the state of the policy so that it can be used for ADMIN role authorization in TPM2_Certify().</p> |

4.3 Handle and Parameter Demarcation

The demarcations between the header, handle, and parameter parts are indicated by:

Table 2 — Separators

| Separator | Meaning |
|---|--|
|  | the values immediately following are in the handle area |
|  | the values immediately following are in the parameter area |

4.4 AuthorizationSize and ParameterSize

Authorization sessions are not shown in the command or response schematics. When the tag of a command or response is TPM_ST_SESSIONS, then a 32-bit value will be present in the command/response buffer to indicate the size of the authorization field or the parameter field. This value shall immediately follow the handle area (which may contain no handles). For a command, this value (*authorizationSize*) indicates the size of the Authorization Area and shall have a value of 9 or more. For a response, this value (*parameterSize*) indicates the size of the parameter area and may have a value of zero.

If the *authorizationSize* field is present in the command, *parameterSize* will be present in the response, but only if the *responseCode* is TPM_RC_SUCCESS.

When authorization is required to use the TPM entity associated with a handle, then at least one session will be present. To indicate this, the command *tag* Description field contains TPM_ST_SESSIONS. Additional sessions for audit, encrypt, and decrypt may be present.

When the command *tag* Description field contains TPM_ST_NO_SESSIONS, then no sessions are allowed and the *authorizationSize* field is not present.

When a command allows use of sessions when not required, the command *tag* Description field will indicate the types of sessions that may be used with the command.

4.5 Return Code Alias

For the RC_FMT1 return codes that may add a parameter, handle, or session number, the prefix TPM_RCS_ is an alias for TPM_RC_.

TPM_RC_n is added, where n is the parameter, handle, or session number. In addition, TPM_RC_H is added for handle, TPM_RC_P for parameter, and TPM_RC_S for session errors.

NOTE TPM_RCS_ is a programming convention. Programmers should only add numbers to TPM_RCS_ return codes, never TPM_RC_ return codes. Only return codes that can have a number added have the TPM_RCS_ alias defined. Attempting to use a TPM_RCS_ return code that does not have the TPM_RCS_ alias will cause a compiler error.

EXAMPLE 1 Since TPM_RC_VALUE can have a number added, TPM_RCS_VALUE is defined. A program can use the construct "TPM_RCS_VALUE + number". Since TPM_RC_SIGNATURE cannot have a number added, TPM_RCS_SIGNATURE is not defined. A program using the construct "TPM_RCS_SIGNATURE + number" will not compile, alerting the programmer that the construct is incorrect.

By convention, the number to be added is of the form RC_CommandName_ParameterName where CommandName is the name of the command with the TPM2_ prefix removed. The parameter name alone is insufficient because the same parameter name could be in a different position in different commands.

EXAMPLE 2 TPM2_HMAC_Start with parameters that result in TPM_ALG_NULL as the hash algorithm will return TPM_RC_VALUE plus the parameter number. Since *hashAlg* is the second parameter, This code results:

```
#define RC_HMAC_Start_hashAlg      (TPM_RC_P + TPM_RC_2)

return TPM_RCS_VALUE + RC_HMAC_Start_hashAlg;
```

5 Command Processing

5.1 Introduction

This clause defines the command validations that are required of any implementation and the response code returned if the indicated check fails. Unless stated otherwise, the order of the checks is not normative and different TPM may give different responses when a command has multiple errors.

In the description below, some statements that describe a check may be followed by a response code in parentheses. This is the normative response code should the indicated check fail. A normative response code may also be included in the statement.

5.2 Command Header Validation

Before a TPM may begin the actions associated with a command, a set of command format and consistency checks shall be performed. These checks are listed below and should be performed in the indicated order.

- a) The TPM shall successfully unmarshal a TPMT_COMMAND_TAG and verify that it is either TPM_ST_SESSIONS or TPM_ST_NO_SESSIONS (TPM_RC_BAD_TAG).

- b) The TPM shall successfully unmarshal a UIN32 as the *commandSize*. If the TPM has an interface buffer that is loaded by some hardware process, the number of octets in the input buffer for the command reported by the hardware process shall exactly match the value in *commandSize* (TPM_RC_COMMAND_SIZE).

NOTE A TPM may have direct access to system memory and unmarshal directly from that memory.

- c) The TPM shall successfully unmarshal a TPM_CC and verify that the command is implemented (TPM_RC_COMMAND_CODE).

5.3 Mode Checks

The following mode checks shall be performed in the order listed:

- a) If the TPM is in Failure mode, then the *commandCode* is TPM_CC_GetTestResult or TPM_CC_GetCapability (TPM_RC_FAILURE) and the command *tag* is TPM_ST_NO_SESSIONS (TPM_RC_FAILURE).

NOTE 1 In Failure mode, the TPM has no cryptographic capability and processing of sessions is not supported.

- b) The TPM is in Field Upgrade mode (FUM), the *commandCode* is TPM_CC_FieldUpgradeData (TPM_RC_UPGRADE).

- c) If the TPM has not been initialized (TPM2_Startup()), then the *commandCode* is TPM_CC_Startup (TPM_RC_INITIALIZE).

NOTE 2 The TPM may enter Failure mode during _TPM_Init processing, before TPM2_Startup(). Since the platform firmware cannot know that the TPM is in Failure mode without accessing it, and since the first command is required to be TPM2_Startup(), the expected sequence will be that platform firmware (the CRTM) will issue TPM2_Startup() and receive TPM_RC_FAILURE indicating that the TPM is in Failure mode.

There may be failures where a TPM cannot record that it received TPM2_Startup(). In those cases, a TPM in failure mode may process TPM2_GetTestResult(), TPM2_GetCapability(), or the field upgrade commands. As a side effect, that TPM may process TPM2_GetTestResult(), TPM2_GetCapability() or the field upgrade commands before TPM2_Startup().

This is a corner case exception to the rule that TPM2_Startup() must be the first command.

The mode checks may be performed before or after the command header validation.

5.4 Handle Area Validation

After successfully unmarshaling and validating the command header, the TPM shall perform the following checks on the handles and sessions. These checks may be performed in any order.

NOTE 1 A TPM is required to perform the handle area validation before the authorization checks because an authorization cannot be performed unless the authorization values and attributes for the referenced entity are known by the TPM. For them to be known, the referenced entity must be in the TPM and accessible.

- a) The TPM shall successfully unmarshal the number of handles required by the command and validate that the value of the handle is consistent with the command syntax. If not, the TPM shall return TPM_RC_VALUE.

NOTE 2 The TPM may unmarshal a handle and validate that it references an entity on the TPM before unmarshaling a subsequent handle.

NOTE 3 If the submitted command contains fewer handles than required by the syntax of the command, the TPM may continue to read into the next area and attempt to interpret the data as a handle.

- b) For all handles in the handle area of the command, the TPM will validate that the referenced entity is present in the TPM.
- 1) If the handle references a transient object, the handle shall reference a loaded object (TPM_RC_REFERENCE_H0 + N where N is the number of the handle in the command).

NOTE 4 If the hierarchy for a transient object is disabled, then the transient objects will be flushed so this check will fail.

- 2) If the handle references a persistent object, then
 - i) the hierarchy associated with the object (platform or storage, based on the handle value) is enabled (TPM_RC_HANDLE);
 - ii) the handle shall reference a persistent object that is currently in TPM non-volatile memory (TPM_RC_HANDLE);
 - iii) if the handle references a persistent object that is associated with the endorsement hierarchy, that the endorsement hierarchy is not disabled (TPM_RC_HANDLE); and

NOTE 5 The reference implementation keeps an internal attribute, passed down from a primary key to its descendants, indicating the object's hierarchy.

- iv) if the TPM implementation moves a persistent object to RAM for command processing then sufficient RAM space is available (TPM_RC_OBJECT_MEMORY).
- 3) If the handle references an NV Index, then
 - i) an Index exists that corresponds to the handle (TPM_RC_HANDLE); and
 - ii) the hierarchy associated with the existing NV Index is not disabled (TPM_RC_HANDLE).
 - iii) If the command requires write access to the index data then TPMA_NV_WRITELOCKED is not SET (TPM_RC_NV_LOCKED)
 - iv) If the command requires read access to the index data then TPMA_NV_READLOCKED is not SET (TPM_RC_NV_LOCKED)
- 4) If the handle references a session, then the session context shall be present in TPM memory (TPM_RC_REFERENCE_H0 + N).
- 5) If the handle references a primary seed for a hierarchy (TPM_RH_ENDORSEMENT, TPM_RH_OWNER, or TPM_RH_PLATFORM) then the enable for the hierarchy is SET (TPM_RC_HIERARCHY).
- 6) If the handle references a PCR, then the value is within the range of PCR supported by the TPM (TPM_RC_VALUE)

NOTE 6 In the reference implementation, this TPM_RC_VALUE is returned by the unmarshaling code for a TPMI_DH_PCR.

5.5 Session Area Validation

- a) If the tag is TPM_ST_SESSIONS and the command requires TPM_ST_NO_SESSIONS, the TPM will return TPM_RC_AUTH_CONTEXT.
- b) If the tag is TPM_ST_NO_SESSIONS and the command requires TPM_ST_SESSIONS, the TPM will return TPM_RC_AUTH_MISSING.
- c) If the tag is TPM_ST_SESSIONS, the TPM will attempt to unmarshal an *authorizationSize* and return TPM_RC_AUTHSIZE if the value is not within an acceptable range.
 - 1) The minimum value is (sizeof(TPM_HANDLE) + sizeof(UINT16) + sizeof(TPMA_SESSION) + sizeof(UINT16)).

- 2) The maximum value of `authorizationSize` is equal to `commandSize - (sizeof(TPM_ST) + sizeof(UINT32) + sizeof(TPM_CC) + (N * sizeof(TPM_HANDLE)) + sizeof(UINT32))` where N is the number of handles associated with the `commandCode` and may be zero.

NOTE 1 `(sizeof(TPM_ST) + sizeof(UINT32) + sizeof(TPM_CC))` is the size of a command header. The last `UINT32` contains the `authorizationSize` octets, which are not counted as being in the authorization session area.

- d) The TPM will unmarshal the authorization sessions and perform the following validations:

- 1) If the session handle is not a handle for an HMAC session, a handle for a policy session, or, `TPM_RS_PW` then the TPM shall return `TPM_RC_HANDLE`.
- 2) If the session is not loaded, the TPM will return the warning `TPM_RC_REFERENCE_S0 + N` where N is the number of the session. The first session is session zero, `N = 0`.

NOTE 2 If the HMAC and policy session contexts use the same memory, the type of the context must match the type of the handle.

- 3) If the maximum allowed number of sessions have been unmarshaled and fewer octets than indicated in `authorizationSize` were unmarshaled (that is, `authorizationSize` is too large), the TPM shall return `TPM_RC_AUTHSIZE`.

- 4) The consistency of the authorization session attributes is checked.

- i) Only one session is allowed for:

- (a) session auditing (`TPM_RC_ATTRIBUTES`) – this session may be used for encrypt or decrypt but may not be a session that is also used for authorization;
- (b) decrypting a command parameter (`TPM_RC_ATTRIBUTES`) – this may be any of the authorization sessions, or the audit session, or a session may be added for the single purpose of decrypting a command parameter, as long as the total number of sessions does not exceed three; and
- (c) encrypting a response parameter (`TPM_RC_ATTRIBUTES`) – this may be any of the authorization sessions, or the audit session if present, or a session may be added for the single purpose of encrypting a response parameter, as long as the total number of sessions does not exceed three.

NOTE 3 A session used for decrypting a command parameter may also be used for encrypting a response parameter.

- ii) If a session is not being used for authorization, at least one of decrypt, encrypt, or audit must be SET. (`TPM_RC_ATTRIBUTES`).

- 5) An authorization session is present for each of the handles with the “@” decoration (`TPM_RC_AUTH_MISSING`).

5.6 Authorization Checks

After unmarshaling and validating the handles and the consistency of the authorization sessions, the authorizations shall be checked. Authorization checks only apply to handles if the handle in the command schematic has the “@” decoration. Authorization checks must be performed in this order.

- a) The public and sensitive portions of the object shall be present on the TPM (`TPM_RC_AUTH_UNAVAILABLE`).
- b) If the associated handle is `TPM_RH_PLATFORM`, and the command requires confirmation with physical presence, then physical presence is asserted (`TPM_RC_PP`).
- c) If the object or NV Index is subject to DA protection, and the authorization is with an HMAC or password, then the TPM is not in lockout (`TPM_RC_LOCKOUT`).

NOTE 1 An object is subject to DA protection if its *noDA* attribute is CLEAR. An NV Index is subject to DA protection if its *TPMA_NV_NO_DA* attribute is CLEAR.

NOTE 2 An HMAC or password is required in a policy session when the policy contains *TPM2_PolicyAuthValue()* or *TPM2_PolicyPassword()*.

d) If the command requires a handle to have DUP role authorization, then the associated authorization session is a policy session (*TPM_RC_AUTH_TYPE*).

e) If the command requires a handle to have ADMIN role authorization:

1) If the entity being authorized is an object and its *adminWithPolicy* attribute is SET, or a hierarchy, then the authorization session is a policy session (*TPM_RC_AUTH_TYPE*).

NOTE 3 If *adminWithPolicy* is CLEAR, then any type of authorization session is allowed.

2) If the entity being authorized is an NV Index, then the associated authorization session is a policy session.

NOTE 4 The only commands that are currently defined that require use of ADMIN role authorization are commands that operate on objects and NV Indices.

f) If the command requires a handle to have USER role authorization:

1) If the entity being authorized is an object and its *userWithAuth* attribute is CLEAR, then the associated authorization session is a policy session (*TPM_RC_POLICY_FAIL*).

NOTE 5 There is no check for a hierarchy, because a hierarchy operates as if *userWithAuth* is SET.

2) If the entity being authorized is an NV Index;

i) if the authorization session is a policy session;

(a) the *TPMA_NV_POLICYWRITE* attribute of the NV Index is SET if the command modifies the NV Index data (*TPM_RC_AUTH_UNAVAILABLE*);

(b) the *TPMA_NV_POLICYREAD* attribute of the NV Index is SET if the command reads the NV Index data (*TPM_RC_AUTH_UNAVAILABLE*);

ii) if the authorization is an HMAC session or a password;

(a) the *TPMA_NV_AUTHWRITE* attribute of the NV Index is SET if the command modifies the NV Index data (*TPM_RC_AUTH_UNAVAILABLE*);

(b) the *TPMA_NV_AUTHREAD* attribute of the NV Index is SET if the command reads the NV Index data (*TPM_RC_AUTH_UNAVAILABLE*).

g) If the authorization is provided by a policy session, then:

1) if *policySession→timeOut* has been set, the session shall not have expired (*TPM_RC_EXPIRED*);

2) if *policySession→cpHash* has been set, it shall match the *cpHash* of the command (*TPM_RC_POLICY_FAIL*);

3) if *policySession→commandCode* has been set, then *commandCode* of the command shall match (*TPM_RC_POLICY_CC*);

4) *policySession→policyDigest* shall match the *authPolicy* associated with the handle (*TPM_RC_POLICY_FAIL*);

5) if *policySession→pcrUpdateCounter* has been set, then it shall match the value of *pcrUpdateCounter* (*TPM_RC_PCR_CHANGED*);

6) if *policySession→commandLocality* has been set, it shall match the locality of the command (*TPM_RC_LOCALITY*),

- 7) if *policySession*→*cpHash* contains a template, and the command is TPM2_Create(), TPM2_CreatePrimary(), or TPM2_CreateLoaded(), then the *inPublic* parameter matches the contents of *policySession*→*cpHash*; and
- 8) if the policy requires that an *authValue* be provided in order to satisfy the policy, then *session.hmac* is not an Empty Buffer.
- h) If the authorization uses an HMAC, then the HMAC is properly constructed using the *authValue* associated with the handle and/or the session secret (TPM_RC_AUTH_FAIL or TPM_RC_BAD_AUTH).

NOTE 6 A policy session may require proof of knowledge of the *authValue* of the object being authorized.

- i) If the authorization uses a password, then the password matches the *authValue* associated with the handle (TPM_RC_AUTH_FAIL or TPM_RC_BAD_AUTH).

If the TPM returns an error other than TPM_RC_AUTH_FAIL then the TPM shall not alter any TPM state. If the TPM return TPM_RC_AUTH_FAIL, then the TPM shall not alter any TPM state other than *lockoutCount*.

NOTE 7 The TPM may decrease failedTries regardless of any other processing performed by the TPM. That is, the TPM may exit Lockout mode, regardless of the return code.

5.7 Parameter Decryption

If an authorization session has the TPMA_SESSION.*decrypt* attribute SET, and the command does not allow a command parameter to be encrypted, then the TPM will return TPM_RC_ATTRIBUTES. Otherwise, the TPM will decrypt the parameter using the values associated with the session before parsing parameters.

NOTE The size of the parameter to be encrypted can be zero.

5.8 Parameter Unmarshaling

5.8.1 Introduction

The detailed actions for each command assume that the input parameters of the command have been unmarshaled into a command-specific structure with the structure defined by the command schematic. Additionally, a response-specific output structure is assumed which will receive the values produced by the detailed actions.

NOTE An implementation is not required to process parameters in this manner or to separate the parameter parsing from the command actions. This method was chosen for the specification so that the normative behavior described by the detailed actions would be clear and unencumbered.

Unmarshaling is the process of processing the parameters in the input buffer and preparing the parameters for use by the command-specific action code. No data movement need take place but it is required that the TPM validate that the parameters meet the requirements of the expected data type as defined in TPM 2.0 Part 2.

5.8.2 Unmarshaling Errors

When an error is encountered while unmarshaling a command parameter, an error response code is returned and no command processing occurs. A table defining a data type may have response codes embedded in the table to indicate the error returned when the input value does not match the parameters of the table.

NOTE In the reference implementation, a parameter number is added to the response code so that the offending parameter can be isolated. This is optional.

In many cases, the table contains no specific response code value and the return code will be determined as defined in Table 3.

Table 3 — Unmarshaling Errors

| Response Code | Meaning |
|----------------------|---|
| TPM_RC_ASYMMETRIC | a parameter that should be an asymmetric algorithm selection does not have a value that is supported by the TPM |
| TPM_RC_BAD_TAG | a parameter that should be a command tag selection has a value that is not supported by the TPM |
| TPM_RC_COMMAND_CODE | a parameter that should be a command code does not have a value that is supported by the TPM |
| TPM_RC_HASH | a parameter that should be a hash algorithm selection does not have a value that is supported by the TPM |
| TPM_RC_INSUFFICIENT | the input buffer did not contain enough octets to allow unmarshaling of the expected data type; |
| TPM_RC_KDF | a parameter that should be a key derivation scheme (KDF) selection does not have a value that is supported by the TPM |
| TPM_RC_KEY_SIZE | a parameter that is a key size has a value that is not supported by the TPM |
| TPM_RC_MODE | a parameter that should be a symmetric encryption mode selection does not have a value that is supported by the TPM |
| TPM_RC_RESERVED | a non-zero value was found in a reserved field of an attribute structure (TPMA_) |
| TPM_RC_SCHEME | a parameter that should be signing or encryption scheme selection does not have a value that is supported by the TPM |
| TPM_RC_SIZE | the value of a size parameter is larger or smaller than allowed |
| TPM_RC_SYMMETRIC | a parameter that should be a symmetric algorithm selection does not have a value that is supported by the TPM |
| TPM_RC_TAG | a parameter that should be a structure tag has a value that is not supported by the TPM |
| TPM_RC_TYPE | The type parameter of a TPMT_PUBLIC or TPMT_SENSITIVE has a value that is not supported by the TPM |
| TPM_RC_VALUE | a parameter does not have one of its allowed values |

In some commands, a parameter may not be used because of various options of that command. However, the unmarshaling code is required to validate that all parameters have values that are allowed by the TPM 2.0 Part 2 definition of the parameter type even if that parameter is not used in the command actions.

5.9 Command Post Processing

When the code that implements the detailed actions of the command completes, it returns a response code. If that code is not `TPM_RC_SUCCESS`, the post processing code will not update any session or audit data and will return a 10-octet response packet.

If the command completes successfully, the tag of the command determines if any authorization sessions will be in the response. If so, the TPM will encrypt the first parameter of the response if indicated by the authorization attributes. The TPM will then generate a new nonce value for each session and, if appropriate, generate an HMAC.

If authorization HMAC computations are performed on the response, the HMAC keys used in the response will be the same as the HMAC keys used in processing the HMAC in the command.

NOTE 1 This primarily affects authorizations associated with a first write to an NV Index using a bound session. The computation of the HMAC in the response is performed as if the Name of the Index did not change as a consequence of the command actions. The session binding to the NV Index will not persist to any subsequent command.

NOTE 2 The authorization attributes were validated during the session area validation to ensure that only one session was used for parameter encryption of the response and that the command allowed encryption in the response.

NOTE 3 No session nonce value is used for a password authorization but the session data is present.

Additionally, if the command is being audited by Command Audit, the audit digest is updated with the *cpHash* of the command and *rpHash* of the response.

6 Response Values

6.1 Tag

When a command completes successfully, the *tag* parameter in the response shall have the same value as the *tag* parameter in the command (TPM_ST_SESSIONS or TPM_ST_NO_SESSIONS). When a command fails (the *responseCode* is not TPM_RC_SUCCESS), then the *tag* parameter in the response shall be TPM_ST_NO_SESSIONS.

A special case exists when the command *tag* parameter is not an allowed value (TPM_ST_SESSIONS or TPM_ST_NO_SESSIONS). For this case, it is assumed that the system software is attempting to send a command formatted for a TPM 1.2 but the TPM is not capable of executing TPM 1.2 commands. So that the TPM 1.2 compatible software will have a recognizable response, the TPM sets *tag* to TPM_ST_RSP_COMMAND, *responseSize* to 00 00 00 0A₁₆ and *responseCode* to TPM_RC_BAD_TAG. This is the same response as the TPM 1.2 fatal error for TPM_BADTAG.

6.2 Response Codes

The normal response for any command is TPM_RC_SUCCESS. Any other value indicates that the command did not complete and the state of the TPM is unchanged. An exception to this general rule is that the logic associated with dictionary attack protection is allowed to be modified when an authorization failure occurs.

Commands have response codes that are specific to that command, and those response codes are enumerated in the detailed actions of each command. The codes associated with the unmarshaling of parameters are documented Table 3. Another set of response code values are not command specific and indicate a problem that is not specific to the command. That is, if the indicated problem is remedied, the same command could be resubmitted and may complete normally.

The response codes that are not command specific are listed and described in

Table 4.

The reference code for the command actions may have code that generates specific response codes associated with a specific check but the listing of responses may not have that response code listed.

Table 4 — Command-Independent Response Codes

| Response Code | Meaning |
|-----------------------|---|
| TPM_RC_CANCELED | This response code may be returned by a TPM that supports command cancel. When the TPM receives an indication that the current command should be cancelled, the TPM may complete the command or return this code. If this code is returned, then the TPM state is not changed and the same command may be retried. |
| TPM_RC_CONTEXT_GAP | This response code can be returned for commands that manage session contexts. It indicates that the gap between the lowest numbered active session and the highest numbered session is at the limits of the session tracking logic. The remedy is to load the session context with the lowest number so that its tracking number can be updated. |
| TPM_RC_LOCKOUT | This response indicates that authorizations for objects subject to DA protection are not allowed at this time because the TPM is in DA lockout mode. The remedy is to wait or to execute TPM2_DictionaryAttackLockoutReset(). |
| TPM_RC_MEMORY | A TPM may use a common pool of memory for objects, sessions, and other purposes. When the TPM does not have enough memory available to perform the actions of the command, it may return TPM_RC_MEMORY. This indicates that the TPM resource manager may flush either sessions or objects in order to make memory available for the command execution. A TPM may choose to return TPM_RC_OBJECT_MEMORY or TPM_RC_SESSION_MEMORY if it needs contexts of a particular type to be flushed. |
| TPM_RC_NV_RATE | This response code indicates that the TPM is rate-limiting writes to the NV memory in order to prevent wearout. This response is possible for any command that explicitly writes to NV or commands that incidentally use NV such as a command that uses authorization session that may need to update the dictionary attack logic. |
| TPM_RC_NV_UNAVAILABLE | This response code is similar to TPM_RC_NV_RATE but indicates that access to NV memory is currently not available and the command is not allowed to proceed until it is. This would occur in a system where the NV memory used by the TPM is not exclusive to the TPM and is a shared system resource. |
| TPM_RC_OBJECT_HANDLES | This response code indicates that the TPM has exhausted its handle space and no new objects can be loaded unless the TPM is rebooted. This does not occur in the reference implementation because of the way that object handles are allocated. However, other implementations are allowed to assign each object a unique handle each time the object is loaded. A TPM using this implementation would be able to load 2^{24} objects before the object space is exhausted. |
| TPM_RC_OBJECT_MEMORY | This response code can be returned by any command that causes the TPM to need an object 'slot'. The most common case where this might be returned is when an object is loaded (TPM2_Load, TPM2_CreatePrimary(), or TPM2_ContextLoad()). However, the TPM implementation is allowed to use object slots for other reasons. In the reference implementation, the TPM copies a referenced persistent object into RAM for the duration of the command. If all the slots are previously occupied, the TPM may return this value. A TPM is allowed to use object slots for other purposes and return this value. The remedy when this response is returned is for the TPM resource manager to flush a transient object. |
| TPM_RC_REFERENCE_Hx | This response code indicates that a handle in the handle area of the command is not associated with a loaded object. The value of 'x' is in the range 0 to 6 with a value of 0 indicating the 1 st handle and 6 representing the 7 th . Upper values are provided for future use. The TPM resource manager needs to find the correct object and load it. It may then adjust the handle and retry the command. NOTE Usually, this error indicates that the TPM resource manager has a corrupted database. |

| Response Code | Meaning |
|------------------------|--|
| TPM_RC_REFERENCE_Sx | This response code indicates that a handle in the session area of the command is not associated with a loaded session. The value of 'x' is in the range 0 to 6 with a value of 0 indicating the 1 st session handle and 6 representing the 7 th . Upper values are provided for future use. The TPM resource manager needs to find the correct session and load it. It may then retry the command. NOTE Usually, this error indicates that the TPM resource manager has a corrupted database. |
| TPM_RC_RETRY | the TPM was not able to start the command |
| TPM_RC_SESSION_HANDLES | This response code indicates that the TPM does not have a handle to assign to a new session. This response is only returned by TPM2_StartAuthSession(). It is listed here because the command is not in error and the TPM resource manager can remedy the situation by flushing a session (TPM2_FlushContext()). |
| TPM_RC_SESSION_MEMORY | This response code can be returned by any command that causes the TPM to need a session 'slot'. The most common case where this might be returned is when a session is loaded (TPM2_StartAuthSession() or TPM2_ContextLoad()). However, the TPM implementation is allowed to use object slots for other purposes. The remedy when this response is returned is for the TPM resource manager to flush a transient object. |
| TPM_RC_SUCCESS | Normal completion for any command. If the responseCode is TPM_RC_SUCCESS, then the rest of the response has the format indicated in the response schematic. Otherwise, the response is a 10 octet value indicating an error. |
| TPM_RC_TESTING | This response code indicates that the TPM is performing tests and cannot respond to the request at this time. The command may be retried. |
| TPM_RC_YIELDED | the TPM has suspended operation on the command; forward progress was made and the command may be retried. See TPM 2.0 Part 1, "Multi-tasking." NOTE This cannot occur on the reference implementation. |

7 Implementation Dependent

The actions code for each command makes assumptions about the behavior of various sub-systems. There are many possible implementations of the subsystems that would achieve equivalent results. The actions code is not written to anticipate all possible implementations of the sub-systems. Therefore, it is the responsibility of the implementer to ensure that the necessary changes are made to the actions code when the sub-system behavior changes.

8 Detailed Actions Assumptions

8.1 Introduction

The C code in the Detailed Actions for each command is written with a set of assumptions about the processing performed before the action code is called and the processing that will be done after the action code completes.

8.2 Pre-processing

Before calling the command actions code, the following actions have occurred.

- Verification that the handles in the handle area reference entities that are resident on the TPM.
- **NOTE** If a handle is in the parameter portion of the command, the associated entity does not have to be loaded, but the handle is required to be the correct type.
- If use of a handle requires authorization, the Password, HMAC, or Policy session associated with the handle has been verified.
- If a command parameter was encrypted using parameter encryption, it was decrypted before being unmarshaled.
- If the command uses handles or parameters, the calling stack contains a pointer to a data structure (*in*) that holds the unmarshaled values for the handles and command parameters. If the response has handles or parameters, the calling stack contains a pointer to a data structure (*out*) to hold the handles and response parameters generated by the command.
- All parameters of the *in* structure have been validated and meet the requirements of the parameter type as defined in TPM 2.0 Part 2.
- Space set aside for the out structure is sufficient to hold the largest *out* structure that could be produced by the command

8.3 Post Processing

When the function implementing the command actions completes,

- response parameters that require parameter encryption will be encrypted after the command actions complete;
- audit and session contexts will be updated if the command response is TPM_RC_SUCCESS; and
- the command header and command response parameters will be marshaled to the response buffer.

9 Start-up

9.1 Introduction

This clause contains the commands used to manage the startup and restart state of a TPM.

9.2 `_TPM_Init`

9.2.1 General Description

`_TPM_Init` initializes a TPM.

Initialization actions include testing code required to execute the next expected command. If the TPM is in FUM, the next expected command is `TPM2_FieldUpgradeData()`; otherwise, the next expected command is `TPM2_Startup()`.

NOTE 1 If the TPM performs self-tests after receiving `_TPM_Init()` and the TPM enters Failure mode before receiving `TPM2_Startup()` or `TPM2_FieldUpgradeData()`, then the TPM may be able to accept `TPM2_GetTestResult()` or `TPM2_GetCapability()`.

The means of signaling `_TPM_Init` shall be defined in the platform-specific specifications that define the physical interface to the TPM. The platform shall send this indication whenever the platform starts its boot process and only when the platform starts its boot process.

There shall be no software method of generating this indication that does not also reset the platform and begin execution of the CRTM.

NOTE 2 In the reference implementation, this signal causes an internal flag (*s_initialized*) to be CLEAR. While this flag is CLEAR, the TPM will only accept the next expected command described above.

9.2.2 Detailed Actions

```

1  #include "Tpm.h"
2  #include "_TPM_Init_fp.h"
3  // This function is used to process a _TPM_Init indication.
4  LIB_EXPORT void
5  _TPM_Init(
6      void
7  )
8  {
9      g_powerWasLost = g_powerWasLost | _plat__WasPowerLost();
10
11     #if SIMULATION && DEBUG
12         // If power was lost and this was a simulation, put canary in RAM used by NV
13         // so that uninitialized memory can be detected more easily
14         if(g_powerWasLost)
15             {
16                 memset(&gc, 0xbb, sizeof(gc));
17                 memset(&gr, 0xbb, sizeof(gr));
18                 memset(&gp, 0xbb, sizeof(gp));
19                 memset(&go, 0xbb, sizeof(go));
20             }
21     #endif
22
23     #if SIMULATION
24         // Clear the flag that forces failure on self-test
25         g_forceFailureMode = FALSE;
26     #endif
27
28     // Disable the tick processing
29     _plat__ACT_EnableTicks(FALSE);
30
31     // Set initialization state
32     TPMInit();
33
34     // Set g_DRTMHandle as unassigned
35     g_DRTMHandle = TPM_RH_UNASSIGNED;
36
37     // No H-CRTM, yet.
38     g_DrtmPreStartup = FALSE;
39
40     // Initialize the NvEnvironment.
41     g_nvOk = NvPowerOn();
42
43     // Initialize cryptographic functions
44     g_inFailureMode = (CryptInit() == FALSE);
45     if(!g_inFailureMode)
46     {
47         // Load the persistent data
48         NvReadPersistent();
49
50         // Load the orderly data (clock and DRBG state).
51         // If this is not done here, things break
52         NvRead(&go, NV_ORDERLY_DATA, sizeof(go));
53
54         // Start clock. Need to do this after NV has been restored.
55         TimePowerOn();
56     }
57     return;
58 }

```


9.3 TPM2_Startup

9.3.1 General Description

TPM2_Startup() is always preceded by `_TPM_Init`, which is the physical indication that TPM initialization is necessary because of a system-wide reset. TPM2_Startup() is only valid after `_TPM_Init`. Additional TPM2_Startup() commands are not allowed after it has completed successfully. If a TPM requires TPM2_Startup() and another command is received, or if the TPM receives TPM2_Startup() when it is not required, the TPM shall return `TPM_RC_INITIALIZE`.

NOTE 1 See 9.2.1 for other command options for a TPM supporting field upgrade mode.

NOTE 2 `_TPM_Hash_Start`, `_TPM_Hash_Data`, and `_TPM_Hash_End` are not commands and a platform-specific specification may allow these indications between `_TPM_Init` and `TPM2_Startup()`.

If in Failure mode, the TPM shall accept `TPM2_GetTestResult()` and `TPM2_GetCapability()` even if `TPM2_Startup()` is not completed successfully or processed at all.

A platform-specific specification may restrict the localities at which `TPM2_Startup()` may be received.

A Shutdown/Startup sequence determines the way in which the TPM will operate in response to `TPM2_Startup()`. The three sequences are:

- 1) TPM Reset – This is a `Startup(CLEAR)` preceded by either `Shutdown(CLEAR)` or no `TPM2_Shutdown()`. On TPM Reset, all variables go back to their default initialization state.

NOTE 3 Only those values that are specified as having a default initialization state are changed by TPM Reset. Persistent values that have no default initialization state are not changed by this command. Values such as seeds have no default initialization state and only change due to specific commands.

- 2) TPM Restart – This is a `Startup(CLEAR)` preceded by `Shutdown(STATE)`. This preserves much of the previous state of the TPM except that PCR and the controls associated with the Platform hierarchy are all returned to their default initialization state;
- 3) TPM Resume – This is a `Startup(STATE)` preceded by `Shutdown(STATE)`. This preserves the previous state of the TPM including the static Root of Trust for Measurement (S-RTM) PCR and the platform controls other than the *phEnable*.

If a TPM receives `Startup(STATE)` and that was not preceded by `Shutdown(STATE)`, the TPM shall return `TPM_RC_VALUE`.

If, during TPM Restart or TPM Resume, the TPM fails to restore the state saved at the last `Shutdown(STATE)`, the TPM shall enter Failure Mode and return `TPM_RC_FAILURE`.

On any `TPM2_Startup()`,

- *phEnable* shall be SET;
- all transient contexts (objects, sessions, and sequences) shall be flushed from TPM memory;

NOTE 4 See Part 1 Time for a description of the `TPMS_TIME_INFO.time` behaviour.

- use of *lockoutAuth* shall be enabled if *lockoutRecovery* is zero.

Additional actions are performed based on the Shutdown/Startup sequence.

On TPM Reset:

- *platformAuth* and *platformPolicy* shall be set to the Empty Buffer,
- For each NV Index with TPMA_NV_WRITEDEFINE CLEAR or TPMA_NV_WRITTEN CLEAR, TPMA_NV_WRITELOCKED shall be CLEAR,
- For each NV Index with TPMA_NV_ORDERLY SET, TPMA_NV_WRITTEN shall be CLEAR unless the type is TPM_NT_COUNTER,
- On a disorderly reset, advance the orderly counters,
- For each NV Index with TPMA_NV_CLEAR_STCLEAR SET, TPMA_NV_WRITTEN shall be CLEAR,
- tracking data for saved session contexts shall be set to its initial value,
- the object context sequence number is reset to zero,
- a new context encryption key shall be generated,
- TPMS_CLOCK_INFO.*restartCount* shall be reset to zero,
- TPMS_CLOCK_INFO.*resetCount* shall be incremented,
- the PCR Update Counter shall be clear to zero,

NOTE 5 Because the PCR update counter may be incremented when a PCR is reset, the PCR resets performed as part of this command can result in the PCR update counter being non-zero at the end of this command.

- *phEnableNV*, *shEnable* and *ehEnable* shall be SET, and
- PCR in all banks are reset to their default initial conditions as determined by the relevant platform-specific specification and the H-CRTM state (for exceptions, see TPM 2.0 Part 1, *H-CRTM before TPM2_Startup()* and *TPM2_Startup without H-CRTM*),
- For each ACT the timeout is reset to zero, the *signaled* attribute is set to CLEAR (if *preserveSignaled* is CLEAR), and the *authPolicy* is set to the Empty Buffer and its hashAlg is set to TPM_ALG_NULL.

NOTE 6 PCR may be initialized any time between _TPM_Init and the end of TPM2_Startup(). PCR that are preserved by TPM Resume will need to be restored during TPM2_Startup().

NOTE 7 See "Initializing PCR" in TPM 2.0 Part 1 for a description of the default initial conditions for a PCR.

On TPM Restart:

- TPMS_CLOCK_INFO.*restartCount* shall be incremented,
- *phEnableNV*, *shEnable* and *ehEnable* shall be SET,
- *platformAuth* and *platformPolicy* shall be set to the Empty Buffer,
- For each NV index with TPMA_NV_WRITEDEFINE CLEAR or TPMA_NV_WRITTEN CLEAR, TPMA_NV_WRITELOCKED shall be CLEAR,
- For each NV index with TPMA_NV_CLEAR_STCLEAR SET, TPMA_NV_WRITTEN shall be CLEAR, and
- PCR in all banks are reset to their default initial conditions.
- If an H-CRTM Event Sequence is active, extend the PCR designated by the platform-specific specification.
- For each ACT the timeout is reset to zero, the *signaled* attribute is set to CLEAR (if *preserveSignaled* is CLEAR), and the *authPolicy* is set to the Empty Buffer and its hashAlg is set to TPM_ALG_NULL.

On TPM Resume:

- the H-CRTM startup method is the same for this TPM2_Startup() as for the previous TPM2_Startup(); (TPM_RC_LOCALITY)
- TPMS_CLOCK_INFO.*restartCount* shall be incremented; and
- PCR that are specified in a platform-specific specification to be preserved on TPM Resume are restored to their saved state and other PCR are set to their initial value as determined by a platform-specific specification. For constraints, see TPM 2.0 Part 1, *H-CRTM before TPM2_Startup() and TPM2_Startup without H-CRTM*.
- The ACT timeout, the ACT *signaled* attribute and the ACT specific *authPolicy* values are preserved.

Other TPM state may change as required to meet the needs of the implementation.

If the *startupType* is TPM_SU_STATE and the TPM requires TPM_SU_CLEAR, then the TPM shall return TPM_RC_VALUE.

NOTE 8 The TPM will require TPM_SU_CLEAR when no shutdown was performed or after Shutdown(CLEAR).

NOTE 9 If *startupType* is neither TPM_SU_STATE nor TPM_SU_CLEAR, then the unmarshaling code returns TPM_RC_VALUE.

9.3.2 Command and Response

Table 5 — TPM2_Startup Command

| Type | Name | Description |
|---------------------|-------------|------------------------------|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Startup {NV} |
| TPM_SU | startupType | TPM_SU_CLEAR or TPM_SU_STATE |

Table 6 — TPM2_Startup Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

9.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Startup_fp.h"
3  #if CC_Startup // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------------|---|
| TPM_RC_LOCALITY | a Startup(STATE) does not have the same H-CRTM state as the previous Startup() or the locality of the startup is not 0 pr 3 |
| TPM_RC_NV_UNINITIALIZED | the saved state cannot be recovered and a Startup(CLEAR) is required. |
| TPM_RC_VALUE | start up type is not compatible with previous shutdown sequence |

```

4  TPM_RC
5  TPM2_Startup(
6      Startup_In      *in          // IN: input parameter list
7      )
8  {
9      STARTUP_TYPE      startup;
10     BYTE              locality = _plat_LocalityGet();
11     BOOL              OK = TRUE;
12 //
13 // The command needs NV update.
14 RETURN_IF_NV_IS_NOT_AVAILABLE;
15
16 // Get the flags for the current startup locality and the H-CRTM.
17 // Rather than generalizing the locality setting, this code takes advantage
18 // of the fact that the PC Client specification only allows Startup()
19 // from locality 0 and 3. To generalize this probably would require a
20 // redo of the NV space and since this is a feature that is hardly ever used
21 // outside of the PC Client, this code just support the PC Client needs.
22
23 // Input Validation
24 // Check that the locality is a supported value
25 if(locality != 0 && locality != 3)
26     return TPM_RC_LOCALITY;
27 // If there was a H-CRTM, then treat the locality as being 3
28 // regardless of what the Startup() was. This is done to preserve the
29 // H-CRTM PCR so that they don't get overwritten with the normal
30 // PCR startup initialization. This basically means that g_StartupLocality3
31 // and g_DrtmPreStartup can't both be SET at the same time.
32 if(g_DrtmPreStartup)
33     locality = 0;
34 g_StartupLocality3 = (locality == 3);
35
36 #if USE_DA_USED
37 // If there was no orderly shutdown, then their might have been a write to
38 // failedTries that didn't get recorded but only if g_daUsed was SET in the
39 // shutdown state
40 g_daUsed = (gp.orderlyState == SU_DA_USED_VALUE);
41 if(g_daUsed)
42     gp.orderlyState = SU_NONE_VALUE;
43 #endif
44
45 g_prevOrderlyState = gp.orderlyState;
46
47 // If there was a proper shutdown, then the startup modifiers are in the
48 // orderlyState. Turn them off in the copy.
49 if(IS_ORDERLY(g_prevOrderlyState))
50     g_prevOrderlyState &= ~(PRE_STARTUP_FLAG | STARTUP_LOCALITY_3);

```

```

51 // If this is a Resume,
52 if(in->startupType == TPM_SU_STATE)
53 {
54 // then there must have been a prior TPM2_ShutdownState(STATE)
55 if(g_prevOrderlyState != TPM_SU_STATE)
56 return TPM_RCS_VALUE + RC_Startup_startupType;
57 // and the part of NV used for state save must have been recovered
58 // correctly.
59 // NOTE: if this fails, then the caller will need to do Startup(CLEAR). The
60 // code for Startup(Clear) cannot fail if the NV can't be read correctly
61 // because that would prevent the TPM from ever getting unstuck.
62 if(g_nvOk == FALSE)
63 return TPM_RC_NV_UNINITIALIZED;
64 // For Resume, the H-CRITM has to be the same as the previous boot
65 if(g_DrtmPreStartup != ((gp.orderlyState & PRE_STARTUP_FLAG) != 0))
66 return TPM_RCS_VALUE + RC_Startup_startupType;
67 if(g_StartupLocality3 != ((gp.orderlyState & STARTUP_LOCALITY_3) != 0))
68 return TPM_RC_LOCALITY;
69 }
70 // Clean up the gp state
71 gp.orderlyState = g_prevOrderlyState;
72
73 // Internal Date Update
74 if((gp.orderlyState == TPM_SU_STATE) && (g_nvOk == TRUE))
75 {
76 // Always read the data that is only cleared on a Reset because this is not
77 // a reset
78 NvRead(&gr, NV_STATE_RESET_DATA, sizeof(gr));
79 if(in->startupType == TPM_SU_STATE)
80 {
81 // If this is a startup STATE (a Resume) need to read the data
82 // that is cleared on a startup CLEAR because this is not a Reset
83 // or Restart.
84 NvRead(&gc, NV_STATE_CLEAR_DATA, sizeof(gc));
85 startup = SU_RESUME;
86 }
87 else
88 startup = SU_RESTART;
89 }
90 else
91 // Will do a TPM reset if Shutdown(CLEAR) and Startup(CLEAR) or no shutdown
92 // or there was a failure reading the NV data.
93 startup = SU_RESET;
94 // Startup for cryptographic library. Don't do this until after the orderly
95 // state has been read in from NV.
96 OK = OK && CryptStartup(startup);
97
98 // When the cryptographic library has been started, indicate that a TPM2_Startup
99 // command has been received.
100 OK = OK && TPMRegisterStartup();
101
102 // Read the platform unique value that is used as VENDOR_PERMANENT
103 // authorization value
104 g_platformUniqueDetails.t.size
105 = (UINT16)_plat_GetUnique(1, sizeof(g_platformUniqueDetails.t.buffer),
106 g_platformUniqueDetails.t.buffer);
107
108 // Start up subsystems
109 // Start set the safe flag
110 OK = OK && TimeStartup(startup);
111
112 // Start dictionary attack subsystem
113 OK = OK && DASTartup(startup);
114
115 // Enable hierarchies
116 OK = OK && HierarchyStartup(startup);

```

```

117
118 // Restore/Initialize PCR
119 OK = OK && PCRStartup(startup, locality);
120
121 // Restore/Initialize command audit information
122 OK = OK && CommandAuditStartup(startup);
123
124 // Restore the ACT
125 OK = OK && ActStartup(startup);
126
127 //// The following code was moved from Time.c where it made no sense
128 if(OK)
129 {
130     switch(startup)
131     {
132         case SU_RESUME:
133             // Resume sequence
134             gr.restartCount++;
135             break;
136         case SU_RESTART:
137             // Hibernate sequence
138             gr.clearCount++;
139             gr.restartCount++;
140             break;
141         default:
142             // Reset object context ID to 0
143             gr.objectContextID = 0;
144             // Reset clearCount to 0
145             gr.clearCount = 0;
146
147             // Reset sequence
148             // Increase resetCount
149             gp.resetCount++;
150
151             // Write resetCount to NV
152             NV_SYNC_PERSISTENT(resetCount);
153
154             gp.totalResetCount++;
155             // We do not expect the total reset counter overflow during the life
156             // time of TPM. if it ever happens, TPM will be put to failure mode
157             // and there is no way to recover it.
158             // The reason that there is no recovery is that we don't increment
159             // the NV totalResetCount when incrementing would make it 0. When the
160             // TPM starts up again, the old value of totalResetCount will be read
161             // and we will get right back to here with the increment failing.
162             if(gp.totalResetCount == 0)
163                 FAIL(FATAL_ERROR_INTERNAL);
164
165             // Write total reset counter to NV
166             NV_SYNC_PERSISTENT(totalResetCount);
167
168             // Reset restartCount
169             gr.restartCount = 0;
170
171             break;
172     }
173 }
174 // Initialize session table
175 OK = OK && SessionStartup(startup);
176
177 // Initialize object table
178 OK = OK && ObjectStartup();
179
180 // Initialize index/evict data. This function clears read/write locks
181 // in NV index
182 OK = OK && NvEntityStartup(startup);

```

```
183
184 // Initialize the orderly shut down flag for this cycle to SU_NONE_VALUE.
185 gp.orderlyState = SU_NONE_VALUE;
186
187 OK = OK && NV_SYNC_PERSISTENT(orderlyState);
188
189 // This can be reset after the first completion of a TPM2_Startup() after
190 // a power loss. It can probably be reset earlier but this is an OK place.
191 if(OK)
192     g_powerWasLost = FALSE;
193
194 return (OK) ? TPM_RC_SUCCESS : TPM_RC_FAILURE;
195 }
196 #endif // CC_Startup
```


9.4 TPM2_Shutdown

9.4.1 General Description

This command is used to prepare the TPM for a power cycle. The *shutdownType* parameter indicates how the subsequent TPM2_Startup() will be processed.

For a *shutdownType* of any type, the volatile portion of Clock is saved to NV memory and the orderly shutdown indication is SET. NV Indexes with the TPMA_NV_ORDERLY attribute will be updated.

For a *shutdownType* of TPM_SU_STATE, the following additional items are saved:

- tracking information for saved session contexts;
- the session context counter;
- PCR that are designated as being preserved by TPM2_Shutdown(TPM_SU_STATE);
- the PCR Update Counter;
- flags associated with supporting the TPMA_NV_WRITESTCLEAR and TPMA_NV_READSTCLEAR attributes;
- the counter value and authPolicy for each ACT; and

NOTE If a counter has not been updated since the last TPM2_Startup(), then the saved value will be one half of the current counter value.

- the command audit digest and count.

The following items shall not be saved and will not be in TPM memory after the next TPM2_Startup:

- TPM-memory-resident session contexts;
- TPM-memory-resident transient objects; or
- TPM-memory-resident hash contexts created by TPM2_HashSequenceStart().

Some values may be either derived from other values or saved to NV memory.

This command saves TPM state but does not change the state other than the internal indication that the context has been saved. The TPM shall continue to accept commands. If a subsequent command changes TPM state saved by this command, then the effect of this command is nullified. The TPM MAY nullify this command for any subsequent command rather than check whether the command changed state saved by this command. If this command is nullified, and if no TPM2_Shutdown() occurs before the next TPM2_Startup(), then the next TPM2_Startup() shall be TPM2_Startup(CLEAR).

9.4.2 Command and Response

Table 7 — TPM2_Shutdown Command

| Type | Name | Description |
|---------------------|--------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Shutdown {NV} |
| TPM_SU | shutdownType | TPM_SU_CLEAR or TPM_SU_STATE |

Table 8 — TPM2_Shutdown Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

9.4.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Shutdown_fp.h"
3  #if CC_Shutdown // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_TYPE | if PCR bank has been re-configured, a CLEAR StateSave() is required |

```

4  TPM_RC
5  TPM2_Shutdown(
6      Shutdown_In      *in          // IN: input parameter list
7  )
8  {
9      // The command needs NV update. Check if NV is available.
10     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
11     // this point
12     RETURN_IF_NV_IS_NOT_AVAILABLE;
13
14     // Input Validation
15
16     // If PCR bank has been reconfigured, a CLEAR state save is required
17     if(g_pcrReConfig && in->shutdownType == TPM_SU_STATE)
18         return TPM_RCS_TYPE + RC_Shutdown_shutdownType;
19
20     // Internal Data Update
21
22     gp.orderlyState = in->shutdownType;
23
24     // PCR private date state save
25     PCRStateSave(in->shutdownType);
26
27     // Save the ACT state
28     ActShutdown(in->shutdownType);
29
30     // Save RAM backed NV index data
31     NvUpdateIndexOrderlyData();
32
33     #if ACCUMULATE_SELF_HEAL_TIMER
34     // Save the current time value
35     go.time = g_time;
36     #endif
37
38     // Save all orderly data
39     NvWrite(NV_ORDERLY_DATA, sizeof(ORDERLY_DATA), &go);
40
41     if(in->shutdownType == TPM_SU_STATE)
42     {
43         // Save STATE_RESET and STATE_CLEAR data
44         NvWrite(NV_STATE_CLEAR_DATA, sizeof(STATE_CLEAR_DATA), &gc);
45         NvWrite(NV_STATE_RESET_DATA, sizeof(STATE_RESET_DATA), &gr);
46
47         // Save the startup flags for resume
48         if(g_DrtmPreStartup)
49             gp.orderlyState = TPM_SU_STATE | PRE_STARTUP_FLAG;
50         else if(g_StartupLocality3)
51             gp.orderlyState = TPM_SU_STATE | STARTUP_LOCALITY_3;
52     }
53     // only two shutdown options.
54     else if(in->shutdownType != TPM_SU_CLEAR)
55         return TPM_RCS_VALUE + RC_Shutdown_shutdownType;

```

```
56
57     NV_SYNC_PERSISTENT(orderlyState);
58
59     return TPM_RC_SUCCESS;
60 }
61 #endif // CC_Shutdown
```

10 Testing

10.1 Introduction

Compliance to standards for hardware security modules may require that the TPM test its functions before the results that depend on those functions may be returned. The TPM may perform operations using testable functions before those functions have been tested as long as the TPM returns no value that depends on the correctness of the testable function.

EXAMPLE TPM2_PCR_Extend() may be executed before the hash algorithms have been tested. However, until the hash algorithms have been tested, the contents of a PCR may not be used in any command if that command may result in a value being returned to the TPM user. This means that TPM2_PCR_Read() or TPM2_PolicyPCR() could not complete until the hashes have been checked but other TPM2_PCR_Extend() commands may be executed even though the operation uses previous PCR values.

If a command is received that requires return of a value that depends on untested functions, the TPM shall test the required functions before completing the command.

Once the TPM has received TPM2_SelfTest() and before completion of all tests, the TPM is required to return TPM_RC_TESTING for any command that uses a function that requires a test.

If a self-test fails at any time, the TPM will enter Failure mode. While in Failure mode, the TPM will return TPM_RC_FAILURE for any command other than TPM2_GetTestResult() and TPM2_GetCapability(). The TPM will remain in Failure mode until the next _TPM_Init.

10.2 TPM2_SelfTest

10.2.1 General Description

This command causes the TPM to perform a test of its capabilities. If the *fullTest* is YES, the TPM will test all functions. If *fullTest* = NO, the TPM will only test those functions that have not previously been tested.

If any tests are required, the TPM shall either

- return TPM_RC_TESTING and begin self-test of the required functions, or

NOTE 1 If *fullTest* is NO, and all functions have been tested, the TPM shall return TPM_RC_SUCCESS.

- perform the tests and return the test result when complete. On failure, the TPM shall return TPM_RC_FAILURE.

If the TPM uses option a), the TPM shall return TPM_RC_TESTING for any command that requires use of a testable function, even if the functions required for completion of the command have already been tested.

NOTE 2 This command may cause the TPM to continue processing after it has returned the response. So that software can be notified of the completion of the testing, the interface may include controls that would allow the TPM to generate an interrupt when the “background” processing is complete. This would be in addition to the interrupt that may be available for signaling normal command completion. It is not necessary that there be two interrupts, but the interface should provide a way to indicate the nature of the interrupt (normal command or deferred command).

NOTE 3 The PC Client platform specific TPM, in response to *fullTest* YES, will not return TPM_RC_TESTING. It will block until all tests are complete.

10.2.2 Command and Response

Table 9 — TPM2_SelfTest Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_SelfTest {NV} |
| TPMI_YES_NO | fullTest | YES if full test to be performed NO if only test of untested functions required |

Table 10 — TPM2_SelfTest Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

10.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "SelfTest_fp.h"
3  #if CC_SelfTest // Conditional expansion of this file

```

| Error Returns | Meaning |
|-----------------|--|
| TPM_RC_CANCELED | the command was canceled (some incremental process may have been made) |
| TPM_RC_TESTING | self test in process |

```

4  TPM_RC
5  TPM2_SelfTest(
6      SelfTest_In    *in           // IN: input parameter list
7  )
8  {
9  // Command Output
10
11     // Call self test function in crypt module
12     return CryptSelfTest(in->fullTest);
13 }
14 #endif // CC_SelfTest

```


10.3 TPM2_IncrementalSelfTest

10.3.1 General Description

This command causes the TPM to perform a test of the selected algorithms.

NOTE 1 The *toTest* list indicates the algorithms that software would like the TPM to test in anticipation of future use. This allows tests to be done so that a future commands will not be delayed due to testing.

The implementation may treat algorithms on the *toTest* list as either 'test each completely' or 'test this combination.'

EXAMPLE If the *toTest* list includes AES and CTR mode, it may be interpreted as a request to test only AES in CTR mode. Alternatively, it may be interpreted as a request to test AES in all modes and CTR mode for all symmetric algorithms.

If *toTest* contains an algorithm that has already been tested, it will not be tested again.

NOTE 2 The only way to force retesting of an algorithm is with `TPM2_SelfTest(fullTest = YES)`.

The TPM will return in *todoList* a list of algorithms that are yet to be tested. This list is not the list of algorithms that are scheduled to be tested but the algorithms/functions that have not been tested. Only the algorithms on the *toTest* list are scheduled to be tested by this command.

NOTE 3 An algorithm remains on the *todoList* while any part of it remains untested.

EXAMPLE A symmetric algorithm remains untested until it is tested with all its modes.

Making *toTest* an empty list allows the determination of the algorithms that remain untested without triggering any testing.

If *toTest* is not an empty list, the TPM shall return `TPM_RC_SUCCESS` for this command and then return `TPM_RC_TESTING` for any subsequent command (including `TPM2_IncrementalSelfTest()`) until the requested testing is complete.

NOTE 4 If *todoList* is empty, then no additional tests are required and `TPM_RC_TESTING` will not be returned in subsequent commands and no additional delay will occur in a command due to testing.

NOTE 5 If none of the algorithms listed in *toTest* is in the *todoList*, then no tests will be performed.

NOTE 6 The TPM cannot return `TPM_RC_TESTING` for the first call to this command even when testing is not complete, because response parameters can only returned with the `TPM_RC_SUCCESS` return code.

If all the parameters in this command are valid, the TPM returns `TPM_RC_SUCCESS` and the *todoList* (which may be empty).

NOTE 7 An implementation may perform all requested tests before returning `TPM_RC_SUCCESS`, or it may return `TPM_RC_SUCCESS` for this command and then return `TPM_RC_TESTING` for all subsequent commands (including `TPM2_IncrementatSelfTest()`) until the requested tests are complete.

10.3.2 Command and Response

Table 11 — TPM2_IncrementalSelfTest Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_IncrementalSelfTest {NV} |
| TPML_ALG | toTest | list of algorithms that should be tested |

Table 12 — TPM2_IncrementalSelfTest Response

| Type | Name | Description |
|----------|--------------|--------------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPML_ALG | toDoList | list of algorithms that need testing |

10.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "IncrementalSelfTest_fp.h"
3  #if CC_IncrementalSelfTest // Conditional expansion of this file

```

| Error Returns | Meaning |
|-----------------|---|
| TPM_RC_CANCELED | the command was canceled (some tests may have completed) |
| TPM_RC_VALUE | an algorithm in the <i>toTest</i> list is not implemented |

```

4  TPM_RC
5  TPM2_IncrementalSelfTest(
6      IncrementalSelfTest_In    *in,           // IN: input parameter list
7      IncrementalSelfTest_Out   *out          // OUT: output parameter list
8  )
9  {
10     TPM_RC                      result;
11     // Command Output
12
13     // Call incremental self test function in crypt module. If this function
14     // returns TPM_RC_VALUE, it means that an algorithm on the 'toTest' list is
15     // not implemented.
16     result = CryptIncrementalSelfTest(&in->toTest, &out->toDoList);
17     if(result == TPM_RC_VALUE)
18         return TPM_RCS_VALUE + RC_IncrementalSelfTest_toTest;
19     return result;
20 }
21 #endif // CC_IncrementalSelfTest

```

10.4 TPM2_GetTestResult

10.4.1 General Description

This command returns manufacturer-specific information regarding the results of a self-test and an indication of the test status.

If TPM2_SelfTest() has not been executed and a testable function has not been tested, *testResult* will be TPM_RC_NEEDS_TEST. If TPM2_SelfTest() has been received and the tests are not complete, *testResult* will be TPM_RC_TESTING.

If testing of all functions is complete without functional failures, *testResult* will be TPM_RC_SUCCESS. If any test failed, *testResult* will be TPM_RC_FAILURE.

This command will operate when the TPM is in Failure mode so that software can determine the test status of the TPM and so that diagnostic information can be obtained for use in failure analysis. If the TPM is in Failure mode, then *tag* is required to be TPM_ST_NO_SESSIONS or the TPM shall return TPM_RC_FAILURE.

NOTE The reference implementation may return a 32-bit value *s_failFunction*. This simply gives a unique value to each of the possible places where a failure could occur. It is not intended to provide a pointer to the function. *__func__* is a pointer to a character string but the failure mode code can only return 32-bit values. It is expected that the manufacturer can disambiguate this value if a customer's TPM goes into failure mode.

10.4.2 Command and Response

Table 13 — TPM2_GetTestResult Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_GetTestResult |

Table 14 — TPM2_GetTestResult Response

| Type | Name | Description |
|------------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_MAX_BUFFER | outData | test result data contains manufacturer-specific information |
| TPM_RC | testResult | |

10.4.3 Detailed Actions

```
1 #include "Tpm.h"
2 #include "GetTestResult_fp.h"
3 #if CC_GetTestResult // Conditional expansion of this file
```

In the reference implementation, this function is only reachable if the TPM is not in failure mode meaning that all tests that have been run have completed successfully. There is not test data and the test result is TPM_RC_SUCCESS.

```
4 TPM_RC
5 TPM2_GetTestResult(
6     GetTestResult_Out *out // OUT: output parameter list
7 )
8 {
9     // Command Output
10
11     // Call incremental self test function in crypt module
12     out->testResult = CryptGetTestResult(&out->outData);
13
14     return TPM_RC_SUCCESS;
15 }
16 #endif // CC_GetTestResult
```

11 Session Commands

11.1 TPM2_StartAuthSession

11.1.1 General Description

This command is used to start an authorization session using alternative methods of establishing the session key (*sessionKey*). The session key is then used to derive values used for authorization and for encrypting parameters.

This command allows injection of a secret into the TPM using either asymmetric or symmetric encryption. The type of *tpmKey* determines how the value in *encryptedSalt* is encrypted. The decrypted secret value is used to compute the *sessionKey*.

NOTE 1 If *tpmKey* is TPM_RH_NULL, then *encryptedSalt* is required to be an Empty Buffer.

The label value of “SECRET” (see “Terms and Definitions” in TPM 2.0 Part 1) is used in the recovery of the secret value.

The TPM generates the *sessionKey* from the recovered secret value.

No authorization is required for *tpmKey* or *bind*.

NOTE 2 The justification for using *tpmKey* without providing authorization is that the result of using the key is not available to the caller, except indirectly through the *sessionKey*. This does not represent a point of attack on the value of the key. If the caller attempts to use the session without knowing the *sessionKey* value, it is an authorization failure that will trigger the dictionary attack logic.

The entity referenced with the *bind* parameter contributes an authorization value to the *sessionKey* generation process.

If both *tpmKey* and *bind* are TPM_RH_NULL, then *sessionKey* is set to the Empty Buffer. If *tpmKey* is not TPM_RH_NULL, then *encryptedSalt* is used in the computation of *sessionKey*. If *bind* is not TPM_RH_NULL, the *authValue* of *bind* is used in the *sessionKey* computation.

If *symmetric* specifies a block cipher, then TPM_ALG_CFB is the only allowed value for the *mode* field in the *symmetric* parameter (TPM_RC_MODE).

This command starts an authorization session and returns the session handle along with an initial *nonceTPM* in the response.

If the TPM does not have a free slot for an authorization session, it shall return TPM_RC_SESSION_HANDLES.

If the TPM implements a “gap” scheme for assigning *contextID* values, then the TPM shall return TPM_RC_CONTEXT_GAP if creating the session would prevent recycling of old saved contexts (See “Context Management” in TPM 2.0 Part 1).

If *tpmKey* is not TPM_ALG_NULL then *encryptedSalt* shall be a TPM2B_ENCRYPTED_SECRET of the proper type for *tpmKey*. The TPM shall return TPM_RC_HANDLE if the sensitive portion of *tpmKey* is not loaded. The TPM shall return TPM_RC_VALUE if:

- a) *tpmKey* references an RSA key and
 - 1) the size of *encryptedSalt* is not the same as the size of the public modulus of *tpmKey*,
 - 2) *encryptedSalt* has a value that is greater than the public modulus of *tpmKey*,
 - 3) *encryptedSalt* is not a properly encoded OAEP value, or
 - 4) the decrypted *salt* value is larger than the size of the digest produced by the *nameAlg* of *tpmKey*, or

NOTE 3 The *asymScheme* of the key object is ignored in this case and *TPM_ALG_OAEP* is used, even if *asymScheme* is set to *TPM_ALG_NULL*.

b) *tpmKey* references an ECC key and *encryptedSalt*

- 1) does not contain a *TPMS_ECC_POINT* or
- 2) is not a point on the curve of *tpmKey*;

NOTE 4 When ECC is used, the point multiply process produces a value (Z) that is used in a KDF to produce the final secret value. The size of the secret value is an input parameter to the KDF and the result will be set to be the size of the digest produced by the *nameAlg* of *tpmKey*.

The TPM shall return *TPM_RC_KEY* if *tpmkey* does not reference an asymmetric key. The TPM shall return *TPM_RC_VALUE* if the scheme of the key is not *TPM_ALG_OAEP* or *TPM_ALG_NULL*. The TPM shall return *TPM_RC_ATTRIBUTES* if *tpmKey* does not have the *decrypt* attribute SET.

NOTE While *TPM_RC_VALUE* is preferred, *TPM_RC_SCHEME* is acceptable.

If *bind* references a transient object, then the TPM shall return *TPM_RC_HANDLE* if the sensitive portion of the object is not loaded.

For all session types, this command will cause initialization of the *sessionKey* and may establish binding between the session and an object (the *bind* object). If *sessionType* is *TPM_SE_POLICY* or *TPM_SE_TRIAL*, the additional session initialization is:

- set *policySession*→*policyDigest* to a Zero Digest (the digest size for *policySession*→*policyDigest* is the size of the digest produced by *authHash*);
- authorization may be given at any locality;
- authorization may apply to any command code;
- authorization may apply to any command parameters or handles;
- the authorization has no time limit;
- an *authValue* is not needed when the authorization is used;
- the session is not bound;
- the session is not an audit session; and
- the time at which the policy session was created is recorded.

Additionally, if *sessionType* is *TPM_SE_TRIAL*, the session will not be usable for authorization but can be used to compute the *authPolicy* for an object.

NOTE 5 Although this command changes the session allocation information in the TPM, it does not invalidate a saved context. That is, *TPM2_Shutdown()* is not required after this command in order to re-establish the orderly state of the TPM. This is because the created context will occupy an available slot in the TPM and sessions in the TPM do not survive any *TPM2_Startup()*. However, if a created session is context saved, the orderly state does change.

The TPM shall return *TPM_RC_SIZE* if *nonceCaller* is less than 16 octets or is greater than the size of the digest produced by *authHash*.

11.1.2 Command and Response

Table 15 — TPM2_StartAuthSession Command

| Type | Name | Description |
|------------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit, decrypt, or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_StartAuthSession |
| TPMI_DH_OBJECT+ | tpmKey | handle of a loaded decrypt key used to encrypt <i>salt</i> may be TPM_RH_NULL Auth Index: None |
| TPMI_DH_ENTITY+ | bind | entity providing the <i>authValue</i> may be TPM_RH_NULL Auth Index: None |
| TPM2B_NONCE | nonceCaller | initial <i>nonceCaller</i> , sets nonceTPM size for the session shall be at least 16 octets |
| TPM2B_ENCRYPTED_SECRET | encryptedSalt | value encrypted according to the type of <i>tpmKey</i> If <i>tpmKey</i> is TPM_RH_NULL, this shall be the Empty Buffer. |
| TPM_SE | sessionType | indicates the type of the session; simple HMAC or policy (including a trial policy) |
| TPMT_SYM_DEF+ | symmetric | the algorithm and key size for parameter encryption may select TPM_ALG_NULL |
| TPMI_ALG_HASH | authHash | hash algorithm to use for the session Shall be a hash algorithm supported by the TPM and not TPM_ALG_NULL |

Table 16 — TPM2_StartAuthSession Response

| Type | Name | Description |
|----------------------|---------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMI_SH_AUTH_SESSION | sessionHandle | handle for the newly created session |
| TPM2B_NONCE | nonceTPM | the initial nonce from the TPM, used in the computation of the <i>sessionKey</i> |

11.1.3 Detailed Actions

```

1 #include "Tpm.h"
2 #include "StartAuthSession_fp.h"
3 #if CC_StartAuthSession // Conditional expansion of this file

```

| Error Returns | Meaning |
|------------------------|--|
| TPM_RC_ATTRIBUTES | <i>tpmKey</i> does not reference a decrypt key |
| TPM_RC_CONTEXT_GAP | the difference between the most recently created active context and the oldest active context is at the limits of the TPM |
| TPM_RC_HANDLE | input decrypt key handle only has public portion loaded |
| TPM_RC_MODE | <i>symmetric</i> specifies a block cipher but the mode is not TPM_ALG_CFB. |
| TPM_RC_SESSION_HANDLES | no session handle is available |
| TPM_RC_SESSION_MEMORY | no more slots for loading a session |
| TPM_RC_SIZE | nonce less than 16 octets or greater than the size of the digest produced by <i>authHash</i> |
| TPM_RC_VALUE | secret size does not match decrypt key type; or the recovered secret is larger than the digest size of the <i>nameAlg</i> of <i>tpmKey</i> ; or, for an RSA decrypt key, if <i>encryptedSecret</i> is greater than the public modulus of <i>tpmKey</i> . |

```

4 TPM_RC
5 TPM2_StartAuthSession(
6     StartAuthSession_In *in, // IN: input parameter buffer
7     StartAuthSession_Out *out // OUT: output parameter buffer
8 )
9 {
10     TPM_RC result = TPM_RC_SUCCESS;
11     OBJECT *tpmKey; // TPM key for decrypt salt
12     TPM2B_DATA salt;
13
14     // Input Validation
15
16     // Check input nonce size. IT should be at least 16 bytes but not larger
17     // than the digest size of session hash.
18     if(in->nonceCaller.t.size < 16
19         || in->nonceCaller.t.size > CryptHashGetDigestSize(in->authHash))
20         return TPM_RCS_SIZE + RC_StartAuthSession_nonceCaller;
21
22     // If an decrypt key is passed in, check its validation
23     if(in->tpmKey != TPM_RH_NULL)
24     {
25         // Get pointer to loaded decrypt key
26         tpmKey = HandleToObject(in->tpmKey);
27
28         // key must be asymmetric with its sensitive area loaded. Since this
29         // command does not require authorization, the presence of the sensitive
30         // area was not already checked as it is with most other commands that
31         // use the sensitive are so check it here
32         if(!CryptIsAsymAlgorithm(tpmKey->publicArea.type))
33             return TPM_RCS_KEY + RC_StartAuthSession_tpmKey;
34         // secret size cannot be 0
35         if(in->encryptedSalt.t.size == 0)
36             return TPM_RCS_VALUE + RC_StartAuthSession_encryptedSalt;
37         // Decrypting salt requires accessing the private portion of a key.
38         // Therefore, tpmKey can not be a key with only public portion loaded

```

```

39     if(tpmKey->attributes.publicOnly)
40         return TPM_RCS_HANDLE + RC_StartAuthSession_tpmKey;
41     // HMAC session input handle check.
42     // tpmKey should be a decryption key
43     if(!IS_ATTRIBUTE(tpmKey->publicArea.objectAttributes, TPMA_OBJECT, decrypt))
44         return TPM_RCS_ATTRIBUTES + RC_StartAuthSession_tpmKey;
45     // Secret Decryption. A TPM_RC_VALUE, TPM_RC_KEY or Unmarshal errors
46     // may be returned at this point
47     result = CryptSecretDecrypt(tpmKey, &in->nonceCaller, SECRET_KEY,
48                                &in->encryptedSalt, &salt);
49     if(result != TPM_RC_SUCCESS)
50         return TPM_RCS_VALUE + RC_StartAuthSession_encryptedSalt;
51 }
52 else
53 {
54     // secret size must be 0
55     if(in->encryptedSalt.t.size != 0)
56         return TPM_RCS_VALUE + RC_StartAuthSession_encryptedSalt;
57     salt.t.size = 0;
58 }
59 switch(HandleGetType(in->bind))
60 {
61     case TPM_HT_TRANSIENT:
62     {
63         OBJECT *object = HandleToObject(in->bind);
64         // If the bind handle references a transient object, make sure that we
65         // can get to the authorization value. Also, make sure that the object
66         // has a proper Name (nameAlg != TPM_ALG_NULL). If it doesn't, then
67         // it might be possible to bind to an object where the authValue is
68         // known. This does not create a real issue in that, if you know the
69         // authorization value, you can actually bind to the object. However,
70         // there is a potential
71         if(object->attributes.publicOnly == SET)
72             return TPM_RCS_HANDLE + RC_StartAuthSession_bind;
73         break;
74     }
75     case TPM_HT_NV_INDEX:
76     // a PIN index can't be a bind object
77     {
78         NV_INDEX *nvIndex = NvGetIndexInfo(in->bind, NULL);
79         if(IsNvPinPassIndex(nvIndex->publicArea.attributes)
80            || IsNvPinFailIndex(nvIndex->publicArea.attributes))
81             return TPM_RCS_HANDLE + RC_StartAuthSession_bind;
82         break;
83     }
84     default:
85         break;
86 }
87 // If 'symmetric' is a symmetric block cipher (not TPM_ALG_NULL or TPM_ALG_XOR)
88 // then the mode must be CFB.
89 if(in->symmetric.algorithm != TPM_ALG_NULL
90    && in->symmetric.algorithm != TPM_ALG_XOR
91    && in->symmetric.mode.sym != TPM_ALG_CFB)
92     return TPM_RCS_MODE + RC_StartAuthSession_symmetric;
93
94 // Internal Data Update and command output
95
96 // Create internal session structure. TPM_RC_CONTEXT_GAP, TPM_RC_NO_HANDLES
97 // or TPM_RC_SESSION_MEMORY errors may be returned at this point.
98 //
99 // The detailed actions for creating the session context are not shown here
100 // as the details are implementation dependent
101 // SessionCreate sets the output handle and nonceTPM
102 result = SessionCreate(in->sessionType, in->authHash, &in->nonceCaller,
103                       &in->symmetric, in->bind, &salt, &out->sessionHandle,
104                       &out->nonceTPM);

```

```
105     return result;  
106 }  
107 #endif // CC_StartAuthSession
```

11.2 TPM2_PolicyRestart

11.2.1 General Description

This command allows a policy authorization session to be returned to its initial state. This command is used after the TPM returns TPM_RC_PCR_CHANGED. That response code indicates that a policy will fail because the PCR have changed after TPM2_PolicyPCR() was executed. Restarting the session allows the authorizations to be replayed because the session restarts with the same *nonceTPM*. If the PCR are valid for the policy, the policy may then succeed.

This command does not reset the policy ID or the policy start time.

11.2.2 Command and Response

Table 17 — TPM2_PolicyRestart Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyRestart |
| TPMI_SH_POLICY | sessionHandle | the handle for the policy session |

Table 18 — TPM2_PolicyRestart Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

11.2.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "PolicyRestart_fp.h"
3  #if CC_PolicyRestart // Conditional expansion of this file
4  TPM_RC
5  TPM2_PolicyRestart(
6      PolicyRestart_In  *in          // IN: input parameter list
7      )
8  {
9      // Initialize policy session data
10     SessionResetPolicyData(SessionGet(in->sessionHandle));
11
12     return TPM_RC_SUCCESS;
13 }
14 #endif // CC_PolicyRestart
```

12 Object Commands

12.1 TPM2_Create

12.1.1 General Description

This command is used to create an object that can be loaded into a TPM using TPM2_Load(). If the command completes successfully, the TPM will create the new object and return the object's creation data (*creationData*), its public area (*outPublic*), and its encrypted sensitive area (*outPrivate*). Preservation of the returned data is the responsibility of the caller. The object will need to be loaded (TPM2_Load()) before it may be used. The only difference between the *inPublic* TPMT_PUBLIC template and the *outPublic* TPMT_PUBLIC object is in the *unique* field.

NOTE 1 This command may require temporary use of a transient resource, even though the object does not remain loaded after the command. See Part 1 Transient Resources.

TPM2B_PUBLIC template (*inPublic*) contains all of the fields necessary to define the properties of the new object. The setting for these fields is defined in "Public Area Template" in Part 1 of this specification and in "TPMA_OBJECT" in Part 2 of this specification. The size of the *unique* field shall not be checked for consistency with the other object parameters.

NOTE 2 For interoperability, the *unique* field should not be set to a value that is larger than allowed by object parameters, so that the unmarshaling will not fail. A size of zero is recommended. After unmarshaling, the TPM does not use the input *unique* field. It is, however, used in TPM2_CreatePrimary() and TPM2_CreateLoaded.

EXAMPLE 1 A TPM_ALG_RSA object with a *keyBits* of 2048 in the object's parameters should have a *unique* field that is no larger than 256 bytes.

EXAMPLE 2 TPM_ALG_KEYEDHASH or a TPM_ALG_SYMCIPHER object should have a *unique* field that is no larger than the digest produced by the object's *nameAlg*.

The *parentHandle* parameter shall reference a loaded decryption key that has both the public and sensitive area loaded.

When defining the object, the caller provides a template structure for the object in a TPM2B_PUBLIC structure (*inPublic*), an initial value for the object's *authValue* (*inSensitive.userAuth*), and, if the object is a symmetric object, an optional initial data value (*inSensitive.data*). The TPM shall validate the consistency of the attributes of *inPublic* according to the Creation rules in "TPMA_OBJECT" in TPM 2.0 Part 2.

The *inSensitive* parameter may be encrypted using parameter encryption.

The methods in this clause are used by both TPM2_Create() and TPM2_CreatePrimary(). When a value is indicated as being TPM-generated, the value is filled in by bits from the RNG if the command is TPM2_Create() and with values from KDFa() if the command is TPM2_CreatePrimary(). The parameters of each creation value are specified in TPM 2.0 Part 1.

The *sensitiveDataOrigin* attribute of *inPublic* shall be SET if *inSensitive.data* is an Empty Buffer and CLEAR if *inSensitive.data* is not an Empty Buffer or the TPM shall return TPM_RC_ATTRIBUTES.

If the Object is a not a *keyedHash* object, and the *sign* and *encrypt* attributes are CLEAR, the TPM shall return TPM_RC_ATTRIBUTES.

The TPM will create new data for the sensitive area and compute a TPMT_PUBLIC.*unique* from the sensitive area based on the object type:

- a) For a symmetric key:
 - 1) If *inSensitive.sensitive.data* is the Empty Buffer, a TPM-generated key value is placed in the new object's TPMT_SENSITIVE.*sensitive.sym*. The size of the key will be determined by *inPublic.publicArea.parameters*.

- 2) If *inSensitive.sensitive.data* is not the Empty Buffer, the TPM will validate that the size of *inSensitive.data* is no larger than the key size indicated in the *inPublic template* (TPM_RC_SIZE) and copy the *inSensitive.data* to TPMT_SENSITIVE.*sensitive.sym* of the new object.
- 3) A TPM-generated obfuscation value is placed in TPMT_SENSITIVE.*sensitive.seedValue*. The size of the obfuscation value is the size of the digest produced by the *nameAlg* in *inPublic*. This value prevents the public *unique* value from leaking information about the *sensitive* area.
- 4) The TPMT_PUBLIC.*unique.sym* value for the new object is then generated, as shown in equation (1) below, by hashing the key and obfuscation values in the TPMT_SENSITIVE with the *nameAlg* of the object.

$$unique := H_{nameAlg}(sensitive.seedValue.buffer || sensitive.any.buffer) \quad (1)$$

b) If the Object is an asymmetric key:

- 1) If *inSensitive.sensitive.data* is not the Empty Buffer, then the TPM shall return TPM_RC_VALUE.
- 2) A TPM-generated private key value is created with the size determined by the parameters of *inPublic.publicArea.parameters*.
- 3) If the key is a Storage Key, a TPM-generated TPMT_SENSITIVE.*seedValue* value is created; otherwise, TPMT_SENSITIVE.*seedValue.size* is set to zero.

NOTE 3 An Object that is not a storage key has no child Objects to encrypt, so it does not need a symmetric key.

- 4) The public *unique* value is computed from the private key according to the methods of the key type.
- 5) If the key is an ECC key and the scheme required by the *curveID* is not the same as *scheme* in the public area of the template, then the TPM shall return TPM_RC_SCHEME.
- 6) If the key is an ECC key and the KDF required by the *curveID* is not the same as *kdf* in the public area of the template, then the TPM shall return TPM_RC_KDF.

NOTE 4 There is currently no command in which the caller may specify the KDF to be used with an ECC decryption key. Since there is no use for this capability, the reference implementation requires that the *kdf* in the template be set to TPM_ALG_NULL or TPM_RC_KDF is returned.

c) If the Object is a *keyedHash* object:

- 1) If *inSensitive.sensitive.data* is an Empty Buffer, and both *sign* and *decrypt* are CLEAR in the attributes of *inPublic*, the TPM shall return TPM_RC_ATTRIBUTES. This would be a data object with no data.

NOTE 5 Revisions 134 and earlier reference code did not check the error case of *sensitiveDataOrigin* SET and an Empty Buffer. Thus, some TPM implementations may also not have included this error check.

- 2) If *sign* and *decrypt* are both CLEAR, or if *sign* and *decrypt* are both SET and the *scheme* in the public area of the template is not TPM_ALG_NULL, the TPM shall return TPM_RC_SCHEME.

NOTE 6 Revisions 138 and earlier did not enforce this error case.

- 3) If *inSensitive.sensitive.data* is not an Empty Buffer, the TPM will copy the *inSensitive.sensitive.data* to TPMT_SENSITIVE.*sensitive.bits* of the new object.

NOTE 7 The size of *inSensitive.sensitive.data* is limited to be no larger than MAX_SYM_DATA.

- 4) If *inSensitive.sensitive.data* is an Empty Buffer, a TPM-generated key value that is the size of the digest produced by the *nameAlg* in *inPublic* is placed in TPMT_SENSITIVE.*sensitive.bits*.

- 5) A TPM-generated obfuscation value that is the size of the digest produced by the *nameAlg* of *inPublic* is placed in TPMT_SENSITIVE.*seedValue*.
- 6) The TPMT_PUBLIC.*unique.keyedHash* value for the new object is then generated, as shown in equation (1) above, by hashing the key and obfuscation values in the TPMT_SENSITIVE with the *nameAlg* of the object.

For TPM2_Load(), the TPM will apply normal symmetric protections to the created TPMT_SENSITIVE to create *outPublic*.

NOTE 8 The encryption key is derived from the symmetric seed in the sensitive area of the parent.

In addition to *outPublic* and *outPrivate*, the TPM will build a TPMS_CREATION_DATA structure for the object. TPMS_CREATION_DATA.*outsideInfo* is set to *outsideInfo*. This structure is returned in *creationData*. Additionally, the digest of this structure is returned in *creationHash*, and, finally, a TPMT_TK_CREATION is created so that the association between the creation data and the object may be validated by TPM2_CertifyCreation().

If the object being created is a Storage Key and *fixedParent* is SET in the attributes of *inPublic*, then the symmetric algorithms and parameters of *inPublic* are required to match those of the parent. The algorithms that must match are *inPublic.nameAlg*, and the values in *inPublic.parameters* that select the symmetric scheme. If *inPublic.nameAlg* does not match, the TPM shall return TPM_RC_HASH. If the symmetric scheme of the key does not match, the parent, the TPM shall return TPM_RC_SYMMETRIC. The TPM shall not use different response code to differentiate between mismatches of the components of *inPublic.parameters*. However, after this verification, when using the scheme to encrypt child objects, the TPM ignores the symmetric mode and uses TPM_ALG_CFB.

NOTE 9 The symmetric scheme is a TPMT_SYM_DEF_OBJECT. In a symmetric block cipher, it is at *inPublic.parameters.symDetail.sym* and in an asymmetric object is at *inPublic.parameters.asymDetail.symmetric*.

NOTE 10 Prior to revision 01.34, the parent asymmetric algorithms were also checked for *fixedParent* storage keys.

12.1.2 Command and Response

Table 19 — TPM2_Create Command

| Type | Name | Description |
|------------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Create |
| TPMI_DH_OBJECT | @parentHandle | handle of parent for new object Auth Index: 1 Auth Role: USER |
| TPM2B_SENSITIVE_CREATE | inSensitive | the sensitive data |
| TPM2B_PUBLIC | inPublic | the public template |
| TPM2B_DATA | outsideInfo | data that will be included in the creation data for this object to provide permanent, verifiable linkage between this object and some object owner data |
| TPML_PCR_SELECTION | creationPCR | PCR that will be used in creation data |

Table 20 — TPM2_Create Response

| Type | Name | Description |
|---------------------|----------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_PRIVATE | outPrivate | the private portion of the object |
| TPM2B_PUBLIC | outPublic | the public portion of the created object |
| TPM2B_CREATION_DATA | creationData | contains a TPMS_CREATION_DATA |
| TPM2B_DIGEST | creationHash | digest of <i>creationData</i> using <i>nameAlg</i> of <i>outPublic</i> |
| TPMT_TK_CREATION | creationTicket | ticket used by TPM2_CertifyCreation() to validate that the creation data was produced by the TPM |

12.1.3 Detailed Actions

```

1 #include "Tpm.h"
2 #include "Object_spt_fp.h"
3 #include "Create_fp.h"
4 #if CC_Create // Conditional expansion of this file

```

| Error Returns | Meaning |
|----------------------|--|
| TPM_RC_ATTRIBUTES | <i>sensitiveDataOrigin</i> is CLEAR when <i>sensitive.data</i> is an Empty Buffer, or is SET when <i>sensitive.data</i> is not empty; <i>fixedTPM</i> , <i>fixedParent</i> , or <i>encryptedDuplication</i> attributes are inconsistent between themselves or with those of the parent object; inconsistent <i>restricted</i> , <i>decrypt</i> and <i>sign</i> attributes; attempt to inject sensitive data for an asymmetric key; |
| TPM_RC_HASH | non-duplicable storage key and its parent have different name algorithm |
| TPM_RC_KDF | incorrect KDF specified for decrypting keyed hash object |
| TPM_RC_KEY | invalid key size values in an asymmetric key public area or a provided symmetric key has a value that is not allowed |
| TPM_RC_KEY_SIZE | key size in public area for symmetric key differs from the size in the sensitive creation area; may also be returned if the TPM does not allow the key size to be used for a Storage Key |
| TPM_RC_OBJECT_MEMORY | a free slot is not available as scratch memory for object creation |
| TPM_RC_RANGE | the exponent value of an RSA key is not supported. |
| TPM_RC_SCHEME | inconsistent attributes <i>decrypt</i> , <i>sign</i> , or <i>restricted</i> and key's scheme ID; or hash algorithm is inconsistent with the scheme ID for keyed hash object |
| TPM_RC_SIZE | size of public <i>authPolicy</i> or sensitive <i>authValue</i> does not match digest size of the name algorithm sensitive data size for the keyed hash object is larger than is allowed for the scheme |
| TPM_RC_SYMMETRIC | a storage key with no symmetric algorithm specified; or non-storage key with symmetric algorithm different from TPM_ALG_NULL |
| TPM_RC_TYPE | unknown object type; <i>parentHandle</i> does not reference a restricted decryption key in the storage hierarchy with both public and sensitive portion loaded |
| TPM_RC_VALUE | exponent is not prime or could not find a prime using the provided parameters for an RSA key; unsupported name algorithm for an ECC key |
| TPM_RC_OBJECT_MEMORY | there is no free slot for the object |

```

5 TPM_RC
6 TPM2_Create(
7     Create_In      *in,           // IN: input parameter list
8     Create_Out     *out,         // OUT: output parameter list
9 )
10 {
11     TPM_RC          result = TPM_RC_SUCCESS;
12     OBJECT         *parentObject;
13     OBJECT         *newObject;
14     TPMT_PUBLIC    *publicArea;
15
16 // Input Validation
17     parentObject = HandleToObject(in->parentHandle);

```

```
18     pAssert(parentObject != NULL);
19
20     // Does parent have the proper attributes?
21     if(!ObjectIsParent(parentObject))
22         return TPM_RCS_TYPE + RC_Create_parentHandle;
23
24     // Get a slot for the creation
25     newObject = FindEmptyObjectSlot(NULL);
26     if(newObject == NULL)
27         return TPM_RC_OBJECT_MEMORY;
28     // If the TPM2B_PUBLIC was passed as a structure, marshal it into is canonical
29     // form for processing
30
31     // to save typing.
32     publicArea = &newObject->publicArea;
33
34     // Copy the input structure to the allocated structure
35     *publicArea = in->inPublic.publicArea;
36
37     // Check attributes in input public area. CreateChecks() checks the things that
38     // are unique to creation and then validates the attributes and values that are
39     // common to create and load.
40     result = CreateChecks(parentObject, publicArea,
41                          in->inSensitive.sensitive.data.t.size);
42     if(result != TPM_RC_SUCCESS)
43         return RcSafeAddToResult(result, RC_Create_inPublic);
44     // Clean up the authValue if necessary
45     if(!AdjustAuthSize(&in->inSensitive.sensitive.userAuth, publicArea->nameAlg))
46         return TPM_RCS_SIZE + RC_Create_inSensitive;
47
48 // Command Output
49 // Create the object using the default TPM random-number generator
50 result = CryptCreateObject(newObject, &in->inSensitive.sensitive, NULL);
51 if(result != TPM_RC_SUCCESS)
52     return result;
53 // Fill in creation data
54 FillInCreationData(in->parentHandle, publicArea->nameAlg,
55                  &in->creationPCR, &in->outsideInfo,
56                  &out->creationData, &out->creationHash);
57
58 // Compute creation ticket
59 TicketComputeCreation(EntityGetHierarchy(in->parentHandle), &newObject->name,
60                      &out->creationHash, &out->creationTicket);
61
62 // Prepare output private data from sensitive
63 SensitiveToPrivate(&newObject->sensitive, &newObject->name, parentObject,
64                  publicArea->nameAlg,
65                  &out->outPrivate);
66
67 // Finish by copying the remaining return values
68 out->outPublic.publicArea = newObject->publicArea;
69
70     return TPM_RC_SUCCESS;
71 }
72 #endif // CC_Create
```

12.2 TPM2_Load

12.2.1 General Description

This command is used to load objects into the TPM. This command is used when both a TPM2B_PUBLIC and TPM2B_PRIVATE are to be loaded. If only a TPM2B_PUBLIC is to be loaded, the TPM2_LoadExternal command is used.

NOTE 1 Loading an object is not the same as restoring a saved object context.

The object's TPMA_OBJECT attributes will be checked according to the rules defined in "TPMA_OBJECT" in TPM 2.0 Part 2 of this specification. If the Object is a not a *keyedHash* object, and the *sign* and *encrypt* attributes are CLEAR, the TPM shall return TPM_RC_ATTRIBUTES.

Objects loaded using this command will have a Name. The Name is the concatenation of *nameAlg* and the digest of the public area using the *nameAlg*.

NOTE 2 *nameAlg* is a parameter in the public area of the inPublic structure.

If *inPrivate.size* is zero, the load will fail.

After *inPrivate.buffer* is decrypted using the symmetric key of the parent, the integrity value shall be checked before the sensitive area is used, or unmarshaled.

NOTE 3 Checking the integrity before the data is used prevents attacks on the sensitive area by fuzzing the data and looking at the differences in the response codes.

The command returns a handle for the loaded object and the Name that the TPM computed for *inPublic.public* (that is, the digest of the TPMT_PUBLIC structure in *inPublic*).

NOTE 4 The TPM-computed Name is provided as a convenience to the caller for those cases where the caller does not implement the hash algorithms specified in the *nameAlg* of the object.

NOTE 5 The returned handle is associated with the object until the object is flushed (TPM2_FlushContext) or until the next TPM2_Startup.

For all objects, the size of the key in the sensitive area shall be consistent with the key size indicated in the public area or the TPM shall return TPM_RC_KEY_SIZE.

Before use, a loaded object shall be checked to validate that the public and sensitive portions are properly linked, cryptographically. Use of an object includes use in any policy command. If the parts of the object are not properly linked, the TPM shall return TPM_RC_BINDING. If a weak symmetric key is in the sensitive portion, the TPM shall return TPM_RC_KEY.

EXAMPLE 1 For a symmetric object, the unique value in the public area shall be the digest of the sensitive key and the obfuscation value.

EXAMPLE 2 For a two-prime RSA key, the remainder when dividing the public modulus by the private key shall be zero and it shall be possible to form a private exponent from the two prime factors of the public modulus.

EXAMPLE 3 For an ECC key, the public point shall be $f(x)$ where x is the private key.

12.2.2 Command and Response

Table 21 — TPM2_Load Command

| Type | Name | Description |
|---------------------|---------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Load |
| TPMI_DH_OBJECT | @parentHandle | TPM handle of parent key; shall not be a reserved handle Auth Index: 1 Auth Role: USER |
| TPM2B_PRIVATE | inPrivate | the private portion of the object |
| TPM2B_PUBLIC | inPublic | the public portion of the object |

Table 22 — TPM2_Load Response

| Type | Name | Description |
|------------|--------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM_HANDLE | objectHandle | handle of type TPM_HT_TRANSIENT for the loaded object |
| TPM2B_NAME | name | Name of the loaded object |

12.2.3 Detailed Actions

```

1 #include "Tpm.h"
2 #include "Load_fp.h"
3 #if CC_Load // Conditional expansion of this file
4 #include "Object_spt_fp.h"

```

| Error Returns | Meaning |
|----------------------|--|
| TPM_RC_ATTRIBUTES | <i>inPublic</i> attributes are not allowed with selected parent |
| TPM_RC_BINDING | <i>inPrivate</i> and <i>inPublic</i> are not cryptographically bound |
| TPM_RC_HASH | incorrect hash selection for signing key or the <i>nameAlg</i> for <i>inPublic</i> is not valid |
| TPM_RC_INTEGRITY | HMAC on <i>inPrivate</i> was not valid |
| TPM_RC_KDF | KDF selection not allowed |
| TPM_RC_KEY | the size of the object's <i>unique</i> field is not consistent with the indicated size in the object's parameters |
| TPM_RC_OBJECT_MEMORY | no available object slot |
| TPM_RC_SCHEME | the signing scheme is not valid for the key |
| TPM_RC_SENSITIVE | the <i>inPrivate</i> did not unmarshal correctly |
| TPM_RC_SIZE | <i>inPrivate</i> missing, or <i>authPolicy</i> size for <i>inPublic</i> or is not valid |
| TPM_RC_SYMMETRIC | symmetric algorithm not provided when required |
| TPM_RC_TYPE | <i>parentHandle</i> is not a storage key, or the object to load is a storage key but its parameters do not match the parameters of the parent. |
| TPM_RC_VALUE | decryption failure |

```

5 TPM_RC
6 TPM2_Load(
7     Load_In          *in,           // IN: input parameter list
8     Load_Out         *out,          // OUT: output parameter list
9 )
10 {
11     TPM_RC           result = TPM_RC_SUCCESS;
12     TPMT_SENSITIVE  sensitive;
13     OBJECT           *parentObject;
14     OBJECT           *newObject;
15
16     // Input Validation
17     // Don't get invested in loading if there is no place to put it.
18     newObject = FindEmptyObjectSlot(&out->objectHandle);
19     if(newObject == NULL)
20         return TPM_RC_OBJECT_MEMORY;
21
22     if(in->inPrivate.t.size == 0)
23         return TPM_RCS_SIZE + RC_Load_inPrivate;
24
25     parentObject = HandleToObject(in->parentHandle);
26     pAssert(parentObject != NULL);
27     // Is the object that is being used as the parent actually a parent.
28     if(!ObjectIsParent(parentObject))
29         return TPM_RCS_TYPE + RC_Load_parentHandle;
30
31     // Compute the name of object. If there isn't one, it is because the nameAlg is
32     // not valid.

```



```
33     PublicMarshalAndComputeName(&in->inPublic.publicArea, &out->name);
34     if(out->name.t.size == 0)
35         return TPM_RCS_HASH + RC_Load_inPublic;
36
37     // Retrieve sensitive data.
38     result = PrivateToSensitive(&in->inPrivate.b, &out->name.b, parentObject,
39                               in->inPublic.publicArea.nameAlg,
40                               &sensitive);
41     if(result != TPM_RC_SUCCESS)
42         return RcSafeAddToResult(result, RC_Load_inPrivate);
43
44 // Internal Data Update
45 // Load and validate object
46 result = ObjectLoad(newObject, parentObject,
47                   &in->inPublic.publicArea, &sensitive,
48                   RC_Load_inPublic, RC_Load_inPrivate,
49                   &out->name);
50 if(result == TPM_RC_SUCCESS)
51 {
52     // Set the common OBJECT attributes for a loaded object.
53     ObjectSetLoadedAttributes(newObject, in->parentHandle);
54 }
55 return result;
56
57 }
58 #endif // CC_Load
```

12.3 TPM2_LoadExternal

12.3.1 General Description

This command is used to load an object that is not a Protected Object into the TPM. The command allows loading of a public area or both a public and sensitive area.

NOTE 1 Typical use for loading a public area is to allow the TPM to validate an asymmetric signature. Typical use for loading both a public and sensitive area is to allow the TPM to be used as a crypto accelerator.

Load of a public external object area allows the object to be associated with a hierarchy so that the correct algorithms may be used when creating tickets. The *hierarchy* parameter provides this association. If the public and sensitive portions of the object are loaded, *hierarchy* is required to be TPM_RH_NULL.

NOTE 2 If both the public and private portions of an object are loaded, the object is not allowed to appear to be part of a hierarchy.

The object's TPMA_OBJECT attributes will be checked according to the rules defined in "TPMA_OBJECT" in TPM 2.0 Part 2. In particular, *fixedTPM*, *fixedParent*, and *restricted* shall be CLEAR if *inPrivate* is not the Empty Buffer.

NOTE 3 The duplication status of a public key needs to be able to be the same as the full key which may be resident on a different TPM. If both the public and private parts of the key are loaded, then it is not possible for the key to be either *fixedTPM* or *fixedParent*, since, its private area would not be available in the clear to load.

Objects loaded using this command will have a Name. The Name is the *nameAlg* of the object concatenated with the digest of the public area using the *nameAlg*. The Qualified Name for the object will be the same as its Name. The TPM will validate that the *authPolicy* is either the size of the digest produced by *nameAlg* or the Empty Buffer.

NOTE 4 If *nameAlg* is TPM_ALG_NULL, then the Name is the Empty Buffer. When the authorization value for an object with no Name is computed, no Name value is included in the HMAC. To ensure that these unnamed entities are not substituted, they should have an *authValue* that is statistically unique.

NOTE 5 The digest size for TPM_ALG_NULL is zero.

If the *nameAlg* is TPM_ALG_NULL, the TPM shall not verify the cryptographic binding between the public and sensitive areas, but the TPM will validate that the size of the key in the sensitive area is consistent with the size indicated in the public area. If it is not, the TPM shall return TPM_RC_KEY_SIZE.

NOTE 6 For an ECC object, the TPM will verify that the public key is on the curve of the key before the public area is used.

If *nameAlg* is not TPM_ALG_NULL, then the same consistency checks between *inPublic* and *inPrivate* are made as for TPM2_Load().

NOTE 7 Consistency checks are necessary because an object with a Name needs to have the public and sensitive portions cryptographically bound so that an attacker cannot mix public and sensitive areas.

The command returns a handle for the loaded object and the Name that the TPM computed for *inPublic.public* (that is, the TPMT_PUBLIC structure in *inPublic*).

NOTE 8 The TPM-computed Name is provided as a convenience to the caller for those cases where the caller does not implement the hash algorithm specified in the *nameAlg* of the object.

The *hierarchy* parameter associates the external object with a hierarchy. External objects are flushed when their associated hierarchy is disabled. If *hierarchy* is TPM_RH_NULL, the object is part of no hierarchy, and there is no implicit flush.

If *hierarchy* is TPM_RH_NULL or *nameAlg* is TPM_ALG_NULL, a ticket produced using the object shall be a NULL Ticket.

EXAMPLE If a key is loaded with *hierarchy* set to TPM_RH_NULL, then TPM2_VerifySignature() will produce a NULL Ticket of the required type.

External objects are Temporary Objects. The saved external object contexts shall be invalidated at the next TPM Reset.

If a weak symmetric key is in the sensitive area, the TPM shall return TPM_RC_KEY.

For an RSA key, the private exponent is computed using the two prime factors of the public modulus. One of the primes is P, and the second prime (Q) is found by dividing the public modulus by P. A TPM may return an error (TPM_RC_BINDING) if the bit size of P and Q are not the same.”

12.3.2 Command and Response

Table 23 — TPM2_LoadExternal Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit, encrypt, or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_LoadExternal |
| TPM2B_SENSITIVE | inPrivate | the sensitive portion of the object (optional) |
| TPM2B_PUBLIC+ | inPublic | the public portion of the object |
| TPMI_RH_HIERARCHY+ | hierarchy | hierarchy with which the object area is associated |

Table 24 — TPM2_LoadExternal Response

| Type | Name | Description |
|------------|--------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM_HANDLE | objectHandle | handle of type TPM_HT_TRANSIENT for the loaded object |
| TPM2B_NAME | name | name of the loaded object |

12.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "LoadExternal_fp.h"
3  #if CC_LoadExternal // Conditional expansion of this file
4  #include "Object_spt_fp.h"

```

| Error Returns | Meaning |
|----------------------|---|
| TPM_RC_ATTRIBUTES | 'fixedParent', fixedTPM, and restricted must be CLEAR if sensitive portion of an object is loaded |
| TPM_RC_BINDING | the inPublic and inPrivate structures are not cryptographically bound |
| TPM_RC_HASH | incorrect hash selection for signing key |
| TPM_RC_HIERARCHY | hierarchy is turned off, or only NULL hierarchy is allowed when loading public and private parts of an object |
| TPM_RC_KDF | incorrect KDF selection for decrypting keyedHash object |
| TPM_RC_KEY | the size of the object's unique field is not consistent with the indicated size in the object's parameters |
| TPM_RC_OBJECT_MEMORY | if there is no free slot for an object |
| TPM_RC_ECC_POINT | for a public-only ECC key, the ECC point is not on the curve |
| TPM_RC_SCHEME | the signing scheme is not valid for the key |
| TPM_RC_SIZE | authPolicy is not zero and is not the size of a digest produced by the object's nameAlg TPM_RH_NULL hierarchy |
| TPM_RC_SYMMETRIC | symmetric algorithm not provided when required |
| TPM_RC_TYPE | inPublic and inPrivate are not the same type |

```

5  TPM_RC
6  TPM2_LoadExternal(
7      LoadExternal_In  *in,          // IN: input parameter list
8      LoadExternal_Out *out         // OUT: output parameter list
9  )
10 {
11     TPM_RC      result;
12     OBJECT     *object;
13     TPMT_SENSITIVE *sensitive = NULL;
14
15     // Input Validation
16     // Don't get invested in loading if there is no place to put it.
17     object = FindEmptyObjectSlot(&out->objectHandle);
18     if(object == NULL)
19         return TPM_RC_OBJECT_MEMORY;
20
21     // If the hierarchy to be associated with this object is turned off, the object
22     // cannot be loaded.
23     if(!HierarchyIsEnabled(in->hierarchy))
24         return TPM_RCS_HIERARCHY + RC_LoadExternal_hierarchy;
25
26     // For loading an object with both public and sensitive
27     if(in->inPrivate.size != 0)
28     {
29         // An external object with a sensitive area can only be loaded in the
30         // NULL hierarchy
31         if(in->hierarchy != TPM_RH_NULL)
32             return TPM_RCS_HIERARCHY + RC_LoadExternal_hierarchy;
33         // An external object with a sensitive area must have fixedTPM == CLEAR

```

```
34     // fixedParent == CLEAR so that it does not appear to be a key created by
35     // this TPM.
36     if(IS_ATTRIBUTE(in->inPublic.publicArea.objectAttributes, TPMA_OBJECT,
37                   fixedTPM)
38        || IS_ATTRIBUTE(in->inPublic.publicArea.objectAttributes, TPMA_OBJECT,
39                       fixedParent)
40        || IS_ATTRIBUTE(in->inPublic.publicArea.objectAttributes, TPMA_OBJECT,
41                       restricted))
42         return TPM_RCS_ATTRIBUTES + RC_LoadExternal_inPublic;
43
44     // Have sensitive point to something other than NULL so that object
45     // initialization will load the sensitive part too
46     sensitive = &in->inPrivate.sensitiveArea;
47 }
48
49 // Need the name to initialize the object structure
50 PublicMarshalAndComputeName(&in->inPublic.publicArea, &out->name);
51
52 // Load and validate key
53 result = ObjectLoad(object, NULL,
54                   &in->inPublic.publicArea, sensitive,
55                   RC_LoadExternal_inPublic, RC_LoadExternal_inPrivate,
56                   &out->name);
57 if(result == TPM_RC_SUCCESS)
58 {
59     object->attributes.external = SET;
60     // Set the common OBJECT attributes for a loaded object.
61     ObjectSetLoadedAttributes(object, in->hierarchy);
62 }
63 return result;
64 }
65 #endif // CC_LoadExternal
```

12.4 TPM2_ReadPublic

12.4.1 General Description

This command allows access to the public area of a loaded object.

Use of the *objectHandle* does not require authorization.

NOTE Since the caller is not likely to know the public area of the object associated with *objectHandle*, it would not be possible to include the Name associated with *objectHandle* in the *cpHash* computation.

If *objectHandle* references a sequence object, the TPM shall return TPM_RC_SEQUENCE.

12.4.2 Command and Response

Table 25 — TPM2_ReadPublic Command

| Type | Name | Description |
|---------------------|--------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ReadPublic |
| TPMI_DH_OBJECT | objectHandle | TPM handle of an object Auth Index: None |

Table 26 — TPM2_ReadPublic Response

| Type | Name | Description |
|--------------|---------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_PUBLIC | outPublic | structure containing the public area of an object |
| TPM2B_NAME | name | name of the object |
| TPM2B_NAME | qualifiedName | the Qualified Name of the object |

12.4.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ReadPublic_fp.h"
3  #if CC_ReadPublic // Conditional expansion of this file

```

| Error Returns | Meaning |
|-----------------|---|
| TPM_RC_SEQUENCE | can not read the public area of a sequence object |

```

4  TPM_RC
5  TPM2_ReadPublic(
6      ReadPublic_In  *in,           // IN: input parameter list
7      ReadPublic_Out *out          // OUT: output parameter list
8  )
9  {
10     OBJECT                *object = HandleToObject(in->objectHandle);
11
12     // Input Validation
13     // Can not read public area of a sequence object
14     if(ObjectIsSequence(object))
15         return TPM_RC_SEQUENCE;
16
17     // Command Output
18     out->outPublic.publicArea = object->publicArea;
19     out->name = object->name;
20     out->qualifiedName = object->qualifiedName;
21
22     return TPM_RC_SUCCESS;
23 }
24 #endif // CC_ReadPublic

```

12.5 TPM2_ActivateCredential

12.5.1 General Description

This command enables the association of a credential with an object in a way that ensures that the TPM has validated the parameters of the credentialed object.

If both the public and private portions of *activateHandle* and *keyHandle* are not loaded, then the TPM shall return TPM_RC_AUTH_UNAVAILABLE.

If *keyHandle* is not a Storage Key, then the TPM shall return TPM_RC_TYPE.

Authorization for *activateHandle* requires the ADMIN role.

The key associated with *keyHandle* is used to recover a seed from secret, which is the encrypted seed. The Name of the object associated with *activateHandle* and the recovered seed are used in a KDF to recover the symmetric key. The recovered seed (but not the Name) is used in a KDF to recover the HMAC key.

The HMAC is used to validate that the *credentialBlob* is associated with *activateHandle* and that the data in *credentialBlob* has not been modified. The linkage to the object associated with *activateHandle* is achieved by including the Name in the HMAC calculation.

If the integrity checks succeed, *credentialBlob* is decrypted and returned as *certInfo*.

NOTE The output *certInfo* parameter is an application defined value. It is typically a symmetric key or seed that is used to decrypt a certificate. See the TPM2_MakeCredential *credential* input parameter.

12.5.2 Command and Response

Table 27 — TPM2_ActivateCredential Command

| Type | Name | Description |
|------------------------|-----------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ActivateCredential |
| TPMI_DH_OBJECT | @activateHandle | handle of the object associated with certificate in <i>credentialBlob</i> Auth Index: 1 Auth Role: ADMIN |
| TPMI_DH_OBJECT | @keyHandle | loaded key used to decrypt the TPMS_SENSITIVE in <i>credentialBlob</i> Auth Index: 2 Auth Role: USER |
| TPM2B_ID_OBJECT | credentialBlob | the credential |
| TPM2B_ENCRYPTED_SECRET | secret | <i>keyHandle</i> algorithm-dependent encrypted seed that protects <i>credentialBlob</i> |

Table 28 — TPM2_ActivateCredential Response

| Type | Name | Description |
|--------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_DIGEST | certInfo | the decrypted certificate information the data should be no larger than the size of the digest of the <i>nameAlg</i> associated with <i>keyHandle</i> |

12.5.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ActivateCredential_fp.h"
3  #if CC_ActivateCredential // Conditional expansion of this file
4  #include "Object_spt_fp.h"

```

| Error Returns | Meaning |
|---------------------|---|
| TPM_RC_ATTRIBUTES | <i>keyHandle</i> does not reference a decryption key |
| TPM_RC_ECC_POINT | <i>secret</i> is invalid (when <i>keyHandle</i> is an ECC key) |
| TPM_RC_INSUFFICIENT | <i>secret</i> is invalid (when <i>keyHandle</i> is an ECC key) |
| TPM_RC_INTEGRITY | <i>credentialBlob</i> fails integrity test |
| TPM_RC_NO_RESULT | <i>secret</i> is invalid (when <i>keyHandle</i> is an ECC key) |
| TPM_RC_SIZE | <i>secret</i> size is invalid or the <i>credentialBlob</i> does not unmarshal correctly |
| TPM_RC_TYPE | <i>keyHandle</i> does not reference an asymmetric key. |
| TPM_RC_VALUE | <i>secret</i> is invalid (when <i>keyHandle</i> is an RSA key) |

```

5  TPM_RC
6  TPM2_ActivateCredential(
7      ActivateCredential_In *in,           // IN: input parameter list
8      ActivateCredential_Out *out        // OUT: output parameter list
9  )
10 {
11     TPM_RC          result = TPM_RC_SUCCESS;
12     OBJECT          *object;           // decrypt key
13     OBJECT          *activateObject;   // key associated with credential
14     TPM2B_DATA      data;             // credential data
15
16     // Input Validation
17
18     // Get decrypt key pointer
19     object = HandleToObject(in->keyHandle);
20
21     // Get certificated object pointer
22     activateObject = HandleToObject(in->activateHandle);
23
24     // input decrypt key must be an asymmetric, restricted decryption key
25     if(!CryptIsAsymAlgorithm(object->publicArea.type)
26         || !IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, decrypt)
27         || !IS_ATTRIBUTE(object->publicArea.objectAttributes,
28             TPMA_OBJECT, restricted))
29         return TPM_RCS_TYPE + RC_ActivateCredential_keyHandle;
30
31     // Command output
32
33     // Decrypt input credential data via asymmetric decryption. A
34     // TPM_RC_VALUE, TPM_RC_KEY or unmarshal errors may be returned at this
35     // point
36     result = CryptSecretDecrypt(object, NULL, IDENTITY_STRING, &in->secret, &data);
37     if(result != TPM_RC_SUCCESS)
38     {
39         if(result == TPM_RC_KEY)
40             return TPM_RC_FAILURE;
41         return RcSafeAddToResult(result, RC_ActivateCredential_secret);
42     }
43

```

```
44     // Retrieve secret data. A TPM_RC_INTEGRITY error or unmarshal
45     // errors may be returned at this point
46     result = CredentialToSecret(&in->credentialBlob.b,
47                               &activateObject->name.b,
48                               &data.b,
49                               object,
50                               &out->certInfo);
51     if(result != TPM_RC_SUCCESS)
52         return RcSafeAddToResult(result, RC_ActivateCredential_credentialBlob);
53
54     return TPM_RC_SUCCESS;
55 }
56 #endif // CC_ActivateCredential
```

12.6 TPM2_MakeCredential

12.6.1 General Description

This command allows the TPM to perform the actions required of a Certificate Authority (CA) in creating a TPM2B_ID_OBJECT containing an activation credential.

NOTE The input *credential* parameter is an application defined value. It is typically a symmetric key or seed that is used to encrypt a certificate. See the TPM2_ActivateCredential *certInfo* output parameter.

The TPM will produce a TPM2B_ID_OBJECT according to the methods in “Credential Protection” in TPM 2.0 Part 1.

The loaded public area referenced by *handle* is required to be the public area of a Storage key, otherwise, the credential cannot be properly sealed.

This command does not use any TPM secrets nor does it require authorization. It is a convenience function, using the TPM to perform cryptographic calculations that could be done externally.

12.6.2 Command and Response

Table 29 — TPM2_MakeCredential Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit, encrypt, or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_MakeCredential |
| TPMI_DH_OBJECT | handle | loaded public area, used to encrypt the sensitive area containing the credential key Auth Index: None |
| TPM2B_DIGEST | credential | the credential information |
| TPM2B_NAME | objectName | Name of the object to which the credential applies |

Table 30 — TPM2_MakeCredential Response

| Type | Name | Description |
|------------------------|----------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_ID_OBJECT | credentialBlob | the credential |
| TPM2B_ENCRYPTED_SECRET | secret | <i>handle</i> algorithm-dependent data that wraps the key that encrypts <i>credentialBlob</i> |

12.6.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "MakeCredential_fp.h"
3  #if CC_MakeCredential // Conditional expansion of this file
4  #include "Object_spt_fp.h"

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_KEY | <i>handle</i> referenced an ECC key that has a unique field that is not a point on the curve of the key |
| TPM_RC_SIZE | <i>credential</i> is larger than the digest size of Name algorithm of <i>handle</i> |
| TPM_RC_TYPE | <i>handle</i> does not reference an asymmetric decryption key |

```

5  TPM_RC
6  TPM2_MakeCredential(
7      MakeCredential_In  *in,           // IN: input parameter list
8      MakeCredential_Out *out          // OUT: output parameter list
9  )
10 {
11     TPM_RC          result = TPM_RC_SUCCESS;
12
13     OBJECT          *object;
14     TPM2B_DATA      data;
15
16     // Input Validation
17
18     // Get object pointer
19     object = HandleToObject(in->handle);
20
21     // input key must be an asymmetric, restricted decryption key
22     // NOTE: Needs to be restricted to have a symmetric value.
23     if(!CryptIsAsymAlgorithm(object->publicArea.type)
24         || !IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, decrypt)
25         || !IS_ATTRIBUTE(object->publicArea.objectAttributes,
26             TPMA_OBJECT, restricted))
27         return TPM_RCS_TYPE + RC_MakeCredential_handle;
28
29     // The credential information may not be larger than the digest size used for
30     // the Name of the key associated with handle.
31     if(in->credential.t.size > CryptHashGetDigestSize(object->publicArea.nameAlg))
32         return TPM_RCS_SIZE + RC_MakeCredential_credential;
33
34     // Command Output
35
36     // Make encrypt key and its associated secret structure.
37     out->secret.t.size = sizeof(out->secret.t.secret);
38     result = CryptSecretEncrypt(object, IDENTITY_STRING, &data, &out->secret);
39     if(result != TPM_RC_SUCCESS)
40         return result;
41
42     // Prepare output credential data from secret
43     SecretToCredential(&in->credential, &in->objectName.b, &data.b,
44         object, &out->credentialBlob);
45
46     return TPM_RC_SUCCESS;
47 }
48 #endif // CC_MakeCredential

```


12.7 TPM2_Unseal

12.7.1 General Description

This command returns the data in a loaded Sealed Data Object.

NOTE 1 A random, TPM-generated, Sealed Data Object may be created by the TPM with TPM2_Create() or TPM2_CreatePrimary() using the template for a Sealed Data Object.

NOTE 2 TPM 1.2 hard coded PCR authorization. TPM 2.0 PCR authorization requires a policy.

The returned value may be encrypted using authorization session encryption.

If either *restricted*, *decrypt*, or *sign* is SET in the attributes of *itemHandle*, then the TPM shall return TPM_RC_ATTRIBUTES. If the *type* of *itemHandle* is not TPM_ALG_KEYEDHASH, then the TPM shall return TPM_RC_TYPE.

12.7.2 Command and Response

Table 31 — TPM2_Unseal Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Unseal |
| TPMI_DH_OBJECT | @itemHandle | handle of a loaded data object Auth Index: 1 Auth Role: USER |

Table 32 — TPM2_Unseal Response

| Type | Name | Description |
|----------------------|--------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_SENSITIVE_DATA | outData | unsealed data Size of <i>outData</i> is limited to be no more than 128 octets. |

12.7.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Unseal_fp.h"
3  #if CC_Unseal // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|--|
| TPM_RC_ATTRIBUTES | <i>itemHandle</i> has wrong attributes |
| TPM_RC_TYPE | <i>itemHandle</i> is not a KEYEDHASH data object |

```

4  TPM_RC
5  TPM2_Unseal(
6      Unseal_In          *in,
7      Unseal_Out         *out
8  )
9  {
10     OBJECT                *object;
11     // Input Validation
12     // Get pointer to loaded object
13     object = HandleToObject(in->itemHandle);
14
15     // Input handle must be a data object
16     if(object->publicArea.type != TPM_ALG_KEYEDHASH)
17         return TPM_RC_TYPE + RC_Unseal_itemHandle;
18     if(IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, decrypt)
19        || IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, sign)
20        || IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, restricted))
21         return TPM_RC_ATTRIBUTES + RC_Unseal_itemHandle;
22     // Command Output
23     // Copy data
24     out->outData = object->sensitive.sensitive.bits;
25     return TPM_RC_SUCCESS;
26 }
27 #endif // CC_Unseal

```

12.8 TPM2_ObjectChangeAuth

12.8.1 General Description

This command is used to change the authorization secret for a TPM-resident object.

If successful, a new private area for the TPM-resident object associated with *objectHandle* is returned, which includes the new authorization value.

This command does not change the authorization of the TPM-resident object on which it operates. Therefore, the old authValue (of the TPM-resident object) is used when generating the response HMAC key if required.

NOTE 1 The returned *outPrivate* will need to be loaded before the new authorization will apply.

NOTE 2 The TPM-resident object may be persistent and changing the authorization value of the persistent object could prevent other users from accessing the object. This is why this command does not change the TPM-resident object.

EXAMPLE If a persistent key is being used as a Storage Root Key and the authorization of the key is a well-known value so that the key can be used generally, then changing the authorization value in the persistent key would deny access to other users.

This command may not be used to change the authorization value for an NV Index or a Primary Object.

NOTE 3 If an NV Index is to have a new authorization, it is done with TPM2_NV_ChangeAuth().

NOTE 4 If a Primary Object is to have a new authorization, it needs to be recreated (TPM2_CreatePrimary()).

12.8.2 Command and Response

Table 33 — TPM2_ObjectChangeAuth Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ObjectChangeAuth |
| TPMI_DH_OBJECT | @objectHandle | handle of the object Auth Index: 1 Auth Role: ADMIN |
| TPMI_DH_OBJECT | parentHandle | handle of the parent Auth Index: None |
| TPM2B_AUTH | newAuth | new authorization value |

Table 34 — TPM2_ObjectChangeAuth Response

| Type | Name | Description |
|---------------|--------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_PRIVATE | outPrivate | private area containing the new authorization value |

12.8.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ObjectChangeAuth_fp.h"
3  #if CC_ObjectChangeAuth // Conditional expansion of this file
4  #include "Object_spt_fp.h"

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_SIZE | <i>newAuth</i> is larger than the size of the digest of the Name algorithm of <i>objectHandle</i> |
| TPM_RC_TYPE | the key referenced by <i>parentHandle</i> is not the parent of the object referenced by <i>objectHandle</i> ; or <i>objectHandle</i> is a sequence object. |

```

5  TPM_RC
6  TPM2_ObjectChangeAuth(
7      ObjectChangeAuth_In *in, // IN: input parameter list
8      ObjectChangeAuth_Out *out // OUT: output parameter list
9  )
10 {
11     TPMT_SENSITIVE sensitive;
12
13     OBJECT *object = HandleToObject(in->objectHandle);
14     TPM2B_NAME QNCompare;
15
16     // Input Validation
17
18     // Can not change authorization on sequence object
19     if(ObjectIsSequence(object))
20         return TPM_RCS_TYPE + RC_ObjectChangeAuth_objectHandle;
21
22     // Make sure that the authorization value is consistent with the nameAlg
23     if(!AdjustAuthSize(&in->newAuth, object->publicArea.nameAlg))
24         return TPM_RCS_SIZE + RC_ObjectChangeAuth_newAuth;
25
26     // Parent handle should be the parent of object handle. In this
27     // implementation we verify this by checking the QN of object. Other
28     // implementation may choose different method to verify this attribute.
29     ComputeQualifiedName(in->parentHandle,
30                         object->publicArea.nameAlg,
31                         &object->name, &QNCompare);
32     if(!MemoryEqual2B(&object->qualifiedName.b, &QNCompare.b))
33         return TPM_RCS_TYPE + RC_ObjectChangeAuth_parentHandle;
34
35     // Command Output
36     // Prepare the sensitive area with the new authorization value
37     sensitive = object->sensitive;
38     sensitive.authValue = in->newAuth;
39
40     // Protect the sensitive area
41     SensitiveToPrivate(&sensitive, &object->name, HandleToObject(in->parentHandle),
42                     object->publicArea.nameAlg,
43                     &out->outPrivate);
44     return TPM_RC_SUCCESS;
45 }
46 #endif // CC_ObjectChangeAuth

```

12.9 TPM2_CreateLoaded

12.9.1 General Description

This command creates an object and loads it in the TPM. This command allows creation of any type of object (Primary, Ordinary, or Derived) depending on the type of *parentHandle*. If *parentHandle* references a Primary Seed, then a Primary Object is created; if *parentHandle* references a Storage Parent, then an Ordinary Object is created; and if *parentHandle* references a Derivation Parent, then a Derived Object is generated.

The input validation is the same as for TPM2_Create() and TPM2_CreatePrimary() with one exception: when *parentHandle* references a Derivation Parent, then *sensitiveDataOrigin* in *inPublic* is required to be CLEAR.

Note 1 In the general descriptions of TPM2_Create() and TPM2_CreatePrimary() the validations refer to a TPMT_PUBLIC structure that is in *inPublic*. For TPM2_CreateLoaded(), *inPublic* is a TPM2B_TEMPLATE that may contain a TPMT_PUBLIC that is used for object creation. For object derivation, the *unique* field can contain a *label* and *context* that are used in the derivation process. To allow both the TPMT_PUBLIC and the derivation variation, a TPM2B_TEMPLATE is used. When referring to the checks in TPM2_Create() and TPM2_CreatePrimary(), TPM2B_TEMPLATE should be assumed to contain a TPMT_PUBLIC.

If *parentHandle* references a Derivation Parent, then the TPM may return TPM_RC_TYPE if the key type to be generated is an RSA key.

If *parentHandle* references a Derivation Parent or a Primary Seed, then *outPrivate* will be an Empty Buffer.

NOTE 2 Returning *outPrivate* would imply that the returned primary or derived object can be loaded and it cannot. It can only be re-derived.

A primary key cannot be loaded is because loading a key is a way to attack the protections of a key (e.g. using DPA). A saved context for a primary object is protected. The TPM will go into failure mode if the integrity of a saved context is good but the fingerprint doesn't decrypt. It is not possible to have these protections on loaded objects because this would be a simple way for an attacker to put the TPM into failure mode. Saved contexts are assumed to be under control of the driver but loaded objects are not.

If all objects were derived from their parents then, load could not be used as an attack. However, that would preclude importation of objects and key hierarchies.

NOTE 3 Unlike TPM2_Create() and TPM2_CreatePrimary(), this command does not return creation data. If creation data is needed, then TPM2_Create() or TPM2_CreatePrimary() should be used.

12.9.2 Command and Response

Table 35 — TPM2_CreateLoaded Command

| Type | Name | Description |
|------------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_CreateLoade |
| TPMI_DH_PARENT+ | @parentHandle | Handle of a transient storage key, a persistent storage key, TPM_RH_ENDORSEMENT, TPM_RH_OWNER, TPM_RH_PLATFORM+{PP}, or TPM_RH_NULL Auth Index: 1 Auth Role: USER |
| TPM2B_SENSITIVE_CREATE | inSensitive | the sensitive data, see TPM 2.0 Part 1 Sensitive Values |
| TPM2B_TEMPLATE | inPublic | the public template |

Table 36 — TPM2_CreateLoaded Response

| Type | Name | Description |
|---------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM_HANDLE | objectHandle | handle of type TPM_HT_TRANSIENT for created object |
| TPM2B_PRIVATE | outPrivate | the sensitive area of the object (optional) |
| TPM2B_PUBLIC | outPublic | the public portion of the created object |
| TPM2B_NAME | name | the name of the created object |

12.9.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "CreateLoaded_fp.h"
3  #if CC_CreateLoaded // Conditional expansion of this file

```

| Error Returns | Meaning |
|----------------------|---|
| TPM_RC_ATTRIBUTES | <i>sensitiveDataOrigin</i> is CLEAR when <i>sensitive.data</i> is an Empty Buffer; <i>fixedTPM</i> , <i>fixedParent</i> , or <i>encryptedDuplication</i> attributes are inconsistent between themselves or with those of the parent object; inconsistent <i>restricted</i> , <i>decrypt</i> and <i>sign</i> attributes; attempt to inject sensitive data for an asymmetric key; attempt to create a symmetric cipher key that is not a decryption key |
| TPM_RC_KDF | incorrect KDF specified for decrypting keyed hash object |
| TPM_RC_KEY | the value of a provided symmetric key is not allowed |
| TPM_RC_OBJECT_MEMORY | there is no free slot for the object |
| TPM_RC_SCHEME | inconsistent attributes <i>decrypt</i> , <i>sign</i> , <i>restricted</i> and key's scheme ID; or hash algorithm is inconsistent with the scheme ID for keyed hash object |
| TPM_RC_SIZE | size of public authorization policy or sensitive authorization value does not match digest size of the name algorithm sensitive data size for the keyed hash object is larger than is allowed for the scheme |
| TPM_RC_SYMMETRIC | a storage key with no symmetric algorithm specified; or non-storage key with symmetric algorithm different from TPM_ALG_NULL |
| TPM_RC_TYPE | cannot create the object of the indicated type (usually only occurs if trying to derive an RSA key). |

```

4  TPM_RC
5  TPM2_CreateLoaded(
6      CreateLoaded_In    *in,           // IN: input parameter list
7      CreateLoaded_Out    *out         // OUT: output parameter list
8  )
9  {
10     TPM_RC                result = TPM_RC_SUCCESS;
11     OBJECT                *parent = HandleToObject(in->parentHandle);
12     OBJECT                *newObject;
13     BOOL                  derivation;
14     TPMT_PUBLIC           *publicArea;
15     RAND_STATE            randState;
16     RAND_STATE            *rand = &randState;
17     TPMS_DERIVE           labelContext;
18
19     // Input Validation
20
21     // How the public area is unmarshaled is determined by the parent, so
22     // see if parent is a derivation parent
23     derivation = (parent != NULL && parent->attributes.derivation);
24
25     // If the parent is an object, then make sure that it is either a parent or
26     // derivation parent
27     if(parent != NULL && !parent->attributes.isParent && !derivation)
28         return TPM_RCS_TYPE + RC_CreateLoaded_parentHandle;
29
30     // Get a spot in which to create the newObject
31     newObject = FindEmptyObjectSlot(&out->objectHandle);
32     if(newObject == NULL)
33         return TPM_RC_OBJECT_MEMORY;

```

```

34
35 // Do this to save typing
36 publicArea = &newObject->publicArea;
37
38 // Unmarshal the template into the object space. TPM2_Create() and
39 // TPM2_CreatePrimary() have the publicArea unmarshaled by CommandDispatcher.
40 // This command is different because of an unfortunate property of the
41 // unique field of an ECC key. It is a structure rather than a single TPM2B. If
42 // it had been a TPM2B, then the label and context could be within a TPM2B and
43 // unmarshaled like other public areas. Since it is not, this command needs its
44 // on template that is a TPM2B that is unmarshaled as a BYTE array with a
45 // its own unmarshal function.
46 result = UnmarshalToPublic(publicArea, &in->inPublic, derivation,
47                             &labelContext);
48 if(result != TPM_RC_SUCCESS)
49     return result + RC_CreateLoaded_inPublic;
50
51 // Validate that the authorization size is appropriate
52 if(!AdjustAuthSize(&in->inSensitive.sensitive.userAuth, publicArea->nameAlg))
53     return TPM_RCS_SIZE + RC_CreateLoaded_inSensitive;
54
55 // Command output
56 if(derivation)
57 {
58     TPMT_KEYEDHASH_SCHEME *scheme;
59     scheme = &parent->publicArea.parameters.keyedHashDetail.scheme;
60
61     // SP800-108 is the only KDF supported by this implementation and there is
62     // no default hash algorithm.
63     pAssert(scheme->details.xor.hashAlg != TPM_ALG_NULL
64             && scheme->details.xor.kdf == TPM_ALG_KDF1_SP800_108);
65     // Don't derive RSA keys
66     if(publicArea->type == ALG_RSA_VALUE)
67         return TPM_RCS_TYPE + RC_CreateLoaded_inPublic;
68     // sensitiveDataOrigin has to be CLEAR in a derived object. Since this
69     // is specific to a derived object, it is checked here.
70     if(IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT,
71                     sensitiveDataOrigin))
72         return TPM_RCS_ATTRIBUTES;
73     // Check the reset of the attributes
74     result = PublicAttributesValidation(parent, publicArea);
75     if(result != TPM_RC_SUCCESS)
76         return RcSafeAddToResult(result, RC_CreateLoaded_inPublic);
77     // Process the template and sensitive areas to get the actual 'label' and
78     // 'context' values to be used for this derivation.
79     result = SetLabelAndContext(&labelContext, &in->inSensitive.sensitive.data);
80     if(result != TPM_RC_SUCCESS)
81         return result;
82     // Set up the KDF for object generation
83     DRBG_InstantiateSeededKdf((KDF_STATE *)rand,
84                               scheme->details.xor.hashAlg,
85                               scheme->details.xor.kdf,
86                               &parent->sensitive.sensitive.bits.b,
87                               &labelContext.label.b,
88                               &labelContext.context.b,
89                               MAX_DERIVATION_BITS);
90     // Clear the sensitive size so that the creation functions will not try
91     // to use this value.
92     in->inSensitive.sensitive.data.t.size = 0;
93 }
94 else
95 {
96     // Check attributes in input public area. CreateChecks() checks the things
97     // that are unique to creation and then validates the attributes and values
98     // that are common to create and load.
99     result = CreateChecks(parent, publicArea,

```

```

100         in->inSensitive.sensitive.data.t.size);
101     if(result != TPM_RC_SUCCESS)
102         return RcSafeAddToResult(result, RC_CreateLoaded_inPublic);
103     // Creating a primary object
104     if(parent == NULL)
105     {
106         TPM2B_NAME          name;
107         newObject->attributes.primary = SET;
108         if(in->parentHandle == TPM_RH_ENDORSEMENT)
109             newObject->attributes.epsHierarchy = SET;
110         // If so, use the primary seed and the digest of the template
111         // to seed the DRBG
112         result = DRBG_InstantiateSeeded((DRBG_STATE *)rand,
113                                         &HierarchyGetPrimarySeed(in->parentHandle)->b,
114                                         PRIMARY_OBJECT_CREATION,
115                                         (TPM2B *)PublicMarshalAndComputeName(publicArea,
116                                                                                   &name),
117                                         &in->inSensitive.sensitive.data.b);
118         if(result != TPM_RC_SUCCESS)
119             return result;
120     }
121     else
122     {
123         // This is an ordinary object so use the normal random number generator
124         rand = NULL;
125     }
126 }
127 // Internal data update
128 // Create the object
129 result = CryptCreateObject(newObject, &in->inSensitive.sensitive, rand);
130 if(result != TPM_RC_SUCCESS)
131     return result;
132 // if this is not a Primary key and not a derived key, then return the sensitive
133 // area
134 if(parent != NULL && !derivation)
135     // Prepare output private data from sensitive
136     SensitiveToPrivate(&newObject->sensitive, &newObject->name,
137                       parent, newObject->publicArea.nameAlg,
138                       &out->outPrivate);
139 else
140     out->outPrivate.t.size = 0;
141 // Set the remaining return values
142 out->outPublic.publicArea = newObject->publicArea;
143 out->name = newObject->name;
144 // Set the remaining attributes for a loaded object
145 ObjectSetLoadedAttributes(newObject, in->parentHandle);
146
147 return result;
148 }
149 #endif // CC_CreateLoaded

```

13 Duplication Commands

13.1 TPM2_Duplicate

13.1.1 General Description

This command duplicates a loaded object so that it may be used in a different hierarchy. The new parent key for the duplicate may be on the same or different TPM or TPM_RH_NULL. Only the public area of *newParentHandle* is required to be loaded.

NOTE 1 Since the new parent may only be extant on a different TPM, it is likely that the new parent's sensitive area could not be loaded in the TPM from which *objectHandle* is being duplicated.

If *encryptedDuplication* is SET in the object being duplicated, then the TPM shall return TPM_RC_SYMMETRIC if *symmetricAlg.algorithm* is TPM_ALG_NULL or TPM_RC_HIERARCHY if *newParentHandle* is TPM_RH_NULL.

The authorization for this command shall be with a policy session.

If *fixedParent* of *objectHandle→attributes* is SET, the TPM shall return TPM_RC_ATTRIBUTES. If *objectHandle→nameAlg* is TPM_ALG_NULL, the TPM shall return TPM_RC_TYPE.

The *policySession→commandCode* parameter in the policy session is required to be TPM_CC_Duplicate to indicate that authorization for duplication has been provided. This indicates that the policy that is being used is a policy that is for duplication, and not a policy that would approve another use. That is, authority to use an object does not grant authority to duplicate the object.

The policy is likely to include cpHash in order to restrict where duplication can occur. If TPM2_PolicyCpHash() has been executed as part of the policy, the *policySession→cpHash* is compared to the cpHash of the command.

If TPM2_PolicyDuplicationSelect() has been executed as part of the policy, the *policySession→nameHash* is compared to

$$H_{policyAlg}(objectHandle→Name || newParentHandle→Name) \quad (2)$$

If the compared hashes are not the same, then the TPM shall return TPM_RC_POLICY_FAIL.

NOTE 2 It is allowed that *policySession→nameHash* and *policySession→cpHash* share the same memory space.

NOTE 3 A duplication policy is not required to have either TPM2_PolicyDuplicationSelect() or TPM2_PolicyCpHash() as part of the policy. If neither is present, then the duplication policy may be satisfied with a policy that only contains TPM2_PolicyCommandCode(*code* = TPM_CC_Duplicate).

The TPM shall follow the process of encryption defined in the “Duplication” subclause of “Protected Storage Hierarchy” in TPM 2.0 Part 1.

13.1.2 Command and Response

Table 37 — TPM2_Duplicate Command

| Type | Name | Description |
|----------------------|-----------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Duplicate |
| TPMI_DH_OBJECT | @objectHandle | loaded object to duplicate Auth Index: 1 Auth Role: DUP |
| TPMI_DH_OBJECT+ | newParentHandle | shall reference the public area of an asymmetric key Auth Index: None |
| TPM2B_DATA | encryptionKeyIn | optional symmetric encryption key The size for this key is set to zero when the TPM is to generate the key. This parameter may be encrypted. |
| TPMT_SYM_DEF_OBJECT+ | symmetricAlg | definition for the symmetric algorithm to be used for the inner wrapper may be TPM_ALG_NULL if no inner wrapper is applied |

Table 38 — TPM2_Duplicate Response

| Type | Name | Description |
|------------------------|------------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_DATA | encryptionKeyOut | If the caller provided an encryption key or if <i>symmetricAlg</i> was TPM_ALG_NULL, then this will be the Empty Buffer; otherwise, it shall contain the TPM-generated, symmetric encryption key for the inner wrapper. |
| TPM2B_PRIVATE | duplicate | private area that may be encrypted by <i>encryptionKeyIn</i> ; and may be doubly encrypted |
| TPM2B_ENCRYPTED_SECRET | outSymSeed | seed protected by the asymmetric algorithms of new parent (NP) |

13.1.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Duplicate_fp.h"
3  #if CC_Duplicate // Conditional expansion of this file
4  #include "Object_spt_fp.h"

```

| Error Returns | Meaning |
|-------------------|---|
| TPM_RC_ATTRIBUTES | key to duplicate has <i>fixedParent</i> SET |
| TPM_RC_HASH | for an RSA key, the <i>nameAlg</i> digest size for the <i>newParent</i> is not compatible with the key size |
| TPM_RC_HIERARCHY | <i>encryptedDuplication</i> is SET and <i>newParentHandle</i> specifies Null Hierarchy |
| TPM_RC_KEY | <i>newParentHandle</i> references invalid ECC key (public point not on the curve) |
| TPM_RC_SIZE | input encryption key size does not match the size specified in symmetric algorithm |
| TPM_RC_SYMMETRIC | <i>encryptedDuplication</i> is SET but no symmetric algorithm is provided |
| TPM_RC_TYPE | <i>newParentHandle</i> is neither a storage key nor TPM_RH_NULL; or the object has a NULL <i>nameAlg</i> |
| TPM_RC_VALUE | for an RSA <i>newParent</i> , the sizes of the digest and the encryption key are too large to be OAEP encoded |

```

5  TPM_RC
6  TPM2_Duplicate(
7      Duplicate_In    *in,           // IN: input parameter list
8      Duplicate_Out   *out,         // OUT: output parameter list
9  )
10 {
11     TPM_RC          result = TPM_RC_SUCCESS;
12     TPMT_SENSITIVE sensitive;
13
14     UINT16          innerKeySize = 0; // encrypt key size for inner wrap
15
16     OBJECT          *object;
17     OBJECT          *newParent;
18     TPM2B_DATA      data;
19
20 // Input Validation
21
22 // Get duplicate object pointer
23 object = HandleToObject(in->objectHandle);
24 // Get new parent
25 newParent = HandleToObject(in->newParentHandle);
26
27 // duplicate key must have fixParent bit CLEAR.
28 if(IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, fixedParent))
29     return TPM_RCS_ATTRIBUTES + RC_Duplicate_objectHandle;
30
31 // Do not duplicate object with NULL nameAlg
32 if(object->publicArea.nameAlg == TPM_ALG_NULL)
33     return TPM_RCS_TYPE + RC_Duplicate_objectHandle;
34
35 // new parent key must be a storage object or TPM_RH_NULL
36 if(in->newParentHandle != TPM_RH_NULL
37     && !ObjectIsStorage(in->newParentHandle))
38     return TPM_RCS_TYPE + RC_Duplicate_newParentHandle;

```

```

39
40 // If the duplicated object has encryptedDuplication SET, then there must be
41 // an inner wrapper and the new parent may not be TPM_RH_NULL
42 if(IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT,
43     encryptedDuplication))
44 {
45     if(in->symmetricAlg.algorithm == TPM_ALG_NULL)
46         return TPM_RCS_SYMMETRIC + RC_Duplicate_symmetricAlg;
47     if(in->newParentHandle == TPM_RH_NULL)
48         return TPM_RCS_HIERARCHY + RC_Duplicate_newParentHandle;
49 }
50
51 if(in->symmetricAlg.algorithm == TPM_ALG_NULL)
52 {
53     // if algorithm is TPM_ALG_NULL, input key size must be 0
54     if(in->encryptionKeyIn.t.size != 0)
55         return TPM_RCS_SIZE + RC_Duplicate_encryptionKeyIn;
56 }
57 else
58 {
59     // Get inner wrap key size
60     innerKeySize = in->symmetricAlg.keyBits.sym;
61
62     // If provided the input symmetric key must match the size of the algorithm
63     if(in->encryptionKeyIn.t.size != 0
64         && in->encryptionKeyIn.t.size != (innerKeySize + 7) / 8)
65         return TPM_RCS_SIZE + RC_Duplicate_encryptionKeyIn;
66 }
67
68 // Command Output
69
70 if(in->newParentHandle != TPM_RH_NULL)
71 {
72     // Make encrypt key and its associated secret structure. A TPM_RC_KEY
73     // error may be returned at this point
74     out->outSymSeed.t.size = sizeof(out->outSymSeed.t.secret);
75     result = CryptSecretEncrypt(newParent, DUPLICATE_STRING, &data,
76         &out->outSymSeed);
77     if(result != TPM_RC_SUCCESS)
78         return result;
79 }
80 else
81 {
82     // Do not apply outer wrapper
83     data.t.size = 0;
84     out->outSymSeed.t.size = 0;
85 }
86
87 // Copy sensitive area
88 sensitive = object->sensitive;
89
90 // Prepare output private data from sensitive.
91 // Note: If there is no encryption key, one will be provided by
92 // SensitiveToDuplicate(). This is why the assignment of encryptionKeyIn to
93 // encryptionKeyOut will work properly and is not conditional.
94 SensitiveToDuplicate(&sensitive, &object->name.b, newParent,
95     object->publicArea.nameAlg, &data.b,
96     &in->symmetricAlg, &in->encryptionKeyIn,
97     &out->duplicate);
98
99 out->encryptionKeyOut = in->encryptionKeyIn;
100
101 return TPM_RC_SUCCESS;
102 }
103 #endif // CC_Duplicate

```

13.2 TPM2_Rewrap

13.2.1 General Description

This command allows the TPM to serve in the role as a Duplication Authority. If proper authorization for use of the *oldParent* is provided, then an HMAC key and a symmetric key are recovered from *inSymSeed* and used to integrity check and decrypt *inDuplicate*. A new protection seed value is generated according to the methods appropriate for *newParent* and the blob is re-encrypted and a new integrity value is computed. The re-encrypted blob is returned in *outDuplicate* and the symmetric key returned in *outSymKey*.

In the rewrap process, L is “DUPLICATE” (see TPM 2.0 Part 1, *Terms and Definitions*).

If *inSymSeed* has a zero length, then *oldParent* is required to be TPM_RH_NULL and no decryption of *inDuplicate* takes place.

If *newParent* is TPM_RH_NULL, then no encryption is performed on *outDuplicate*. *outSymSeed* will have a zero length. See TPM 2.0 Part 2 *encryptedDuplication*.

13.2.2 Command and Response

Table 39 — TPM2_Rewrap Command

| Type | Name | Description |
|------------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Rewrap |
| TPMI_DH_OBJECT+ | @oldParent | parent of object Auth Index: 1 Auth Role: User |
| TPMI_DH_OBJECT+ | newParent | new parent of the object Auth Index: None |
| TPM2B_PRIVATE | inDuplicate | an object encrypted using symmetric key derived from <i>inSymSeed</i> |
| TPM2B_NAME | name | the Name of the object being rewrapped |
| TPM2B_ENCRYPTED_SECRET | inSymSeed | the seed for the symmetric key and HMAC key needs <i>oldParent</i> private key to recover the seed and generate the symmetric key |

Table 40 — TPM2_Rewrap Response

| Type | Name | Description |
|------------------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_PRIVATE | outDuplicate | an object encrypted using symmetric key derived from <i>outSymSeed</i> |
| TPM2B_ENCRYPTED_SECRET | outSymSeed | seed for a symmetric key protected by <i>newParent</i> asymmetric key |

13.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Rewrap_fp.h"
3  #if CC_Rewrap // Conditional expansion of this file
4  #include "Object_spt_fp.h"

```

| Error Returns | Meaning |
|-------------------|---|
| TPM_RC_ATTRIBUTES | <i>newParent</i> is not a decryption key |
| TPM_RC_HANDLE | <i>oldParent</i> does not consistent with <i>inSymSeed</i> |
| TPM_RC_INTEGRITY | the integrity check of <i>inDuplicate</i> failed |
| TPM_RC_KEY | for an ECC key, the public key is not on the curve of the curve ID |
| TPM_RC_KEY_SIZE | the decrypted input symmetric key size does not matches the symmetric algorithm key size of <i>oldParent</i> |
| TPM_RC_TYPE | <i>oldParent</i> is not a storage key, or <i>newParent</i> is not a storage key |
| TPM_RC_VALUE | for an <i>oldParent</i> , RSA key, the data to be decrypted is greater than the public exponent |
| errors | errors during unmarshaling the input encrypted buffer to a ECC public key, or unmarshal the private buffer to sensitive |

```

5  TPM_RC
6  TPM2_Rewrap(
7      Rewrap_In      *in,          // IN: input parameter list
8      Rewrap_Out     *out         // OUT: output parameter list
9  )
10 {
11     TPM_RC          result = TPM_RC_SUCCESS;
12     TPM2B_DATA      data;        // symmetric key
13     UINT16          hashSize = 0;
14     TPM2B_PRIVATE   privateBlob; // A temporary private blob
15                                     // to transit between old
16                                     // and new wrappers
17 // Input Validation
18 if((in->inSymSeed.t.size == 0 && in->oldParent != TPM_RH_NULL)
19 || (in->inSymSeed.t.size != 0 && in->oldParent == TPM_RH_NULL))
20     return TPM_RCS_HANDLE + RC_Rewrap_oldParent;
21 if(in->oldParent != TPM_RH_NULL)
22 {
23     OBJECT          *oldParent = HandleToObject(in->oldParent);
24
25     // old parent key must be a storage object
26     if(!ObjectIsStorage(in->oldParent))
27         return TPM_RCS_TYPE + RC_Rewrap_oldParent;
28     // Decrypt input secret data via asymmetric decryption. A
29     // TPM_RC_VALUE, TPM_RC_KEY or unmarshal errors may be returned at this
30     // point
31     result = CryptSecretDecrypt(oldParent, NULL, DUPLICATE_STRING,
32                                &in->inSymSeed, &data);
33     if(result != TPM_RC_SUCCESS)
34         return TPM_RCS_VALUE + RC_Rewrap_inSymSeed;
35     // Unwrap Outer
36     result = UnwrapOuter(oldParent, &in->name.b,
37                           oldParent->publicArea.nameAlg, &data.b,
38                           FALSE,
39                           in->inDuplicate.t.size, in->inDuplicate.t.buffer);
40     if(result != TPM_RC_SUCCESS)
41         return RcSafeAddToResult(result, RC_Rewrap_inDuplicate);

```

```

42     // Copy unwrapped data to temporary variable, remove the integrity field
43     hashSize = sizeof(UINT16) +
44         CryptHashGetDigestSize(oldParent->publicArea.nameAlg);
45     privateBlob.t.size = in->inDuplicate.t.size - hashSize;
46     pAssert(privateBlob.t.size <= sizeof(privateBlob.t.buffer));
47     MemoryCopy(privateBlob.t.buffer, in->inDuplicate.t.buffer + hashSize,
48               privateBlob.t.size);
49 }
50 else
51 {
52     // No outer wrap from input blob. Direct copy.
53     privateBlob = in->inDuplicate;
54 }
55 if(in->newParent != TPM_RH_NULL)
56 {
57     OBJECT      *newParent;
58     newParent = HandleToObject(in->newParent);
59
60     // New parent must be a storage object
61     if(!ObjectIsStorage(in->newParent))
62         return TPM_RCS_TYPE + RC_Rewrap_newParent;
63     // Make new encrypt key and its associated secret structure. A
64     // TPM_RC_VALUE error may be returned at this point if RSA algorithm is
65     // enabled in TPM
66     out->outSymSeed.t.size = sizeof(out->outSymSeed.t.secret);
67     result = CryptSecretEncrypt(newParent, DUPLICATE_STRING, &data,
68                               &out->outSymSeed);
69     if(result != TPM_RC_SUCCESS)
70         return result;
71     // Copy temporary variable to output, reserve the space for integrity
72     hashSize = sizeof(UINT16) +
73         CryptHashGetDigestSize(newParent->publicArea.nameAlg);
74     // Make sure that everything fits into the output buffer
75     // Note: this is mostly only an issue if there was no outer wrapper on
76     // 'inDuplicate'. It could be as large as a TPM2B_PRIVATE buffer. If we add
77     // a digest for an outer wrapper, it won't fit anymore.
78     if((privateBlob.t.size + hashSize) > sizeof(out->outDuplicate.t.buffer))
79         return TPM_RC_VALUE + RC_Rewrap_inDuplicate;
80 // Command output
81     out->outDuplicate.t.size = privateBlob.t.size;
82     pAssert(privateBlob.t.size
83           <= sizeof(out->outDuplicate.t.buffer) - hashSize);
84     MemoryCopy(out->outDuplicate.t.buffer + hashSize, privateBlob.t.buffer,
85               privateBlob.t.size);
86     // Produce outer wrapper for output
87     out->outDuplicate.t.size = ProduceOuterWrap(newParent, &in->name.b,
88                                               newParent->publicArea.nameAlg,
89                                               &data.b,
90                                               FALSE,
91                                               out->outDuplicate.t.size,
92                                               out->outDuplicate.t.buffer);
93 }
94 else // New parent is a null key so there is no seed
95 {
96     out->outSymSeed.t.size = 0;
97
98     // Copy privateBlob directly
99     out->outDuplicate = privateBlob;
100 }
101 return TPM_RC_SUCCESS;
102 }
103 #endif // CC_Rewrap

```

13.3 TPM2_Import

13.3.1 General Description

This command allows an object to be encrypted using the symmetric encryption values of a Storage Key. After encryption, the object may be loaded and used in the new hierarchy. The imported object (*duplicate*) may be singly encrypted, multiply encrypted, or unencrypted.

If *fixedTPM* or *fixedParent* is SET in *objectPublic*, the TPM shall return TPM_RC_ATTRIBUTES.

If *encryptedDuplication* is SET in the object referenced by *parentHandle* and *encryptedDuplication* is CLEAR in *objectPublic*, the TPM may return TPM_RC_ATTRIBUTES.

If *encryptedDuplication* is SET in *objectPublic*, then *inSymSeed* and *encryptionKey* shall not be Empty buffers (TPM_RC_ATTRIBUTES). Recovery of the sensitive data of the object occurs in the TPM in a multi-step process in the following order:

a) If *inSymSeed* has a non-zero size:

- 1) The asymmetric parameters and private key of *parentHandle* are used to recover the seed used in the creation of the HMAC key and encryption keys used to protect the duplication blob.

NOTE 1 When recovering the seed from *inSymSeed*, *L* is "DUPLICATE".

- 2) The integrity value in *duplicate.buffer.integrityOuter* is used to verify the integrity of the data blob, which is the remainder of *duplicate.buffer* (TPM_RC_INTEGRITY).

NOTE 2 The data blob will contain a TPMT_SENSITIVE and may contain a TPM2B_DIGEST for the *innerIntegrity*.

- 3) The symmetric key recovered in 1) is used to decrypt the data blob.

NOTE 3 Checking the integrity before the data is used prevents attacks on the sensitive area by fuzzing the data and looking at the differences in the response codes.

b) If *encryptionKey* is not an Empty Buffer:

- 1) Use *encryptionKey* to decrypt the inner blob.
- 2) Use the TPM2B_DIGEST at the start of the inner blob to verify the integrity of the inner blob (TPM_RC_INTEGRITY).

c) Unmarshal the sensitive area

NOTE 4 It is not necessary to validate that the sensitive area data is cryptographically bound to the public area other than that the Name of the public area is included in the HMAC. However, if the binding is not validated by this command, the binding must be checked each time the object is loaded. For an object that is imported under a parent with *fixedTPM* SET, binding need only be checked at import. If the parent has *fixedTPM* CLEAR, then the binding needs to be checked each time the object is loaded, or before the TPM performs an operation for which the binding affects the outcome of the operation (for example, TPM2_PolicySigned() or TPM2_Certify()).

Similarly, if the new parent's *fixedTPM* is set, the *encryptedDuplication* state need only be checked at import.

If the new parent is not *fixedTPM*, then that object will be loadable on any TPM (including SW versions) on which the new parent exists. This means that, each time an object is loaded under a parent that is not *fixedTPM*, it is necessary to validate all of the properties of that object. If the parent is *fixedTPM*, then the new private blob is integrity protected by the TPM that "owns" the parent. So, it is sufficient to validate the object's properties (attribute and public-private binding) on import and not again.

If a weak symmetric key is being imported, the TPM shall return TPM_RC_KEY.

After integrity checks and decryption, the TPM will create a new symmetrically encrypted private area using the encryption key of the parent.

NOTE 5 The symmetric re-encryption is the normal integrity generation and symmetric encryption applied to a child object.

NOTE 6 Revision 01.16 of this specification required the ECC private key in *duplicate* to be padded.

13.3.2 Command and Response

Table 41 — TPM2_Import Command

| Type | Name | Description |
|------------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Import |
| TPMI_DH_OBJECT | @parentHandle | the handle of the new parent for the object Auth Index: 1 Auth Role: USER |
| TPM2B_DATA | encryptionKey | the optional symmetric encryption key used as the inner wrapper for <i>duplicate</i> If <i>symmetricAlg</i> is TPM_ALG_NULL, then this parameter shall be the Empty Buffer. |
| TPM2B_PUBLIC | objectPublic | the public area of the object to be imported This is provided so that the integrity value for <i>duplicate</i> and the object attributes can be checked. NOTE Even if the integrity value of the object is not checked on input, the object Name is required to create the integrity value for the imported object. |
| TPM2B_PRIVATE | duplicate | the symmetrically encrypted duplicate object that may contain an inner symmetric wrapper |
| TPM2B_ENCRYPTED_SECRET | inSymSeed | the seed for the symmetric key and HMAC key <i>inSymSeed</i> is encrypted/encoded using the algorithms of <i>newParent</i> . |
| TPMT_SYM_DEF_OBJECT+ | symmetricAlg | definition for the symmetric algorithm to use for the inner wrapper If this algorithm is TPM_ALG_NULL, no inner wrapper is present and <i>encryptionKey</i> shall be the Empty Buffer. |

Table 42 — TPM2_Import Response

| Type | Name | Description |
|---------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_PRIVATE | outPrivate | the sensitive area encrypted with the symmetric key of <i>parentHandle</i> |

13.3.3 Detailed Actions

```

1 #include "Tpm.h"
2 #include "Import_fp.h"
3 #if CC_Import // Conditional expansion of this file
4 #include "Object_spt_fp.h"

```

| Error Returns | Meaning |
|----------------------|--|
| TPM_RC_ATTRIBUTES | <i>FixedTPM</i> and <i>fixedParent</i> of <i>objectPublic</i> are not both CLEAR; or <i>inSymSeed</i> is nonempty and <i>parentHandle</i> does not reference a decryption key; or <i>objectPublic</i> and <i>parentHandle</i> have incompatible or inconsistent attributes; or <i>encryptedDuplication</i> is SET in <i>objectPublic</i> but the inner or outer wrapper is missing. Note that if the TPM provides parameter values, the parameter number will indicate <i>symmetricKey</i> (missing inner wrapper) or <i>inSymSeed</i> (missing outer wrapper) |
| TPM_RC_BINDING | <i>duplicate</i> and <i>objectPublic</i> are not cryptographically bound |
| TPM_RC_ECC_POINT | <i>inSymSeed</i> is nonempty and ECC point in <i>inSymSeed</i> is not on the curve |
| TPM_RC_HASH | <i>objectPublic</i> does not have a valid <i>nameAlg</i> |
| TPM_RC_INSUFFICIENT | <i>inSymSeed</i> is nonempty and failed to retrieve ECC point from the secret; or unmarshaling sensitive value from <i>duplicate</i> failed the result of <i>inSymSeed</i> decryption |
| TPM_RC_INTEGRITY | <i>duplicate</i> integrity is broken |
| TPM_RC_KDF | <i>objectPublic</i> representing decrypting keyed hash object specifies invalid KDF |
| TPM_RC_KEY | inconsistent parameters of <i>objectPublic</i> ; or <i>inSymSeed</i> is nonempty and <i>parentHandle</i> does not reference a key of supported type; or invalid key size in <i>objectPublic</i> representing an asymmetric key |
| TPM_RC_NO_RESULT | <i>inSymSeed</i> is nonempty and multiplication resulted in ECC point at infinity |
| TPM_RC_OBJECT_MEMORY | no available object slot |
| TPM_RC_SCHEME | inconsistent attributes <i>decrypt</i> , <i>sign</i> , <i>restricted</i> and key's scheme ID in <i>objectPublic</i> ; or hash algorithm is inconsistent with the scheme ID for keyed hash object |
| TPM_RC_SIZE | <i>authPolicy</i> size does not match digest size of the name algorithm in <i>objectPublic</i> ; or <i>symmetricAlg</i> and <i>encryptionKey</i> have different sizes; or <i>inSymSeed</i> is nonempty and its size is not consistent with the type of <i>parentHandle</i> ; or unmarshaling sensitive value from <i>duplicate</i> failed |
| TPM_RC_SYMMETRIC | <i>objectPublic</i> is either a storage key with no symmetric algorithm or a non-storage key with symmetric algorithm different from TPM_ALG_NULL |
| TPM_RC_TYPE | unsupported type of <i>objectPublic</i> ; or <i>parentHandle</i> is not a storage key; or only the public portion of <i>parentHandle</i> is loaded; or <i>objectPublic</i> and <i>duplicate</i> are of different types |
| TPM_RC_VALUE | nonempty <i>inSymSeed</i> and its numeric value is greater than the modulus of the key referenced by <i>parentHandle</i> or <i>inSymSeed</i> is larger than the size of the digest produced by the name algorithm of the symmetric key referenced by <i>parentHandle</i> |

5 **TPM_RC**

```

6  TPM2_Import(
7      Import_In      *in,           // IN: input parameter list
8      Import_Out     *out          // OUT: output parameter list
9  )
10 {
11     TPM_RC          result = TPM_RC_SUCCESS;
12     OBJECT          *parentObject;
13     TPM2B_DATA      data;           // symmetric key
14     TPMT_SENSITIVE  sensitive;
15     TPM2B_NAME      name;
16     TPMA_OBJECT     attributes;
17     UINT16          innerKeySize = 0; // encrypt key size for inner
18                                     // wrapper
19
20 // Input Validation
21 // to save typing
22 attributes = in->objectPublic.publicArea.objectAttributes;
23 // FixedTPM and fixedParent must be CLEAR
24 if(IS_ATTRIBUTE(attributes, TPMA_OBJECT, fixedTPM)
25     || IS_ATTRIBUTE(attributes, TPMA_OBJECT, fixedParent))
26     return TPM_RCS_ATTRIBUTES + RC_Import_objectPublic;
27
28 // Get parent pointer
29 parentObject = HandleToObject(in->parentHandle);
30
31 if(!ObjectIsParent(parentObject))
32     return TPM_RCS_TYPE + RC_Import_parentHandle;
33
34 if(in->symmetricAlg.algorithm != TPM_ALG_NULL)
35 {
36     // Get inner wrap key size
37     innerKeySize = in->symmetricAlg.keyBits.sym;
38     // Input symmetric key must match the size of algorithm.
39     if(in->encryptionKey.t.size != (innerKeySize + 7) / 8)
40         return TPM_RCS_SIZE + RC_Import_encryptionKey;
41 }
42 else
43 {
44     // If input symmetric algorithm is NULL, input symmetric key size must
45     // be 0 as well
46     if(in->encryptionKey.t.size != 0)
47         return TPM_RCS_SIZE + RC_Import_encryptionKey;
48     // If encryptedDuplication is SET, then the object must have an inner
49     // wrapper
50     if(IS_ATTRIBUTE(attributes, TPMA_OBJECT, encryptedDuplication))
51         return TPM_RCS_ATTRIBUTES + RC_Import_encryptionKey;
52 }
53 // See if there is an outer wrapper
54 if(in->inSymSeed.t.size != 0)
55 {
56     // in->inParentHandle is a parent, but in order to decrypt an outer wrapper,
57     // it must be able to do key exchange and a symmetric key can't do that.
58     if(parentObject->publicArea.type == TPM_ALG_SYMCIPHER)
59         return TPM_RCS_TYPE + RC_Import_parentHandle;
60
61     // Decrypt input secret data via asymmetric decryption. TPM_RC_ATTRIBUTES,
62     // TPM_RC_ECC_POINT, TPM_RC_INSUFFICIENT, TPM_RC_KEY, TPM_RC_NO_RESULT,
63     // TPM_RC_SIZE, TPM_RC_VALUE may be returned at this point
64     result = CryptSecretDecrypt(parentObject, NULL, DUPLICATE_STRING,
65                                &in->inSymSeed, &data);
66     pAssert(result != TPM_RC_BINDING);
67     if(result != TPM_RC_SUCCESS)
68         return RcSafeAddToResult(result, RC_Import_inSymSeed);
69 }
70 else
71 {

```



```
72     // If encryptedDuplication is set, then the object must have an outer
73     // wrapper
74     if(IS_ATTRIBUTE(attributes, TPMA_OBJECT, encryptedDuplication))
75         return TPM_RCS_ATTRIBUTES + RC_Import_inSymSeed;
76     data.t.size = 0;
77 }
78 // Compute name of object
79 PublicMarshalAndComputeName(&(in->objectPublic.publicArea), &name);
80 if(name.t.size == 0)
81     return TPM_RCS_HASH + RC_Import_objectPublic;
82
83 // Retrieve sensitive from private.
84 // TPM_RC_INSUFFICIENT, TPM_RC_INTEGRITY, TPM_RC_SIZE may be returned here.
85 result = DuplicateToSensitive(&(in->duplicate.b, &name.b, parentObject,
86     in->objectPublic.publicArea.nameAlg,
87     &data.b, &(in->symmetricAlg,
88     &(in->encryptionKey.b, &sensitive));
89 if(result != TPM_RC_SUCCESS)
90     return RcSafeAddToResult(result, RC_Import_duplicate);
91
92 // If the parent of this object has fixedTPM SET, then validate this
93 // object as if it were being loaded so that validation can be skipped
94 // when it is actually loaded.
95 if(IS_ATTRIBUTE(parentObject->publicArea.objectAttributes, TPMA_OBJECT, fixedTPM))
96 {
97     result = ObjectLoad(NULL, NULL, &(in->objectPublic.publicArea,
98     &sensitive, RC_Import_objectPublic, RC_Import_duplicate,
99     NULL);
100 }
101 // Command output
102 if(result == TPM_RC_SUCCESS)
103 {
104     // Prepare output private data from sensitive
105     SensitiveToPrivate(&sensitive, &name, parentObject,
106     in->objectPublic.publicArea.nameAlg,
107     &(out->outPrivate));
108 }
109 return result;
110 }
111 #endif // CC_Import
```

14 Asymmetric Primitives

14.1 Introduction

The commands in this clause provide low-level primitives for access to the asymmetric algorithms implemented in the TPM. Many of these commands are only allowed if the asymmetric key is an unrestricted key.

14.2 TPM2_RSA_Encrypt

14.2.1 General Description

This command performs RSA encryption using the indicated padding scheme according to IETF RFC 8017. If the *scheme* of *keyHandle* is TPM_ALG_NULL, then the caller may use *inScheme* to specify the padding scheme. If *scheme* of *keyHandle* is not TPM_ALG_NULL, then *inScheme* shall either be TPM_ALG_NULL or be the same as *scheme* (TPM_RC_SCHEME).

The key referenced by *keyHandle* is required to be an RSA key (TPM_RC_KEY).

The three types of allowed padding are:

- 1) TPM_ALG_OAEP – Data is OAEP padded as described in 7.1 of IETF RFC 8017 (PKCS#1). The only supported mask generation is MGF1.
- 2) TPM_ALG_RSAES – Data is padded as described in 7.2 of IETF RFC 8017 (PKCS#1).
- 3) TPM_ALG_NULL – Data is not padded by the TPM and the TPM will treat *message* as an unsigned integer and perform a modular exponentiation of *message* using the public exponent of the key referenced by *keyHandle*. This scheme is only used if both the *scheme* in the key referenced by *keyHandle* is TPM_ALG_NULL, and the *inScheme* parameter of the command is TPM_ALG_NULL. The input value cannot be larger than the public modulus of the key referenced by *keyHandle*.

Table 43 — Padding Scheme Selection

| <i>keyHandle</i> → <i>scheme</i> | <i>inScheme</i> | padding scheme used |
|----------------------------------|-----------------|-----------------------|
| TPM_ALG_NULL | TPM_ALG_NULL | none |
| | TPM_ALG_RSAES | RSAES |
| | TPM_ALG_OAEP | OAEP |
| TPM_ALG_RSAES | TPM_ALG_NULL | RSAES |
| | TPM_ALG_RSAES | RSAES |
| | TPM_ALG_OAEP | error (TPM_RC_SCHEME) |
| TPM_ALG_OAEP | TPM_ALG_NULL | OAEP |
| | TPM_ALG_RSAES | error (TPM_RC_SCHEME) |
| | TPM_ALG_OAEP | OAEP |

After padding, the data is RSAEP encrypted according to 5.1.1 of IETF RFC 8017 (PKCS#1).

If *inScheme* is used, and the scheme requires a hash algorithm it may not be TPM_ALG_NULL.

NOTE 1 Because only the public portion of the key needs to be loaded for this command, the caller can manipulate the attributes of the key in any way desired. As a result, the TPM shall not check the consistency of the attributes. The only property checking is that the key is an RSA key and that the padding scheme is supported.

The *message* parameter is limited in size by the padding scheme according to the following table:

Table 44 — Message Size Limits Based on Padding

| Scheme | Maximum Message Length (<i>mLen</i>) in Octets | Comments |
|---|--|--|
| TPM_ALG_OAEP | $mLen \leq k - 2hLen - 2$ | |
| TPM_ALG_RSAES | $mLen \leq k - 11$ | |
| TPM_ALG_NULL | $mLen \leq k$ | The numeric value of the message must be less than the numeric value of the public modulus (<i>n</i>). |
| NOTES | | |
| 1) <i>k</i> := the number of bytes in the public modulus | | |
| 2) <i>hLen</i> := the number of octets in the digest produced by the hash algorithm used in the process | | |

The *label* parameter is optional. If provided (*label.size* != 0) then the TPM shall return TPM_RC_VALUE if the last octet in *label* is not zero. The terminating octet of zero is included in the *label* used in the padding scheme.

NOTE 2 If the scheme does not use a label, the TPM will still verify that label is properly formatted if label is present.

NOTE 3 Specifications before version 1.54 stated that *label* is truncated after the first zero octet. Applications should not include embedded zero bytes for compatibility.

The function returns padded and encrypted value *outData*.

The *message* parameter in the command may be encrypted using parameter encryption.

NOTE 4 Only the public area of *keyHandle* is required to be loaded. A public key may be loaded with any desired scheme. If the scheme is to be changed, a different public area must be loaded.

14.2.2 Command and Response

Table 45 — TPM2_RSA_Encrypt Command

| Type | Name | Description |
|----------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit, encrypt, or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_RSA_Encrypt |
| TPMI_DH_OBJECT | keyHandle | reference to public portion of RSA key to use for encryption Auth Index: None |
| TPM2B_PUBLIC_KEY_RSA | message | message to be encrypted NOTE 1 The data type was chosen because it limits the overall size of the input to no greater than the size of the largest RSA public key. This may be larger than allowed for <i>keyHandle</i> . |
| TPMT_RSA_DECRYPT+ | inScheme | the padding scheme to use if <i>scheme</i> associated with <i>keyHandle</i> is TPM_ALG_NULL |
| TPM2B_DATA | label | optional label <i>L</i> to be associated with the message Size of the buffer is zero if no label is present NOTE 2 See description of label above. |

Table 46 — TPM2_RSA_Encrypt Response

| Type | Name | Description |
|----------------------|--------------|------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_PUBLIC_KEY_RSA | outData | encrypted output |

14.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "RSA_Encrypt_fp.h"
3  #if CC_RSA_Encrypt // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|--|
| TPM_RC_ATTRIBUTES | <i>decrypt</i> attribute is not SET in key referenced by <i>keyHandle</i> |
| TPM_RC_KEY | <i>keyHandle</i> does not reference an RSA key |
| TPM_RC_SCHEME | incorrect input scheme, or the chosen scheme is not a valid RSA decrypt scheme |
| TPM_RC_VALUE | the numeric value of <i>message</i> is greater than the public modulus of the key referenced by <i>keyHandle</i> , or <i>label</i> is not a null-terminated string |

```

4  TPM_RC
5  TPM2_RSA_Encrypt(
6      RSA_Encrypt_In      *in,          // IN: input parameter list
7      RSA_Encrypt_Out     *out         // OUT: output parameter list
8  )
9  {
10     TPM_RC                result;
11     OBJECT                *rsaKey;
12     TPMT_RSA_DECRYPT       *scheme;
13     // Input Validation
14     rsaKey = HandleToObject(in->keyHandle);
15
16     // selected key must be an RSA key
17     if(rsaKey->publicArea.type != TPM_ALG_RSA)
18         return TPM_RCS_KEY + RC_RSA_Encrypt_keyHandle;
19     // selected key must have the decryption attribute
20     if(!IS_ATTRIBUTE(rsaKey->publicArea.objectAttributes, TPMA_OBJECT, decrypt))
21         return TPM_RCS_ATTRIBUTES + RC_RSA_Encrypt_keyHandle;
22
23     // Is there a label?
24     if(!IsLabelProperlyFormatted(&in->label.b))
25         return TPM_RCS_VALUE + RC_RSA_Encrypt_label;
26     // Command Output
27     // Select a scheme for encryption
28     scheme = CryptRsaSelectScheme(in->keyHandle, &in->inScheme);
29     if(scheme == NULL)
30         return TPM_RCS_SCHEME + RC_RSA_Encrypt_inScheme;
31
32     // Encryption. TPM_RC_VALUE, or TPM_RC_SCHEME errors may be returned by
33     // CryptEncryptRSA.
34     out->outData.t.size = sizeof(out->outData.t.buffer);
35
36     result = CryptRsaEncrypt(&out->outData, &in->message.b, rsaKey, scheme,
37                             &in->label.b, NULL);
38     return result;
39 }
40 #endif // CC_RSA_Encrypt

```

14.3 TPM2_RSA_Decrypt

14.3.1 General Description

This command performs RSA decryption using the indicated padding scheme according to IETF RFC 8017 ((PKCS#1).

The scheme selection for this command is the same as for TPM2_RSA_Encrypt() and is shown in Table 43.

The key referenced by *keyHandle* shall be an RSA key (TPM_RC_KEY) with *restricted* CLEAR and *decrypt* SET (TPM_RC_ATTRIBUTES).

This command uses the private key of *keyHandle* for this operation and authorization is required.

The TPM will perform a modular exponentiation of ciphertext using the private exponent associated with *keyHandle* (this is described in IETF RFC 8017 (PKCS#1), clause 5.1.2). It will then validate the padding according to the selected scheme. If the padding checks fail, TPM_RC_VALUE is returned. Otherwise, the data is returned with the padding removed. If no padding is used, the returned value is an unsigned integer value that is the result of the modular exponentiation of *cipherText* using the private exponent of *keyHandle*. The returned value may include leading octets zeros so that it is the same size as the public modulus. For the other padding schemes, the returned value will be smaller than the public modulus but will contain all the data remaining after padding is removed and this may include leading zeros if the original encrypted value contained leading zeros.

If a label is used in the padding process of the scheme during encryption, the *label* parameter is required to be present in the decryption process and *label* is required to be the same in both cases. If label is not the same, the decrypt operation is very likely to fail ((TPM_RC_VALUE). If *label* is present (*label.size* != 0), it shall be a byte stream whose last byte is zero or the TPM will return TPM_RC_VALUE.

NOTE 1 The size of *label* includes the terminating null.

The *message* parameter in the response may be encrypted using parameter encryption.

If *inScheme* is used, and the scheme requires a hash algorithm it may not be TPM_ALG_NULL.

If the scheme does not require a label, the value in *label* is not used but the size of the label field is checked for consistency with the indicated data type (TPM2B_DATA). That is, the field may not be larger than allowed for a TPM2B_DATA.

14.3.2 Command and Response

Table 47 — TPM2_RSA_Decrypt Command

| Type | Name | Description |
|----------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_RSA_Decrypt |
| TPMI_DH_OBJECT | @keyHandle | RSA key to use for decryption Auth Index: 1 Auth Role: USER |
| TPM2B_PUBLIC_KEY_RSA | cipherText | cipher text to be decrypted NOTE An encrypted RSA data block is the size of the public modulus. |
| TPMT_RSA_DECRYPT+ | inScheme | the padding scheme to use if <i>scheme</i> associated with <i>keyHandle</i> is TPM_ALG_NULL |
| TPM2B_DATA | label | label whose association with the message is to be verified |

Table 48 — TPM2_RSA_Decrypt Response

| Type | Name | Description |
|----------------------|--------------|------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_PUBLIC_KEY_RSA | message | decrypted output |

14.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "RSA_Decrypt_fp.h"
3  #if CC_RSA_Decrypt // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|---|
| TPM_RC_ATTRIBUTES | <i>decrypt</i> is not SET or if <i>restricted</i> is SET in the key referenced by <i>keyHandle</i> |
| TPM_RC_BINDING | The public and private parts of the key are not properly bound |
| TPM_RC_KEY | <i>keyHandle</i> does not reference an unrestricted decrypt key |
| TPM_RC_SCHEME | incorrect input scheme, or the chosen <i>scheme</i> is not a valid RSA decrypt scheme |
| TPM_RC_SIZE | <i>cipherText</i> is not the size of the modulus of key referenced by <i>keyHandle</i> |
| TPM_RC_VALUE | <i>label</i> is not a null terminated string or the value of <i>cipherText</i> is greater than the modulus of <i>keyHandle</i> or the encoding of the data is not valid |

```

4  TPM_RC
5  TPM2_RSA_Decrypt (
6      RSA_Decrypt_In      *in,          // IN: input parameter list
7      RSA_Decrypt_Out     *out         // OUT: output parameter list
8  )
9  {
10     TPM_RC                result;
11     TPM2_OBJECT           *rsaKey;
12     TPMT_RSA_DECRYPT      *scheme;
13
14     // Input Validation
15
16     rsaKey = HandleToObject(in->keyHandle);
17
18     // The selected key must be an RSA key
19     if(rsaKey->publicArea.type != TPM_ALG_RSA)
20         return TPM_RC_KEY + RC_RSA_Decrypt_keyHandle;
21
22     // The selected key must be an unrestricted decryption key
23     if(!IS_ATTRIBUTE(rsaKey->publicArea.objectAttributes, TPMA_OBJECT, restricted)
24        || !IS_ATTRIBUTE(rsaKey->publicArea.objectAttributes, TPMA_OBJECT, decrypt))
25         return TPM_RC_ATTRIBUTES + RC_RSA_Decrypt_keyHandle;
26
27     // NOTE: Proper operation of this command requires that the sensitive area
28     // of the key is loaded. This is assured because authorization is required
29     // to use the sensitive area of the key. In order to check the authorization,
30     // the sensitive area has to be loaded, even if authorization is with policy.
31
32     // If label is present, make sure that it is a NULL-terminated string
33     if(!IsLabelProperlyFormatted(&in->label.b))
34         return TPM_RC_VALUE + RC_RSA_Decrypt_label;
35     // Command Output
36     // Select a scheme for decrypt.
37     scheme = CryptRsaSelectScheme(in->keyHandle, &in->inScheme);
38     if(scheme == NULL)
39         return TPM_RC_SCHEME + RC_RSA_Decrypt_inScheme;
40
41     // Decryption. TPM_RC_VALUE, TPM_RC_SIZE, and TPM_RC_KEY error may be
42     // returned by CryptRsaDecrypt.

```



```
43     // NOTE: CryptRsaDecrypt can also return TPM_RC_ATTRIBUTES or TPM_RC_BINDING
44     // when the key is not a decryption key but that was checked above.
45     out->message.t.size = sizeof(out->message.t.buffer);
46     result = CryptRsaDecrypt(&out->message.b, &in->cipherText.b, rsaKey,
47                             scheme, &in->label.b);
48     return result;
49 }
50 #endif // CC_RSA_Decrypt
```

14.4 TPM2_ECDH_KeyGen

14.4.1 General Description

This command uses the TPM to generate an ephemeral key pair $(d_e, Q_e$ where $Q_e := [d_e]G$). It uses the private ephemeral key and a loaded public key (Q_S) to compute the shared secret value ($P := [hd_e]Q_S$).

keyHandle shall refer to a loaded, ECC key (TPM_RC_KEY). The sensitive portion of this key need not be loaded.

The curve parameters of the loaded ECC key are used to generate the ephemeral key.

NOTE This function is the equivalent of encrypting data to another object's public key. The *seed* value is used in a KDF to generate a symmetric key and that key is used to encrypt the data. Once the data is encrypted and the symmetric key discarded, only the object with the private portion of the *keyHandle* will be able to decrypt it.

The *zPoint* in the response may be encrypted using parameter encryption.

14.4.2 Command and Response

Table 49 — TPM2_ECDH_KeyGen Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ECDH_KeyGen |
| TPMI_DH_OBJECT | keyHandle | Handle of a loaded ECC key public area. Auth Index: None |

Table 50 — TPM2_ECDH_KeyGen Response

| Type | Name | Description |
|-----------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_ECC_POINT | zPoint | results of $P := h[d_e]Q_s$ |
| TPM2B_ECC_POINT | pubPoint | generated ephemeral public point (Q_e) |

14.4.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ECDH_KeyGen_fp.h"
3  #if CC_ECDH_KeyGen // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_KEY | <i>keyHandle</i> does not reference an ECC key |

```

4  TPM_RC
5  TPM2_ECDH_KeyGen(
6      ECDH_KeyGen_In    *in,           // IN: input parameter list
7      ECDH_KeyGen_Out  *out,         // OUT: output parameter list
8  )
9  {
10     OBJECT                *eccKey;
11     TPM2B_ECC_PARAMETER    sensitive;
12     TPM_RC                result;
13
14     // Input Validation
15
16     eccKey = HandleToObject(in->keyHandle);
17
18     // Referenced key must be an ECC key
19     if(eccKey->publicArea.type != TPM_ALG_ECC)
20         return TPM_RCS_KEY + RC_ECDH_KeyGen_keyHandle;
21
22     // Command Output
23     do
24     {
25         TPMT_PUBLIC        *keyPublic = &eccKey->publicArea;
26         // Create ephemeral ECC key
27         result = CryptEccNewKeyPair(&out->pubPoint.point, &sensitive,
28                                     keyPublic->parameters.eccDetail.curveID);
29         if(result == TPM_RC_SUCCESS)
30         {
31             // Compute Z
32             result = CryptEccPointMultiply(&out->zPoint.point,
33                                             keyPublic->parameters.eccDetail.curveID,
34                                             &keyPublic->unique.ecc,
35                                             &sensitive,
36                                             NULL, NULL);
37
38             // The point in the key is not on the curve. Indicate
39             // that the key is bad.
40             if(result == TPM_RC_ECC_POINT)
41                 return TPM_RCS_KEY + RC_ECDH_KeyGen_keyHandle;
42             // The other possible error from CryptEccPointMultiply is
43             // TPM_RC_NO_RESULT indicating that the multiplication resulted in
44             // the point at infinity, so get a new random key and start over
45             // BTW, this never happens.
46         }
47     } while(result == TPM_RC_NO_RESULT);
48     return result;
49 }
50 #endif // CC_ECDH_KeyGen

```

14.5 TPM2_ECDH_ZGen

14.5.1 General Description

This command uses the TPM to recover the Z value from a public point (Q_B) and a private key (d_s). It will perform the multiplication of the provided *inPoint* (Q_B) with the private key (d_s) and return the coordinates of the resultant point ($Z = (x_Z, y_Z) := [hd_s]Q_B$; where h is the cofactor of the curve).

keyHandle shall refer to a loaded, ECC key (TPM_RC_KEY) with the *restricted* attribute CLEAR and the *decrypt* attribute SET (TPM_RC_ATTRIBUTES).

NOTE While TPM_RC_ATTRIBUTES is preferred, TPM_RC_KEY is acceptable.

The *scheme* of the key referenced by *keyHandle* is required to be either TPM_ALG_ECDH or TPM_ALG_NULL (TPM_RC_SCHEME).

inPoint is required to be on the curve of the key referenced by *keyHandle* (TPM_RC_ECC_POINT).

The parameters of the key referenced by *keyHandle* are used to perform the point multiplication.

14.5.2 Command and Response

Table 51 — TPM2_ECDH_ZGen Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ECDH_ZGen |
| TPMI_DH_OBJECT | @keyHandle | handle of a loaded ECC key Auth Index: 1 Auth Role: USER |
| TPM2B_ECC_POINT | inPoint | a public key |

Table 52 — TPM2_ECDH_ZGen Response

| Type | Name | Description |
|-----------------|--------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_ECC_POINT | outPoint | X and Y coordinates of the product of the multiplication $Z = (x_Z, y_Z) := [hd_s]Q_B$ |

14.5.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ECDH_ZGen_fp.h"
3  #if CC_ECDH_ZGen // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|--|
| TPM_RC_ATTRIBUTES | key referenced by <i>keyA</i> is restricted or not a decrypt key |
| TPM_RC_KEY | key referenced by <i>keyA</i> is not an ECC key |
| TPM_RC_NO_RESULT | multiplying <i>inPoint</i> resulted in a point at infinity |
| TPM_RC_SCHEME | the scheme of the key referenced by <i>keyA</i> is not TPM_ALG_NULL, TPM_ALG_ECDH, |

```

4  TPM_RC
5  TPM2_ECDH_ZGen(
6      ECDH_ZGen_In    *in,           // IN: input parameter list
7      ECDH_ZGen_Out  *out           // OUT: output parameter list
8  )
9  {
10     TPM_RC          result;
11     OBJECT          *eccKey;
12
13     // Input Validation
14     eccKey = HandleToObject(in->keyHandle);
15
16     // Selected key must be a non-restricted, decrypt ECC key
17     if(eccKey->publicArea.type != TPM_ALG_ECC)
18         return TPM_RCS_KEY + RC_ECDH_ZGen_keyHandle;
19     // Selected key needs to be unrestricted with the 'decrypt' attribute
20     if(IS_ATTRIBUTE(eccKey->publicArea.objectAttributes, TPMA_OBJECT, restricted)
21        || !IS_ATTRIBUTE(eccKey->publicArea.objectAttributes, TPMA_OBJECT, decrypt))
22         return TPM_RCS_ATTRIBUTES + RC_ECDH_ZGen_keyHandle;
23     // Make sure the scheme allows this use
24     if(eccKey->publicArea.parameters.eccDetail.scheme.scheme != TPM_ALG_ECDH
25        && eccKey->publicArea.parameters.eccDetail.scheme.scheme != TPM_ALG_NULL)
26         return TPM_RCS_SCHEME + RC_ECDH_ZGen_keyHandle;
27     // Command Output
28     // Compute Z. TPM_RC_ECC_POINT or TPM_RC_NO_RESULT may be returned here.
29     result = CryptEccPointMultiply(&out->outPoint.point,
30                                  eccKey->publicArea.parameters.eccDetail.curveID,
31                                  &in->inPoint.point,
32                                  &eccKey->sensitive.sensitive.ecc,
33                                  NULL, NULL);
34     if(result != TPM_RC_SUCCESS)
35         return RcSafeAddToResult(result, RC_ECDH_ZGen_inPoint);
36     return result;
37 }
38 #endif // CC_ECDH_ZGen

```

14.6 TPM2_ECC_Parameters

14.6.1 General Description

This command returns the parameters of an ECC curve identified by its TCG-assigned *curveID*.

The value returned is the same as that from the TCG Algorithm Registry, but may not be the same size.

EXAMPLE The value 01 may be returned as 00000001.

14.6.2 Command and Response

Table 53 — TPM2_ECC_Parameters Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ECC_Parameters |
| TPMI_ECC_CURVE | curveID | parameter set selector |

Table 54 — TPM2_ECC_Parameters Response

| Type | Name | Description |
|---------------------------|--------------|---------------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMS_ALGORITHM_DETAIL_ECC | parameters | ECC parameters for the selected curve |

14.6.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ECC_Parameters_fp.h"
3  #if CC_ECC_Parameters // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--------------------------|
| TPM_RC_VALUE | Unsupported ECC curve ID |

```

4  TPM_RC
5  TPM2_ECC_Parameters(
6      ECC_Parameters_In  *in,           // IN: input parameter list
7      ECC_Parameters_Out *out,         // OUT: output parameter list
8  )
9  {
10 // Command Output
11
12 // Get ECC curve parameters
13 if(CryptEccGetParameters(in->curveID, &out->parameters))
14     return TPM_RC_SUCCESS;
15 else
16     return TPM_RC_VALUE + RC_ECC_Parameters_curveID;
17 }
18 #endif // CC_ECC_Parameters

```

14.7 TPM2_ZGen_2Phase

14.7.1 General Description

This command supports two-phase key exchange protocols. The command is used in combination with TPM2_EC_Ephemeral(). TPM2_EC_Ephemeral() generates an ephemeral key and returns the public point of that ephemeral key along with a numeric value that allows the TPM to regenerate the associated private key.

The input parameters for this command are a static public key ($inQsU$), an ephemeral key ($inQeU$) from party B, and the *commitCounter* returned by TPM2_EC_Ephemeral(). The TPM uses the counter value to regenerate the ephemeral private key ($d_{e,v}$) and the associated public key ($Q_{e,v}$). *keyA* provides the static ephemeral elements $d_{s,v}$ and $Q_{s,v}$. This provides the two pairs of ephemeral and static keys that are required for the schemes supported by this command.

The TPM will compute Z or Z_s and Z_e according to the selected scheme. If the scheme is not a two-phase key exchange scheme or if the scheme is not supported, the TPM will return TPM_RC_SCHEME.

It is an error if $inQsB$ or $inQeB$ are not on the curve of *keyA* (TPM_RC_ECC_POINT).

The two-phase key schemes that were assigned an algorithm ID as of the time of the publication of this specification are TPM_ALG_ECDH, TPM_ALG_ECMQV, and TPM_ALG_SM2.

If this command is supported, then support for TPM_ALG_ECDH is required. Support for TPM_ALG_ECMQV or TPM_ALG_SM2 is optional.

NOTE 1 If SM2 is supported and this command is supported, then the implementation is required to support the key exchange protocol of SM2, part 3.

For TPM_ALG_ECDH *outZ1* will be Z_s and *outZ2* will be Z_e as defined in 6.1.1.2 of SP800-56A.

NOTE 2 An unrestricted decryption key using ECDH may be used in either TPM2_ECDH_ZGen() or TPM2_ZGen_2Phase as the computation done with the private part of *keyA* is the same in both cases.

For TPM_ALG_ECMQV or TPM_ALG_SM2 *outZ1* will be Z and *outZ2* will be an Empty Point.

NOTE 3 An Empty Point has two Empty Buffers as coordinates meaning the minimum *size* value for *outZ2* will be four.

If the input scheme is TPM_ALG_ECDH, then *outZ1* will be Z_s and *outZ2* will be Z_e . For schemes like MQV (including SM2), *outZ1* will contain the computed value and *outZ2* will be an Empty Point.

NOTE 4 The Z values returned by the TPM are a full point and not just an x-coordinate.

If a computation of either Z produces the point at infinity, then the corresponding Z value will be an Empty Point.

14.7.2 Command and Response

Table 55 — TPM2_ZGen_2Phase Command

| Type | Name | Description |
|-----------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ZGen_2Phase |
| TPMI_DH_OBJECT | @keyA | handle of an unrestricted decryption key ECC The private key referenced by this handle is used as $d_{S,A}$ Auth Index: 1 Auth Role: USER |
| TPM2B_ECC_POINT | inQsB | other party's static public key ($Q_{s,B} = (X_{s,B}, Y_{s,B})$) |
| TPM2B_ECC_POINT | inQeB | other party's ephemeral public key ($Q_{e,B} = (X_{e,B}, Y_{e,B})$) |
| TPMI_ECC_KEY_EXCHANGE | inScheme | the key exchange scheme |
| UINT16 | counter | value returned by TPM2_EC_Ephemeral() |

Table 56 — TPM2_ZGen_2Phase Response

| Type | Name | Description |
|-----------------|--------------|---|
| TPM_ST | tag | |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_ECC_POINT | outZ1 | X and Y coordinates of the computed value (scheme dependent) |
| TPM2B_ECC_POINT | outZ2 | X and Y coordinates of the second computed value (scheme dependent) |

14.7.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ZGen_2Phase_fp.h"
3  #if CC_ZGen_2Phase // Conditional expansion of this file

```

This command uses the TPM to recover one or two Z values in a two phase key exchange protocol

| Error Returns | Meaning |
|-------------------|---|
| TPM_RC_ATTRIBUTES | key referenced by <i>keyA</i> is restricted or not a decrypt key |
| TPM_RC_ECC_POINT | <i>inQsB</i> or <i>inQeB</i> is not on the curve of the key reference by <i>keyA</i> |
| TPM_RC_KEY | key referenced by <i>keyA</i> is not an ECC key |
| TPM_RC_SCHEME | the scheme of the key referenced by <i>keyA</i> is not TPM_ALG_NULL, TPM_ALG_ECDH, ALG_ECMQV or TPM_ALG_SM2 |

```

4  TPM_RC
5  TPM2_ZGen_2Phase(
6      ZGen_2Phase_In      *in,          // IN: input parameter list
7      ZGen_2Phase_Out    *out          // OUT: output parameter list
8  )
9  {
10     TPM_RC                result;
11     OBJECT                *eccKey;
12     TPM2B_ECC_PARAMETER   r;
13     TPM_ALG_ID            scheme;
14
15     // Input Validation
16
17     eccKey = HandleToObject(in->keyA);
18
19     // keyA must be an ECC key
20     if(eccKey->publicArea.type != TPM_ALG_ECC)
21         return TPM_RCS_KEY + RC_ZGen_2Phase_keyA;
22
23     // keyA must not be restricted and must be a decrypt key
24     if(IS_ATTRIBUTE(eccKey->publicArea.objectAttributes, TPMA_OBJECT, restricted)
25         || !IS_ATTRIBUTE(eccKey->publicArea.objectAttributes, TPMA_OBJECT, decrypt))
26         return TPM_RCS_ATTRIBUTES + RC_ZGen_2Phase_keyA;
27
28     // if the scheme of keyA is TPM_ALG_NULL, then use the input scheme; otherwise
29     // the input scheme must be the same as the scheme of keyA
30     scheme = eccKey->publicArea.parameters.asymDetail.scheme.scheme;
31     if(scheme != TPM_ALG_NULL)
32     {
33         if(scheme != in->inScheme)
34             return TPM_RCS_SCHEME + RC_ZGen_2Phase_inScheme;
35     }
36     else
37         scheme = in->inScheme;
38     if(scheme == TPM_ALG_NULL)
39         return TPM_RCS_SCHEME + RC_ZGen_2Phase_inScheme;
40
41     // Input points must be on the curve of keyA
42     if(!CryptEccIsPointOnCurve(eccKey->publicArea.parameters.eccDetail.curveID,
43                                &in->inQsB.point))
44         return TPM_RCS_ECC_POINT + RC_ZGen_2Phase_inQsB;
45
46     if(!CryptEccIsPointOnCurve(eccKey->publicArea.parameters.eccDetail.curveID,
47                                &in->inQeB.point))
48         return TPM_RCS_ECC_POINT + RC_ZGen_2Phase_inQeB;

```

```
49
50     if(!CryptGenerateR(&r, &in->counter,
51                       eccKey->publicArea.parameters.eccDetail.curveID,
52                       NULL))
53         return TPM_RCS_VALUE + RC_ZGen_2Phase_counter;
54
55 // Command Output
56
57     result =
58         CryptEcc2PhaseKeyExchange (&out->outZ1.point,
59                                   &out->outZ2.point,
60                                   eccKey->publicArea.parameters.eccDetail.curveID,
61                                   scheme,
62                                   &eccKey->sensitive.sensitive.ecc,
63                                   &r,
64                                   &in->inQsB.point,
65                                   &in->inQeB.point);
66     if(result == TPM_RC_SCHEME)
67         return TPM_RCS_SCHEME + RC_ZGen_2Phase_inScheme;
68
69     if(result == TPM_RC_SUCCESS)
70         CryptEndCommit(in->counter);
71
72     return result;
73 }
74 #endif // CC_ZGen_2Phase
```

15 Symmetric Primitives

15.1 Introduction

The commands in this clause provide low-level primitives for access to the symmetric algorithms implemented in the TPM that operate on blocks of data. These include symmetric encryption and decryption as well as hash and HMAC. All of the commands in this group are stateless. That is, they have no persistent state that is retained in the TPM when the command is complete.

For hashing, HMAC, and Events that require large blocks of data with retained state, the sequence commands are provided (see clause 1).

Some of the symmetric encryption/decryption modes use an IV. When an IV is used, it may be an initiation value or a chained value from a previous stage. The chaining for each mode is:

Table 57 — Symmetric Chaining Process

| Mode | Chaining process |
|-------------|--|
| TPM_ALG_CTR | <p>The TPM will increment the entire IV provided by the caller. The next count value will be returned to the caller as <i>ivOut</i>. This can be the input value to the next encrypt or decrypt operation.</p> <p><i>ivIn</i> is required to be the size of a block encrypted by the selected algorithm and key combination. If the size of <i>ivIn</i> is not correct, the TPM shall return TPM_RC_SIZE.</p> <p>EXAMPLE 1 AES requires that <i>ivIn</i> be 128 bits (16 octets).</p> <p><i>ivOut</i> will be the size of a cipher block and not the size of the last encrypted block.</p> <p>NOTE <i>ivOut</i> will be the value of the counter after the last block is encrypted.</p> <p>EXAMPLE 2 If <i>ivIn</i> were 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00₁₆ and four data blocks were encrypted, <i>ivOut</i> will have a value of 00 00 00 00 00 00 00 00 00 00 00 00 00 00 04₁₆.</p> <p>All the bits of the IV are incremented as if it were an unsigned integer.</p> |
| TPM_ALG_OFB | <p>In Output Feedback (OFB), the output of the pseudo-random function (the block encryption algorithm) is XORed with a plaintext block to produce a ciphertext block. <i>ivOut</i> will be the value that was XORed with the last plaintext block. That value can be used as the <i>ivIn</i> for a next buffer.</p> <p><i>ivIn</i> is required to be the size of a block encrypted by the selected algorithm and key combination. If the size of <i>ivIn</i> is not correct, the TPM shall return TPM_RC_SIZE.</p> <p><i>ivOut</i> will be the size of a cipher block and not the size of the last encrypted block.</p> |
| TPM_ALG_CBC | <p>For Cipher Block Chaining (CBC), a block of ciphertext is XORed with the next plaintext block and that block is encrypted. The encrypted block is then input to the encryption of the next block. The last ciphertext block then is used as an IV for the next buffer.</p> <p>Even though the last ciphertext block is evident in the encrypted data, it is also returned in <i>ivOut</i>.</p> <p><i>ivIn</i> is required to be the size of a block encrypted by the selected algorithm and key combination. If the size of <i>ivIn</i> is not correct, the TPM shall return TPM_RC_SIZE.</p> <p><i>inData</i> is required to be an even multiple of the block encrypted by the selected algorithm and key combination. If the size of <i>inData</i> is not correct, the TPM shall return TPM_RC_SIZE.</p> |
| TPM_ALG_CFB | <p>Similar to CBC in that the last ciphertext block is an input to the encryption of the next block. <i>ivOut</i> will be the value that was XORed with the last plaintext block. That value can be used as the <i>ivIn</i> for a next buffer.</p> <p><i>ivIn</i> is required to be the size of a block encrypted by the selected algorithm and key combination. If the size of <i>ivIn</i> is not correct, the TPM shall return TPM_RC_SIZE.</p> <p><i>ivOut</i> will be the size of a cipher block and not the size of the last encrypted block.</p> |
| TPM_ALG_ECB | <p>Electronic Codebook (ECB) has no chaining. Each block of plaintext is encrypted using the key. ECB does not support chaining and <i>ivIn</i> shall be the Empty Buffer. <i>ivOut</i> will be the Empty Buffer.</p> <p><i>inData</i> is required to be an even multiple of the block encrypted by the selected algorithm and key combination. If the size of <i>inData</i> is not correct, the TPM shall return TPM_RC_SIZE.</p> |

15.2 TPM2_EncryptDecrypt

15.2.1 General Description

NOTE 1 This command is deprecated, and TPM2_EncryptDecrypt2() is preferred. This should be reflected in platform-specific specifications.

This command performs symmetric encryption or decryption using the symmetric key referenced by *keyHandle* and the selected mode.

keyHandle shall reference a symmetric cipher object (TPM_RC_KEY) with the *restricted* attribute CLEAR (TPM_RC_ATTRIBUTES).

If the *decrypt* parameter of the command is TRUE, then the *decrypt* attribute of the key is required to be SET (TPM_RC_ATTRIBUTES). If the *decrypt* parameter of the command is FALSE, then the *sign* attribute of the key is required to be SET (TPM_RC_ATTRIBUTES).

NOTE 2 A key may have both *decrypt* and *sign* SET.

If the mode of the key is not TPM_ALG_NULL, then that is the only mode that can be used with the key and the caller is required to set *mode* either to TPM_ALG_NULL or to the same mode as the key (TPM_RC_MODE). If the mode of the key is TPM_ALG_NULL, then the caller may set *mode* to any valid symmetric encryption/decryption mode but may not select TPM_ALG_NULL (TPM_RC_MODE).

If the TPM allows this command to be canceled before completion, then the TPM may produce incremental results and return TPM_RC_SUCCESS rather than TPM_RC_CANCELED. In such case, *outData* may be less than *inData*.

NOTE 3 If all the data is encrypted/decrypted, the size of *outData* will be the same as *inData*.

15.2.2 Command and Response

Table 58 — TPM2_EncryptDecrypt Command

| Type | Name | Description |
|-----------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_EncryptDecrypt |
| TPMI_DH_OBJECT | @keyHandle | the symmetric key used for the operation Auth Index: 1 Auth Role: USER |
| TPMI_YES_NO | decrypt | if YES, then the operation is decryption; if NO, the operation is encryption |
| TPMI_ALG_CIPHER_MODE+ | mode | symmetric encryption/decryption mode this field shall match the default mode of the key or be TPM_ALG_NULL. |
| TPM2B_IV | ivIn | an initial value as required by the algorithm |
| TPM2B_MAX_BUFFER | inData | the data to be encrypted/decrypted |

Table 59 — TPM2_EncryptDecrypt Response

| Type | Name | Description |
|------------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_MAX_BUFFER | outData | encrypted or decrypted output |
| TPM2B_IV | ivOut | chaining value to use for IV in next round |

15.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "EncryptDecrypt_fp.h"
3  #if CC_EncryptDecrypt2
4  #include "EncryptDecrypt_spt_fp.h"
5  #endif
6  #if CC_EncryptDecrypt // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_KEY | is not a symmetric decryption key with both public and private portions loaded |
| TPM_RC_SIZE | <i>ivIn</i> size is incompatible with the block cipher mode; or <i>inData</i> size is not an even multiple of the block size for CBC or ECB mode |
| TPM_RC_VALUE | <i>keyHandle</i> is restricted and the argument <i>mode</i> does not match the key's mode |

```

7  TPM_RC
8  TPM2_EncryptDecrypt(
9      EncryptDecrypt_In  *in,           // IN: input parameter list
10     EncryptDecrypt_Out *out          // OUT: output parameter list
11 )
12 {
13 #if CC_EncryptDecrypt2
14     return EncryptDecryptShared(in->keyHandle, in->decrypt, in->mode,
15                                &in->ivIn, &in->inData, out);
16 #else
17     OBJECT          *symKey;
18     UINT16          keySize;
19     UINT16          blockSize;
20     BYTE            *key;
21     TPM_ALG_ID      alg;
22     TPM_ALG_ID      mode;
23     TPM_RC          result;
24     BOOL            OK;
25     TPMA_OBJECT     attributes;
26
27 // Input Validation
28     symKey = HandleToObject(in->keyHandle);
29     mode = symKey->publicArea.parameters.symDetail.sym.mode.sym;
30     attributes = symKey->publicArea.objectAttributes;
31
32 // The input key should be a symmetric key
33     if(symKey->publicArea.type != TPM_ALG_SYMCIPHER)
34         return TPM_RCS_KEY + RC_EncryptDecrypt_keyHandle;
35 // The key must be unrestricted and allow the selected operation
36     OK = IS_ATTRIBUTE(attributes, TPMA_OBJECT, restricted)
37     if(YES == in->decrypt)
38         OK = OK && IS_ATTRIBUTE(attributes, TPMA_OBJECT, decrypt);
39     else
40         OK = OK && IS_ATTRIBUTE(attributes, TPMA_OBJECT, sign);
41     if(!OK)
42         return TPM_RCS_ATTRIBUTES + RC_EncryptDecrypt_keyHandle;
43
44 // If the key mode is not TPM_ALG_NULL...
45 // or TPM_ALG_NULL
46     if(mode != TPM_ALG_NULL)
47     {
48 // then the input mode has to be TPM_ALG_NULL or the same as the key
49         if((in->mode != TPM_ALG_NULL) && (in->mode != mode))

```

```

50         return TPM_RCS_MODE + RC_EncryptDecrypt_mode;
51     }
52     else
53     {
54         // if the key mode is null, then the input can't be null
55         if(in->mode == TPM_ALG_NULL)
56             return TPM_RCS_MODE + RC_EncryptDecrypt_mode;
57         mode = in->mode;
58     }
59     // The input iv for ECB mode should be an Empty Buffer. All the other modes
60     // should have an iv size same as encryption block size
61     keySize = symKey->publicArea.parameters.symDetail.sym.keyBits.sym;
62     alg = symKey->publicArea.parameters.symDetail.sym.algorithm;
63     blockSize = CryptGetSymmetricBlockSize(alg, keySize);
64
65     // reverify the algorithm. This is mainly to keep static analysis tools happy
66     if(blockSize == 0)
67         return TPM_RCS_KEY + RC_EncryptDecrypt_keyHandle;
68
69     // Note: When an algorithm is not supported by a TPM, the TPM_ALG_xxx for that
70     // algorithm is not defined. However, it is assumed that the ALG_xxx_VALUE for
71     // the algorithm is always defined. Both have the same numeric value.
72     // ALG_xxx_VALUE is used here so that the code does not get cluttered with
73     // #ifdef's. Having this check does not mean that the algorithm is supported.
74     // If it was not supported the unmarshaling code would have rejected it before
75     // this function were called. This means that, depending on the implementation,
76     // the check could be redundant but it doesn't hurt.
77     if(((mode == ALG_ECB_VALUE) && (in->ivIn.t.size != 0))
78         || ((mode != ALG_ECB_VALUE) && (in->ivIn.t.size != blockSize)))
79         return TPM_RCS_SIZE + RC_EncryptDecrypt_ivIn;
80
81     // The input data size of CBC mode or ECB mode must be an even multiple of
82     // the symmetric algorithm's block size
83     if(((mode == ALG_CBC_VALUE) || (mode == ALG_ECB_VALUE))
84         && ((in->inData.t.size % blockSize) != 0))
85         return TPM_RCS_SIZE + RC_EncryptDecrypt_inData;
86
87     // Copy IV
88     // Note: This is copied here so that the calls to the encrypt/decrypt functions
89     // will modify the output buffer, not the input buffer
90     out->ivOut = in->ivIn;
91
92     // Command Output
93     key = symKey->sensitive.sensitive.sym.t.buffer;
94     // For symmetric encryption, the cipher data size is the same as plain data
95     // size.
96     out->outData.t.size = in->inData.t.size;
97     if(in->decrypt == YES)
98     {
99         // Decrypt data to output
100         result = CryptSymmetricDecrypt(out->outData.t.buffer, alg, keySize, key,
101                                     &(out->ivOut), mode, in->inData.t.size,
102                                     in->inData.t.buffer);
103     }
104     else
105     {
106         // Encrypt data to output
107         result = CryptSymmetricEncrypt(out->outData.t.buffer, alg, keySize, key,
108                                     &(out->ivOut), mode, in->inData.t.size,
109                                     in->inData.t.buffer);
110     }
111     return result;
112 #endif // CC_EncryptDecrypt2
113
114 }
115 #endif // CC_EncryptDecrypt

```

15.3 TPM2_EncryptDecrypt2

15.3.1 General Description

This command is identical to TPM2_EncryptDecrypt(), except that the *inData* parameter is the first parameter. This permits *inData* to be parameter encrypted.

NOTE In platform specification updates, this command is preferred and TPM2_EncryptDecrypt() should be deprecated.

15.3.2 Command and Response

Table 60 — TPM2_EncryptDecrypt2 Command

| Type | Name | Description |
|-----------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_EncryptDecrypt2 |
| TPMI_DH_OBJECT | @keyHandle | the symmetric key used for the operation Auth Index: 1 Auth Role: USER |
| TPM2B_MAX_BUFFER | inData | the data to be encrypted/decrypted |
| TPMI_YES_NO | decrypt | if YES, then the operation is decryption; if NO, the operation is encryption |
| TPMI_ALG_CIPHER_MODE+ | mode | symmetric mode this field shall match the default mode of the key or be TPM_ALG_NULL. |
| TPM2B_IV | ivIn | an initial value as required by the algorithm |

Table 61 — TPM2_EncryptDecrypt2 Response

| Type | Name | Description |
|------------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_MAX_BUFFER | outData | encrypted or decrypted output |
| TPM2B_IV | ivOut | chaining value to use for IV in next round |

15.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "EncryptDecrypt2_fp.h"
3  #include "EncryptDecrypt_fp.h"
4  #include "EncryptDecrypt_spt_fp.h"
5  #if CC_EncryptDecrypt2 // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_KEY | is not a symmetric decryption key with both public and private portions loaded |
| TPM_RC_SIZE | <i>ivIn</i> size is incompatible with the block cipher mode; or <i>inData</i> size is not an even multiple of the block size for CBC or ECB mode |
| TPM_RC_VALUE | <i>keyHandle</i> is restricted and the argument <i>mode</i> does not match the key's mode |

```

6  TPM_RC
7  TPM2_EncryptDecrypt2(
8      EncryptDecrypt2_In  *in,           // IN: input parameter list
9      EncryptDecrypt2_Out *out          // OUT: output parameter list
10 )
11 {
12     TPM_RC          result;
13     // EncryptDecryptShared() performs the operations as shown in
14     // TPM2_EncryptDecrypt
15     result = EncryptDecryptShared(in->keyHandle, in->decrypt, in->mode,
16                                   &in->ivIn, &in->inData,
17                                   (EncryptDecrypt_Out *)out);
18     // Handle response code swizzle.
19     switch(result)
20     {
21         case TPM_RCS_MODE + RC_EncryptDecrypt_mode:
22             result = TPM_RCS_MODE + RC_EncryptDecrypt2_mode;
23             break;
24         case TPM_RCS_SIZE + RC_EncryptDecrypt_ivIn:
25             result = TPM_RCS_SIZE + RC_EncryptDecrypt2_ivIn;
26             break;
27         case TPM_RCS_SIZE + RC_EncryptDecrypt_inData:
28             result = TPM_RCS_SIZE + RC_EncryptDecrypt2_inData;
29             break;
30         default:
31             break;
32     }
33     return result;
34 }
35 #endif // CC_EncryptDecrypt2

```

15.4 TPM2_Hash

15.4.1 General Description

This command performs a hash operation on a data buffer and returns the results.

NOTE If the data buffer to be hashed is larger than will fit into the TPM's input buffer, then the sequence hash commands will need to be used.

If the results of the hash will be used in a signing operation that uses a restricted signing key, then the ticket returned by this command can indicate that the hash is safe to sign.

If the digest is not safe to sign, then the TPM will return a TPMT_TK_HASHCHECK with the hierarchy set to TPM_RH_NULL and *digest* set to the Empty Buffer.

If *hierarchy* is TPM_RH_NULL, then *digest* in the ticket will be the Empty Buffer.

15.4.2 Command and Response

Table 62 — TPM2_Hash Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit, decrypt, or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Hash |
| TPM2B_MAX_BUFFER | data | data to be hashed |
| TPMI_ALG_HASH | hashAlg | algorithm for the hash being computed – shall not be TPM_ALG_NULL |
| TPMI_RH_HIERARCHY+ | hierarchy | hierarchy to use for the ticket (TPM_RH_NULL allowed) |

Table 63 — TPM2_Hash Response

| Type | Name | Description |
|-------------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_DIGEST | outHash | results |
| TPMT_TK_HASHCHECK | validation | ticket indicating that the sequence of octets used to compute <i>outDigest</i> did not start with TPM_GENERATED_VALUE will be a NULL ticket if the digest may not be signed with a restricted key |

15.4.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Hash_fp.h"
3  #if CC_Hash // Conditional expansion of this file
4  TPM_RC
5  TPM2_Hash(
6      Hash_In      *in,           // IN: input parameter list
7      Hash_Out     *out          // OUT: output parameter list
8  )
9  {
10     HASH_STATE     hashState;
11
12     // Command Output
13
14     // Output hash
15     // Start hash stack
16     out->outHash.t.size = CryptHashStart(&hashState, in->hashAlg);
17     // Adding hash data
18     CryptDigestUpdate2B(&hashState, &in->data.b);
19     // Complete hash
20     CryptHashEnd2B(&hashState, &out->outHash.b);
21
22     // Output ticket
23     out->validation.tag = TPM_ST_HASHCHECK;
24     out->validation.hierarchy = in->hierarchy;
25
26     if(in->hierarchy == TPM_RH_NULL)
27     {
28         // Ticket is not required
29         out->validation.hierarchy = TPM_RH_NULL;
30         out->validation.digest.t.size = 0;
31     }
32     else if(in->data.t.size >= sizeof(TPM_GENERATED)
33             && !TicketIsSafe(&in->data.b))
34     {
35         // Ticket is not safe
36         out->validation.hierarchy = TPM_RH_NULL;
37         out->validation.digest.t.size = 0;
38     }
39     else
40     {
41         // Compute ticket
42         TicketComputeHashCheck(in->hierarchy, in->hashAlg,
43                               &out->outHash, &out->validation);
44     }
45
46     return TPM_RC_SUCCESS;
47 }
48 #endif // CC_Hash

```

15.5 TPM2_HMAC

15.5.1 General Description

This command performs an HMAC on the supplied data using the indicated hash algorithm.

NOTE 1 A TPM may implement either TPM2_HMAC() or TPM2_MAC() but not both, as they have the same command code and there is no way to distinguish them. A TPM that supports TPM2_MAC() will support any code that was written to use TPM2_HMAC(), but a TPM that supports TPM2_HMAC() will not support a MAC based on symmetric block ciphers.

The caller shall provide proper authorization for use of *handle*.

If the *sign* attribute is not SET in the key referenced by *handle* then the TPM shall return TPM_RC_KEY. If the key type is not TPM_ALG_KEYEDHASH then the TPM shall return TPM_RC_TYPE. If the key referenced by *handle* has the *restricted* attribute SET, the TPM shall return TPM_RC_ATTRIBUTES.

NOTE 2 For symmetric signing with a restricted key, see TPM2_Sign.

If the default scheme of the key referenced by *handle* is not TPM_ALG_NULL, then the *hashAlg* parameter is required to be either the same as the key's default or TPM_ALG_NULL (TPM_RC_VALUE). If the default scheme of the key is TPM_ALG_NULL, then *hashAlg* is required to be a valid hash and not TPM_ALG_NULL (TPM_RC_VALUE) (see hash selection matrix in

Table 72).

NOTE 3 A key may only have both *sign* and *decrypt* SET if the key is unrestricted. When both *sign* and *decrypt* are set, there is no default scheme for the key and the hash algorithm must be specified.

15.5.2 Command and Response

Table 64 — TPM2_HMAC Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_HMAC |
| TPMI_DH_OBJECT | @handle | handle for the symmetric signing key providing the HMAC key Auth Index: 1 Auth Role: USER |
| TPM2B_MAX_BUFFER | buffer | HMAC data |
| TPMI_ALG_HASH+ | hashAlg | algorithm to use for HMAC |

Table 65 — TPM2_HMAC Response

| Type | Name | Description |
|--------------|--------------|-------------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_DIGEST | outHMAC | the returned HMAC in a sized buffer |

15.5.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "HMAC_fp.h"
3  #if CC_HMAC // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|--|
| TPM_RC_ATTRIBUTES | key referenced by <i>handle</i> is a restricted key |
| TPM_RC_KEY | <i>handle</i> does not reference a signing key |
| TPM_RC_TYPE | key referenced by <i>handle</i> is not an HMAC key |
| TPM_RC_VALUE | <i>hashAlg</i> is not compatible with the hash algorithm of the scheme of the object referenced by <i>handle</i> |

```

4  TPM_RC
5  TPM2_HMAC(
6      HMAC_In          *in,           // IN: input parameter list
7      HMAC_Out         *out          // OUT: output parameter list
8  )
9  {
10     HMAC_STATE         hmacState;
11     OBJECT              *hmacObject;
12     TPMT_ALG_HASH      hashAlg;
13     TPMT_PUBLIC        *publicArea;
14
15     // Input Validation
16
17     // Get HMAC key object and public area pointers
18     hmacObject = HandleToObject(in->handle);
19     publicArea = &hmacObject->publicArea;
20     // Make sure that the key is an HMAC key
21     if(publicArea->type != TPM_ALG_KEYEDHASH)
22         return TPM_RCS_TYPE + RC_HMAC_handle;
23
24     // and that it is unrestricted
25     if(IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, restricted))
26         return TPM_RCS_ATTRIBUTES + RC_HMAC_handle;
27
28     // and that it is a signing key
29     if(!IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, sign))
30         return TPM_RCS_KEY + RC_HMAC_handle;
31
32     // See if the key has a default
33     if(publicArea->parameters.keyedHashDetail.scheme.scheme == TPM_ALG_NULL)
34         // it doesn't so use the input value
35         hashAlg = in->hashAlg;
36     else
37     {
38         // key has a default so use it
39         hashAlg
40             = publicArea->parameters.keyedHashDetail.scheme.details.hmac.hashAlg;
41         // and verify that the input was either the TPM_ALG_NULL or the default
42         if(in->hashAlg != TPM_ALG_NULL && in->hashAlg != hashAlg)
43             hashAlg = TPM_ALG_NULL;
44     }
45     // if we ended up without a hash algorithm then return an error
46     if(hashAlg == TPM_ALG_NULL)
47         return TPM_RCS_VALUE + RC_HMAC_hashAlg;
48
49     // Command Output
50

```

```
51     // Start HMAC stack
52     out->outHMAC.t.size = CryptHmacStart2B(&hmacState, hashAlg,
53                                           &hmacObject->sensitive.sensitive.bits.b);
54     // Adding HMAC data
55     CryptDigestUpdate2B(&hmacState.hashState, &in->buffer.b);
56
57     // Complete HMAC
58     CryptHmacEnd2B(&hmacState, &out->outHMAC.b);
59
60     return TPM_RC_SUCCESS;
61 }
62 #endif // CC_HMAC
```

15.6 TPM2_MAC

15.6.1 General Description

This command performs an HMAC or a block cipher MAC on the supplied data using the indicated algorithm.

NOTE 1 A TPM may implement either TPM2_HMAC() or TPM2_MAC() but not both as they have the same command code and there is no way to distinguish them. A TPM that supports TPM2_MAC() will support any code that was written to use TPM2_HMAC() but a TPM that supports TPM2_HMAC () will not support a MAC based on symmetric block ciphers.

The caller shall provide proper authorization for use of *handle*.

If the *sign* attribute is not SET in the key referenced by *handle* then the TPM shall return TPM_RC_KEY. If the key type is neither TPM_ALG_KEYEDHASH nor TPM_ALG_SYMCIPHER then the TPM shall return TPM_RC_TYPE. If the key referenced by *handle* has the *restricted* attribute SET, the TPM shall return TPM_RC_ATTRIBUTES.

NOTE 2 For symmetric signing with a restricted key, see TPM2_Sign.

If the default scheme or mode of the key referenced by *handle* is not TPM_ALG_NULL, then the *inScheme* parameter is required to be either the same as the key's default or TPM_ALG_NULL (TPM_RC_VALUE).

If the default scheme of an HMAC key is TPM_ALG_NULL, then *inScheme* is required to be a valid hash and not TPM_ALG_NULL (TPM_RC_VALUE) (see algorithm selection matrix in

Table 75).

If the default mode of a symmetric cipher key is TPM_ALG_NULL, then *inScheme* is required to be a valid block cipher mode for authentication and not TPM_ALG_NULL (TPM_RC_VALUE)

NOTE 3 A key may only have both sign and decrypt SET if the key is unrestricted. When both sign and decrypt are set, there is no default scheme for the key and *inScheme* may not be TPM_ALG_NULL.

NOTE 4 TPM2_MAC() was added in revision 01.43.

15.6.2 Command and Response

Table 66 — TPM2_MAC Command

| Type | Name | Description |
|----------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_MAC |
| TPMI_DH_OBJECT | @handle | handle for the symmetric signing key providing the MAC key Auth Index: 1 Auth Role: USER |
| TPM2B_MAX_BUFFER | buffer | MAC data |
| TPMI_ALG_MAC_SCHEME+ | inScheme | algorithm to use for MAC |

Table 67 — TPM2_MAC Response

| Type | Name | Description |
|--------------|--------------|------------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_DIGEST | outMAC | the returned MAC in a sized buffer |

15.6.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "MAC_fp.h"
3  #if CC_MAC // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|--|
| TPM_RC_ATTRIBUTES | key referenced by <i>handle</i> is a restricted key |
| TPM_RC_KEY | <i>handle</i> does not reference a signing key |
| TPM_RC_TYPE | key referenced by <i>handle</i> is not an HMAC key |
| TPM_RC_VALUE | <i>hashAlg</i> is not compatible with the hash algorithm of the scheme of the object referenced by <i>handle</i> |

```

4  TPM_RC
5  TPM2_MAC(
6      MAC_In          *in,           // IN: input parameter list
7      MAC_Out         *out          // OUT: output parameter list
8  )
9  {
10     OBJECT            *keyObject;
11     HMAC_STATE        state;
12     TPMT_PUBLIC       *publicArea;
13     TPM_RC            result;
14
15     // Input Validation
16     // Get MAC key object and public area pointers
17     keyObject = HandleToObject(in->handle);
18     publicArea = &keyObject->publicArea;
19
20     // If the key is not able to do a MAC, indicate that the handle selects an
21     // object that can't do a MAC
22     result = CryptSelectMac(publicArea, &in->inScheme);
23     if(result == TPM_RCS_TYPE)
24         return TPM_RCS_TYPE + RC_MAC_handle;
25     // If there is another error type, indicate that the scheme and key are not
26     // compatible
27     if(result != TPM_RC_SUCCESS)
28         return RcSafeAddToResult(result, RC_MAC_inScheme);
29     // Make sure that the key is not restricted
30     if(IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, restricted))
31         return TPM_RCS_ATTRIBUTES + RC_MAC_handle;
32     // and that it is a signing key
33     if(!IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, sign))
34         return TPM_RCS_KEY + RC_MAC_handle;
35     // Command Output
36     out->outMAC.t.size = CryptMacStart(&state, &publicArea->parameters,
37                                     in->inScheme,
38                                     &keyObject->sensitive.sensitive.any.b);
39     // If the mac can't start, treat it as a fatal error
40     if(out->outMAC.t.size == 0)
41         return TPM_RC_FAILURE;
42     CryptDigestUpdate2B(&state.hashState, &in->buffer.b);
43     // If the MAC result is not what was expected, it is a fatal error
44     if(CryptHmacEnd2B(&state, &out->outMAC.b) != out->outMAC.t.size)
45         return TPM_RC_FAILURE;
46     return TPM_RC_SUCCESS;
47 }
48 #endif // CC_MAC

```

16 Random Number Generator

16.1 TPM2_GetRandom

16.1.1 General Description

This command returns the next *bytesRequested* octets from the random number generator (RNG).

NOTE 1 It is recommended that a TPM implement the RNG in a manner that would allow it to return RNG octets such that, as long as the value of *bytesRequested* is not greater than the maximum digest size, the frequency of *bytesRequested* being more than the number of octets available is an infrequent occurrence.

If *bytesRequested* is more than will fit into a TPM2B_DIGEST on the TPM, no error is returned but the TPM will only return as much data as will fit into a TPM2B_DIGEST buffer for the TPM.

NOTE 2 TPM2B_DIGEST is large enough to hold the largest digest that may be produced by the TPM. Because that digest size changes according to the implemented hashes, the maximum amount of data returned by this command is TPM implementation-dependent.

16.1.2 Command and Response

Table 68 — TPM2_GetRandom Command

| Type | Name | Description |
|---------------------|----------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_GetRandom |
| UINT16 | bytesRequested | number of octets to return |

Table 69 — TPM2_GetRandom Response

| Type | Name | Description |
|--------------|--------------|-------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_DIGEST | randomBytes | the random octets |

16.1.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "GetRandom_fp.h"
3  #if CC_GetRandom // Conditional expansion of this file
4  TPM_RC
5  TPM2_GetRandom(
6      GetRandom_In    *in,           // IN: input parameter list
7      GetRandom_Out   *out          // OUT: output parameter list
8  )
9  {
10 // Command Output
11
12 // if the requested bytes exceed the output buffer size, generates the
13 // maximum bytes that the output buffer allows
14 if(in->bytesRequested > sizeof(TPMU_HA))
15     out->randomBytes.t.size = sizeof(TPMU_HA);
16 else
17     out->randomBytes.t.size = in->bytesRequested;
18
19 CryptRandomGenerate(out->randomBytes.t.size, out->randomBytes.t.buffer);
20
21 return TPM_RC_SUCCESS;
22 }
23 #endif // CC_GetRandom
```

16.2 TPM2_StirRandom

16.2.1 General Description

This command is used to add "additional information" to the RNG state.

NOTE The "additional information" is as defined in SP800-90A.

The *inData* parameter may not be larger than 128 octets.

16.2.2 Command and Response

Table 70 — TPM2_StirRandom Command

| Type | Name | Description |
|----------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_StirRandom {NV} |
| TPM2B_SENSITIVE_DATA | inData | additional information |

Table 71 — TPM2_StirRandom Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

16.2.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "StirRandom_fp.h"
3  #if CC_StirRandom // Conditional expansion of this file
4  TPM_RC
5  TPM2_StirRandom(
6      StirRandom_In *in           // IN: input parameter list
7  )
8  {
9  // Internal Data Update
10     CryptRandomStir(in->inData.t.size, in->inData.t.buffer);
11
12     return TPM_RC_SUCCESS;
13 }
14 #endif // CC_StirRandom
```

17 Hash/HMAC/Event Sequences

17.1 Introduction

All of the commands in this group are to support sequences for which an intermediate state must be maintained. For a description of sequences, see “Hash, MAC, and Event Sequences” in TPM 2.0 Part 1.

A TPM may implement either TPM2_HMAC_Start() or TPM2_MAC_Start() but not both as they have the same command code and there is no way to distinguish them. A TPM that supports TPM2_MAC_Start() will support any code that was written to use TPM2_HMAC_Start() but a TPM that supports TPM2_HMAC_Start() will not support a MAC based on symmetric block ciphers.

17.2 TPM2_HMAC_Start

17.2.1 General Description

This command starts an HMAC sequence. The TPM will create and initialize an HMAC sequence structure, assign a handle to the sequence, and set the *authValue* of the sequence object to the value in *auth*.

NOTE 1 The structure of a sequence object is vendor-dependent.

The caller shall provide proper authorization for use of *handle*.

If the *sign* attribute is not SET in the key referenced by *handle* then the TPM shall return TPM_RC_KEY. If the key type is not TPM_ALG_KEYEDHASH then the TPM shall return TPM_RC_TYPE. If the key referenced by *handle* has the *restricted* attribute SET, the TPM shall return TPM_RC_ATTRIBUTES.

NOTE 2 For symmetric signing with a restricted key, see TPM2_Sign.

If the default scheme of the key referenced by *handle* is not TPM_ALG_NULL, then the *hashAlg* parameter is required to be either the same as the key’s default or TPM_ALG_NULL (TPM_RC_VALUE). If the default scheme of the key is TPM_ALG_NULL, then *hashAlg* is required to be a valid hash and not TPM_ALG_NULL (TPM_RC_VALUE).

Table 72 — Hash Selection Matrix

| <i>handle</i> → <i>restricted</i> (key's restricted attribute) | <i>handle</i> → <i>scheme</i> (hash algorithm from key's scheme) | <i>hashAlg</i> | hash used |
|---|---|---|---|
| CLEAR (unrestricted) | TPM_ALG_NULL ⁽¹⁾ | TPM_ALG_NULL | error ⁽¹⁾ (TPM_RC_VALUE) |
| CLEAR | TPM_ALG_NULL | valid hash | <i>hashAlg</i> |
| CLEAR | valid hash | TPM_ALG_NULL or same as <i>handle</i> → <i>scheme</i> | <i>handle</i> → <i>scheme</i> |
| CLEAR | valid hash | valid hash | error (TPM_RC_VALUE) if <i>hashAlg</i> != <i>handle</i> → <i>scheme</i> |
| SET (restricted) | don't care | don't care | TPM_RC_ATTRIBUTES |
| NOTES: | | | |
| 1) A hash algorithm is required for the HMAC. | | | |

NOTE 1 A TPM may implement either TPM2_HMAC_Start() or TPM2_MAC_Start() but not both, as they have the same command code and there is no way to distinguish them. A TPM that supports TPM2_MAC_Start() will support any code that was written to use TPM2_HMAC_Start(), but a TPM that supports TPM2_HMAC_Start() will not support a MAC based on symmetric block ciphers.

17.2.2 Command and Response

Table 73 — TPM2_HMAC_Start Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_HMAC_Start |
| TPMI_DH_OBJECT | @handle | handle of an HMAC key Auth Index: 1 Auth Role: USER |
| TPM2B_AUTH | auth | authorization value for subsequent use of the sequence |
| TPMI_ALG_HASH+ | hashAlg | the hash algorithm to use for the HMAC |

Table 74 — TPM2_HMAC_Start Response

| Type | Name | Description |
|----------------|----------------|------------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMI_DH_OBJECT | sequenceHandle | a handle to reference the sequence |

17.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "HMAC_Start_fp.h"
3  #if CC_HMAC_Start // Conditional expansion of this file

```

| Error Returns | Meaning |
|----------------------|--|
| TPM_RC_ATTRIBUTES | key referenced by <i>handle</i> is not a signing key or is restricted |
| TPM_RC_OBJECT_MEMORY | no space to create an internal object |
| TPM_RC_KEY | key referenced by <i>handle</i> is not an HMAC key |
| TPM_RC_VALUE | <i>hashAlg</i> is not compatible with the hash algorithm of the scheme of the object referenced by <i>handle</i> |

```

4  TPM_RC
5  TPM2_HMAC_Start(
6      HMAC_Start_In  *in,           // IN: input parameter list
7      HMAC_Start_Out *out          // OUT: output parameter list
8  )
9  {
10     OBJECT          *keyObject;
11     TPMT_PUBLIC     *publicArea;
12     TPM_ALG_ID      hashAlg;
13
14     // Input Validation
15
16     // Get HMAC key object and public area pointers
17     keyObject = HandleToObject(in->handle);
18     publicArea = &keyObject->publicArea;
19
20     // Make sure that the key is an HMAC key
21     if(publicArea->type != TPM_ALG_KEYEDHASH)
22         return TPM_RCS_TYPE + RC_HMAC_Start_handle;
23
24     // and that it is unrestricted
25     if(IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, restricted))
26         return TPM_RCS_ATTRIBUTES + RC_HMAC_Start_handle;
27
28     // and that it is a signing key
29     if(!IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, sign))
30         return TPM_RCS_KEY + RC_HMAC_Start_handle;
31
32     // See if the key has a default
33     if(publicArea->parameters.keyedHashDetail.scheme.scheme == TPM_ALG_NULL)
34         // it doesn't so use the input value
35         hashAlg = in->hashAlg;
36     else
37     {
38         // key has a default so use it
39         hashAlg
40             = publicArea->parameters.keyedHashDetail.scheme.details.hmac.hashAlg;
41         // and verify that the input was either the TPM_ALG_NULL or the default
42         if(in->hashAlg != TPM_ALG_NULL && in->hashAlg != hashAlg)
43             hashAlg = TPM_ALG_NULL;
44     }
45     // if we ended up without a hash algorithm then return an error
46     if(hashAlg == TPM_ALG_NULL)
47         return TPM_RCS_VALUE + RC_HMAC_Start_hashAlg;
48
49     // Internal Data Update
50

```

```
51     // Create a HMAC sequence object. A TPM_RC_OBJECT_MEMORY error may be
52     // returned at this point
53     return ObjectCreateHMACSequence(hashAlg,
54                                     keyObject,
55                                     &in->auth,
56                                     &out->sequenceHandle);
57 }
58 #endif // CC_HMAC_Start
```

17.3 TPM2_MAC_Start

17.3.1 General Description

This command starts a MAC sequence. The TPM will create and initialize a MAC sequence structure, assign a handle to the sequence, and set the *authValue* of the sequence object to the value in *auth*.

NOTE 1 The structure of a sequence object is vendor-dependent.

The caller shall provide proper authorization for use of *handle*.

If the *sign* attribute is not SET in the key referenced by *handle* then the TPM shall return TPM_RC_KEY. If the key type is not TPM_ALG_KEYEDHASH or TPM_ALG_SYMCIPHER then the TPM shall return TPM_RC_TYPE. If the key referenced by *handle* has the *restricted* attribute SET, the TPM shall return TPM_RC_ATTRIBUTES.

NOTE 2 For symmetric signing with a restricted key, see TPM2_Sign.

If the default scheme of the key referenced by *handle* is not TPM_ALG_NULL, then the *inScheme* parameter is required to be either the same as the key's default or TPM_ALG_NULL (TPM_RC_VALUE). If the default scheme of the key is TPM_ALG_NULL, then *inScheme* is required to be a valid hash or symmetric MAC scheme and not TPM_ALG_NULL (TPM_RC_VALUE).

Table 75 — Algorithm Selection Matrix

| <i>handle</i> → <i>restricted</i> (key's restricted attribute) | <i>handle</i> → <i>scheme</i> (algorithm from key's scheme) | <i>inScheme</i> | algorithm used |
|--|--|---|---|
| CLEAR (unrestricted) | TPM_ALG_NULL ⁽¹⁾ | TPM_ALG_NULL | error ⁽¹⁾ (TPM_RC_VALUE) |
| CLEAR | TPM_ALG_NULL | valid hash or symmetric MAC | <i>inScheme</i> |
| CLEAR | not TPM_ALG_NULL | TPM_ALG_NULL or same as <i>handle</i> → <i>scheme</i> | <i>handle</i> → <i>scheme</i> |
| CLEAR | not TPM_ALG_NULL | not TPM_ALG_NULL | error (TPM_RC_VALUE) if <i>inScheme</i> ≠ <i>handle</i> → <i>scheme</i> |
| SET (restricted) | don't care | don't care | TPM_RC_ATTRIBUTES |
| NOTES: 1) A hash algorithm is required for the HMAC. 2) hashAlg shall be TPM_ALG_NULL for handle referencing a CMAC key. | | | |

NOTE 3 For a TPM_ALG_SYMCIPHER key, the symmetric block cipher algorithm is part of the key definition.

NOTE 4 TPM2_MAC_Start() was added in revision 01.43.

17.3.2 Command and Response

Table 76 — TPM2_MAC_Start Command

| Type | Name | Description |
|----------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_MAC_Start |
| TPMI_DH_OBJECT | @handle | handle of a MAC key Auth Index: 1 Auth Role: USER |
| TPM2B_AUTH | auth | authorization value for subsequent use of the sequence |
| TPMI_ALG_MAC_SCHEME+ | inScheme | the algorithm to use for the MAC |

Table 77 — TPM2_MAC_Start Response

| Type | Name | Description |
|----------------|----------------|------------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMI_DH_OBJECT | sequenceHandle | a handle to reference the sequence |

17.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "MAC_Start_fp.h"
3  #if CC_MAC_Start // Conditional expansion of this file

```

| Error Returns | Meaning |
|----------------------|--|
| TPM_RC_ATTRIBUTES | key referenced by <i>handle</i> is not a signing key or is restricted |
| TPM_RC_OBJECT_MEMORY | no space to create an internal object |
| TPM_RC_KEY | key referenced by <i>handle</i> is not an HMAC key |
| TPM_RC_VALUE | <i>hashAlg</i> is not compatible with the hash algorithm of the scheme of the object referenced by <i>handle</i> |

```

4  TPM_RC
5  TPM2_MAC_Start(
6      MAC_Start_In  *in,           // IN: input parameter list
7      MAC_Start_Out *out          // OUT: output parameter list
8  )
9  {
10     OBJECT          *keyObject;
11     TPMT_PUBLIC     *publicArea;
12     TPM_RC          result;
13
14     // Input Validation
15
16     // Get HMAC key object and public area pointers
17     keyObject = HandleToObject(in->handle);
18     publicArea = &keyObject->publicArea;
19
20     // Make sure that the key can do what is required
21     result = CryptSelectMac(publicArea, &in->inScheme);
22     // If the key is not able to do a MAC, indicate that the handle selects an
23     // object that can't do a MAC
24     if(result == TPM_RCS_TYPE)
25         return TPM_RCS_TYPE + RC_MAC_Start_handle;
26     // If there is another error type, indicate that the scheme and key are not
27     // compatible
28     if(result != TPM_RC_SUCCESS)
29         return RcSafeAddToResult(result, RC_MAC_Start_inScheme);
30     // Make sure that the key is not restricted
31     if(IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, restricted))
32         return TPM_RCS_ATTRIBUTES + RC_MAC_Start_handle;
33     // and that it is a signing key
34     if(!IS_ATTRIBUTE(publicArea->objectAttributes, TPMA_OBJECT, sign))
35         return TPM_RCS_KEY + RC_MAC_Start_handle;
36
37     // Internal Data Update
38     // Create a HMAC sequence object. A TPM_RC_OBJECT_MEMORY error may be
39     // returned at this point
40     return ObjectCreateHMACSequence(in->inScheme,
41                                     keyObject,
42                                     &in->auth,
43                                     &out->sequenceHandle);
44 }
45 #endif // CC_MAC_Start

```

17.4 TPM2_HashSequenceStart

17.4.1 General Description

This command starts a hash or an Event Sequence. If *hashAlg* is an implemented hash, then a hash sequence is started. If *hashAlg* is TPM_ALG_NULL, then an Event Sequence is started. If *hashAlg* is neither an implemented algorithm nor TPM_ALG_NULL, then the TPM shall return TPM_RC_HASH.

Depending on *hashAlg*, the TPM will create and initialize a Hash Sequence context or an Event Sequence context. Additionally, it will assign a handle to the context and set the *authValue* of the context to the value in *auth*. A sequence context for an Event (*hashAlg* = TPM_ALG_NULL) contains a hash context for each of the PCR banks implemented on the TPM.

17.4.2 Command and Response

Table 78 — TPM2_HashSequenceStart Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_HashSequenceStart |
| TPM2B_AUTH | auth | authorization value for subsequent use of the sequence |
| TPMI_ALG_HASH+ | hashAlg | the hash algorithm to use for the hash sequence An Event Sequence starts if this is TPM_ALG_NULL. |

Table 79 — TPM2_HashSequenceStart Response

| Type | Name | Description |
|----------------|----------------|------------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMI_DH_OBJECT | sequenceHandle | a handle to reference the sequence |

17.4.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "HashSequenceStart_fp.h"
3  #if CC_HashSequenceStart // Conditional expansion of this file

```

| Error Returns | Meaning |
|----------------------|---------------------------------------|
| TPM_RC_OBJECT_MEMORY | no space to create an internal object |

```

4  TPM_RC
5  TPM2_HashSequenceStart(
6      HashSequenceStart_In  *in,           // IN: input parameter list
7      HashSequenceStart_Out *out          // OUT: output parameter list
8  )
9  {
10 // Internal Data Update
11
12     if(in->hashAlg == TPM_ALG_NULL)
13         // Start a event sequence. A TPM_RC_OBJECT_MEMORY error may be
14         // returned at this point
15         return ObjectCreateEventSequence(&in->auth, &out->sequenceHandle);
16
17     // Start a hash sequence. A TPM_RC_OBJECT_MEMORY error may be
18     // returned at this point
19     return ObjectCreateHashSequence(in->hashAlg, &in->auth, &out->sequenceHandle);
20 }
21 #endif // CC_HashSequenceStart

```

17.5 TPM2_SequenceUpdate

17.5.1 General Description

This command is used to add data to a hash or HMAC sequence. The amount of data in buffer may be any size up to the limits of the TPM.

NOTE 1 In all TPM, a *buffer* size of 1,024 octets is allowed.

Proper authorization for the sequence object associated with *sequenceHandle* is required. If an authorization or audit of this command requires computation of a *cpHash* and an *rpHash*, the Name associated with *sequenceHandle* will be the Empty Buffer.

If the command does not return TPM_RC_SUCCESS, the state of the sequence is unmodified.

If the sequence is intended to produce a digest that will be signed by a restricted signing key, then the first block of data shall contain sizeof(TPM_GENERATED) octets and the first octets shall not be TPM_GENERATED_VALUE.

NOTE 2 This requirement allows the TPM to validate that the first block is safe to sign without having to accumulate octets over multiple calls.

17.5.2 Command and Response

Table 80 — TPM2_SequenceUpdate Command

| Type | Name | Description |
|---------------------|-----------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_SequenceUpdate |
| TPMI_DH_OBJECT | @sequenceHandle | handle for the sequence object Auth Index: 1 Auth Role: USER |
| TPM2B_MAX_BUFFER | buffer | data to be added to hash |

Table 81 — TPM2_SequenceUpdate Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

17.5.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "SequenceUpdate_fp.h"
3  #if CC_SequenceUpdate // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_MODE | <i>sequenceHandle</i> does not reference a hash or HMAC sequence object |

```

4  TPM_RC
5  TPM2_SequenceUpdate(
6      SequenceUpdate_In  *in          // IN: input parameter list
7  )
8  {
9      OBJECT              *object;
10     HASH_OBJECT         *hashObject;
11
12     // Input Validation
13
14     // Get sequence object pointer
15     object = HandleToObject(in->sequenceHandle);
16     hashObject = (HASH_OBJECT *)object;
17
18     // Check that referenced object is a sequence object.
19     if(!ObjectIsSequence(object))
20         return TPM_RCS_MODE + RC_SequenceUpdate_sequenceHandle;
21
22     // Internal Data Update
23
24     if(object->attributes.eventSeq == SET)
25     {
26         // Update event sequence object
27         UINT32          i;
28         for(i = 0; i < HASH_COUNT; i++)
29         {
30             // Update sequence object
31             CryptDigestUpdate2B(&hashObject->state.hashState[i], &in->buffer.b);
32         }
33     }
34     else
35     {
36         // Update hash/HMAC sequence object
37         if(hashObject->attributes.hashSeq == SET)
38         {
39             // Is this the first block of the sequence
40             if(hashObject->attributes.firstBlock == CLEAR)
41             {
42                 // If so, indicate that first block was received
43                 hashObject->attributes.firstBlock = SET;
44
45                 // Check the first block to see if the first block can contain
46                 // the TPM_GENERATED_VALUE. If it does, it is not safe for
47                 // a ticket.
48                 if(TicketIsSafe(&in->buffer.b))
49                     hashObject->attributes.ticketSafe = SET;
50             }
51             // Update sequence object hash/HMAC stack
52             CryptDigestUpdate2B(&hashObject->state.hashState[0], &in->buffer.b);
53         }
54         else if(object->attributes.hmacSeq == SET)
55         {

```

```
56         // Update sequence object HMAC stack
57         CryptDigestUpdate2B(&hashObject->state.hmacState.hashState,
58                             &in->buffer.b);
59     }
60 }
61 return TPM_RC_SUCCESS;
62 }
63 #endif // CC_SequenceUpdate
```

17.6 TPM2_SequenceComplete

17.6.1 General Description

This command adds the last part of data, if any, to a hash/HMAC sequence and returns the result.

NOTE 1 This command is not used to complete an Event Sequence. TPM2_EventSequenceComplete() is used for that purpose.

For a hash sequence, if the results of the hash will be used in a signing operation that uses a restricted signing key, then the ticket returned by this command can indicate that the hash is safe to sign.

If the *digest* is not safe to sign, then *validation* will be a TPMT_TK_HASHCHECK with the hierarchy set to TPM_RH_NULL and *digest* set to the Empty Buffer.

If *hierarchy* is TPM_RH_NULL, then *digest* in the ticket will be the Empty Buffer.

NOTE 2 Regardless of the contents of the first octets of the hashed message, if the first buffer sent to the TPM had fewer than sizeof(TPM_GENERATED) octets, then the TPM will operate as if *digest* is not safe to sign.

NOTE 3 The ticket is only required for a signing operation that uses a restricted signing key. It is always returned, but can be ignored if not needed.

If *sequenceHandle* references an Event Sequence, then the TPM shall return TPM_RC_MODE.

Proper authorization for the sequence object associated with *sequenceHandle* is required. If an authorization or audit of this command requires computation of a *cpHash* and an *rpHash*, the Name associated with *sequenceHandle* will be the Empty Buffer.

If this command completes successfully, the *sequenceHandle* object will be flushed.

17.6.2 Command and Response

Table 82 — TPM2_SequenceComplete Command

| Type | Name | Description |
|---------------------|-----------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_SequenceComplete {F} |
| TPMI_DH_OBJECT | @sequenceHandle | authorization for the sequence Auth Index: 1 Auth Role: USER |
| TPM2B_MAX_BUFFER | buffer | data to be added to the hash/HMAC |
| TPMI_RH_HIERARCHY+ | hierarchy | hierarchy of the ticket for a hash |

Table 83 — TPM2_SequenceComplete Response

| Type | Name | Description |
|-------------------|--------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_DIGEST | result | the returned HMAC or digest in a sized buffer |
| TPMT_TK_HASHCHECK | validation | ticket indicating that the sequence of octets used to compute <i>outDigest</i> did not start with TPM_GENERATED_VALUE This is a NULL Ticket when the sequence is HMAC. |

17.6.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "SequenceComplete_fp.h"
3  #if CC_SequenceComplete // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_MODE | <i>sequenceHandle</i> does not reference a hash or HMAC sequence object |

```

4  TPM_RC
5  TPM2_SequenceComplete(
6      SequenceComplete_In *in, // IN: input parameter list
7      SequenceComplete_Out *out // OUT: output parameter list
8  )
9  {
10     HASH_OBJECT *hashObject;
11     // Input validation
12     // Get hash object pointer
13     hashObject = (HASH_OBJECT *)HandleToObject(in->sequenceHandle);
14
15     // input handle must be a hash or HMAC sequence object.
16     if(hashObject->attributes.hashSeq == CLEAR
17        && hashObject->attributes.hmacSeq == CLEAR)
18         return TPM_RCS_MODE + RC_SequenceComplete_sequenceHandle;
19     // Command Output
20     if(hashObject->attributes.hashSeq == SET) // sequence object for hash
21     {
22         // Get the hash algorithm before the algorithm is lost in CryptHashEnd
23         TPM_ALG_ID hashAlg = hashObject->state.hashState[0].hashAlg;
24
25         // Update last piece of the data
26         CryptDigestUpdate2B(&hashObject->state.hashState[0], &in->buffer.b);
27
28         // Complete hash
29         out->result.t.size = CryptHashEnd(&hashObject->state.hashState[0],
30                                         sizeof(out->result.t.buffer),
31                                         out->result.t.buffer);
32         // Check if the first block of the sequence has been received
33         if(hashObject->attributes.firstBlock == CLEAR)
34         {
35             // If not, then this is the first block so see if it is 'safe'
36             // to sign.
37             if(TicketIsSafe(&in->buffer.b))
38                 hashObject->attributes.ticketSafe = SET;
39         }
40         // Output ticket
41         out->validation.tag = TPM_ST_HASHCHECK;
42         out->validation.hierarchy = in->hierarchy;
43
44         if(in->hierarchy == TPM_RH_NULL)
45         {
46             // Ticket is not required
47             out->validation.digest.t.size = 0;
48         }
49         else if(hashObject->attributes.ticketSafe == CLEAR)
50         {
51             // Ticket is not safe to generate
52             out->validation.hierarchy = TPM_RH_NULL;
53             out->validation.digest.t.size = 0;
54         }
55         else

```



```
56     {
57         // Compute ticket
58         TicketComputeHashCheck(out->validation.hierarchy, hashAlg,
59                               &out->result, &out->validation);
60     }
61 }
62 else
63 {
64     // Update last piece of data
65     CryptDigestUpdate2B(&hashObject->state.hmacState.hashState, &in->buffer.b);
66 #if !SMAC_IMPLEMENTED
67     // Complete HMAC
68     out->result.t.size = CryptHmacEnd(&(hashObject->state.hmacState),
69                                     sizeof(out->result.t.buffer),
70                                     out->result.t.buffer);
71 #else
72     // Complete the MAC
73     out->result.t.size = CryptMacEnd(&hashObject->state.hmacState,
74                                     sizeof(out->result.t.buffer),
75                                     out->result.t.buffer);
76 #endif
77     // No ticket is generated for HMAC sequence
78     out->validation.tag = TPM_ST_HASHCHECK;
79     out->validation.hierarchy = TPM_RH_NULL;
80     out->validation.digest.t.size = 0;
81 }
82 // Internal Data Update
83 // mark sequence object as evict so it will be flushed on the way out
84 hashObject->attributes.evict = SET;
85
86 return TPM_RC_SUCCESS;
87 }
88 #endif // CC_SequenceComplete
```

17.7 TPM2_EventSequenceComplete

17.7.1 General Description

This command adds the last part of data, if any, to an Event Sequence and returns the result in a digest list. If *pcrHandle* references a PCR and not TPM_RH_NULL, then the returned digest list is processed in the same manner as the digest list input parameter to TPM2_PCR_Extend(). That is, if a bank contains a PCR associated with *pcrHandle*, it is extended with the associated digest value from the list.

If *sequenceHandle* references a hash or HMAC sequence, the TPM shall return TPM_RC_MODE.

Proper authorization for the sequence object associated with *sequenceHandle* is required. If an authorization or audit of this command requires computation of a *cpHash* and an *rpHash*, the Name associated with *sequenceHandle* will be the Empty Buffer.

If this command completes successfully, the *sequenceHandle* object will be flushed.

NOTE: Unlike TPM2_PCR_Event(), a digest is always returned for each implemented hash algorithm. There is no option to only return digests for which *pcrHandle* is allocated.

17.7.2 Command and Response

Table 84 — TPM2_EventSequenceComplete Command

| Type | Name | Description |
|---------------------|-----------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_EventSequenceComplete {NV F} |
| TPMI_DH_PCR+ | @pcrHandle | PCR to be extended with the Event data Auth Index: 1 Auth Role: USER |
| TPMI_DH_OBJECT | @sequenceHandle | authorization for the sequence Auth Index: 2 Auth Role: USER |
| TPM2B_MAX_BUFFER | buffer | data to be added to the Event |

Table 85 — TPM2_EventSequenceComplete Response

| Type | Name | Description |
|--------------------|--------------|--------------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPML_DIGEST_VALUES | results | list of digests computed for the PCR |

17.7.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "EventSequenceComplete_fp.h"
3  #if CC_EventSequenceComplete // Conditional expansion of this file

```

| Error Returns | Meaning |
|-----------------|--|
| TPM_RC_LOCALITY | PCR extension is not allowed at the current locality |
| TPM_RC_MODE | input handle is not a valid event sequence object |

```

4  TPM_RC
5  TPM2_EventSequenceComplete(
6      EventSequenceComplete_In    *in,           // IN: input parameter list
7      EventSequenceComplete_Out    *out          // OUT: output parameter list
8  )
9  {
10     HASH_OBJECT    *hashObject;
11     UINT32         i;
12     TPM_ALG_ID     hashAlg;
13     // Input validation
14     // get the event sequence object pointer
15     hashObject = (HASH_OBJECT *)HandleToObject(in->sequenceHandle);
16
17     // input handle must reference an event sequence object
18     if(hashObject->attributes.eventSeq != SET)
19         return TPM_RC_MODE + RC_EventSequenceComplete_sequenceHandle;
20
21     // see if a PCR extend is requested in call
22     if(in->pcrHandle != TPM_RH_NULL)
23     {
24         // see if extend of the PCR is allowed at the locality of the command,
25         if(!PCRIsExtendAllowed(in->pcrHandle))
26             return TPM_RC_LOCALITY;
27         // if an extend is going to take place, then check to see if there has
28         // been an orderly shutdown. If so, and the selected PCR is one of the
29         // state saved PCR, then the orderly state has to change. The orderly state
30         // does not change for PCR that are not preserved.
31         // NOTE: This doesn't just check for Shutdown(STATE) because the orderly
32         // state will have to change if this is a state-saved PCR regardless
33         // of the current state. This is because a subsequent Shutdown(STATE) will
34         // check to see if there was an orderly shutdown and not do anything if
35         // there was. So, this must indicate that a future Shutdown(STATE) has
36         // something to do.
37         if(PCRIsStateSaved(in->pcrHandle))
38             RETURN_IF_ORDERLY;
39     }
40     // Command Output
41     out->results.count = 0;
42
43     for(i = 0; i < HASH_COUNT; i++)
44     {
45         hashAlg = CryptHashGetAlgByIndex(i);
46         // Update last piece of data
47         CryptDigestUpdate2B(&hashObject->state.hashState[i], &in->buffer.b);
48         // Complete hash
49         out->results.digests[out->results.count].hashAlg = hashAlg;
50         CryptHashEnd(&hashObject->state.hashState[i],
51                     CryptHashGetDigestSize(hashAlg),
52                     (BYTE *)&out->results.digests[out->results.count].digest);
53         // Extend PCR
54         if(in->pcrHandle != TPM_RH_NULL)

```

```
55         PCRExtend(in->pcrHandle, hashAlg,
56                 CryptHashGetDigestSize(hashAlg),
57                 (BYTE *) &out->results.digests[out->results.count].digest);
58         out->results.count++;
59     }
60     // Internal Data Update
61     // mark sequence object as evict so it will be flushed on the way out
62     hashObject->attributes.evict = SET;
63
64     return TPM_RC_SUCCESS;
65 }
66 #endif // CC_EventSequenceComplete
```

18 Attestation Commands

18.1 Introduction

The attestation commands cause the TPM to sign an internally generated data structure. The contents of the data structure vary according to the command.

If the *sign* attribute is not SET in the key referenced by *signHandle* then the TPM shall return TPM_RC_KEY.

All signing commands include a parameter (typically *inScheme*) for the caller to specify a scheme to be used for the signing operation. This scheme will be applied only if the scheme of the key is TPM_ALG_NULL or the key handle is TPM_RH_NULL. If the scheme for *signHandle* is not TPM_ALG_NULL, then *inScheme.scheme* shall be TPM_ALG_NULL or the same as *scheme* in the public area of the key. If the scheme for *signHandle* is TPM_ALG_NULL or the key handle is TPM_RH_NULL, then *inScheme* will be used for the signing operation and may not be TPM_ALG_NULL. The TPM shall return TPM_RC_SCHEME to indicate that the scheme is not appropriate.

For a signing key that is not restricted, the caller may specify the scheme to be used as long as the scheme is compatible with the family of the key (for example, TPM_ALG_RSAPSS cannot be selected for an ECC key). If the caller sets *scheme* to TPM_ALG_NULL, then the default scheme of the key is used. For a restricted signing key, the key's scheme cannot be TPM_ALG_NULL and cannot be overridden.

If the handle for the signing key (*signHandle*) is TPM_RH_NULL, then all of the actions of the command are performed and the attestation block is “signed” with the NULL Signature.

NOTE 1 This mechanism is provided so that additional commands are not required to access the data that might be in an attestation structure.

NOTE 2 When *signHandle* is TPM_RH_NULL, *scheme* is still required to be a valid signing scheme (may be TPM_ALG_NULL), but the scheme will have no effect on the format of the signature. It will always be the NULL Signature.

TPM2_NV_Certify() is an attestation command that is documented in 1. The remaining attestation commands are collected in the remainder of this clause.

Each of the attestation structures contains a TPMS_CLOCK_INFO structure and a firmware version number. These values may be considered privacy-sensitive, because they would aid in the correlation of attestations by different keys. To provide improved privacy, the *resetCount*, *restartCount*, and *firmwareVersion* numbers are obfuscated when the signing key is not in the Endorsement or Platform hierarchies.

The obfuscation value is computed by:

$$\text{obfuscation} := \text{KDFa}(\text{signHandle} \rightarrow \text{nameAlg}, \text{shProof}, \text{“OBFUSCATE”}, \text{signHandle} \rightarrow \text{QN}, 0, 128) \quad (3)$$

Of the returned 128 bits, 64 bits are added to the *versionNumber* field of the attestation structure; 32 bits are added to the *clockInfo.resetCount* and 32 bits are added to the *clockInfo.restartCount*. The order in which the bits are added is implementation-dependent.

NOTE 3 The obfuscation value for each signing key will be unique to that key in a specific location. That is, each version of a duplicated signing key will have a different obfuscation value.

When the signing key is TPM_RH_NULL, the data structure is produced but not signed; and the values in the signed data structure are obfuscated. When computing the obfuscation value for TPM_RH_NULL, the hash used for context integrity is used.

NOTE 4 The QN for TPM_RH_NULL is TPM_RH_NULL.

If the signing scheme of *signHandle* is an anonymous scheme, then the attestation blocks will not contain the Qualified Name of the *signHandle*.

Each of the attestation structures allows the caller to provide some qualifying data (*qualifyingData*). For most signing schemes, this value will be placed in the TPMS_ATTEST.*extraData* parameter that is then hashed and signed. However, for some schemes such as ECDA, the *qualifyingData* is used in a different manner (for details, see “ECDA” in TPM 2.0 Part 1).

18.2 TPM2_Certify

18.2.1 General Description

The purpose of this command is to prove that an object with a specific Name is loaded in the TPM. By certifying that the object is loaded, the TPM warrants that a public area with a given Name is self-consistent and associated with a valid sensitive area. If a relying party has a public area that has the same Name as a Name certified with this command, then the values in that public area are correct.

NOTE 1 See 18.1 for description of how the signing scheme is selected.

Authorization for *objectHandle* requires ADMIN role authorization. If performed with a policy session, the session shall have a *policySession*→*commandCode* set to TPM_CC_Certify. This indicates that the policy that is being used is a policy that is for certification, and not a policy that would approve another use. That is, authority to use an object does not grant authority to certify the object.

The object may be any object that is loaded with TPM2_Load() or TPM2_CreatePrimary(). An object that only has its public area loaded cannot be certified.

NOTE 2 The restriction occurs because the Name is used to identify the object being certified. If the TPM has not validated that the public area is associated with a matched sensitive area, then the public area may not represent a valid object and cannot be certified.

The certification includes the Name and Qualified Name of the certified object as well as the Name and the Qualified Name of the certifying object.

NOTE 3 If *signHandle* is TPM_RH_NULL, the TPMS_ATTEST structure is returned and *signature* is a NULL Signature.

18.2.2 Command and Response

Table 86 — TPM2_Certify Command

| Type | Name | Description |
|---------------------|----------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Certify |
| TPMI_DH_OBJECT | @objectHandle | handle of the object to be certified Auth Index: 1 Auth Role: ADMIN |
| TPMI_DH_OBJECT+ | @signHandle | handle of the key used to sign the attestation structure Auth Index: 2 Auth Role: USER |
| TPM2B_DATA | qualifyingData | user provided qualifying data |
| TPMT_SIG_SCHEME+ | inScheme | signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL |

Table 87 — TPM2_Certify Response

| Type | Name | Description |
|----------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | . |
| TPM2B_ATTEST | certifyInfo | the structure that was signed |
| TPMT_SIGNATURE | signature | the asymmetric signature over <i>certifyInfo</i> using the key referenced by <i>signHandle</i> |

18.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "Certify_fp.h"
4  #if CC_Certify // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_KEY | key referenced by <i>signHandle</i> is not a signing key |
| TPM_RC_SCHEME | <i>inScheme</i> is not compatible with <i>signHandle</i> |
| TPM_RC_VALUE | digest generated for <i>inScheme</i> is greater or has larger size than the modulus of <i>signHandle</i> , or the buffer for the result in <i>signature</i> is too small (for an RSA key); invalid commit status (for an ECC key with a split scheme) |

```

5  TPM_RC
6  TPM2_Certify(
7      Certify_In      *in,          // IN: input parameter list
8      Certify_Out     *out         // OUT: output parameter list
9  )
10 {
11     TPMS_ATTEST      certifyInfo;
12     OBJECT           *signObject = HandleToObject(in->signHandle);
13     OBJECT           *certifiedObject = HandleToObject(in->objectHandle);
14     // Input validation
15     if(!IsSigningObject(signObject))
16         return TPM_RC_KEY + RC_Certify_signHandle;
17     if(!CryptSelectSignScheme(signObject, &in->inScheme))
18         return TPM_RC_SCHEME + RC_Certify_inScheme;
19
20     // Command Output
21     // Filling in attest information
22     // Common fields
23     FillInAttestInfo(in->signHandle, &in->inScheme, &in->qualifyingData,
24                       &certifyInfo);
25
26     // Certify specific fields
27     certifyInfo.type = TPM_ST_ATTEST_CERTIFY;
28     // NOTE: the certified object is not allowed to be TPM_ALG_NULL so
29     // 'certifiedObject' will never be NULL
30     certifyInfo.attested.certify.name = certifiedObject->name;
31
32     // When using an anonymous signing scheme, need to set the qualified Name to the
33     // empty buffer to avoid correlation between keys
34     if(CryptIsSchemeAnonymous(in->inScheme.scheme))
35         certifyInfo.attested.certify.qualifiedName.t.size = 0;
36     else
37         certifyInfo.attested.certify.qualifiedName = certifiedObject->qualifiedName;
38
39     // Sign attestation structure. A NULL signature will be returned if
40     // signHandle is TPM_RH_NULL. A TPM_RC_NV_UNAVAILABLE, TPM_RC_NV_RATE,
41     // TPM_RC_VALUE, TPM_RC_SCHEME or TPM_RC_ATTRIBUTES error may be returned
42     // by SignAttestInfo()
43     return SignAttestInfo(signObject, &in->inScheme, &certifyInfo,
44                           &in->qualifyingData, &out->certifyInfo, &out->signature);
45 }
46 #endif // CC_Certify

```

18.3 TPM2_CertifyCreation

18.3.1 General Description

This command is used to prove the association between an object and its creation data. The TPM will validate that the ticket was produced by the TPM and that the ticket validates the association between a loaded public area and the provided hash of the creation data (*creationHash*).

NOTE 1 See 18.1 for description of how the signing scheme is selected.

The TPM will create a test ticket using the Name associated with *objectHandle* and *creationHash* as:

$$\mathbf{HMAC}(\mathit{proof}, (\text{TPM_ST_CREATION} \parallel \mathit{objectHandle} \rightarrow \mathit{Name} \parallel \mathit{creationHash})) \quad (4)$$

This ticket is then compared to creation ticket. If the tickets are not the same, the TPM shall return TPM_RC_TICKET.

If the ticket is valid, then the TPM will create a TPMS_ATTEST structure and place *creationHash* of the command in the *creationHash* field of the structure. The Name associated with *objectHandle* will be included in the attestation data that is then signed using the key associated with *signHandle*.

NOTE 2 If *signHandle* is TPM_RH_NULL, the TPMS_ATTEST structure is returned and *signature* is a NULL Signature.

objectHandle may be any object that is loaded with TPM2_Load() or TPM2_CreatePrimary().

18.3.2 Command and Response

Table 88 — TPM2_CertifyCreation Command

| Type | Name | Description |
|---------------------|----------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_CertifyCreation |
| TPMI_DH_OBJECT+ | @signHandle | handle of the key that will sign the attestation block Auth Index: 1 Auth Role: USER |
| TPMI_DH_OBJECT | objectHandle | the object associated with the creation data Auth Index: None |
| TPM2B_DATA | qualifyingData | user-provided qualifying data |
| TPM2B_DIGEST | creationHash | hash of the creation data produced by TPM2_Create() or TPM2_CreatePrimary() |
| TPMT_SIG_SCHEME+ | inScheme | signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL |
| TPMT_TK_CREATION | creationTicket | ticket produced by TPM2_Create() or TPM2_CreatePrimary() |

Table 89 — TPM2_CertifyCreation Response

| Type | Name | Description |
|----------------|--------------|---------------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_ATTEST | certifyInfo | the structure that was signed |
| TPMT_SIGNATURE | signature | the signature over <i>certifyInfo</i> |

18.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "CertifyCreation_fp.h"
4  #if CC_CertifyCreation // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_KEY | key referenced by <i>signHandle</i> is not a signing key |
| TPM_RC_SCHEME | <i>inScheme</i> is not compatible with <i>signHandle</i> |
| TPM_RC_TICKET | <i>creationTicket</i> does not match <i>objectHandle</i> |
| TPM_RC_VALUE | digest generated for <i>inScheme</i> is greater or has larger size than the modulus of <i>signHandle</i> , or the buffer for the result in <i>signature</i> is too small (for an RSA key); invalid commit status (for an ECC key with a split scheme). |

```

5  TPM_RC
6  TPM2_CertifyCreation(
7      CertifyCreation_In      *in,          // IN: input parameter list
8      CertifyCreation_Out    *out,          // OUT: output parameter list
9  )
10 {
11     TPMT_TK_CREATION         ticket;
12     TPMS_ATTEST              certifyInfo;
13     OBJECT                   *certified = HandleToObject(in->objectHandle);
14     OBJECT                   *signObject = HandleToObject(in->signHandle);
15 // Input Validation
16     if(!IsSigningObject(signObject))
17         return TPM_RCS_KEY + RC_CertifyCreation_signHandle;
18     if(!CryptSelectSignScheme(signObject, &in->inScheme))
19         return TPM_RCS_SCHEME + RC_CertifyCreation_inScheme;
20
21     // CertifyCreation specific input validation
22     // Re-compute ticket
23     TicketComputeCreation(in->creationTicket.hierarchy, &certified->name,
24                          &in->creationHash, &ticket);
25     // Compare ticket
26     if(!MemoryEqual2B(&ticket.digest.b, &in->creationTicket.digest.b))
27         return TPM_RCS_TICKET + RC_CertifyCreation_creationTicket;
28
29 // Command Output
30 // Common fields
31     FillInAttestInfo(in->signHandle, &in->inScheme, &in->qualifyingData,
32                    &certifyInfo);
33
34 // CertifyCreation specific fields
35 // Attestation type
36     certifyInfo.type = TPM_ST_ATTEST_CREATION;
37     certifyInfo.attested.creation.objectName = certified->name;
38
39 // Copy the creationHash
40     certifyInfo.attested.creation.creationHash = in->creationHash;
41
42 // Sign attestation structure. A NULL signature will be returned if
43 // signObject is TPM_RH_NULL. A TPM_RC_NV_UNAVAILABLE, TPM_RC_NV_RATE,
44 // TPM_RC_VALUE, TPM_RC_SCHEME or TPM_RC_ATTRIBUTES error may be returned at
45 // this point
46     return SignAttestInfo(signObject, &in->inScheme, &certifyInfo,
47                          &in->qualifyingData, &out->certifyInfo,
48                          &out->signature);

```

```
49  }  
50  #endif // CC_CertifyCreation
```

18.4 TPM2_Quote

18.4.1 General Description

This command is used to quote PCR values.

The TPM will hash the list of PCR selected by *PCRselect* using the hash algorithm in the selected signing scheme. If the selected signing scheme or the scheme hash algorithm is TPM_ALG_NULL, then the TPM shall return TPM_RC_SCHEME.

NOTE 1 See 18.1 for description of how the signing scheme is selected.

The digest is computed as the hash of the concatenation of all of the digest values of the selected PCR.

The concatenation of PCR is described in TPM 2.0 Part 1, *Selecting Multiple PCR*.

NOTE 2 If *signHandle* is TPM_RH_NULL, the TPMS_ATTEST structure is returned and *signature* is a NULL Signature.

NOTE 3 A TPM may optionally return TPM_RC_SCHEME if *signHandle* is TPM_RH_NULL.

NOTE 4 Unlike TPM 1.2, TPM2_Quote does not return the PCR values. See Part 1, “Attesting to PCR” for a discussion of this issue.

18.4.2 Command and Response

Table 90 — TPM2_Quote Command

| Type | Name | Description |
|---------------------|----------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Quote |
| TPMI_DH_OBJECT+ | @signHandle | handle of key that will perform signature Auth Index: 1 Auth Role: USER |
| TPM2B_DATA | qualifyingData | data supplied by the caller |
| TPMT_SIG_SCHEME+ | inScheme | signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL |
| TPML_PCR_SELECTION | PCRselect | PCR set to quote |

Table 91 — TPM2_Quote Response

| Type | Name | Description |
|----------------|--------------|----------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_ATTEST | quoted | the quoted information |
| TPMT_SIGNATURE | signature | the signature over <i>quoted</i> |

18.4.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "Quote_fp.h"
4  #if CC_Quote // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_KEY | <i>signHandle</i> does not reference a signing key; |
| TPM_RC_SCHEME | the scheme is not compatible with sign key type, or input scheme is not compatible with default scheme, or the chosen scheme is not a valid sign scheme |

```

5  TPM_RC
6  TPM2_Quote(
7      Quote_In      *in,          // IN: input parameter list
8      Quote_Out     *out         // OUT: output parameter list
9  )
10 {
11     TPMS_ALG_HASH   hashAlg;
12     TPMS_ATTEST     quoted;
13     OBJECT          *signObject = HandleToObject(in->signHandle);
14 // Input Validation
15     if(!IsSigningObject(signObject))
16         return TPM_RCS_KEY + RC_Quote_signHandle;
17     if(!CryptSelectSignScheme(signObject, &in->inScheme))
18         return TPM_RCS_SCHEME + RC_Quote_inScheme;
19
20 // Command Output
21
22     // Filling in attest information
23     // Common fields
24     // FillInAttestInfo may return TPM_RC_SCHEME or TPM_RC_KEY
25     FillInAttestInfo(in->signHandle, &in->inScheme, &in->qualifyingData, &quoted);
26
27     // Quote specific fields
28     // Attestation type
29     quoted.type = TPM_ST_ATTEST_QUOTE;
30
31     // Get hash algorithm in sign scheme. This hash algorithm is used to
32     // compute PCR digest. If there is no algorithm, then the PCR cannot
33     // be digested and this command returns TPM_RC_SCHEME
34     hashAlg = in->inScheme.details.any.hashAlg;
35
36     if(hashAlg == TPM_ALG_NULL)
37         return TPM_RCS_SCHEME + RC_Quote_inScheme;
38
39     // Compute PCR digest
40     PCRComputeCurrentDigest(hashAlg, &in->PCRselect,
41                             &quoted.attested.quote.pcrDigest);
42
43     // Copy PCR select. "PCRselect" is modified in PCRComputeCurrentDigest
44     // function
45     quoted.attested.quote.pcrSelect = in->PCRselect;
46
47     // Sign attestation structure. A NULL signature will be returned if
48     // signObject is NULL.
49     return SignAttestInfo(signObject, &in->inScheme, &quoted, &in->qualifyingData,
50                          &out->quoted, &out->signature);
51 }
52 #endif // CC_Quote

```

18.5 TPM2_GetSessionAuditDigest

18.5.1 General Description

This command returns a digital signature of the audit session digest.

NOTE 1 See 18.1 for description of how the signing scheme is selected.

If *sessionHandle* is not an audit session, the TPM shall return TPM_RC_TYPE.

NOTE 2 A session does not become an audit session until the successful completion of the command in which the session is first used as an audit session.

This command requires authorization from the privacy administrator of the TPM (expressed with Endorsement Authorization) as well as authorization to use the key associated with *signHandle*.

If this command is audited, then the audit digest that is signed will not include the digest of this command because the audit digest is only updated when the command completes successfully.

This command does not cause the audit session to be closed and does not reset the digest value.

NOTE 3 If *sessionHandle* is used as an audit session for this command, the command is audited in the same manner as any other command.

NOTE 4 If *signHandle* is TPM_RH_NULL, the TPMS_ATTEST structure is returned and *signature* is a NULL Signature.

18.5.2 Command and Response

Table 92 — TPM2_GetSessionAuditDigest Command

| Type | Name | Description |
|---------------------|---------------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_GetSessionAuditDigest |
| TPMI_RH_ENDORSEMENT | @privacyAdminHandle | handle of the privacy administrator (TPM_RH_ENDORSEMENT) Auth Index: 1 Auth Role: USER |
| TPMI_DH_OBJECT+ | @signHandle | handle of the signing key Auth Index: 2 Auth Role: USER |
| TPMI_SH_HMAC | sessionHandle | handle of the audit session Auth Index: None |
| TPM2B_DATA | qualifyingData | user-provided qualifying data – may be zero-length |
| TPMT_SIG_SCHEME+ | inScheme | signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL |

Table 93 — TPM2_GetSessionAuditDigest Response

| Type | Name | Description |
|----------------|--------------|---------------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_ATTEST | auditInfo | the audit information that was signed |
| TPMT_SIGNATURE | signature | the signature over <i>auditInfo</i> |

18.5.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "GetSessionAuditDigest_fp.h"
4  #if CC_GetSessionAuditDigest // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_KEY | key referenced by <i>signHandle</i> is not a signing key |
| TPM_RC_SCHEME | <i>inScheme</i> is incompatible with <i>signHandle</i> type; or both <i>scheme</i> and key's default scheme are empty; or <i>scheme</i> is empty while key's default scheme requires explicit input scheme (split signing); or non-empty default key scheme differs from <i>scheme</i> |
| TPM_RC_TYPE | <i>sessionHandle</i> does not reference an audit session |
| TPM_RC_VALUE | digest generated for the given <i>scheme</i> is greater than the modulus of <i>signHandle</i> (for an RSA key); invalid commit status or failed to generate r value (for an ECC key) |

```

5  TPM_RC
6  TPM2_GetSessionAuditDigest(
7      GetSessionAuditDigest_In    *in,          // IN: input parameter list
8      GetSessionAuditDigest_Out   *out         // OUT: output parameter list
9  )
10 {
11     SESSION                *session = SessionGet(in->sessionHandle);
12     TPMS_ATTEST            auditInfo;
13     OBJECT                 *signObject = HandleToObject(in->signHandle);
14 // Input Validation
15     if(!IsSigningObject(signObject))
16         return TPM_RCS_KEY + RC_GetSessionAuditDigest_signHandle;
17     if(!CryptSelectSignScheme(signObject, &in->inScheme))
18         return TPM_RCS_SCHEME + RC_GetSessionAuditDigest_inScheme;
19
20     // session must be an audit session
21     if(session->attributes.isAudit == CLEAR)
22         return TPM_RCS_TYPE + RC_GetSessionAuditDigest_sessionHandle;
23
24 // Command Output
25 // Fill in attest information common fields
26     FillInAttestInfo(in->signHandle, &in->inScheme, &in->qualifyingData,
27                     &auditInfo);
28
29 // SessionAuditDigest specific fields
30     auditInfo.type = TPM_ST_ATTEST_SESSION_AUDIT;
31     auditInfo.attested.sessionAudit.sessionDigest = session->u2.auditDigest;
32
33 // Exclusive audit session
34     auditInfo.attested.sessionAudit.exclusiveSession
35         = (g_exclusiveAuditSession == in->sessionHandle);
36
37 // Sign attestation structure. A NULL signature will be returned if
38 // signObject is NULL.
39     return SignAttestInfo(signObject, &in->inScheme, &auditInfo,
40                          &in->qualifyingData, &out->auditInfo,
41                          &out->signature);
42 }
43 #endif // CC_GetSessionAuditDigest

```

18.6 TPM2_GetCommandAuditDigest

18.6.1 General Description

This command returns the current value of the command audit digest, a digest of the commands being audited, and the audit hash algorithm. These values are placed in an attestation structure and signed with the key referenced by *signHandle*.

NOTE 1 See 18.1 for description of how the signing scheme is selected.

When this command completes successfully, and *signHandle* is not TPM_RH_NULL, the audit digest is cleared. If *signHandle* is TPM_RH_NULL, *signature* is the Empty Buffer and the audit digest is not cleared.

NOTE 2 The way that the TPM tracks that the digest is clear is vendor-dependent. The reference implementation resets the size of the digest to zero.

If this command is being audited, then the signed digest produced by the command will not include the command. At the end of this command, the audit digest will be extended with *cpHash* and the *rpHash* of the command, which would change the command audit digest signed by the next invocation of this command.

This command requires authorization from the privacy administrator of the TPM (expressed with Endorsement Authorization) as well as authorization to use the key associated with *signHandle*.

18.6.2 Command and Response

Table 94 — TPM2_GetCommandAuditDigest Command

| Type | Name | Description |
|---------------------|----------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_GetCommandAuditDigest {NV} |
| TPMI_RH_ENDORSEMENT | @privacyHandle | handle of the privacy administrator (TPM_RH_ENDORSEMENT) Auth Index: 1 Auth Role: USER |
| TPMI_DH_OBJECT+ | @signHandle | the handle of the signing key Auth Index: 2 Auth Role: USER |
| TPM2B_DATA | qualifyingData | other data to associate with this audit digest |
| TPMT_SIG_SCHEME+ | inScheme | signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL |

Table 95 — TPM2_GetCommandAuditDigest Response

| Type | Name | Description |
|----------------|--------------|-------------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_ATTEST | auditInfo | the auditInfo that was signed |
| TPMT_SIGNATURE | signature | the signature over <i>auditInfo</i> |

18.6.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "GetCommandAuditDigest_fp.h"
4  #if CC_GetCommandAuditDigest // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_KEY | key referenced by <i>signHandle</i> is not a signing key |
| TPM_RC_SCHEME | <i>inScheme</i> is incompatible with <i>signHandle</i> type; or both <i>scheme</i> and key's default scheme are empty; or <i>scheme</i> is empty while key's default scheme requires explicit input scheme (split signing); or non-empty default key scheme differs from <i>scheme</i> |
| TPM_RC_VALUE | digest generated for the given <i>scheme</i> is greater than the modulus of <i>signHandle</i> (for an RSA key); invalid commit status or failed to generate r value (for an ECC key) |

```

5  TPM_RC
6  TPM2_GetCommandAuditDigest(
7      GetCommandAuditDigest_In    *in,           // IN: input parameter list
8      GetCommandAuditDigest_Out  *out           // OUT: output parameter list
9  )
10 {
11     TPM_RC          result;
12     TPMS_ATTEST    auditInfo;
13     OBJECT          *signObject = HandleToObject(in->signHandle);
14     // Input validation
15     if(!IsSigningObject(signObject))
16         return TPM_RC_KEY + RC_GetCommandAuditDigest_signHandle;
17     if(!CryptSelectSignScheme(signObject, &in->inScheme))
18         return TPM_RC_SCHEME + RC_GetCommandAuditDigest_inScheme;
19
20     // Command Output
21     // Fill in attest information common fields
22     FillInAttestInfo(in->signHandle, &in->inScheme, &in->qualifyingData,
23                     &auditInfo);
24
25     // CommandAuditDigest specific fields
26     auditInfo.type = TPM_ST_ATTEST_COMMAND_AUDIT;
27     auditInfo.attested.commandAudit.digestAlg = gp.auditHashAlg;
28     auditInfo.attested.commandAudit.auditCounter = gp.auditCounter;
29
30     // Copy command audit log
31     auditInfo.attested.commandAudit.auditDigest = gr.commandAuditDigest;
32     CommandAuditGetDigest(&auditInfo.attested.commandAudit.commandDigest);
33
34     // Sign attestation structure. A NULL signature will be returned if
35     // signHandle is TPM_RH_NULL. A TPM_RC_NV_UNAVAILABLE, TPM_RC_NV_RATE,
36     // TPM_RC_VALUE, TPM_RC_SCHEME or TPM_RC_ATTRIBUTES error may be returned at
37     // this point
38     result = SignAttestInfo(signObject, &in->inScheme, &auditInfo,
39                             &in->qualifyingData, &out->auditInfo,
40                             &out->signature);
41
42     // Internal Data Update
43     if(result == TPM_RC_SUCCESS && in->signHandle != TPM_RH_NULL)
44         // Reset log
45         gr.commandAuditDigest.t.size = 0;
46
47     return result;
48 }

```

```
48 #endif // CC_GetCommandAuditDigest
```


18.7 TPM2_GetTime

18.7.1 General Description

This command returns the current values of *Time* and *Clock*.

NOTE 1 See 18.1 for description of how the signing scheme is selected.

The values of *Clock*, *resetCount* and *restartCount* appear in two places in *timeInfo*: once in `TPMS_ATTEST.clockInfo` and again in `TPMS_ATTEST.attested.time.clockInfo`. The firmware version number also appears in two places (`TPMS_ATTEST.firmwareVersion` and `TPMS_ATTEST.attested.time.firmwareVersion`). If *signHandle* is in the endorsement or platform hierarchies, both copies of the data will be the same. However, if *signHandle* is in the storage hierarchy or is `TPM_RH_NULL`, the values in `TPMS_ATTEST.clockInfo` and `TPMS_ATTEST.firmwareVersion` are obfuscated but the values in `TPMS_ATTEST.attested.time` are not.

NOTE 2 The purpose of this duplication is to allow an entity who is trusted by the privacy Administrator to correlate the obfuscated values with the clear-text values. This command requires Endorsement Authorization.

NOTE 3 If *signHandle* is `TPM_RH_NULL`, the `TPMS_ATTEST` structure is returned and *signature* is a NULL Signature.

18.7.2 Command and Response

Table 96 — TPM2_GetTime Command

| Type | Name | Description |
|---------------------|---------------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_GetTime |
| TPMI_RH_ENDORSEMENT | @privacyAdminHandle | handle of the privacy administrator (TPM_RH_ENDORSEMENT) Auth Index: 1 Auth Role: USER |
| TPMI_DH_OBJECT+ | @signHandle | the <i>keyHandle</i> identifier of a loaded key that can perform digital signatures Auth Index: 2 Auth Role: USER |
| TPM2B_DATA | qualifyingData | data to tick stamp |
| TPMT_SIG_SCHEME+ | inScheme | signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL |

Table 97 — TPM2_GetTime Response

| Type | Name | Description |
|----------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | . |
| TPM2B_ATTEST | timeInfo | standard TPM-generated attestation block |
| TPMT_SIGNATURE | signature | the signature over <i>timeInfo</i> |

18.7.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "GetTime_fp.h"
4  #if CC_GetTime // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_KEY | key referenced by <i>signHandle</i> is not a signing key |
| TPM_RC_SCHEME | <i>inScheme</i> is incompatible with <i>signHandle</i> type; or both <i>scheme</i> and key's default scheme are empty; or <i>scheme</i> is empty while key's default scheme requires explicit input scheme (split signing); or non-empty default key scheme differs from <i>scheme</i> |
| TPM_RC_VALUE | digest generated for the given <i>scheme</i> is greater than the modulus of <i>signHandle</i> (for an RSA key); invalid commit status or failed to generate r value (for an ECC key) |

```

5  TPM_RC
6  TPM2_GetTime(
7      GetTime_In      *in,           // IN: input parameter list
8      GetTime_Out     *out          // OUT: output parameter list
9  )
10 {
11     TPMS_ATTEST      timeInfo;
12     OBJECT           *signObject = HandleToObject(in->signHandle);
13     // Input Validation
14     if(!IsSigningObject(signObject))
15         return TPM_RCS_KEY + RC_GetTime_signHandle;
16     if(!CryptSelectSignScheme(signObject, &in->inScheme))
17         return TPM_RCS_SCHEME + RC_GetTime_inScheme;
18
19     // Command Output
20     // Fill in attest common fields
21     FillInAttestInfo(in->signHandle, &in->inScheme, &in->qualifyingData, &timeInfo);
22
23     // GetClock specific fields
24     timeInfo.type = TPM_ST_ATTEST_TIME;
25     timeInfo.attested.time.time.time = g_time;
26     TimeFillInfo(&timeInfo.attested.time.time.clockInfo);
27
28     // Firmware version in plain text
29     timeInfo.attested.time.firmwareVersion
30         = (((UINT64)gp.firmwareV1) << 32) + gp.firmwareV2;
31
32     // Sign attestation structure. A NULL signature will be returned if
33     // signObject is NULL.
34     return SignAttestInfo(signObject, &in->inScheme, &timeInfo, &in->qualifyingData,
35                           &out->timeInfo, &out->signature);
36 }
37 #endif // CC_GetTime

```

18.8 TPM2_CertifyX509

18.8.1 General Description

The purpose of this command is to generate an X.509 certificate that proves an object with a specific public key and attributes is loaded in the TPM. In contrast to TPM2_Certify, which uses a TCG-defined data structure to convey attestation information, TPM2_CertifyX509 encodes the attestation information in

a DER-encoded X.509 certificate that is compliant with RFC5280 *Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile*.

As described in RFC, an X.509 certificate contains a collection of data that is hashed and signed. The full signature is the combination of the *to be signed* (TBS) data, a description of the signature algorithm, and the signature over the TBS data. The elements of the TBS data structure are DER-encoded values. They are:

- 1) Version [0] – integer value of 2 indicating version 3
- 2) Certificate Serial Number – integer value
- 3) Signature Algorithm Identifier – values (usually a collection of OIDs) identifying the algorithm used for the signature
- 4) Issuer Name – X.501 type *Name* to identify the entity that has authorized the use of *signHandle* to create the certificate.
- 5) Validity – two time values indicating the period during which the certificate is valid
- 6) Subject Name – X.501 type *Name* that identifies the entity that authorized the use of *objectHandle*
- 7) Subject Public Key Info – the public key associated with *objectHandle*,
- 8) Extensions [3] – a set of values that “provide methods for associating additional attributes with users or public keys and for managing relationships between CAs.”

NOTE 1: The numbers in square brackets (e.g., [0]) indicate application-specific tag values that are used to identify the type of the field.

NOTE 2: RFC 5280 describes two fields (issuerUniqueID and subjectUniqueID) but goes on to say: “CAs conforming to this profile MUST NOT generate certificates with unique identifiers.” The TPM does not allow them to be present.

The caller provides a partial certificate (*partialCertificate*) parameter that contains four or five of the elements enumerated above in a DER encoded SEQUENCE. They are:

- 1) Signature Algorithm Identifier (optional)
- 2) Issuer (mandatory)
- 3) Validity (mandatory)
- 4) Subject Name (mandatory)
- 5) Extensions (mandatory)

The fields are required to be in the order in which they are listed above.

NOTE 3: The TPM determines if the Signature Algorithm Identifier element is present by counting the elements.

The optional Signature Algorithm Identifier may be provided by the caller. If it is not present, the TPM will generate the value based on the selected signing scheme. If the caller provides this value, then the TPM will use it in the completed TBS. The TPM will not validate that the provided values are compatible with the signing scheme. If the caller does not provide this field and the TPM does not have OID values for the signing scheme, then the TPM will return an error (TPM_RC_SCHEME).

NOTE 4: The TPM may implement signing schemes for which OIDs are not defined at the time the TPM was manufactured. Those schemes may still be used if the caller can provide the Signature Algorithm Identifier.

The Extensions element is required to contain a Key Usage extension. The TPM will extract the Key Usage values and verify that the attributes of *objectHandle* are consistent with the selected values (TPM_RC_ATTRIBUTES)(See Part 2, *TPMA_X509_KEY_USAGE*).

The Extensions element may contain a TPMA_OBJECT extension. If present, the TPM will extract the value and verify that the extension value exactly matches the TPMA_OBJECT of *objectKey* (TPM_RC_ATTRIBUTES). The element uses the TCG OID *tcg-tpmaObject*, 2.23.133.10.1.1.1. It is a SEQUENCE containing that OID and an OCTET STRING encapsulating a 4-byte BIT STRING holding the big endian TPMA_OBJECT.

signHandle is required to have the *sign* attribute SET (TPM_RC_KEY).

NOTE 5: See 18.1 for description of how the signing scheme is selected.

Authorization for *objectHandle* requires ADMIN role authorization. If performed with a policy session, the session shall have a *policySession*→*commandCode* set to TPM_CC_CertifyX509. This indicates that the policy that is being used is a policy that is for certification, and not a policy that would approve another use. That is, authority to use an object does not grant authority to certify the object.

If *objectHandle* does not have a sensitive area loaded, the TPM will return an error (TPM_RC_AUTH_UNAVAILABLE).

NOTE 6: The command requires that authorization be provided for use of *objectHandle*. An object that only has its *publicArea* loaded does not have an authorization value and the *authPolicy* has no meaning as the sensitive area is not present.

The TPM will create the Version, the Certificate Serial Number, the Subject Public Key Info, and, if not provided by the caller, the Signature Algorithm Identifier. These TPM-created values will be combined with the provided values to make a full TBSCertificate structure (See RFC 5280, clause 4.1). The TPM will then sign the certificate using the selected signing scheme.

The TPM-created values will be returned in *addedToCertificate*. If the TPM creates the Signature Algorithm Identifier, it will be in *addedToCertificate* before the Subject Public Key Info. The TPM returns *tbsDigest* as a debugging aid.

NOTE 7: These returned fields allow the caller to unambiguously create a full RFC5280-defined TBSCertificate.

NOTE 8: This command was added in revision 01.53.

18.8.2 Command and Response

Table 98 — TPM2_CertifyX509 Command

| Type | Name | Description |
|---------------------|--------------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_CertifyX509 |
| TPMI_DH_OBJECT | @objectHandle | handle of the object to be certified Auth Index: 1 Auth Role: ADMIN |
| TPMI_DH_OBJECT+ | @signHandle | handle of the key used to sign the attestation structure Auth Index: 2 Auth Role: USER |
| TPM2B_DATA | reserved | shall be an Empty Buffer |
| TPMT_SIG_SCHEME+ | inScheme | signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL |
| TPM2B_MAX_BUFFER | partialCertificate | a DER encoded partial certificate |

Table 99 — TPM2_CertifyX509 Response

| Type | Name | Description |
|------------------|--------------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | . |
| TPM2B_MAX_BUFFER | addedToCertificate | a DER encoded SEQUENCE containing the DER encoded fields added to partialCertificate to make it a complete RFC5280 TBSCertificate. |
| TPM2B_DIGEST | tbsDigest | the digest that was signed |
| TPMT_SIGNATURE | signature | The signature over <i>tbsDigest</i> |

18.8.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "CertifyX509_fp.h"
3  #include "X509.h"
4  #include "TpmASN1_fp.h"
5  #include "X509_spt_fp.h"
6  #include "Attest_spt_fp.h"
7  #include "Platform_fp.h"
8  #if CC_CertifyX509 // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|---|
| TPM_RC_ATTRIBUTES | the attributes of <i>objectHandle</i> are not compatible with the KeyUsage() or TPMA_OBJECT values in the extensions fields |
| TPM_RC_BINDING | the public and private portions of the key are not properly bound. |
| TPM_RC_HASH | the hash algorithm in the scheme is not supported |
| TPM_RC_KEY | <i>signHandle</i> does not reference a signing key; |
| TPM_RC_SCHEME | the scheme is not compatible with sign key type, or input scheme is not compatible with default scheme, or the chosen scheme is not a valid sign scheme |
| TPM_RC_VALUE | most likely a problem with the format of <i>partialCertificate</i> |

```

9  TPM_RC
10 TPM2_CertifyX509(
11     CertifyX509_In      *in,          // IN: input parameter list
12     CertifyX509_Out    *out,          // OUT: output parameter list
13 )
14 {
15     TPM_RC          result;
16     OBJECT          *signKey = HandleToObject(in->signHandle);
17     OBJECT          *object = HandleToObject(in->objectHandle);
18     HASH_STATE      hash;
19     INT16           length;          // length for a tagged element
20     ASN1UnmarshalContext ctx;
21     ASN1MarshalContext ctxOut;
22     // certTBS holds an array of pointers and lengths. Each entry references the
23     // corresponding value in a TBSCertificate structure. For example, the lth
24     // element references the version number
25     stringRef       certTBS[REF_COUNT] = {{0}};
26 #define ALLOWED_SEQUENCES (SUBJECT_PUBLIC_KEY_REF - SIGNATURE_REF)
27     stringRef       partial[ALLOWED_SEQUENCES] = {{0}};
28     INT16           countOfSequences = 0;
29     INT16           i;
30     //
31 #if CERTIFYX509_DEBUG
32     DebugFileOpen();
33     DebugDumpBuffer(in->partialCertificate.t.size, in->partialCertificate.t.buffer,
34     "partialCertificate");
35 #endif
36
37     // Input Validation
38     if(in->reserved.b.size != 0)
39         return TPM_RC_SIZE + RC_CertifyX509_reserved;
40     // signing key must be able to sign
41     if(!IsSigningObject(signKey))
42         return TPM_RCS_KEY + RC_CertifyX509_signHandle;
43     // Pick a scheme for sign. If the input sign scheme is not compatible with
44     // the default scheme, return an error.

```

```

45     if(!CryptSelectSignScheme(signKey, &in->inScheme))
46         return TPM_RCS_SCHEME + RC_CertifyX509_inScheme;
47     // Make sure that the public Key encoding is known
48     if(X509AddPublicKey(NULL, object) == 0)
49         return TPM_RCS_ASYMMETRIC + RC_CertifyX509_objectHandle;
50     // Unbundle 'partialCertificate'.
51     // Initialize the unmarshaling context
52     if(!ASN1UnmarshalContextInitialize(&ctx, in->partialCertificate.t.size,
53     in->partialCertificate.t.buffer))
54         return TPM_RCS_VALUE + RC_CertifyX509_partialCertificate;
55     // Make sure that this is a constructed SEQUENCE
56     length = ASN1NextTag(&ctx);
57     // Must be a constructed SEQUENCE that uses all of the input parameter
58     if((ctx.tag != (ASN1_CONSTRUCTED_SEQUENCE))
59     || ((ctx.offset + length) != in->partialCertificate.t.size))
60         return TPM_RCS_SIZE + RC_CertifyX509_partialCertificate;
61
62     // This scans through the contents of the outermost SEQUENCE. This would be the
63     // 'issuer', 'validity', 'subject', 'issuerUniqueID' (optional),
64     // 'subjectUniqueID' (optional), and 'extensions.'
65     while(ctx.offset < ctx.size)
66     {
67         INT16         startOfElement = ctx.offset;
68         //
69         // Read the next tag and length field.
70         length = ASN1NextTag(&ctx);
71         if(length < 0)
72             break;
73         if(ctx.tag == ASN1_CONSTRUCTED_SEQUENCE)
74         {
75             partial[countOfSequences].buf = &ctx.buffer[startOfElement];
76             ctx.offset += length;
77             partial[countOfSequences].len = (INT16)ctx.offset - startOfElement;
78             if(++countOfSequences > ALLOWED_SEQUENCES)
79                 break;
80         }
81         else if(ctx.tag == X509_EXTENSIONS)
82         {
83             if(certTBS[EXTENSIONS_REF].len != 0)
84                 return TPM_RCS_VALUE + RC_CertifyX509_partialCertificate;
85             certTBS[EXTENSIONS_REF].buf = &ctx.buffer[startOfElement];
86             ctx.offset += length;
87             certTBS[EXTENSIONS_REF].len =
88                 (INT16)ctx.offset - startOfElement;
89         }
90         else
91             return TPM_RCS_VALUE + RC_CertifyX509_partialCertificate;
92     }
93     // Make sure that we used all of the data and found at least the required
94     // number of elements.
95     if((ctx.offset != ctx.size) || (countOfSequences < 3)
96     || (countOfSequences > 4)
97     || (certTBS[EXTENSIONS_REF].buf == NULL))
98         return TPM_RCS_VALUE + RC_CertifyX509_partialCertificate;
99     // Now that we know how many sequences there were, we can put them where they
100    // belong
101    for(i = 0; i < countOfSequences; i++)
102        certTBS[SUBJECT_KEY_REF - i] = partial[countOfSequences - 1 - i];
103
104    // If only three SEQUENCES, then the TPM needs to produce the signature algorithm.
105    // See if it can
106    if((countOfSequences == 3) &&
107        (X509AddSigningAlgorithm(NULL, signKey, &in->inScheme) == 0))
108        return TPM_RCS_SCHEME + RC_CertifyX509_signHandle;
109
110    // Process the extensions

```



```

111     result = X509ProcessExtensions(object, &certTBS[EXTENSIONS_REF]);
112     if(result != TPM_RC_SUCCESS)
113         // If the extension has the TPMA_OBJECT extension and the attributes don't
114         // match, then the error code will be TPM_RCS_ATTRIBUTES. Otherwise, the error
115         // indicates a malformed partialCertificate.
116         return result + ((result == TPM_RCS_ATTRIBUTES)
117             ? RC_CertifyX509_objectHandle
118             : RC_CertifyX509_partialCertificate);
119 // Command Output
120 // Create the addedToCertificate values
121
122     // Build the addedToCertificate from the bottom up.
123     // Initialize the context structure
124     ASN1InitialializeMarshalContext(&ctxOut, sizeof(out->addedToCertificate.t.buffer),
125                                     out->addedToCertificate.t.buffer);
126     // Place a marker for the overall context
127     ASN1StartMarshalContext(&ctxOut); // SEQUENCE for addedToCertificate
128
129     // Add the subject public key descriptor
130     certTBS[SUBJECT_PUBLIC_KEY_REF].len = X509AddPublicKey(&ctxOut, object);
131     certTBS[SUBJECT_PUBLIC_KEY_REF].buf = ctxOut.buffer + ctxOut.offset;
132     // If the caller didn't provide the algorithm identifier, create it
133     if(certTBS[SIGNATURE_REF].len == 0)
134     {
135         certTBS[SIGNATURE_REF].len = X509AddSigningAlgorithm(&ctxOut, signKey,
136                                                         &in->inScheme);
137         certTBS[SIGNATURE_REF].buf = ctxOut.buffer + ctxOut.offset;
138     }
139     // Create the serial number value. Use the out->tbsDigest as scratch.
140     {
141         TPM2B                *digest = &out->tbsDigest.b;
142         //
143         digest->size = (INT16)CryptHashStart(&hash, signKey->publicArea.nameAlg);
144         pAssert(digest->size != 0);
145
146         // The serial number size is the smaller of the digest and the vendor-defined
147         // value
148         digest->size = MIN(digest->size, SIZE_OF_X509_SERIAL_NUMBER);
149         // Add all the parts of the certificate other than the serial number
150         // and version number
151         for(i = SIGNATURE_REF; i < REF_COUNT; i++)
152             CryptDigestUpdate(&hash, certTBS[i].len, certTBS[i].buf);
153         // throw in the Name of the signing key...
154         CryptDigestUpdate2B(&hash, &signKey->name.b);
155         // ...and the Name of the signed key.
156         CryptDigestUpdate2B(&hash, &object->name.b);
157         // Done
158         CryptHashEnd2B(&hash, digest);
159     }
160
161     // Add the serial number
162     certTBS[SERIAL_NUMBER_REF].len =
163         ASN1PushInteger(&ctxOut, out->tbsDigest.t.size, out->tbsDigest.t.buffer);
164     certTBS[SERIAL_NUMBER_REF].buf = ctxOut.buffer + ctxOut.offset;
165
166     // Add the static version number
167     ASN1StartMarshalContext(&ctxOut);
168     ASN1PushUINT(&ctxOut, 2);
169     certTBS[VERSION_REF].len =
170         ASN1EndEncapsulation(&ctxOut, ASN1_APPLICATION_SPECIFIC);
171     certTBS[VERSION_REF].buf = ctxOut.buffer + ctxOut.offset;
172
173     // Create a fake tag and length for the TBS in the space used for
174     // 'addedToCertificate'
175     {
176         for(length = 0, i = 0; i < REF_COUNT; i++)

```

```

177     length += certTBS[i].len;
178     // Put a fake tag and length into the buffer for use in the tbsDigest
179     certTBS[ENCODED_SIZE_REF].len =
180         ASN1PushTagAndLength(&ctxOut, ASN1_CONSTRUCTED_SEQUENCE, length);
181     certTBS[ENCODED_SIZE_REF].buf = ctxOut.buffer + ctxOut.offset;
182     // Restore the buffer pointer to add back the number of octets used for the
183     // tag and length
184     ctxOut.offset += certTBS[ENCODED_SIZE_REF].len;
185 }
186 // sanity check
187 if(ctxOut.offset < 0)
188     return TPM_RC_FAILURE;
189 // Create the tbsDigest to sign
190 out->tbsDigest.t.size = CryptHashStart(&hash, in->inScheme.details.any.hashAlg);
191 for(i = 0; i < REF_COUNT; i++)
192     CryptDigestUpdate(&hash, certTBS[i].len, certTBS[i].buf);
193 CryptHashEnd2B(&hash, &out->tbsDigest.b);
194
195 #if CERTIFYX509_DEBUG
196 {
197     BYTE                fullTBS[4096];
198     BYTE                *fill = fullTBS;
199     int                 j;
200     for (j = 0; j < REF_COUNT; j++)
201     {
202         MemoryCopy(fill, certTBS[j].buf, certTBS[j].len);
203         fill += certTBS[j].len;
204     }
205     DebugDumpBuffer((int)(fill - &fullTBS[0]), fullTBS, "\nfull TBS");
206 }
207 #endif
208
209 // Finish up the processing of addedToCertificate
210 // Create the actual tag and length for the addedToCertificate structure
211 out->addedToCertificate.t.size =
212     ASN1EndEncapsulation(&ctxOut, ASN1_CONSTRUCTED_SEQUENCE);
213 // Now move all the addedToContext to the start of the buffer
214 MemoryCopy(out->addedToCertificate.t.buffer, ctxOut.buffer + ctxOut.offset,
215     out->addedToCertificate.t.size);
216 #if CERTIFYX509_DEBUG
217     DebugDumpBuffer(out->addedToCertificate.t.size, out->addedToCertificate.t.buffer,
218         "\naddedToCertificate");
219 #endif
220 // only thing missing is the signature
221 result = CryptSign(signKey, &in->inScheme, &out->tbsDigest, &out->signature);
222
223     return result;
224 }
225 #endif // CC_CertifyX509

```

19 Ephemeral EC Keys

19.1 Introduction

The TPM generates keys that have different lifetimes. TPM keys in a hierarchy can be persistent for as long as the seed of the hierarchy is unchanged and these keys may be used multiple times. Other TPM-generated keys are only useful for a single operation. Some of these single-use keys are used in the command in which they are created. Examples of this use are TPM2_Duplicate() where an ephemeral key is created for a single pass key exchange with another TPM. However, there are other cases, such as anonymous attestation, where the protocol requires two passes where the public part of the ephemeral key is used outside of the TPM before the final command "consumes" the ephemeral key.

For these uses, TPM2_Commit() or TPM2_EC_Ephemeral() may be used to have the TPM create an ephemeral EC key and return the public part of the key for external use. Then in a subsequent command, the caller provides a reference to the ephemeral key so that the TPM can retrieve or recreate the associated private key.

When an ephemeral EC key is created, it is assigned a number and that number is returned to the caller as the identifier for the key. This number is not a handle. A handle is assigned to a key that may be context saved but these ephemeral EC keys may not be saved and do not have a full key context. When a subsequent command uses the ephemeral key, the caller provides the number of the ephemeral key. The TPM uses that number to either look up or recompute the associated private key. After the key is used, the TPM records the fact that the key has been used so that it cannot be used again.

As mentioned, the TPM can keep each assigned private ephemeral key in memory until it is used. However, this could consume a large amount of memory. To limit the memory size, the TPM is allowed to restrict the number of pending private keys – keys that have been allocated but not used.

NOTE The minimum number of ephemeral keys is determined by a platform specific specification

To further reduce the memory requirements for the ephemeral private keys, the TPM is allowed to use pseudo-random values for the ephemeral keys. Instead of keeping the full value of the key in memory, the TPM can use a counter as input to a KDF. Incrementing the counter will cause the TPM to generate a new pseudo-random value.

Using the counter to generate pseudo-random private ephemeral keys greatly simplifies tracking of key usage. When a counter value is used to create a key, a bit in an array may be set to indicate that the key use is pending. When the ephemeral key is consumed, the bit is cleared. This prevents the key from being used more than once.

Since the TPM is allowed to restrict the number of pending ephemeral keys, the array size can be limited. For example, a 128 bit array would allow 128 keys to be "pending".

The management of the array is described in greater detail in the *Split Operations* clause in Annex C of TPM 2.0 Part 1.

19.2 TPM2_Commit

19.2.1 General Description

TPM2_Commit() performs the first part of an ECC anonymous signing operation. The TPM will perform the point multiplications on the provided points and return intermediate signing values. The *signHandle* parameter shall refer to an ECC key and the signing scheme must be anonymous (TPM_RC_SCHEME).

NOTE 1 Currently, TPM_ALG_ECDSA is the only defined anonymous scheme.

NOTE 2 This command cannot be used with a sign+decrypt key because that type of key is required to have a scheme of TPM_ALG_NULL.

For this command, *p1*, *s2* and *y2* are optional parameters. If *s2* is an Empty Buffer, then the TPM shall return TPM_RC_SIZE if *y2* is not an Empty Buffer.

The algorithm is specified in the TPM 2.0 Part 1 Annex for ECC, TPM2_Commit().

19.2.2 Command and Response

Table 100 — TPM2_Commit Command

| Type | Name | Description |
|----------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Commit |
| TPMI_DH_OBJECT | @signHandle | handle of the key that will be used in the signing operation Auth Index: 1 Auth Role: USER |
| TPM2B_ECC_POINT | P1 | a point (M) on the curve used by <i>signHandle</i> |
| TPM2B_SENSITIVE_DATA | s2 | octet array used to derive x-coordinate of a base point |
| TPM2B_ECC_PARAMETER | y2 | y coordinate of the point associated with s2 |

Table 101 — TPM2_Commit Response

| Type | Name | Description |
|-----------------|--------------|---|
| TPM_ST | tag | see 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_ECC_POINT | K | ECC point $K := [d_s](x_2, y_2)$ |
| TPM2B_ECC_POINT | L | ECC point $L := [r](x_2, y_2)$ |
| TPM2B_ECC_POINT | E | ECC point $E := [r]P_1$ |
| UINT16 | counter | least-significant 16 bits of <i>commitCount</i> |

19.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Commit_fp.h"
3  #if CC_Commit // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|---|
| TPM_RC_ATTRIBUTES | <i>keyHandle</i> references a restricted key that is not a signing key |
| TPM_RC_ECC_POINT | either <i>P1</i> or the point derived from <i>s2</i> is not on the curve of <i>keyHandle</i> |
| TPM_RC_HASH | invalid name algorithm in <i>keyHandle</i> |
| TPM_RC_KEY | <i>keyHandle</i> does not reference an ECC key |
| TPM_RC_SCHEME | the scheme of <i>keyHandle</i> is not an anonymous scheme |
| TPM_RC_NO_RESULT | <i>K</i> , <i>L</i> or <i>E</i> was a point at infinity; or failed to generate <i>r</i> value |
| TPM_RC_SIZE | <i>s2</i> is empty but <i>y2</i> is not or <i>s2</i> provided but <i>y2</i> is not |

```

4  TPM_RC
5  TPM2_Commit(
6      Commit_In      *in,           // IN: input parameter list
7      Commit_Out     *out          // OUT: output parameter list
8  )
9  {
10     OBJECT          *eccKey;
11     TPMS_ECC_POINT  P2;
12     TPMS_ECC_POINT *pP2 = NULL;
13     TPMS_ECC_POINT *pP1 = NULL;
14     TPM2B_ECC_PARAMETER r;
15     TPM2B_ECC_PARAMETER p;
16     TPM_RC          result;
17     TPMS_ECC_PARMS *parms;
18
19     // Input Validation
20
21     eccKey = HandleToObject(in->signHandle);
22     parms = &eccKey->publicArea.parameters.eccDetail;
23
24     // Input key must be an ECC key
25     if(eccKey->publicArea.type != TPM_ALG_ECC)
26         return TPM_RCS_KEY + RC_Commit_signHandle;
27
28     // This command may only be used with a sign-only key using an anonymous
29     // scheme.
30     // NOTE: a sign + decrypt key has no scheme so it will not be an anonymous one
31     // and an unrestricted sign key might no have a signing scheme but it can't
32     // be use in Commit()
33     if(!CryptIsSchemeAnonymous(parms->scheme.scheme))
34         return TPM_RCS_SCHEME + RC_Commit_signHandle;
35
36     // Make sure that both parts of P2 are present if either is present
37     if((in->s2.t.size == 0) != (in->y2.t.size == 0))
38         return TPM_RCS_SIZE + RC_Commit_y2;
39
40     // Get prime modulus for the curve. This is needed later but getting this now
41     // allows confirmation that the curve exists.
42     if(!CryptEccGetParameter(&p, 'p', parms->curveID))
43         return TPM_RCS_KEY + RC_Commit_signHandle;
44
45     // Get the random value that will be used in the point multiplications

```

```

46 // Note: this does not commit the count.
47 if(!CryptGenerateR(&r, NULL, parms->curveID, &eccKey->name))
48     return TPM_RC_NO_RESULT;
49
50 // Set up P2 if s2 and Y2 are provided
51 if(in->s2.t.size != 0)
52 {
53     TPM2B_DIGEST          x2;
54
55     pP2 = &P2;
56
57     // copy y2 for P2
58     P2.y = in->y2;
59
60     // Compute x2 HnameAlg(s2) mod p
61     // do the hash operation on s2 with the size of curve 'p'
62     x2.t.size = CryptHashBlock(eccKey->publicArea.nameAlg,
63                               in->s2.t.size,
64                               in->s2.t.buffer,
65                               sizeof(x2.t.buffer),
66                               x2.t.buffer);
67
68     // If there were error returns in the hash routine, indicate a problem
69     // with the hash algorithm selection
70     if(x2.t.size == 0)
71         return TPM_RCS_HASH + RC_Commit_signHandle;
72     // The size of the remainder will be same as the size of p. DivideB() will
73     // pad the results (leading zeros) if necessary to make the size the same
74     P2.x.t.size = p.t.size;
75     // set p2.x = hash(s2) mod p
76     if(DivideB(&x2.b, &p.b, NULL, &P2.x.b) != TPM_RC_SUCCESS)
77         return TPM_RC_NO_RESULT;
78
79     if(!CryptEccIsPointOnCurve(parms->curveID, pP2))
80         return TPM_RCS_ECC_POINT + RC_Commit_s2;
81
82     if(eccKey->attributes.publicOnly == SET)
83         return TPM_RCS_KEY + RC_Commit_signHandle;
84 }
85 // If there is a P1, make sure that it is on the curve
86 // NOTE: an "empty" point has two UINT16 values which are the size values
87 // for each of the coordinates.
88 if(in->P1.size > 4)
89 {
90     pP1 = &in->P1.point;
91     if(!CryptEccIsPointOnCurve(parms->curveID, pP1))
92         return TPM_RCS_ECC_POINT + RC_Commit_P1;
93 }
94
95 // Pass the parameters to CryptCommit.
96 // The work is not done in-line because it does several point multiplies
97 // with the same curve. It saves work by not having to reload the curve
98 // parameters multiple times.
99 result = CryptEccCommitCompute(&out->K.point,
100                              &out->L.point,
101                              &out->E.point,
102                              parms->curveID,
103                              pP1,
104                              pP2,
105                              &eccKey->sensitive.sensitive.ecc,
106                              &r);
107 if(result != TPM_RC_SUCCESS)
108     return result;
109
110 // The commit computation was successful so complete the commit by setting
111 // the bit

```

```
112     out->counter = CryptCommit();
113
114     return TPM_RC_SUCCESS;
115 }
116 #endif // CC_Commit
```


19.3 TPM2_EC_Ephemeral

19.3.1 General Description

TPM2_EC_Ephemeral() creates an ephemeral key for use in a two-phase key exchange protocol.

The TPM will use the commit mechanism to assign an ephemeral key r and compute a public point $Q := [r]G$ where G is the generator point associated with *curveID*.

19.3.2 Command and Response

Table 102 — TPM2_EC_Ephemeral Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_EC_Ephemeral |
| TPMI_ECC_CURVE | curveID | The curve for the computed ephemeral point |

Table 103 — TPM2_EC_Ephemeral Response

| Type | Name | Description |
|-----------------|--------------|---|
| TPM_ST | tag | see 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_ECC_POINT | Q | ephemeral public key $Q := [r]G$ |
| UINT16 | counter | least-significant 16 bits of <i>commitCount</i> |

19.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "EC_Ephemeral_fp.h"
3  #if CC_EC_Ephemeral // Conditional expansion of this file

```

| Error Returns | Meaning |
|------------------|---|
| TPM_RC_NO_RESULT | the TPM is not able to generate an <i>r</i> value |

```

4  TPM_RC
5  TPM2_EC_Ephemeral(
6      EC_Ephemeral_In    *in,           // IN: input parameter list
7      EC_Ephemeral_Out  *out          // OUT: output parameter list
8  )
9  {
10     TPM2B_ECC_PARAMETER    r;
11     TPM_RC                 result;
12     //
13     do
14     {
15         // Get the random value that will be used in the point multiplications
16         // Note: this does not commit the count.
17         if(!CryptGenerateR(&r, NULL, in->curveID, NULL))
18             return TPM_RC_NO_RESULT;
19         // do a point multiply
20         result = CryptEccPointMultiply(&out->Q.point, in->curveID, NULL, &r,
21                                     NULL, NULL);
22         // commit the count value if either the r value results in the point at
23         // infinity or if the value is good. The commit on the r value for infinity
24         // is so that the r value will be skipped.
25         if((result == TPM_RC_SUCCESS) || (result == TPM_RC_NO_RESULT))
26             out->counter = CryptCommit();
27     } while(result == TPM_RC_NO_RESULT);
28
29     return TPM_RC_SUCCESS;
30 }
31 #endif // CC_EC_Ephemeral

```

20 Signing and Signature Verification

20.1 TPM2_VerifySignature

20.1.1 General Description

This command uses loaded keys to validate a signature on a message with the message digest passed to the TPM.

If the signature check succeeds, then the TPM will produce a TPMT_TK_VERIFIED. Otherwise, the TPM shall return TPM_RC_SIGNATURE.

If the key is in the NULL hierarchy, then *digest* in the ticket will be the Empty Buffer.

NOTE 1 A valid ticket may be used in subsequent commands to provide proof to the TPM that the TPM has validated the signature over the message using the key referenced by *keyHandle*.

If *keyHandle* references an asymmetric key, only the public portion of the key needs to be loaded. If *keyHandle* references a symmetric key, both the public and private portions need to be loaded.

NOTE 2 The sensitive area of the symmetric object is required to allow verification of the symmetric signature (the HMAC).

20.1.2 Command and Response

Table 104 — TPM2_VerifySignature Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_VerifySignature |
| TPMI_DH_OBJECT | keyHandle | handle of public key that will be used in the validation Auth Index: None |
| TPM2B_DIGEST | digest | digest of the signed message |
| TPMT_SIGNATURE | signature | signature to be tested |

Table 105 — TPM2_VerifySignature Response

| Type | Name | Description |
|------------------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMT_TK_VERIFIED | validation | |

20.1.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "VerifySignature_fp.h"
3  #if CC_VerifySignature // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|--|
| TPM_RC_ATTRIBUTES | <i>keyHandle</i> does not reference a signing key |
| TPM_RC_SIGNATURE | signature is not genuine |
| TPM_RC_SCHEME | CryptValidateSignature() |
| TPM_RC_HANDLE | the input handle is references an HMAC key but the private portion is not loaded |

```

4  TPM_RC
5  TPM2_VerifySignature(
6      VerifySignature_In    *in,           // IN: input parameter list
7      VerifySignature_Out   *out          // OUT: output parameter list
8  )
9  {
10     TPM_RC                result;
11     OBJECT                *signObject = HandleToObject(in->keyHandle);
12     TPML_RH_HIERARCHY     hierarchy;
13
14     // Input Validation
15     // The object to validate the signature must be a signing key.
16     if(!IS_ATTRIBUTE(signObject->publicArea.objectAttributes, TPMA_OBJECT, sign))
17         return TPM_RC_ATTRIBUTES + RC_VerifySignature_keyHandle;
18
19     // Validate Signature. TPM_RC_SCHEME, TPM_RC_HANDLE or TPM_RC_SIGNATURE
20     // error may be returned by CryptCVerifySignatrue()
21     result = CryptValidateSignature(in->keyHandle, &in->digest, &in->signature);
22     if(result != TPM_RC_SUCCESS)
23         return RcSafeAddToResult(result, RC_VerifySignature_signature);
24
25     // Command Output
26
27     hierarchy = GetHierarchy(in->keyHandle);
28     if(hierarchy == TPM_RH_NULL
29        || signObject->publicArea.nameAlg == TPM_ALG_NULL)
30     {
31         // produce empty ticket if hierarchy is TPM_RH_NULL or nameAlg is
32         // TPM_ALG_NULL
33         out->validation.tag = TPM_ST_VERIFIED;
34         out->validation.hierarchy = TPM_RH_NULL;
35         out->validation.digest.t.size = 0;
36     }
37     else
38     {
39         // Compute ticket
40         TicketComputeVerified(hierarchy, &in->digest, &signObject->name,
41                               &out->validation);
42     }
43
44     return TPM_RC_SUCCESS;
45 }
46 #endif // CC_VerifySignature

```

20.2 TPM2_Sign

20.2.1 General Description

This command causes the TPM to sign an externally provided hash with the specified symmetric or asymmetric signing key.

NOTE 1 If *keyhandle* references an unrestricted signing key, a digest can be signed using either this command or an HMAC command.

If *keyHandle* references a restricted signing key, then *validation* shall be provided, indicating that the TPM performed the hash of the data and *validation* shall indicate that hashed data did not start with TPM_GENERATED_VALUE.

NOTE 2 If the hashed data did start with TPM_GENERATED_VALUE, then the validation will be a NULL ticket.

The *x509sign* attribute of *keyHandle* may not be SET (TPM_RC_ATTRIBUTES).

If the scheme of *keyHandle* is not TPM_ALG_NULL, then *inScheme* shall either be the same scheme as *keyHandle* or TPM_ALG_NULL. If the *sign* attribute is not SET in the key referenced by *handle* then the TPM shall return TPM_RC_KEY.

If the scheme of *keyHandle* is TPM_ALG_NULL, the TPM will sign using *inScheme*; otherwise, it will sign using the scheme of *keyHandle*.

NOTE 3 When the signing scheme uses a hash algorithm, the algorithm is defined in the qualifying data of the scheme. This is the same algorithm that is required to be used in producing *digest*. The size of *digest* must match that of the hash algorithm in the scheme.

If *inScheme* is not a valid signing scheme for the type of *keyHandle* (or TPM_ALG_NULL), then the TPM shall return TPM_RC_SCHEME.

If the scheme of *keyHandle* is an anonymous *scheme*, then *inScheme* shall have the same scheme algorithm as *keyHandle* and *inScheme* will contain a counter value that will be used in the signing process.

EXAMPLE For ECDA, *inScheme.details.ecdaa.count* will contain the count value.

If *validation* is provided, then the hash algorithm used in computing the digest is required to be the hash algorithm specified in the scheme of *keyHandle* (TPM_RC_TICKET).

If the *validation* parameter is not the Empty Buffer, then it will be checked even if the key referenced by *keyHandle* is not a restricted signing key.

NOTE 4 If *keyHandle* is both a sign and decrypt key, *keyHandle* will have a scheme of TPM_ALG_NULL. If *validation* is provided, then it must be a NULL validation ticket or the ticket validation will fail.

20.2.2 Command and Response

Table 106 — TPM2_Sign Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Sign |
| TPMI_DH_OBJECT | @keyHandle | Handle of key that will perform signing Auth Index: 1 Auth Role: USER |
| TPM2B_DIGEST | digest | digest to be signed |
| TPMT_SIG_SCHEME+ | inScheme | signing scheme to use if the <i>scheme</i> for <i>keyHandle</i> is TPM_ALG_NULL |
| TPMT_TK_HASHCHECK | validation | proof that digest was created by the TPM If <i>keyHandle</i> is not a restricted signing key, then this may be a NULL Ticket with <i>tag</i> = TPM_ST_CHECKHASH. |

Table 107 — TPM2_Sign Response

| Type | Name | Description |
|----------------|--------------|---------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMT_SIGNATURE | signature | the signature |

20.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Sign_fp.h"
3  #if CC_Sign // Conditional expansion of this file
4  #include "Attest_spt_fp.h"

```

| Error Returns | Meaning |
|----------------|---|
| TPM_RC_BINDING | The public and private portions of the key are not properly bound. |
| TPM_RC_KEY | <i>signHandle</i> does not reference a signing key; |
| TPM_RC_SCHEME | the scheme is not compatible with sign key type, or input scheme is not compatible with default scheme, or the chosen scheme is not a valid sign scheme |
| TPM_RC_TICKET | <i>validation</i> is not a valid ticket |
| TPM_RC_VALUE | the value to sign is larger than allowed for the type of <i>keyHandle</i> |

```

5  TPM_RC
6  TPM2_Sign(
7      Sign_In      *in,          // IN: input parameter list
8      Sign_Out     *out         // OUT: output parameter list
9  )
10 {
11     TPM_RC          result;
12     TPMT_TK_HASHCHECK ticket;
13     OBJECT          *signObject = HandleToObject(in->keyHandle);
14     //
15     // Input Validation
16     if(!IsSigningObject(signObject))
17         return TPM_RCS_KEY + RC_Sign_keyHandle;
18
19     // A key that will be used for x.509 signatures can't be used in TPM2_Sign().
20     if(IS_ATTRIBUTE(signObject->publicArea.objectAttributes, TPMA_OBJECT, x509sign))
21         return TPM_RCS_ATTRIBUTES + RC_Sign_keyHandle;
22
23     // pick a scheme for sign. If the input sign scheme is not compatible with
24     // the default scheme, return an error.
25     if(!CryptSelectSignScheme(signObject, &in->inScheme))
26         return TPM_RCS_SCHEME + RC_Sign_inScheme;
27
28     // If validation is provided, or the key is restricted, check the ticket
29     if(in->validation.digest.t.size != 0
30        || IS_ATTRIBUTE(signObject->publicArea.objectAttributes,
31                       TPMA_OBJECT, restricted))
32     {
33         // Compute and compare ticket
34         TicketComputeHashCheck(in->validation.hierarchy,
35                               in->inScheme.details.any.hashAlg,
36                               &in->digest, &ticket);
37
38         if(!MemoryEqual2B(&in->validation.digest.b, &ticket.digest.b))
39             return TPM_RCS_TICKET + RC_Sign_validation;
40     }
41     else
42         // If we don't have a ticket, at least verify that the provided 'digest'
43         // is the size of the scheme hashAlg digest.
44         // NOTE: this does not guarantee that the 'digest' is actually produced using
45         // the indicated hash algorithm, but at least it might be.
46         {
47             if(in->digest.t.size

```

```
48         != CryptHashGetDigestSize(in->inScheme.details.any.hashAlg))
49         return TPM_RCS_SIZE + RC_Sign_digest;
50     }
51
52     // Command Output
53     // Sign the hash. A TPM_RC_VALUE or TPM_RC_SCHEME
54     // error may be returned at this point
55     result = CryptSign(signObject, &in->inScheme, &in->digest, &out->signature);
56
57     return result;
58 }
59 #endif // CC_Sign
```

21 Command Audit

21.1 Introduction

If a command has been selected for command audit, the command audit status will be updated when that command completes successfully. The digest is updated as:

$$commandAuditDigest_{new} := H_{auditAlg}(commandAuditDigest_{old} || cpHash || rpHash) \quad (5)$$

where

| | |
|----------------------|---|
| $H_{auditAlg}$ | hash function using the algorithm of the audit sequence |
| $commandAuditDigest$ | accumulated digest |
| $cpHash$ | the command parameter hash |
| $rpHash$ | the response parameter hash |

$auditAlg$, the hash algorithm, is set using `TPM2_SetCommandCodeAuditStatus()`.

`TPM2_Shutdown()` cannot be audited but `TPM2_Startup()` can be audited. If the $cpHash$ of the `TPM2_Startup()` is `TPM_SU_STATE`, that would indicate that a `TPM2_Shutdown()` had been successfully executed.

`TPM2_SetCommandCodeAuditStatus()` is always audited, except when it is used to change $auditAlg$.

If the TPM is in Failure mode, command audit is not functional.

21.2 TPM2_SetCommandCodeAuditStatus

21.2.1 General Description

This command may be used by the Privacy Administrator or platform to change the audit status of a command or to set the hash algorithm used for the audit digest, but not both at the same time.

If the *auditAlg* parameter is a supported hash algorithm and not the same as the current algorithm, then the TPM will check both *setList* and *clearList* are empty (zero length). If so, then the algorithm is changed, and the audit digest is cleared. If *auditAlg* is TPM_ALG_NULL or the same as the current algorithm, then the algorithm and audit digest are unchanged and the *setList* and *clearList* will be processed.

NOTE 1 Because the audit digest is cleared, the audit counter will increment the next time that an audited command is executed.

Use of TPM2_SetCommandCodeAuditStatus() to change the list of audited commands is an audited event. If TPM_CC_SetCommandCodeAuditStatus is in *clearList*, the fact that it is in *clearList* is ignored.

NOTE 2 Use of this command to change the audit hash algorithm is not audited and the digest is reset when the command completes. The change in the audit hash algorithm is the evidence that this command was used to change the algorithm.

The commands in *setList* indicate the commands to be added to the list of audited commands and the commands in *clearList* indicate the commands that will no longer be audited. It is not an error if a command in *setList* is already audited or is not implemented. It is not an error if a command in *clearList* is not currently being audited or is not implemented.

If a command code is in both *setList* and *clearList*, then it will not be audited (that is, *setList* shall be processed first).

21.2.2 Command and Response

Table 108 — TPM2_SetCommandCodeAuditStatus Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_SetCommandCodeAuditStatus {NV} |
| TPMI_RH_PROVISION | @auth | TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER |
| TPMI_ALG_HASH+ | auditAlg | hash algorithm for the audit digest; if TPM_ALG_NULL, then the hash is not changed |
| TPML_CC | setList | list of commands that will be added to those that will be audited |
| TPML_CC | clearList | list of commands that will no longer be audited |

Table 109 — TPM2_SetCommandCodeAuditStatus Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

21.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "SetCommandCodeAuditStatus_fp.h"
3  #if CC_SetCommandCodeAuditStatus // Conditional expansion of this file
4  TPM_RC
5  TPM2_SetCommandCodeAuditStatus (
6      SetCommandCodeAuditStatus_In *in // IN: input parameter list
7  )
8  {
9
10     // The command needs NV update. Check if NV is available.
11     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
12     // this point
13     RETURN_IF_NV_IS_NOT_AVAILABLE;
14
15     // Internal Data Update
16
17     // Update hash algorithm
18     if(in->auditAlg != TPM_ALG_NULL && in->auditAlg != gp.auditHashAlg)
19     {
20         // Can't change the algorithm and command list at the same time
21         if(in->setList.count != 0 || in->clearList.count != 0)
22             return TPM_RCS_VALUE + RC_SetCommandCodeAuditStatus_auditAlg;
23
24         // Change the hash algorithm for audit
25         gp.auditHashAlg = in->auditAlg;
26
27         // Set the digest size to a unique value that indicates that the digest
28         // algorithm has been changed. The size will be cleared to zero in the
29         // command audit processing on exit.
30         gr.commandAuditDigest.t.size = 1;
31
32         // Save the change of command audit data (this sets g_updateNV so that NV
33         // will be updated on exit.)
34         NV_SYNC_PERSISTENT(auditHashAlg);
35     }
36     else
37     {
38         UINT32 i;
39         BOOL changed = FALSE;
40
41         // Process set list
42         for(i = 0; i < in->setList.count; i++)
43
44             // If change is made in CommandAuditSet, set changed flag
45             if(CommandAuditSet(in->setList.commandCodes[i]))
46                 changed = TRUE;
47
48         // Process clear list
49         for(i = 0; i < in->clearList.count; i++)
50             // If change is made in CommandAuditClear, set changed flag
51             if(CommandAuditClear(in->clearList.commandCodes[i]))
52                 changed = TRUE;
53
54         // if change was made to command list, update NV
55         if(changed)
56             // this sets g_updateNV so that NV will be updated on exit.
57             NV_SYNC_PERSISTENT(auditCommands);
58     }
59
60     return TPM_RC_SUCCESS;
61 }
62 #endif // CC_SetCommandCodeAuditStatus

```

22 Integrity Collection (PCR)

22.1 Introduction

In TPM 1.2, an Event was hashed using SHA-1 and then the 20-octet digest was extended to a PCR using TPM_Extend(). This specification allows the use of multiple PCR at a given Index, each using a different hash algorithm. Rather than require that the external software generate multiple hashes of the Event with each being extended to a different PCR, the Event data may be sent to the TPM for hashing. This ensures that the resulting digests will properly reflect the algorithms chosen for the PCR even if the calling software is unable to implement the hash algorithm.

NOTE 1 There is continued support for software hashing of events with TPM2_PCR_Extend().

To support recording of an Event that is larger than the TPM input buffer, the caller may use the command sequence described in clause 1.

Change to a PCR requires authorization. The authorization may be with either an authorization value or an authorization policy. The platform-specific specifications determine which PCR may be controlled by policy. All other PCR are controlled by authorization.

If a PCR may be associated with a policy, then the algorithm ID of that policy determines whether the policy is to be applied. If the algorithm ID is not TPM_ALG_NULL, then the policy digest associated with the PCR must match the *policySession*→*policyDigest* in a policy session. If the algorithm ID is TPM_ALG_NULL, then no policy is present and the authorization requires an EmptyAuth.

If a platform-specific specification indicates that PCR are grouped, then all the PCR in the group use the same authorization policy or authorization value.

pcrUpdateCounter counter will be incremented on the successful completion of any command that modifies (Extends or resets) a PCR unless the platform-specific specification explicitly excludes the PCR from being counted.

NOTE 2 If a command causes PCR in multiple banks to change, the PCR Update Counter must be incremented once for each bank. The commands that extend PCR are: TPM2_PCR_Extend, TPM2_PCR_Event, and TPM2_EventSequenceComplete.

 If a command resets PCR in multiple banks, the PCR Update Counter must be incremented only once. The commands that reset PCR are: TPM2_PCR_Reset, and TPM2_Startup.

A platform-specific specification may designate a set of PCR that are under control of the TCB. These PCR may not be modified without the proper authorization. Updates of these PCR shall not cause the PCR Update Counter to increment.

EXAMPLE Updates of the TCB PCR will not cause the PCR update counter to increment because these PCR are changed at the whim of the TCB and may not represent the trust state of the platform.

22.2 TPM2_PCR_Extend

22.2.1 General Description

This command is used to cause an update to the indicated PCR. The *digests* parameter contains one or more tagged digest values identified by an algorithm ID. For each digest, the PCR associated with *pcrHandle* is Extended into the bank identified by the tag (*hashAlg*).

EXAMPLE A SHA1 digest would be Extended into the SHA1 bank and a SHA256 digest would be Extended into the SHA256 bank.

For each list entry, the TPM will check to see if *pcrNum* is implemented for that algorithm. If so, the TPM shall perform the following operation:

$$PCR.digest_{new}[pcrNum][alg] := H_{alg}(PCR.digest_{old}[pcrNum][alg] || data[alg].buffer) \quad (6)$$

where

| | |
|-------------------------|---|
| $H_{alg}()$ | hash function using the hash algorithm associated with the PCR instance |
| <i>PCR.digest</i> | the digest value in a PCR |
| <i>pcrNum</i> | the PCR numeric selector (<i>pcrHandle</i>) |
| <i>alg</i> | the PCR algorithm selector for the digest |
| <i>data[alg].buffer</i> | the bank-specific data to be extended |

If no digest value is specified for a bank, then the PCR in that bank is not modified.

NOTE 1 This allows consistent operation of the digests list for all of the Event recording commands.

If a digest is present and the PCR in that bank is not implemented, the digest value is not used.

NOTE 2 If the caller includes digests for algorithms that are not implemented, then the TPM will fail the call because the unmarshalling of *digests* will fail. Each of the entries in the list is a TPMT_HA, which is a hash algorithm followed by a digest. If the algorithm is not implemented, unmarshalling of the *hashAlg* will fail and the TPM will return TPM_RC_HASH.

If the TPM unmarshals the *hashAlg* of a list entry and the unmarshaled value is not a hash algorithm implemented on the TPM, the TPM shall return TPM_RC_HASH.

The *pcrHandle* parameter is allowed to reference TPM_RH_NULL. If so, the input parameters are processed but no action is taken by the TPM. This permits the caller to probe for implemented hash algorithms as an alternative to TPM2_GetCapability.

NOTE 3 This command allows a list of digests so that PCR in all banks may be updated in a single command. While the semantics of this command allow multiple extends to a single PCR bank, this is not the preferred use and the limit on the number of entries in the list make this use somewhat impractical.

22.2.2 Command and Response

Table 110 — TPM2_PCR_Extend Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PCR_Extend {NV} |
| TPMI_DH_PCR+ | @pcrHandle | handle of the PCR Auth Handle: 1 Auth Role: USER |
| TPML_DIGEST_VALUES | digests | list of tagged digest values to be extended |

Table 111 — TPM2_PCR_Extend Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | . |

22.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PCR_Extend_fp.h"
3  #if CC_PCR_Extend // Conditional expansion of this file

```

| Error Returns | Meaning |
|-----------------|--|
| TPM_RC_LOCALITY | current command locality is not allowed to extend the PCR referenced by <i>pcrHandle</i> |

```

4  TPM_RC
5  TPM2_PCR_Extend(
6      PCR_Extend_In *in // IN: input parameter list
7  )
8  {
9      UINT32 i;
10
11 // Input Validation
12
13 // NOTE: This function assumes that the unmarshaling function for 'digests' will
14 // have validated that all of the indicated hash algorithms are valid. If the
15 // hash algorithms are correct, the unmarshaling code will unmarshal a digest
16 // of the size indicated by the hash algorithm. If the overall size is not
17 // consistent, the unmarshaling code will run out of input data or have input
18 // data left over. In either case, it will cause an unmarshaling error and this
19 // function will not be called.
20
21 // For NULL handle, do nothing and return success
22 if(in->pcrHandle == TPM_RH_NULL)
23     return TPM_RC_SUCCESS;
24
25 // Check if the extend operation is allowed by the current command locality
26 if(!PCRIsExtendAllowed(in->pcrHandle))
27     return TPM_RC_LOCALITY;
28
29 // If PCR is state saved and we need to update orderlyState, check NV
30 // availability
31 if(PCRIsStateSaved(in->pcrHandle))
32     RETURN_IF_ORDERLY;
33
34 // Internal Data Update
35
36 // Iterate input digest list to extend
37 for(i = 0; i < in->digests.count; i++)
38 {
39     PCRExtend(in->pcrHandle, in->digests.digests[i].hashAlg,
40             CryptHashGetDigestSize(in->digests.digests[i].hashAlg),
41             (BYTE *)&in->digests.digests[i].digest);
42 }
43
44 return TPM_RC_SUCCESS;
45 }
46 #endif // CC_PCR_Extend

```

22.3 TPM2_PCR_Event

22.3.1 General Description

This command is used to cause an update to the indicated PCR.

The data in *eventData* is hashed using the hash algorithm associated with each bank in which the indicated PCR has been allocated. After the data is hashed, the *digests* list is returned. If the *pcrHandle* references an implemented PCR and not TPM_RH_NULL, the *digests* list is processed as in TPM2_PCR_Extend().

A TPM shall support an *Event.size* of zero through 1,024 inclusive (*Event.size* is an octet count). An *Event.size* of zero indicates that there is no data but the indicated operations will still occur,

EXAMPLE 1 If the command implements PCR[2] in a SHA1 bank and a SHA256 bank, then an extend to PCR[2] will cause *eventData* to be hashed twice, once with SHA1 and once with SHA256. The SHA1 hash of *eventData* will be Extended to PCR[2] in the SHA1 bank and the SHA256 hash of *eventData* will be Extended to PCR[2] of the SHA256 bank.

On successful command completion, *digests* will contain the list of tagged digests of *eventData* that was computed in preparation for extending the data into the PCR. At the option of the TPM, the list may contain a digest for each bank, or it may only contain a digest for each bank in which *pcrHandle* is extant. If *pcrHandle* is TPM_RH_NULL, the TPM may return either an empty list or a digest for each bank.

EXAMPLE 2 Assume a TPM that implements a SHA1 bank and a SHA256 bank and that PCR[22] is only implemented in the SHA1 bank. If *pcrHandle* references PCR[22], then *digests* may contain either a SHA1 and a SHA256 digest or just a SHA1 digest.

22.3.2 Command and Response

Table 112 — TPM2_PCR_Event Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PCR_Event {NV} |
| TPMI_DH_PCR+ | @pcrHandle | Handle of the PCR Auth Handle: 1 Auth Role: USER |
| TPM2B_EVENT | eventData | Event data in sized buffer |

Table 113 — TPM2_PCR_Event Response

| Type | Name | Description |
|--------------------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | . |
| TPML_DIGEST_VALUES | digests | |

22.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PCR_Event_fp.h"
3  #if CC_PCR_Event // Conditional expansion of this file

```

| Error Returns | Meaning |
|-----------------|--|
| TPM_RC_LOCALITY | current command locality is not allowed to extend the PCR referenced by <i>pcrHandle</i> |

```

4  TPM_RC
5  TPM2_PCR_Event(
6      PCR_Event_In    *in,           // IN: input parameter list
7      PCR_Event_Out   *out          // OUT: output parameter list
8  )
9  {
10     HASH_STATE        hashState;
11     UINT32            i;
12     UINT16            size;
13
14     // Input Validation
15
16     // If a PCR extend is required
17     if(in->pcrHandle != TPM_RH_NULL)
18     {
19         // If the PCR is not allow to extend, return error
20         if(!PCRIsExtendAllowed(in->pcrHandle))
21             return TPM_RC_LOCALITY;
22
23         // If PCR is state saved and we need to update orderlyState, check NV
24         // availability
25         if(PCRIsStateSaved(in->pcrHandle))
26             RETURN_IF_ORDERLY;
27     }
28
29     // Internal Data Update
30
31     out->digests.count = HASH_COUNT;
32
33     // Iterate supported PCR bank algorithms to extend
34     for(i = 0; i < HASH_COUNT; i++)
35     {
36         TPM_ALG_ID hash = CryptHashGetAlgByIndex(i);
37         out->digests.digests[i].hashAlg = hash;
38         size = CryptHashStart(&hashState, hash);
39         CryptDigestUpdate2B(&hashState, &in->eventData.b);
40         CryptHashEnd(&hashState, size,
41                     (BYTE *) &out->digests.digests[i].digest);
42         if(in->pcrHandle != TPM_RH_NULL)
43             PCRExtend(in->pcrHandle, hash, size,
44                     (BYTE *) &out->digests.digests[i].digest);
45     }
46
47     return TPM_RC_SUCCESS;
48 }
49 #endif // CC_PCR_Event

```

22.4 TPM2_PCR_Read

22.4.1 General Description

This command returns the values of all PCR specified in *pcrSelectionIn*.

The TPM will process the list of TPMS_PCR_SELECTION in *pcrSelectionIn* in order. Within each TPMS_PCR_SELECTION, the TPM will process the bits in the *pcrSelect* array in ascending PCR order (see TPM 2.0 Part 1, *Selecting Multiple PCR*). If a bit is SET, and the indicated PCR is present, then the TPM will add the digest of the PCR to the list of values to be returned in *pcrValues*.

The TPM will continue processing bits until all have been processed or until *pcrValues* would be too large to fit into the output buffer if additional values were added.

The returned *pcrSelectionOut* will have a bit SET in its *pcrSelect* structures for each value present in *pcrValues*.

The current value of the PCR Update Counter is returned in *pcrUpdateCounter*.

The returned list may be empty if none of the selected PCR are implemented.

NOTE If no PCR are returned from a bank, the selector for the bank will be present in *pcrSelectionOut*.

No authorization is required to read a PCR and any implemented PCR may be read from any locality.

22.4.2 Command and Response

Table 114 — TPM2_PCR_Read Command

| Type | Name | Description |
|---------------------|----------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PCR_Read |
| TPML_PCR_SELECTION | pcrSelectionIn | The selection of PCR to read |

Table 115 — TPM2_PCR_Read Response

| Type | Name | Description |
|--------------------|------------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| UINT32 | pcrUpdateCounter | the current value of the PCR update counter |
| TPML_PCR_SELECTION | pcrSelectionOut | the PCR in the returned list |
| TPML_DIGEST | pcrValues | the contents of the PCR indicated in <i>pcrSelectOut->pcrSelection[]</i> as tagged digests |

22.4.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "PCR_Read_fp.h"
3  #if CC_PCR_Read // Conditional expansion of this file
4  TPM_RC
5  TPM2_PCR_Read(
6      PCR_Read_In    *in,           // IN: input parameter list
7      PCR_Read_Out   *out          // OUT: output parameter list
8  )
9  {
10 // Command Output
11
12 // Call PCR read function. input pcrSelectionIn parameter could be changed
13 // to reflect the actual PCR being returned
14 PCRRead(&in->pcrSelectionIn, &out->pcrValues, &out->pcrUpdateCounter);
15
16 out->pcrSelectionOut = in->pcrSelectionIn;
17
18 return TPM_RC_SUCCESS;
19 }
20 #endif // CC_PCR_Read
```


22.5 TPM2_PCR_Allocate

22.5.1 General Description

This command is used to set the desired PCR allocation of PCR and algorithms. This command requires Platform Authorization.

The TPM will evaluate the request and, if sufficient memory is available for the requested allocation, the TPM will store the allocation request for use during the next `_TPM_Init` operation. The PCR allocation in place when this command is executed will be retained until the next `_TPM_Init`. If this command is received multiple times before a `_TPM_Init`, each one overwrites the previous stored allocation.

This command will only change the allocations of banks that are listed in *pcrAllocation*.

EXAMPLE 1 If a TPM supports SHA1 and SHA256, then it maintains an allocation for two banks (one of which could be empty). If *pcrAllocation* only has a selector for the SHA1 bank, then only the allocation of the SHA1 bank will be changed and the SHA256 bank will remain unchanged. To change the allocation of a TPM from 24 SHA1 PCR and no SHA256 PCR to 24 SHA256 PCR and no SHA1 PCR, the *pcrAllocation* would have to have two selections: one for the empty SHA1 bank and one for the SHA256 bank with 24 PCR.

If a bank is listed more than once, then the last selection in the *pcrAllocation* list is the one that the TPM will attempt to allocate.

NOTE 1 This does not mean to imply that *pcrAllocation.count* can exceed `HASH_COUNT`, the number of digests implemented in the TPM.

EXAMPLE 2 If `HASH_COUNT` is 2, *pcrAllocation* can specify SHA-256 twice, and the second one is used. However, if `SHA_256` is specified three times, the unmarshaling may fail and the TPM may return an error.

This command shall not allocate more PCR in any bank than there are PCR attribute definitions. The PCR attribute definitions indicate how a PCR is to be managed – if it is resettable, the locality for update, etc. In the response to this command, the TPM returns the maximum number of PCR allowed for any bank.

When PCR are allocated, if `DRTM_PCR` is defined, the resulting allocation must have at least one bank with the D-RTM PCR allocated. If `HCRTM_PCR` is defined, the resulting allocation must have at least one bank with the HCRTM_PCR allocated. If not, the TPM returns `TPM_RC_PCR`.

The TPM may return `TPM_RC_SUCCESS` even though the request fails. This is to allow the TPM to return information about the size needed for the requested allocation and the size available. If the *sizeNeeded* parameter in the return is less than or equal to the *sizeAvailable* parameter, then the *allocationSuccess* parameter will be YES. Alternatively, if the request fails, The TPM may return `TPM_RC_NO_RESULT`.

NOTE 2 An example for this type of failure is a TPM that can only support one bank at a time and cannot support arbitrary distribution of PCR among banks.

After this command, `TPM2_Shutdown()` is only allowed to have a *startupType* equal to `TPM_SU_CLEAR` until after the next `_TPM_Init`.

NOTE 3 Even if this command does not cause the PCR allocation to change, the TPM cannot have its state saved. This is done in order to simplify the implementation. There is no need to optimize this command as it is not expected to be used more than once in the lifetime of the TPM (it can be used any number of times but there is no justification for optimization).

22.5.2 Command and Response

Table 116 — TPM2_PCR_Allocate Command

| Type | Name | Description |
|---------------------|---------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PCR_Allocate {NV} |
| TPMI_RH_PLATFORM | @authHandle | TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER |
| TPML_PCR_SELECTION | pcrAllocation | the requested allocation |

Table 117 — TPM2_PCR_Allocate Response

| Type | Name | Description |
|-------------|-------------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMI_YES_NO | allocationSuccess | YES if the allocation succeeded |
| UINT32 | maxPCR | maximum number of PCR that may be in a bank |
| UINT32 | sizeNeeded | number of octets required to satisfy the request |
| UINT32 | sizeAvailable | Number of octets available. Computed before the allocation. |

22.5.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PCR_Allocate_fp.h"
3  #if CC_PCR_Allocate // Conditional expansion of this file

```

| Error Returns | Meaning |
|-----------------------|--|
| TPM_RC_PCR | the allocation did not have required PCR |
| TPM_RC_NV_UNAVAILABLE | NV is not accessible |
| TPM_RC_NV_RATE | NV is in a rate-limiting mode |

```

4  TPM_RC
5  TPM2_PCR_Allocate(
6      PCR_Allocate_In    *in,           // IN: input parameter list
7      PCR_Allocate_Out   *out          // OUT: output parameter list
8  )
9  {
10     TPM_RC    result;
11
12     // The command needs NV update. Check if NV is available.
13     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
14     // this point.
15     // Note: These codes are not listed in the return values above because it is
16     // an implementation choice to check in this routine rather than in a common
17     // function that is called before these actions are called. These return values
18     // are described in the Response Code section of Part 3.
19     RETURN_IF_NV_IS_NOT_AVAILABLE;
20
21     // Command Output
22
23     // Call PCR Allocation function.
24     result = PCRAllocate(&in->pcrAllocation, &out->maxPCR,
25                         &out->sizeNeeded, &out->sizeAvailable);
26     if(result == TPM_RC_PCR)
27         return result;
28
29     //
30     out->allocationSuccess = (result == TPM_RC_SUCCESS);
31
32     // if re-configuration succeeds, set the flag to indicate PCR configuration is
33     // going to be changed in next boot
34     if(out->allocationSuccess == YES)
35         g_pcrReConfig = TRUE;
36
37     return TPM_RC_SUCCESS;
38 }
39 #endif // CC_PCR_Allocate

```

22.6 TPM2_PCR_SetAuthPolicy

22.6.1 General Description

This command is used to associate a policy with a PCR or group of PCR. The policy determines the conditions under which a PCR may be extended or reset.

A policy may only be associated with a PCR that has been defined by a platform-specific specification as allowing a policy. If the TPM implementation does not allow a policy for *pcrNum*, the TPM shall return TPM_RC_VALUE.

A platform-specific specification may group PCR so that they share a common policy. In such case, a *pcrNum* that selects any of the PCR in the group will change the policy for all PCR in the group.

The policy setting is persistent and may only be changed by TPM2_PCR_SetAuthPolicy() or by TPM2_ChangePPS().

Before this command is first executed on a TPM or after TPM2_ChangePPS(), the access control on the PCR will be set to the default value defined in the platform-specific specification.

NOTE 1 It is expected that the typical default will be with the policy hash set to TPM_ALG_NULL and an Empty Buffer for the *authPolicy* value. This will allow an *EmptyAuth* to be used as the authorization value.

If the size of the data buffer in *authPolicy* is not the size of a digest produced by *hashAlg*, the TPM shall return TPM_RC_SIZE.

NOTE 2 If *hashAlg* is TPM_ALG_NULL, then the size is required to be zero.

This command requires platformAuth/platformPolicy.

NOTE 3 If the PCR is in multiple policy sets, the policy will be changed in only one set. The set that is changed will be implementation dependent.

22.6.2 Command and Response

Table 118 — TPM2_PCR_SetAuthPolicy Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PCR_SetAuthPolicy {NV} |
| TPMI_RH_PLATFORM | @authHandle | TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER |
| TPM2B_DIGEST | authPolicy | the desired <i>authPolicy</i> |
| TPMI_ALG_HASH+ | hashAlg | the hash algorithm of the policy |
| TPMI_DH_PCR | pcrNum | the PCR for which the policy is to be set |

Table 119 — TPM2_PCR_SetAuthPolicy Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

22.6.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PCR_SetAuthPolicy_fp.h"
3  #if CC_PCR_SetAuthPolicy // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_SIZE | size of <i>authPolicy</i> is not the size of a digest produced by <i>policyDigest</i> |
| TPM_RC_VALUE | PCR referenced by <i>pcrNum</i> is not a member of a PCR policy group |

```

4  TPM_RC
5  TPM2_PCR_SetAuthPolicy(
6      PCR_SetAuthPolicy_In  *in          // IN: input parameter list
7  )
8  {
9      UINT32      groupIndex;
10
11     // The command needs NV update. Check if NV is available.
12     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
13     // this point
14     RETURN_IF_NV_IS_NOT_AVAILABLE;
15
16     // Input Validation:
17
18     // Check the authPolicy consistent with hash algorithm
19     if(in->authPolicy.t.size != CryptHashGetDigestSize(in->hashAlg))
20         return TPM_RCS_SIZE + RC_PCR_SetAuthPolicy_authPolicy;
21
22     // If PCR does not belong to a policy group, return TPM_RC_VALUE
23     if(!PCRBelongsPolicyGroup(in->pcrNum, &groupIndex))
24         return TPM_RCS_VALUE + RC_PCR_SetAuthPolicy_pcrNum;
25
26     // Internal Data Update
27
28     // Set PCR policy
29     gp.pcrPolicies.hashAlg[groupIndex] = in->hashAlg;
30     gp.pcrPolicies.policy[groupIndex] = in->authPolicy;
31
32     // Save new policy to NV
33     NV_SYNC_PERSISTENT(pcrPolicies);
34
35     return TPM_RC_SUCCESS;
36 }
37 #endif // CC_PCR_SetAuthPolicy

```

22.7 TPM2_PCR_SetAuthValue

22.7.1 General Description

This command changes the *authValue* of a PCR or group of PCR.

An *authValue* may only be associated with a PCR that has been defined by a platform-specific specification as allowing an authorization value. If the TPM implementation does not allow an authorization for *pcrNum*, the TPM shall return TPM_RC_VALUE. A platform-specific specification may group PCR so that they share a common authorization value. In such case, a *pcrNum* that selects any of the PCR in the group will change the *authValue* value for all PCR in the group.

The authorization setting is set to EmptyAuth on each STARTUP(CLEAR) or by TPM2_Clear(). The authorization setting is preserved by SHUTDOWN(STATE).

22.7.2 Command and Response

Table 120 — TPM2_PCR_SetAuthValue Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PCR_SetAuthValue |
| TPMI_DH_PCR | @pcrHandle | handle for a PCR that may have an authorization value set Auth Index: 1 Auth Role: USER |
| TPM2B_DIGEST | auth | the desired authorization value |

Table 121 — TPM2_PCR_SetAuthValue Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

22.7.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PCR_SetAuthValue_fp.h"
3  #if CC_PCR_SetAuthValue // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_VALUE | PCR referenced by <i>pcrHandle</i> is not a member of a PCR authorization group |

```

4  TPM_RC
5  TPM2_PCR_SetAuthValue(
6      PCR_SetAuthValue_In *in // IN: input parameter list
7  )
8  {
9      UINT32 groupIndex;
10 // Input Validation:
11
12 // If PCR does not belong to an auth group, return TPM_RC_VALUE
13 if(!PCRBelongsAuthGroup(in->pcrHandle, &groupIndex))
14     return TPM_RC_VALUE;
15
16 // The command may cause the orderlyState to be cleared due to the update of
17 // state clear data. If this is the case, Check if NV is available.
18 // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
19 // this point
20 RETURN_IF_ORDERLY;
21
22 // Internal Data Update
23
24 // Set PCR authValue
25 MemoryRemoveTrailingZeros(&in->auth);
26 gc.pcrAuthValues.auth[groupIndex] = in->auth;
27
28 return TPM_RC_SUCCESS;
29 }
30 #endif // CC_PCR_SetAuthValue

```

22.8 TPM2_PCR_Reset

22.8.1 General Description

If the attribute of a PCR allows the PCR to be reset and proper authorization is provided, then this command may be used to set the PCR in all banks to zero. The attributes of the PCR may restrict the locality that can perform the reset operation.

NOTE 1 The definition of TPMI_DH_PCR in TPM 2.0 Part 2 indicates that if *pcrHandle* is out of the allowed range for PCR, then the appropriate return value is TPM_RC_VALUE.

If *pcrHandle* references a PCR that cannot be reset, the TPM shall return TPM_RC_LOCALITY.

NOTE 2 TPM_RC_LOCALITY is returned because the reset attributes are defined on a per-locality basis.

22.8.2 Command and Response

Table 122 — TPM2_PCR_Reset Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PCR_Reset {NV} |
| TPMI_DH_PCR | @pcrHandle | the PCR to reset Auth Index: 1 Auth Role: USER |

Table 123 — TPM2_PCR_Reset Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

22.8.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PCR_Reset_fp.h"
3  #if CC_PCR_Reset // Conditional expansion of this file

```

| Error Returns | Meaning |
|-----------------|---|
| TPM_RC_LOCALITY | current command locality is not allowed to reset the PCR referenced by <i>pcrHandle</i> |

```

4  TPM_RC
5  TPM2_PCR_Reset(
6      PCR_Reset_In *in // IN: input parameter list
7  )
8  {
9  // Input Validation
10
11     // Check if the reset operation is allowed by the current command locality
12     if(!PCRIsResetAllowed(in->pcrHandle))
13         return TPM_RC_LOCALITY;
14
15     // If PCR is state saved and we need to update orderlyState, check NV
16     // availability
17     if(PCRIsStateSaved(in->pcrHandle))
18         RETURN_IF_ORDERLY;
19
20 // Internal Data Update
21
22     // Reset selected PCR in all banks to 0
23     PCRSetValue(in->pcrHandle, 0);
24
25     // Indicate that the PCR changed so that pcrCounter will be incremented if
26     // necessary.
27     PCRChanged(in->pcrHandle);
28
29     return TPM_RC_SUCCESS;
30 }
31 #endif // CC_PCR_Reset

```

22.9 `_TPM_Hash_Start`

22.9.1 Description

This indication from the TPM interface indicates the start of an H-CRTM measurement sequence. On receipt of this indication, the TPM will initialize an H-CRTM Event Sequence context.

If no object memory is available for creation of the sequence context, the TPM will flush the context of an object so that creation of the sequence context will always succeed.

A platform-specific specification may allow this indication before `TPM2_Startup()`.

NOTE If this indication occurs after `TPM2_Startup()`, it is the responsibility of software to ensure that an object context slot is available or to deal with the consequences of having the TPM select an arbitrary object to be flushed. If this indication occurs before `TPM2_Startup()` then all context slots are available.

22.9.2 Detailed Actions

```
1 #include "Tpm.h"
```

This function is called to process a `_TPM_Hash_Start()` indication.

```
2 LIB_EXPORT void
3 _TPM_Hash_Start(
4     void
5 )
6 {
7     TPM_RC          result;
8     TPMT_DH_OBJECT handle;
9
10    // If a DRTM sequence object exists, free it up
11    if(g_DRTMHandle != TPM_RH_UNASSIGNED)
12    {
13        FlushObject(g_DRTMHandle);
14        g_DRTMHandle = TPM_RH_UNASSIGNED;
15    }
16
17    // Create an event sequence object and store the handle in global
18    // g_DRTMHandle. A TPM_RC_OBJECT_MEMORY error may be returned at this point
19    // The NULL value for the first parameter will cause the sequence structure to
20    // be allocated without being set as present. This keeps the sequence from
21    // being left behind if the sequence is terminated early.
22    result = ObjectCreateEventSequence(NULL, &g_DRTMHandle);
23
24    // If a free slot was not available, then free up a slot.
25    if(result != TPM_RC_SUCCESS)
26    {
27        // An implementation does not need to have a fixed relationship between
28        // slot numbers and handle numbers. To handle the general case, scan for
29        // a handle that is assigned and free it for the DRTM sequence.
30        // In the reference implementation, the relationship between handles and
31        // slots is fixed. So, if the call to ObjectCreateEventSequence()
32        // failed indicating that all slots are occupied, then the first handle we
33        // are going to check (TRANSIENT_FIRST) will be occupied. It will be freed
34        // so that it can be assigned for use as the DRTM sequence object.
35        for(handle = TRANSIENT_FIRST; handle < TRANSIENT_LAST; handle++)
36        {
37            // try to flush the first object
38            if(IsObjectPresent(handle))
39                break;
40        }
41        // If the first call to find a slot fails but none of the slots is occupied
42        // then there's a big problem
43        pAssert(handle < TRANSIENT_LAST);
44
45        // Free the slot
46        FlushObject(handle);
47
48        // Try to create an event sequence object again. This time, we must
49        // succeed.
50        result = ObjectCreateEventSequence(NULL, &g_DRTMHandle);
51        if(result != TPM_RC_SUCCESS)
52            FAIL(FATAL_ERROR_INTERNAL);
53    }
54
55    return;
56 }
```

22.10 **_TPM_Hash_Data**

22.10.1 **Description**

This indication from the TPM interface indicates arrival of one or more octets of data that are to be included in the H-CRTM Event Sequence sequence context created by the `_TPM_Hash_Start` indication. The context holds data for each hash algorithm for each PCR bank implemented on the TPM.

If no H-CRTM Event Sequence context exists, this indication is discarded and no other action is performed.

22.10.2 Detailed Actions

```
1 #include "Tpm.h"
```

This function is called to process a `_TPM_Hash_Data()` indication.

```
2 LIB_EXPORT void
3 _TPM_Hash_Data(
4     uint32_t      dataSize,      // IN: size of data to be extend
5     unsigned char *data         // IN: data buffer
6 )
7 {
8     UINT32        i;
9     HASH_OBJECT   *hashObject;
10    TPMI_DH_PCR    pcrHandle = TPMIsStarted()
11        ? PCR_FIRST + DRITM_PCR : PCR_FIRST + HCRITM_PCR;
12
13    // If there is no DRITM sequence object, then _TPM_Hash_Start
14    // was not called so this function returns without doing
15    // anything.
16    if(g_DRITMHandle == TPM_RH_UNASSIGNED)
17        return;
18
19    hashObject = (HASH_OBJECT *)HandleToObject(g_DRITMHandle);
20    pAssert(hashObject->attributes.eventSeq);
21
22    // For each of the implemented hash algorithms, update the digest with the
23    // data provided.
24    for(i = 0; i < HASH_COUNT; i++)
25    {
26        // make sure that the PCR is implemented for this algorithm
27        if(PcrIsAllocated(pcrHandle,
28            hashObject->state.hashState[i].hashAlg))
29            // Update sequence object
30            CryptDigestUpdate(&hashObject->state.hashState[i], dataSize, data);
31    }
32
33    return;
34 }
```


22.11 _TPM_Hash_End

22.11.1 Description

This indication from the TPM interface indicates the end of the H-CRTM measurement. This indication is discarded and no other action performed if the TPM does not contain an H-CRTM Event Sequence context.

NOTE 1 An H-CRTM Event Sequence context is created by `_TPM_Hash_Start()`.

If the H-CRTM Event Sequence occurs after `TPM2_Startup()`, the TPM will set all of the PCR designated in the platform-specific specifications as resettable by this event to the value indicated in the platform specific specification and increment *restartCount*. The TPM will then Extend the Event Sequence digest/digests into the designated D-RTM PCR (PCR[17]).

$$\text{PCR}[17][\text{hashAlg}] := \mathbf{H}_{\text{hashAlg}}(\text{initial_value} || \mathbf{H}_{\text{hashAlg}}(\text{hash_data})) \quad (7)$$

where

| | |
|----------------------|---|
| <i>hashAlg</i> | hash algorithm associated with a bank of PCR |
| <i>initial_value</i> | initialization value specified in the platform-specific specification (should be 0...0) |
| <i>hash_data</i> | all the octets of data received in <code>_TPM_Hash_Data</code> indications |

A `_TPM_Hash_End` indication that occurs after `TPM2_Startup()` will increment *pcrUpdateCounter* unless a platform-specific specification excludes modifications of PCR[DRTM] from causing an increment.

A platform-specific specification may allow an H-CRTM Event Sequence before `TPM2_Startup()`. If so, `_TPM_Hash_End` will complete the digest, initialize PCR[0] with a digest-size value of 4, and then extend the H-CRTM Event Sequence data into PCR[0].

$$\text{PCR}[0][\text{hashAlg}] := \mathbf{H}_{\text{hashAlg}}(0\dots04 || \mathbf{H}_{\text{hashAlg}}(\text{hash_data})) \quad (8)$$

NOTE 2 The entire sequence of `_TPM_Hash_Start`, `_TPM_Hash_Data`, and `_TPM_Hash_End` are required to complete before `TPM2_Startup()` or the sequence will have no effect on the TPM.

NOTE 3 PCR[0] does not need to be updated according to (8) until the end of `TPM2_Startup()`.

22.11.2 Detailed Actions

```
1 #include "Tpm.h"
```

This function is called to process a `_TPM_Hash_End()` indication.

```
2 LIB_EXPORT void
3 _TPM_Hash_End(
4     void
5 )
6 {
7     UINT32          i;
8     TPM2B_DIGEST    digest;
9     HASH_OBJECT     *hashObject;
10    TPMI_DH_PCR      pcrHandle;
11
12    // If the DRTM handle is not being used, then either _TPM_Hash_Start has not
13    // been called, _TPM_Hash_End was previously called, or some other command
14    // was executed and the sequence was aborted.
15    if(g_DRTMHandle == TPM_RH_UNASSIGNED)
16        return;
17
18    // Get DRTM sequence object
19    hashObject = (HASH_OBJECT *)HandleToObject(g_DRTMHandle);
20
21    // Is this _TPM_Hash_End after Startup or before
22    if(TPMIsStarted())
23    {
24        // After
25
26        // Reset the DRTM PCR
27        PCRResetDynamics();
28
29        // Extend the DRTM PCR.
30        pcrHandle = PCR_FIRST + DRTM_PCR;
31
32        // DRTM sequence increments restartCount
33        gr.restartCount++;
34    }
35    else
36    {
37        pcrHandle = PCR_FIRST + HCRIM_PCR;
38        g_DrtmPreStartup = TRUE;
39    }
40
41    // Complete hash and extend PCR, or if this is an HCRIM, complete
42    // the hash, reset the H-CRIM register (PCR[0]) to 0...04, and then
43    // extend the H-CRIM data
44    for(i = 0; i < HASH_COUNT; i++)
45    {
46        TPML_ALG_HASH    hash = CryptHashGetAlgByIndex(i);
47        // make sure that the PCR is implemented for this algorithm
48        if(PcrIsAllocated(pcrHandle,
49                          hashObject->state.hashState[i].hashAlg))
50        {
51            // Complete hash
52            digest.t.size = CryptHashGetDigestSize(hash);
53            CryptHashEnd2B(&hashObject->state.hashState[i], &digest.b);
54
55            PcrDrtm(pcrHandle, hash, &digest);
56        }
57    }
58
59    // Flush sequence object.
```

```
60     FlushObject(g_DRTMHandle);
61
62     g_DRTMHandle = TPM_RH_UNASSIGNED;
63
64     return;
65 }
```

23 Enhanced Authorization (EA) Commands

23.1 Introduction

The commands in this clause 1 are used for policy evaluation. When successful, each command will update the *policySession*→*policyDigest* in a policy session context in order to establish that the authorizations required to use an object have been provided. Many of the commands will also modify other parts of a policy context so that the caller may constrain the scope of the authorization that is provided.

NOTE 1 Many of the terms used in this clause are described in detail in TPM 2.0 Part 1 and are not redefined in this clause.

The *policySession* parameter of the command is the handle of the policy session context to be modified by the command.

If the *policySession* parameter indicates a trial policy session, then the *policySession*→*policyDigest* will be updated and the indicated validations are not performed. However, any authorizations required to perform the policy command will be checked and dictionary attack logic invoked as necessary.

NOTE 2 If software is used to create policies, no authorization values are used. For example, TPM_PolicySecret requires an authorization in a trial policy session, but not in a policy calculation outside the TPM.

NOTE 3 A policy session is set to a trial policy by TPM2_StartAuthSession(*sessionType* = TPM_SE_TRIAL).

NOTE 4 Unless there is an unmarshaling error in the parameters of the command, these commands will return TPM_RC_SUCCESS when *policySession* references a trial session.

NOTE 5 Policy context other than the *policySession*→*policyDigest* may be updated for a trial policy but it is not required.

23.2 Signed Authorization Actions

23.2.1 Introduction

The TPM2_PolicySigned, TPM_PolicySecret, and TPM2_PolicyTicket commands use many of the same functions. This clause consolidates those functions to simplify the document and to ensure uniformity of the operations.

23.2.2 Policy Parameter Checks

These parameter checks will be performed when indicated in the description of each of the commands:

- a) *nonceTPM* – If this parameter is not the Empty Buffer, and it does not match *policySession→nonceTPM*, then the TPM shall return TPM_RC_VALUE.
- b) *expiration* – If this parameter is not zero, then:
 - 1) if *nonceTPM* is not an Empty Buffer, then the absolute value of *expiration* is converted to milliseconds and added to *policySession→startTime* to create the *timeout* value and proceed to c).
 - 2) If *nonceTPM* is an Empty Buffer, then the absolute value of *expiration* is converted to milliseconds and used as the *timeout* value and proceed to c).

However, *timeout* can only be changed to a smaller value.
- c) *timeout* – If *timeout* is less than the current value of *Time*, or the current *timeEpoch* is not the same as *policySession→timeEpoch*, the TPM shall return TPM_RC_EXPIRED
- d) *cpHashA* – If this parameter is not an Empty Buffer

NOTE 2 *cpHashA* is the hash of the command to be executed using this policy session in the authorization. The algorithm used to compute this hash is required to be the algorithm of the policy session.

- 1) the TPM shall return TPM_RC_CPHASH if *policySession→cpHash* is set and the contents of *policySession→cpHash* are not the same as *cpHashA*; or

NOTE 3 *cpHash* is the expected *cpHash* value held in the policy session context.

- 2) the TPM shall return TPM_RC_SIZE if *cpHashA* is not the same size as *policySession→policyDigest*.

NOTE 4 *policySession→policyDigest* is the size of the digest produced by the hash algorithm used to compute *policyDigest*.

23.2.3 Policy Digest Update Function (PolicyUpdate())

This is the update process for $policySession \rightarrow policyDigest$ used by TPM2_PolicySigned(), TPM2_PolicySecret(), TPM2_PolicyTicket(), and TPM2_PolicyAuthorize(). The function prototype for the update function is:

$$\mathbf{PolicyUpdate}(commandCode, arg2, arg3) \quad (9)$$

where

$arg2$ a TPM2B_NAME

$arg3$ a TPM2B

These parameters are used to update $policySession \rightarrow policyDigest$ by

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || commandCode || arg2.name) \quad (10)$$

followed by

$$policyDigest_{new+1} := H_{policyAlg}(policyDigest_{new} || arg3.buffer) \quad (11)$$

where

$H_{policyAlg}()$ the hash algorithm chosen when the policy session was started

NOTE 1 If $arg3$ is a TPM2B_NAME, then $arg3.buffer$ will actually be an $arg3.name$.

NOTE 2 The $arg2.size$ and $arg3.size$ fields are not included in the hashes.

NOTE 3 **PolicyUpdate()** uses two hash operations because $arg2$ and $arg3$ are variable-sized and the concatenation of $arg2$ and $arg3$ in a single hash could produce the same digest even though $arg2$ and $arg3$ are different. For example, $arg2 = 1\ 2\ 3$ and $arg3 = 4\ 5\ 6$ would produce the same digest as $arg2 = 1\ 2$ and $arg3 = 3\ 4\ 5\ 6$. Processing of the arguments separately in different Extend operation ensures that the digest produced by **PolicyUpdate()** will be different if $arg2$ and $arg3$ are different.

23.2.4 Policy Context Updates

When a policy command modifies some part of the policy session context other than the *policySession*→*policyDigest*, the following rules apply.

- ***cpHash*** – this parameter may only be changed if it contains its initialization value (an Empty Buffer). If *cpHash* is not the Empty Buffer when a policy command attempts to update it, the TPM will return an error (TPM_RC_CPHASH) if the current and update values are not the same.
- ***timeOut*** – this parameter may only be changed to a smaller value. If a command attempts to update this value with a larger value (longer into the future), the TPM will discard the update value. This is not an error condition.
- ***commandCode*** – once set by a policy command, this value may not be changed except by TPM2_PolicyRestart(). If a policy command tries to change this to a different value, an error is returned (TPM_RC_POLICY_CC).
- ***pcrUpdateCounter*** – this parameter is updated by TPM2_PolicyPCR(). This value may only be set once during a policy. Each time TPM2_PolicyPCR() executes, it checks to see if *policySession*→*pcrUpdateCounter* has its default state, indicating that this is the first TPM2_PolicyPCR(). If it has its default value, then *policySession*→*pcrUpdateCounter* is set to the current value of *pcrUpdateCounter*. If *policySession*→*pcrUpdateCounter* does not have its default value and its value is not the same as *pcrUpdateCounter*, the TPM shall return TPM_RC_PCR_CHANGED.

NOTE 1 If this parameter and *pcrUpdateCounter* are not the same, it indicates that PCR have changed since checked by the previous TPM2_PolicyPCR(). Since they have changed, the previous PCR validation is no longer valid.

- ***commandLocality*** – this parameter is the logical AND of all enabled localities. All localities are enabled for a policy when the policy session is created. TPM2_PolicyLocalities() selectively disables localities. Once use of a policy for a locality has been disabled, it cannot be enabled except by TPM2_PolicyRestart().
- ***isPPRequired*** – once SET, this parameter may only be CLEARED by TPM2_PolicyRestart().
- ***isAuthValueNeeded*** – once SET, this parameter may only be CLEARED by TPM2_PolicyPassword() or TPM2_PolicyRestart().
- ***isPasswordNeeded*** – once SET, this parameter may only be CLEARED by TPM2_PolicyAuthValue() or TPM2_PolicyRestart(),

NOTE 2 Both TPM2_PolicyAuthValue() and TPM2_PolicyPassword() change *policySession*→*policyDigest* in the same way. The different commands simply indicate to the TPM the format used for the *authValue* (HMAC or clear text). Both commands could be in the same policy. The final instance of these commands determines the format.

23.2.5 Policy Ticket Creation

For TPM2_PolicySigned() or TPM2_PolicySecret(), if the caller specified a negative value for *expiration*, then the TPM will return a ticket that includes a value indicating when the authorization expires. Otherwise, the TPM will return a NULL Ticket.

NOTE 1 If the *authHandle* in TPM2_PolicySecret() references a PIN Pass Index, then the command may succeed but a NULL Ticket will be returned.

The required computation for the digest in the authorization ticket is:

$$\text{HMAC}_{\text{contextAlg}}(\text{proof}, (\text{TPM_ST_AUTH_xxx} \parallel \text{cpHash} \parallel \text{policyRef} \parallel \text{authName} \parallel \text{timeout} \parallel [\text{timeEpoch}] \parallel [\text{resetCount}])) \quad (12)$$

where

| | |
|-------------------------------------|---|
| $\text{HMAC}_{\text{contextAlg}}()$ | an HMAC using the context integrity hash |
| <i>proof</i> | a TPM secret value associated with the hierarchy of the object associated with <i>authName</i> |
| TPM_ST_AUTH_xxx | either TPM_ST_AUTH_SIGNED or TPM_ST_AUTH_SECRET; used to ensure that the ticket is properly used |
| <i>cpHash</i> | optional hash of the authorized command |
| <i>policyRef</i> | optional reference to a policy value |
| <i>authName</i> | Name of the object that signed the authorization |
| <i>timeout</i> | implementation-specific value indicating when the authorization expires |
| <i>timeEpoch</i> | implementation-specific representation of the <i>timeEpoch</i> at the time the ticket was created |

NOTE 2 Not included if *timeout* is zero.

resetCount implementation-specific representation of the TPM's *totalResetCount*

NOTE 3 Not included if *timeout* is zero or if *nonceTPM* was include in the authorization.

23.3 TPM2_PolicySigned

23.3.1 General Description

This command includes a signed authorization in a policy. The command ties the policy to a signing key by including the Name of the signing key in the *policyDigest*

If *policySession* is a trial session, the TPM will not check the signature and will update *policySession*→*policyDigest* as described in 23.2.3 as if a properly signed authorization was received, but no ticket will be produced.

If *policySession* is not a trial session, the TPM will validate *auth* and only perform the update if it is a valid signature over the fields of the command.

The authorizing entity will sign a digest of the authorization qualifiers: *nonceTPM*, *expiration*, *cpHashA*, and *policyRef*. The digest is computed as:

$$aHash := H_{authAlg}(nonceTPM || expiration || cpHashA || policyRef) \quad (13)$$

where

$H_{authAlg}()$ the hash associated with the auth parameter of this command

NOTE 1 Each signature and key combination indicates the scheme and each scheme has an associated hash.

nonceTPM the nonceTPM parameter from the TPM2_StartAuthSession() response. If the authorization is not limited to this session, the size of this value is zero.

expiration time limit on authorization set by authorizing object. This 32-bit value is set to zero if the expiration time is not being set.

cpHashA digest of the command parameters for the command being approved using the hash algorithm of the policy session. Set to an Empty Digest if the authorization is not limited to a specific command.

NOTE 3 This is not the *cpHash* of this TPM2_PolicySigned() command.

policyRef an opaque value determined by the authorizing entity. Set to the Empty Buffer if no value is present.

NOTE 4 The *nonceTPM*, *cpHashA*, and *policyRef* qualifiers used to compute *aHash* use the TPM2B buffer but do not prepend the size.

EXAMPLE The computation for an *aHash* if there are no restrictions is:

$$aHash := H_{authAlg}(00\ 00\ 00\ 00_{16})$$

which is the hash of an expiration time of zero.

The *aHash* is signed by the key associated with a key whose handle is *authObject*. The signature and signing parameters are combined to create the *auth* parameter.

The TPM will perform the parameter checks listed in 23.2.2

If the parameter checks succeed, the TPM will construct a test digest (*tHash*) over the provided parameters using the same formulation as shown in equation (13) above.

If *tHash* does not match the digest of the signed *aHash*, then the authorization fails and the TPM shall return TPM_RC_POLICY_FAIL and make no change to *policySession*→*policyDigest*.

When all validations have succeeded, *policySession*→*policyDigest* is updated by **PolicyUpdate()** (see 23.2.3).

PolicyUpdate(TPM_CC_PolicySigned, *authObject*→*Name*, *policyRef*) (14)

authObject→*Name* is a TPM2B_NAME. *policySession* is updated as described in 23.2.4. The TPM will optionally produce a ticket as described in 23.2.5.

Authorization to use *authObject* is not required.

23.3.2 Command and Response

Table 124 — TPM2_PolicySigned Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit, encrypt, or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicySigned |
| TPMI_DH_OBJECT | authObject | handle for a key that will validate the signature Auth Index: None |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_NONCE | nonceTPM | the policy nonce for the session This can be the Empty Buffer. |
| TPM2B_DIGEST | cpHashA | digest of the command parameters to which this authorization is limited This is not the <i>cpHash</i> for this command but the <i>cpHash</i> for the command to which this policy session will be applied. If it is not limited, the parameter will be the Empty Buffer. |
| TPM2B_NONCE | policyRef | a reference to a policy relating to the authorization – may be the Empty Buffer Size is limited to be no larger than the nonce size supported on the TPM. |
| INT32 | expiration | time when authorization will expire, measured in seconds from the time that <i>nonceTPM</i> was generated If <i>expiration</i> is non-negative, a NULL Ticket is returned. See 23.2.5. |
| TPMT_SIGNATURE | auth | signed authorization (not optional) |

Table 125 — TPM2_PolicySigned Response

| Type | Name | Description |
|---------------|--------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_TIMEOUT | timeout | implementation-specific time value, used to indicate to the TPM when the ticket expires NOTE If <i>policyTicket</i> is a NULL Ticket, then this shall be the Empty Buffer. |
| TPMT_TK_AUTH | policyTicket | produced if the command succeeds and <i>expiration</i> in the command was non-zero; this ticket will use the TPMT_ST_AUTH_SIGNED structure tag. See 23.2.5 |

23.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Policy_spt_fp.h"
3  #include "PolicySigned_fp.h"
4  #if CC_PolicySigned // Conditional expansion of this file

```

| Error Returns | Meaning |
|------------------|---|
| TPM_RC_CPHASH | <i>cpHash</i> was previously set to a different value |
| TPM_RC_EXPIRED | <i>expiration</i> indicates a time in the past or <i>expiration</i> is non-zero but no <i>nonceTPM</i> is present |
| TPM_RC_NONCE | <i>nonceTPM</i> is not the nonce associated with the <i>policySession</i> |
| TPM_RC_SCHEME | the signing scheme of <i>auth</i> is not supported by the TPM |
| TPM_RC_SIGNATURE | the signature is not genuine |
| TPM_RC_SIZE | input <i>cpHash</i> has wrong size |

```

5  TPM_RC
6  TPM2_PolicySigned(
7      PolicySigned_In    *in,           // IN: input parameter list
8      PolicySigned_Out   *out,         // OUT: output parameter list
9  )
10 {
11     TPM_RC              result = TPM_RC_SUCCESS;
12     SESSION             *session;
13     TPM2B_NAME          entityName;
14     TPM2B_DIGEST        authHash;
15     HASH_STATE          hashState;
16     UINT64              authTimeout = 0;
17 // Input Validation
18 // Set up local pointers
19     session = SessionGet(in->policySession); // the session structure
20
21 // Only do input validation if this is not a trial policy session
22     if(session->attributes.isTrialPolicy == CLEAR)
23     {
24         authTimeout = ComputeAuthTimeout(session, in->expiration, &in->nonceTPM);
25
26         result = PolicyParameterChecks(session, authTimeout,
27                                     &in->cpHashA, &in->nonceTPM,
28                                     RC_PolicySigned_nonceTPM,
29                                     RC_PolicySigned_cpHashA,
30                                     RC_PolicySigned_expiration);
31         if(result != TPM_RC_SUCCESS)
32             return result;
33         // Re-compute the digest being signed
34
35         // Start hash
36         authHash.t.size = CryptHashStart(&hashState,
37                                         CryptGetSignHashAlg(&in->auth));
38         // If there is no digest size, then we don't have a verification function
39         // for this algorithm (e.g. TPM_ALG_ECDSA) so indicate that it is a
40         // bad scheme.
41         if(authHash.t.size == 0)
42             return TPM_RCS_SCHEME + RC_PolicySigned_auth;
43
44         // nonceTPM
45         CryptDigestUpdate2B(&hashState, &in->nonceTPM.b);
46

```

```

47     // expiration
48     CryptDigestUpdateInt(&hashState, sizeof(UINT32), in->expiration);
49
50     // cpHashA
51     CryptDigestUpdate2B(&hashState, &in->cpHashA.b);
52
53     // policyRef
54     CryptDigestUpdate2B(&hashState, &in->policyRef.b);
55
56     // Complete digest
57     CryptHashEnd2B(&hashState, &authHash.b);
58
59     // Validate Signature. A TPM_RC_SCHEME, TPM_RC_HANDLE or TPM_RC_SIGNATURE
60     // error may be returned at this point
61     result = CryptValidateSignature(in->authObject, &authHash, &in->auth);
62     if(result != TPM_RC_SUCCESS)
63         return RcSafeAddToResult(result, RC_PolicySigned_auth);
64 }
65 // Internal Data Update
66 // Update policy with input policyRef and name of authorization key
67 // These values are updated even if the session is a trial session
68 PolicyContextUpdate(TPM_CC_PolicySigned,
69                    EntityGetName(in->authObject, &entityName),
70                    &in->policyRef,
71                    &in->cpHashA, authTimeout, session);
72 // Command Output
73 // Create ticket and timeout buffer if in->expiration < 0 and this is not
74 // a trial session.
75 // NOTE: PolicyParameterChecks() makes sure that nonceTPM is present
76 // when expiration is non-zero.
77 if(in->expiration < 0
78    && session->attributes.isTrialPolicy == CLEAR)
79 {
80     BOOL        expiresOnReset = (in->nonceTPM.t.size == 0);
81     // Compute policy ticket
82     authTimeout &= ~EXPIRATION_BIT;
83
84     TicketComputeAuth(TPM_ST_AUTH_SIGNED, EntityGetHierarchy(in->authObject),
85                     authTimeout, expiresOnReset, &in->cpHashA, &in->policyRef,
86                     &entityName, &out->policyTicket);
87     // Generate timeout buffer. The format of output timeout buffer is
88     // TPM-specific.
89     // Note: In this implementation, the timeout buffer value is computed after
90     // the ticket is produced so, when the ticket is checked, the expiration
91     // flag needs to be extracted before the ticket is checked.
92     // In the Windows compatible version, the least-significant bit of the
93     // timeout value is used as a flag to indicate if the authorization expires
94     // on reset. The flag is the MSb.
95     out->timeout.t.size = sizeof(authTimeout);
96     if(expiresOnReset)
97         authTimeout |= EXPIRATION_BIT;
98     UINT64_TO_BYTE_ARRAY(authTimeout, out->timeout.t.buffer);
99 }
100 else
101 {
102     // Generate a null ticket.
103     // timeout buffer is null
104     out->timeout.t.size = 0;
105
106     // authorization ticket is null
107     out->policyTicket.tag = TPM_ST_AUTH_SIGNED;
108     out->policyTicket.hierarchy = TPM_RH_NULL;
109     out->policyTicket.digest.t.size = 0;
110 }
111 return TPM_RC_SUCCESS;
112 }

```

113 `#endif // CC_PolicySigned`

23.4 TPM2_PolicySecret

23.4.1 General Description

This command includes a secret-based authorization to a policy. The caller proves knowledge of the secret value using an authorization session using the *authValue* associated with *authHandle*. A password session, an HMAC session, or a policy session containing TPM2_PolicyAuthValue() or TPM2_PolicyPassword() will satisfy this requirement.

If a policy session is used and use of the *authValue* of *authHandle* is not required, the TPM will return TPM_RC_MODE. That is, the session for *authHandle* must have either *isAuthValueNeeded* or *isPasswordNeeded* SET.

The secret is the *authValue* of the entity whose handle is *authHandle*, which may be any TPM entity with a handle and an associated *authValue*. This includes the reserved handles (for example, Platform, Storage, and Endorsement), NV Indexes, and loaded objects. *authEntity* is the entity referenced by *authHandle*. If *authEntity* references an Ordinary object, it must have *userWithAuth* SET.

NOTE 1 The *userWithAuth* requirement permits the implementation to use common authorization code.

If *authEntity* references a non-PIN Index, TPMA_NV_AUTHREAD is required to be SET in the Index. If *authEntity* references an NV PIN index, TPMA_NV_WRITTEN is required to be SET and *pinCount* must be less than *pinLimit*.

NOTE 2 The authorization value for a hierarchy cannot be used in this command if the hierarchy is disabled.

If the authorization check fails, then the normal dictionary attack logic is invoked.

If the authorization provided by the authorization session is valid, the command parameters are checked as described in 23.2.2.

When all validations have succeeded, *policySession*→*policyDigest* is updated by **PolicyUpdate()** (see 23.2.3).

PolicyUpdate(TPM_CC_PolicySecret, *authEntity*→*Name*, *policyRef*) (15)

authEntity→*Name* is a TPM2B_NAME. *policySession* is updated as described in 23.2.4. The TPM will optionally produce a ticket as described in 23.2.5.

If the session is a trial session, *policySession*→*policyDigest* is updated if the authorization is valid.

NOTE 2 If an HMAC is used to convey the authorization, a separate session is needed for the authorization. Because the HMAC in that authorization will include a nonce that prevents replay of the authorization, the value of the *nonceTPM* parameter in this command is limited. It is retained mostly to provide processing consistency with TPM2_PolicySigned().

23.4.2 Command and Response

Table 126 — TPM2_PolicySecret Command

| Type | Name | Description |
|---------------------|---------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicySecret |
| TPMI_DH_ENTITY | @authHandle | handle for an entity providing the authorization Auth Index: 1 Auth Role: USER |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_NONCE | nonceTPM | the policy nonce for the session This can be the Empty Buffer. |
| TPM2B_DIGEST | cpHashA | digest of the command parameters to which this authorization is limited This not the <i>cpHash</i> for this command but the <i>cpHash</i> for the command to which this policy session will be applied. If it is not limited, the parameter will be the Empty Buffer. |
| TPM2B_NONCE | policyRef | a reference to a policy relating to the authorization – may be the Empty Buffer Size is limited to be no larger than the nonce size supported on the TPM. |
| INT32 | expiration | time when authorization will expire, measured in seconds from the time that <i>nonceTPM</i> was generated If <i>expiration</i> is non-negative, a NULL Ticket is returned. See 23.2.5. |

Table 127 — TPM2_PolicySecret Response

| Type | Name | Description |
|---------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_TIMEOUT | timeout | implementation-specific time value used to indicate to the TPM when the ticket expires |
| TPMT_TK_AUTH | policyTicket | produced if the command succeeds and <i>expiration</i> in the command was non-zero (See 23.2.5). This ticket will use the TPMT_ST_AUTH_SECRET structure tag |

23.4.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicySecret_fp.h"
3  #if CC_PolicySecret // Conditional expansion of this file
4  #include "Policy_spt_fp.h"
5  #include "NV_spt_fp.h"

```

| Error Returns | Meaning |
|----------------|---|
| TPM_RC_CPHASH | <i>cpHash</i> for policy was previously set to a value that is not the same as <i>cpHashA</i> |
| TPM_RC_EXPIRED | <i>expiration</i> indicates a time in the past |
| TPM_RC_NONCE | <i>nonceTPM</i> does not match the nonce associated with <i>policySession</i> |
| TPM_RC_SIZE | <i>cpHashA</i> is not the size of a digest for the hash associated with <i>policySession</i> |

```

6  TPM_RC
7  TPM2_PolicySecret(
8      PolicySecret_In      *in,          // IN: input parameter list
9      PolicySecret_Out     *out         // OUT: output parameter list
10 )
11 {
12     TPM_RC                result;
13     SESSION               *session;
14     TPM2B_NAME            entityName;
15     UINT64                authTimeout = 0;
16 // Input Validation
17 // Get pointer to the session structure
18     session = SessionGet(in->policySession);
19
20 //Only do input validation if this is not a trial policy session
21     if(session->attributes.isTrialPolicy == CLEAR)
22     {
23         authTimeout = ComputeAuthTimeout(session, in->expiration, &in->nonceTPM);
24
25         result = PolicyParameterChecks(session, authTimeout,
26                                     &in->cpHashA, &in->nonceTPM,
27                                     RC_PolicySecret_nonceTPM,
28                                     RC_PolicySecret_cpHashA,
29                                     RC_PolicySecret_expiration);
30         if(result != TPM_RC_SUCCESS)
31             return result;
32     }
33 // Internal Data Update
34 // Update policy context with input policyRef and name of authorizing key
35 // This value is computed even for trial sessions. Possibly update the cpHash
36     PolicyContextUpdate(TPM_CC_PolicySecret,
37                        EntityGetName(in->authHandle, &entityName), &in->policyRef,
38                        &in->cpHashA, authTimeout, session);
39 // Command Output
40 // Create ticket and timeout buffer if in->expiration < 0 and this is not
41 // a trial session.
42 // NOTE: PolicyParameterChecks() makes sure that nonceTPM is present
43 // when expiration is non-zero.
44     if(in->expiration < 0
45        && session->attributes.isTrialPolicy == CLEAR
46        && !NvIsPinPassIndex(in->authHandle))
47     {
48         BOOL                expiresOnReset = (in->nonceTPM.t.size == 0);
49         // Compute policy ticket

```

```
50     authTimeout &= ~EXPIRATION_BIT;
51     TicketComputeAuth(TPM_ST_AUTH_SECRET, EntityGetHierarchy(in->authHandle),
52                     authTimeout, expiresOnReset, &in->cpHashA, &in->policyRef,
53                     &entityName, &out->policyTicket);
54     // Generate timeout buffer. The format of output timeout buffer is
55     // TPM-specific.
56     // Note: In this implementation, the timeout buffer value is computed after
57     // the ticket is produced so, when the ticket is checked, the expiration
58     // flag needs to be extracted before the ticket is checked.
59     out->timeout.t.size = sizeof(authTimeout);
60     // In the Windows compatible version, the least-significant bit of the
61     // timeout value is used as a flag to indicate if the authorization expires
62     // on reset. The flag is the MSb.
63     if(expiresOnReset)
64         authTimeout |= EXPIRATION_BIT;
65     UINT64_TO_BYTE_ARRAY(authTimeout, out->timeout.t.buffer);
66 }
67 else
68 {
69     // timeout buffer is null
70     out->timeout.t.size = 0;
71
72     // authorization ticket is null
73     out->policyTicket.tag = TPM_ST_AUTH_SECRET;
74     out->policyTicket.hierarchy = TPM_RH_NULL;
75     out->policyTicket.digest.t.size = 0;
76 }
77 return TPM_RC_SUCCESS;
78 }
79 #endif // CC_PolicySecret
```

23.5 TPM2_PolicyTicket

23.5.1 General Description

This command is similar to `TPM2_PolicySigned()` except that it takes a ticket instead of a signed authorization. The ticket represents a validated authorization that had an expiration time associated with it.

The parameters of this command are checked as described in 23.2.2.

If the checks succeed, the TPM uses the *timeout*, *cpHashA*, *policyRef*, and *authName* to construct a ticket to compare with the value in *ticket*. If these tickets match, then the TPM will create a `TPM2B_NAME` (*objectName*) using *authName* and update the context of *policySession* by `PolicyUpdate()` (see 23.2.3).

PolicyUpdate(*commandCode*, *authName*, *policyRef*) (16)

If the structure tag of *ticket* is `TPM_ST_AUTH_SECRET`, then *commandCode* will be `TPM_CC_PolicySecret`. If the structure tag of *ticket* is `TPM_ST_AUTH_SIGNED`, then *commandCode* will be `TPM_CC_PolicySigned`.

policySession is updated as described in 23.2.4.

23.5.2 Command and Response

Table 128 — TPM2_PolicyTicket Command

| Type | Name | Description |
|---------------------|---------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyTicket |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_TIMEOUT | timeout | time when authorization will expire The contents are TPM specific. This shall be the value returned when ticket was produced. |
| TPM2B_DIGEST | cpHashA | digest of the command parameters to which this authorization is limited If it is not limited, the parameter will be the Empty Buffer. |
| TPM2B_NONCE | policyRef | reference to a qualifier for the policy – may be the Empty Buffer |
| TPM2B_NAME | authName | name of the object that provided the authorization |
| TPMT_TK_AUTH | ticket | an authorization ticket returned by the TPM in response to a TPM2_PolicySigned() or TPM2_PolicySecret() |

Table 129 — TPM2_PolicyTicket Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.5.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyTicket_fp.h"
3  #if CC_PolicyTicket // Conditional expansion of this file
4  #include "Policy_spt_fp.h"

```

| Error Returns | Meaning |
|----------------|--|
| TPM_RC_CPHASH | policy's <i>cpHash</i> was previously set to a different value |
| TPM_RC_EXPIRED | <i>timeout</i> value in the ticket is in the past and the ticket has expired |
| TPM_RC_SIZE | <i>timeout</i> or <i>cpHash</i> has invalid size for the |
| TPM_RC_TICKET | <i>ticket</i> is not valid |

```

5  TPM_RC
6  TPM2_PolicyTicket(
7      PolicyTicket_In      *in          // IN: input parameter list
8  )
9  {
10     TPM_RC                result;
11     SESSION               *session;
12     UINT64                authTimeout;
13     TPMT_TK_AUTH          ticketToCompare;
14     TPM_CC                commandCode = TPM_CC_PolicySecret;
15     BOOL                  expiresOnReset;
16
17     // Input Validation
18
19     // Get pointer to the session structure
20     session = SessionGet(in->policySession);
21
22     // NOTE: A trial policy session is not allowed to use this command.
23     // A ticket is used in place of a previously given authorization. Since
24     // a trial policy doesn't actually authenticate, the validated
25     // ticket is not necessary and, in place of using a ticket, one
26     // should use the intended authorization for which the ticket
27     // would be a substitute.
28     if(session->attributes.isTrialPolicy)
29         return TPM_RCS_ATTRIBUTES + RC_PolicyTicket_policySession;
30     // Restore timeout data. The format of timeout buffer is TPM-specific.
31     // In this implementation, the most significant bit of the timeout value is
32     // used as the flag to indicate that the ticket expires on TPM Reset or
33     // TPM Restart. The flag has to be removed before the parameters and ticket
34     // are checked.
35     if(in->timeout.t.size != sizeof(UINT64))
36         return TPM_RCS_SIZE + RC_PolicyTicket_timeout;
37     authTimeout = BYTE_ARRAY_TO_UINT64(in->timeout.t.buffer);
38
39     // extract the flag
40     expiresOnReset = (authTimeout & EXPIRATION_BIT) != 0;
41     authTimeout &= ~EXPIRATION_BIT;
42
43     // Do the normal checks on the cpHashA and timeout values
44     result = PolicyParameterChecks(session, authTimeout,
45                                   &in->cpHashA,
46                                   NULL, // no nonce
47                                   0, // no bad nonce return
48                                   RC_PolicyTicket_cpHashA,
49                                   RC_PolicyTicket_timeout);
50     if(result != TPM_RC_SUCCESS)
51         return result;

```

```
52     // Validate Ticket
53     // Re-generate policy ticket by input parameters
54     TicketComputeAuth(in->ticket.tag, in->ticket.hierarchy,
55                     authTimeout, expiresOnReset, &in->cpHashA, &in->policyRef,
56                     &in->authName, &ticketToCompare);
57     // Compare generated digest with input ticket digest
58     if(!MemoryEqual2B(&in->ticket.digest.b, &ticketToCompare.digest.b))
59         return TPM_RCS_TICKET + RC_PolicyTicket_ticket;
60
61 // Internal Data Update
62
63     // Is this ticket to take the place of a TPM2_PolicySigned() or
64     // a TPM2_PolicySecret()?
65     if(in->ticket.tag == TPM_ST_AUTH_SIGNED)
66         commandCode = TPM_CC_PolicySigned;
67     else if(in->ticket.tag == TPM_ST_AUTH_SECRET)
68         commandCode = TPM_CC_PolicySecret;
69     else
70         // There could only be two possible tag values. Any other value should
71         // be caught by the ticket validation process.
72         FAIL(FATAL_ERROR_INTERNAL);
73
74     // Update policy context
75     PolicyContextUpdate(commandCode, &in->authName, &in->policyRef,
76                       &in->cpHashA, authTimeout, session);
77
78     return TPM_RC_SUCCESS;
79 }
80 #endif // CC_PolicyTicket
```

23.6 TPM2_PolicyOR

23.6.1 General Description

This command allows options in authorizations without requiring that the TPM evaluate all of the options. If a policy may be satisfied by different sets of conditions, the TPM need only evaluate one set that satisfies the policy. This command will indicate that one of the required sets of conditions has been satisfied.

$policySession \rightarrow policyDigest$ is compared against the list of provided values. If the current $policySession \rightarrow policyDigest$ does not match any value in the list, the TPM shall return TPM_RC_VALUE. Otherwise, the TPM will reset $policySession \rightarrow policyDigest$ to a Zero Digest. Then $policySession \rightarrow policyDigest$ is extended by the concatenation of TPM_CC_PolicyOR and the concatenation of all of the digests.

If $policySession$ is a trial session, the TPM will assume that $policySession \rightarrow policyDigest$ matches one of the list entries and compute the new value of $policyDigest$.

The algorithm for computing the new value for $policyDigest$ of $policySession$ is:

- a) Concatenate all the digest values in $pHashList$:

$$digests := pHashList.digests[1].buffer || \dots || pHashList.digests[n].buffer \quad (17)$$

NOTE 1 The TPM will not return an error if the size of an entry is not the same as the size of the digest of the policy. However, that entry cannot match $policyDigest$.

- b) Reset $policyDigest$ to a Zero Digest.

- c) Extend the command code and the hashes computed in step a) above:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyOR || digests) \quad (18)$$

NOTE 2 The computation in b) and c) above is equivalent to:

$$policyDigest_{new} := H_{policyAlg}(0\dots0 || TPM_CC_PolicyOR || digests)$$

A TPM shall support a list with at least eight tagged digest values.

NOTE 3 If policies are to be portable between TPMs, then they should not use more than eight values.

23.6.2 Command and Response

Table 130 — TPM2_PolicyOR Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyOR |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPML_DIGEST | pHashList | the list of hashes to check for a match |

Table 131 — TPM2_PolicyOR Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.6.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyOR_fp.h"
3  #if CC_PolicyOR // Conditional expansion of this file
4  #include "Policy_spt_fp.h"

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_VALUE | no digest in <i>pHashList</i> matched the current value of <i>policyDigest</i> for <i>policySession</i> |

```

5  TPM_RC
6  TPM2_PolicyOR(
7      PolicyOR_In      *in           // IN: input parameter list
8      )
9  {
10     SESSION      *session;
11     UINT32       i;
12
13     // Input Validation and Update
14
15     // Get pointer to the session structure
16     session = SessionGet(in->policySession);
17
18     // Compare and Update Internal Session policy if match
19     for(i = 0; i < in->pHashList.count; i++)
20     {
21         if(session->attributes.isTrialPolicy == SET
22            || (MemoryEqual2B(&session->u2.policyDigest.b,
23                             &in->pHashList.digests[i].b)))
24         {
25             // Found a match
26             HASH_STATE      hashState;
27             TPM_CC          commandCode = TPM_CC_PolicyOR;
28
29             // Start hash
30             session->u2.policyDigest.t.size
31                 = CryptHashStart(&hashState, session->authHashAlg);
32             // Set policyDigest to 0 string and add it to hash
33             MemorySet(session->u2.policyDigest.t.buffer, 0,
34                      session->u2.policyDigest.t.size);
35             CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
36
37             // add command code
38             CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
39
40             // Add each of the hashes in the list
41             for(i = 0; i < in->pHashList.count; i++)
42             {
43                 // Extend policyDigest
44                 CryptDigestUpdate2B(&hashState, &in->pHashList.digests[i].b);
45             }
46             // Complete digest
47             CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
48
49             return TPM_RC_SUCCESS;
50         }
51     }
52     // None of the values in the list matched the current policyDigest
53     return TPM_RCS_VALUE + RC_PolicyOR_pHashList;
54 }
55 #endif // CC_PolicyOR

```

23.7 TPM2_PolicyPCR

23.7.1 General Description

This command is used to cause conditional gating of a policy based on PCR. This command together with TPM2_PolicyOR() allows one group of authorizations to occur when PCR are in one state and a different set of authorizations when the PCR are in a different state.

The TPM will modify the *pcrs* parameter so that bits that correspond to unimplemented PCR are CLEAR. If *policySession* is not a trial policy session, the TPM will use the modified value of *pcrs* to select PCR values to hash according to TPM 2.0 Part 1, *Selecting Multiple PCR*. The hash algorithm of the policy session is used to compute a digest (*digestTPM*) of the selected PCR. If *pcrDigest* does not have a length of zero, then it is compared to *digestTPM*; and if the values do not match, the TPM shall return TPM_RC_VALUE and make no change to *policySession*→*policyDigest*. If the values match, or if the length of *pcrDigest* is zero, then *policySession*→*policyDigest* is extended by:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyPCR || pcrs || digestTPM) \quad (19)$$

where

| | |
|------------------|---|
| <i>pcrs</i> | the <i>pcrs</i> parameter with bits corresponding to unimplemented PCR set to 0 |
| <i>digestTPM</i> | the digest of the selected PCR using the hash algorithm of the policy session |

NOTE 1 If the caller provides the expected PCR value, the intention is that the policy evaluation stop at that point if the PCR do not match. If the caller does not provide the expected PCR value, then the validity of the settings will not be determined until an attempt is made to use the policy for authorization. If the policy is constructed such that the PCR check comes before user authorization checks, this early termination would allow software to avoid unnecessary prompts for user input to satisfy a policy that would fail later due to incorrect PCR values.

After this command completes successfully, the TPM shall return TPM_RC_PCR_CHANGED if the policy session is used for authorization and the PCR are not known to be correct.

The TPM uses a “generation” number (*pcrUpdateCounter*) that is incremented each time PCR are updated (unless the PCR being changed is specified not to cause a change to this counter). The value of this counter is stored in the policy session context (*policySession*→*pcrUpdateCounter*) when this command is executed. When the policy is used for authorization, the current value of the counter is compared to the value in the policy session context and the authorization will fail if the values are not the same.

When this command is executed, *policySession*→*pcrUpdateCounter* is checked to see if it has been previously set (in the reference implementation, it has a value of zero if not previously set). If it has been set, it will be compared with the current value of *pcrUpdateCounter* to determine if any PCR changes have occurred. If the values are different, the TPM shall return TPM_RC_PCR_CHANGED.

NOTE 2 Since the *pcrUpdateCounter* is updated if any PCR is extended (except those specified not to do so), this means that the command will fail even if a PCR not specified in the policy is updated. This is an optimization for the purposes of conserving internal TPM memory. This would be a rare occurrence, and, if this should occur, the policy could be reset using the TPM2_PolicyRestart command and rerun.

If *policySession*→*pcrUpdateCounter* has not been set, then it is set to the current value of *pcrUpdateCounter*.

If this command is used for a trial *policySession*, *policySession*→*policyDigest* will be updated using the values from the command rather than the values from a digest of the TPM PCR. If the caller does not provide PCR settings (*pcrDigest* has a length of zero), the TPM may (and it is preferred to) use the current TPM PCR settings (*digestTPM*) in the calculation for the new *policyDigest*. The TPM may return

an error if the caller does not provide a PCR digest for a trial policy session but this is not the preferred behavior.

The TPM will not check any PCR and will compute:

$$policyDigest_{new} := \mathbf{H}_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyPCR || pcrs || pcrDigest) \quad (20)$$

In this computation, *pcrs* is the input parameter without modification.

NOTE 3 The *pcrs* parameter is expected to match the configuration of the TPM for which the policy is being computed which may not be the same as the TPM on which the trial policy is being computed.

NOTE 4 Although no PCR are checked in a trial policy session, *pcrDigest* is expected to correspond to some useful PCR values. It is legal, but pointless, to have the TPM aid in calculating a *policyDigest* corresponding to PCR values that are not useful in practice.

23.7.2 Command and Response

Table 132 — TPM2_PolicyPCR Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyPCR |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_DIGEST | pcrDigest | expected digest value of the selected PCR using the hash algorithm of the session; may be zero length |
| TPML_PCR_SELECTION | pcrs | the PCR to include in the check digest |

Table 133 — TPM2_PolicyPCR Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.7.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyPCR_fp.h"
3  #if CC_PolicyPCR // Conditional expansion of this file

```

| Error Returns | Meaning |
|--------------------|---|
| TPM_RC_VALUE | if provided, <i>pcrDigest</i> does not match the current PCR settings |
| TPM_RC_PCR_CHANGED | a previous TPM2_PolicyPCR() set <i>pcrCounter</i> and it has changed |

```

4  TPM_RC
5  TPM2_PolicyPCR(
6      PolicyPCR_In    *in          // IN: input parameter list
7  )
8  {
9      SESSION          *session;
10     TPM2B_DIGEST     pcrDigest;
11     BYTE              pcrcs[sizeof(TPML_PCR_SELECTION)];
12     UINT32            pcrSize;
13     BYTE              *buffer;
14     TPM_CC            commandCode = TPM_CC_PolicyPCR;
15     HASH_STATE        hashState;
16
17     // Input Validation
18
19     // Get pointer to the session structure
20     session = SessionGet(in->policySession);
21
22     // Compute current PCR digest
23     PCRComputeCurrentDigest(session->authHashAlg, &in->pcrcs, &pcrDigest);
24
25     // Do validation for non trial session
26     if(session->attributes.isTrialPolicy == CLEAR)
27     {
28         // Make sure that this is not going to invalidate a previous PCR check
29         if(session->pcrCounter != 0 && session->pcrCounter != gr.pcrCounter)
30             return TPM_RC_PCR_CHANGED;
31
32         // If the caller specified the PCR digest and it does not
33         // match the current PCR settings, return an error..
34         if(in->pcrDigest.t.size != 0)
35         {
36             if(!MemoryEqual2B(&in->pcrDigest.b, &pcrDigest.b))
37                 return TPM_RCS_VALUE + RC_PolicyPCR_pcrDigest;
38         }
39     }
40     else
41     {
42         // For trial session, just use the input PCR digest if one provided
43         // Note: It can't be too big because it is a TPM2B_DIGEST and the size
44         // would have been checked during unmarshaling
45         if(in->pcrDigest.t.size != 0)
46             pcrDigest = in->pcrDigest;
47     }
48     // Internal Data Update
49     // Update policy hash
50     // policyDigeststnew = hash( policyDigeststold || TPM_CC_PolicyPCR
51     //                          || PCRS || pcrDigest)
52     // Start hash
53     CryptHashStart(&hashState, session->authHashAlg);
54

```

```
55     // add old digest
56     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
57
58     // add commandCode
59     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
60
61     // add PCRS
62     buffer = pcrs;
63     pcrSize = TPML_PCR_SELECTION_Marshal(&in->pcrs, &buffer, NULL);
64     CryptDigestUpdate(&hashState, pcrSize, pcrs);
65
66     // add PCR digest
67     CryptDigestUpdate2B(&hashState, &pcrDigest.b);
68
69     // complete the hash and get the results
70     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
71
72     // update pcrCounter in session context for non trial session
73     if(session->attributes.isTrialPolicy == CLEAR)
74     {
75         session->pcrCounter = gr.pcrCounter;
76     }
77
78     return TPM_RC_SUCCESS;
79 }
80 #endif // CC_PolicyPCR
```

23.8 TPM2_PolicyLocality

23.8.1 General Description

This command indicates that the authorization will be limited to a specific locality.

policySession→*commandLocality* is a parameter kept in the session context. When the policy session is started, this parameter is initialized to a value that allows the policy to apply to any locality.

If *locality* has a value greater than 31, then an extended locality is indicated. For an extended locality, the TPM will validate that *policySession*→*commandLocality* has not previously been set or that the current value of *policySession*→*commandLocality* is the same as *locality* (TPM_RC_RANGE).

When *locality* is not an extended locality, the TPM will validate that the *policySession*→*commandLocality* is not set to an extended locality value (TPM_RC_RANGE). If not the TPM will disable any locality not SET in the *locality* parameter. If the result of disabling localities results in no locality being enabled, the TPM will return TPM_RC_RANGE.

If no error occurred in the validation of *locality*, *policySession*→*policyDigest* is extended with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyLocality || locality) \quad (21)$$

Then *policySession*→*commandLocality* is updated to indicate which localities are still allowed after execution of TPM2_PolicyLocality().

When the policy session is used to authorize a command, the authorization will fail if the locality used for the command is not one of the enabled localities in *policySession*→*commandLocality*.

23.8.2 Command and Response

Table 134 — TPM2_PolicyLocality Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyLocality |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPMA_LOCALITY | locality | the allowed localities for the policy |

Table 135 — TPM2_PolicyLocality Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.8.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyLocality_fp.h"
3  #if CC_PolicyLocality // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_RANGE | all the locality values selected by <i>locality</i> have been disabled by previous TPM2_PolicyLocality() calls. |

```

4  TPM_RC
5  TPM2_PolicyLocality(
6      PolicyLocality_In  *in          // IN: input parameter list
7  )
8  {
9      SESSION      *session;
10     BYTE          marshalBuffer[sizeof(TPMA_LOCALITY)];
11     BYTE          prevSetting[sizeof(TPMA_LOCALITY)];
12     UINT32        marshalSize;
13     BYTE          *buffer;
14     TPM_CC        commandCode = TPM_CC_PolicyLocality;
15     HASH_STATE    hashState;
16
17     // Input Validation
18
19     // Get pointer to the session structure
20     session = SessionGet(in->policySession);
21
22     // Get new locality setting in canonical form
23     marshalBuffer[0] = 0; // Code analysis says that this is not initialized
24     buffer = marshalBuffer;
25     marshalSize = TPMA_LOCALITY_Marshal(&in->locality, &buffer, NULL);
26
27     // Its an error if the locality parameter is zero
28     if(marshalBuffer[0] == 0)
29         return TPM_RCS_RANGE + RC_PolicyLocality_locality;
30
31     // Get existing locality setting in canonical form
32     prevSetting[0] = 0; // Code analysis says that this is not initialized
33     buffer = prevSetting;
34     TPMA_LOCALITY_Marshal(&session->commandLocality, &buffer, NULL);
35
36     // If the locality has previously been set
37     if(prevSetting[0] != 0
38         // then the current locality setting and the requested have to be the same
39         // type (that is, either both normal or both extended
40         && ((prevSetting[0] < 32) != (marshalBuffer[0] < 32)))
41         return TPM_RCS_RANGE + RC_PolicyLocality_locality;
42
43     // See if the input is a regular or extended locality
44     if(marshalBuffer[0] < 32)
45     {
46         // if there was no previous setting, start with all normal localities
47         // enabled
48         if(prevSetting[0] == 0)
49             prevSetting[0] = 0x1F;
50
51         // AND the new setting with the previous setting and store it in prevSetting
52         prevSetting[0] &= marshalBuffer[0];
53
54         // The result setting can not be 0
55         if(prevSetting[0] == 0)

```

```
56         return TPM_RCS_RANGE + RC_PolicyLocality_locality;
57     }
58     else
59     {
60         // for extended locality
61         // if the locality has already been set, then it must match the
62         if(prevSetting[0] != 0 && prevSetting[0] != marshalBuffer[0])
63             return TPM_RCS_RANGE + RC_PolicyLocality_locality;
64
65         // Setting is OK
66         prevSetting[0] = marshalBuffer[0];
67     }
68
69     // Internal Data Update
70
71     // Update policy hash
72     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyLocality || locality)
73     // Start hash
74     CryptHashStart(&hashState, session->authHashAlg);
75
76     // add old digest
77     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
78
79     // add commandCode
80     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
81
82     // add input locality
83     CryptDigestUpdate(&hashState, marshalSize, marshalBuffer);
84
85     // complete the digest
86     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
87
88     // update session locality by unmarshal function. The function must succeed
89     // because both input and existing locality setting have been validated.
90     buffer = prevSetting;
91     TPMA_LOCALITY_Unmarshal(&session->commandLocality, &buffer,
92                           (INT32 *)&marshalSize);
93
94     return TPM_RC_SUCCESS;
95 }
96 #endif // CC_PolicyLocality
```

23.9 TPM2_PolicyNV

23.9.1 General Description

This command is used to cause conditional gating of a policy based on the contents of an NV Index. It is an immediate assertion. The NV index is validated during the TPM2_PolicyNV() command, not when the session is used for authorization.

The authorization to read the NV Index must succeed even if *policySession* is a trial policy session.

If *policySession* is a trial policy session, the TPM will update *policySession*→*policyDigest* as shown in equations (22) and (23) below and return TPM_RC_SUCCESS. It will not perform any further validation. The remainder of this general description would apply only if *policySession* is not a trial policy session.

An authorization session providing authorization to read the NV Index shall be provided.

If TPMA_NV_WRITTEN is not SET in the NV Index, the TPM shall return TPM_RC_NV_UNINITIALIZED. If TPMA_NV_READLOCKED of the NV Index is SET, then the TPM shall return TPM_RC_NV_LOCKED.

For an NV Index with the TPM_NT_COUNTER or TPM_NT_BITS attribute SET, the TPM may ignore the *offset* parameter and use an offset of 0. Therefore, it is recommended that the caller set the *offset* parameter to 0 for interoperability.

If *offset* and the *size* field of *data* add to a value that is greater than the *dataSize* field of the NV Index referenced by *nvIndex*, the TPM shall return an error (TPM_RC_NV_RANGE). The implementation may return an error (TPM_RC_VALUE) if it performs an additional check and determines that *offset* is greater than the *dataSize* field of the NV Index.

operandA begins at *offset* into the NV index contents and has a size equal to the size of *operandB*. The TPM will perform the indicated arithmetic check using *operandA* and *operandB*. If the check fails, the TPM shall return TPM_RC_POLICY and not change *policySession*→*policyDigest*. If the check succeeds, the TPM will hash the arguments:

$$args := H_{policyAlg}(operandB.buffer || offset || operation) \quad (22)$$

where

| | |
|-------------------|--|
| $H_{policyAlg}()$ | hash function using the algorithm of the policy session |
| <i>operandB</i> | the value used for the comparison |
| <i>offset</i> | offset from the start of the NV Index data to start the comparison |
| <i>operation</i> | the operation parameter indicating the comparison being performed |

The value of *args* and the Name of the NV Index are extended to *policySession*→*policyDigest* by

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyNV || args || nvIndex \rightarrow Name) \quad (23)$$

where

| | |
|------------------------------|---|
| $H_{policyAlg}()$ | hash function using the algorithm of the policy session |
| <i>args</i> | value computed in equation (22) |
| <i>nvIndex</i> → <i>Name</i> | the Name of the NV Index |

The signed arithmetic operations are performed using twos-compliment.

Magnitude comparisons assume that the octet at offset zero in the referenced NV location and in *operandB* contain the most significant octet of the data.

23.9.2 Command and Response

Table 136 — TPM2_PolicyNV Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyNV |
| TPMI_RH_NV_AUTH | @authHandle | handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER |
| TPMI_RH_NV_INDEX | nvIndex | the NV Index of the area to read Auth Index: None |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_OPERAND | operandB | the second operand |
| UINT16 | offset | the octet offset in the NV Index for the start of operand A |
| TPM_EO | operation | the comparison to make |

Table 137 — TPM2_PolicyNV Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.9.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyNV_fp.h"
3  #if CC_PolicyNV // Conditional expansion of this file
4  #include "Policy_spt_fp.h"

```

| Error Returns | Meaning |
|-------------------------|--|
| TPM_RC_AUTH_TYPE | NV index authorization type is not correct |
| TPM_RC_NV_LOCKED | NV index read locked |
| TPM_RC_NV_UNINITIALIZED | the NV index has not been initialized |
| TPM_RC_POLICY | the comparison to the NV contents failed |
| TPM_RC_SIZE | the size of <i>nvIndex</i> data starting at <i>offset</i> is less than the size of <i>operandB</i> |
| TPM_RC_VALUE | <i>offset</i> is too large |

```

5  TPM_RC
6  TPM2_PolicyNV(
7      PolicyNV_In      *in          // IN: input parameter list
8  )
9  {
10     TPM_RC          result;
11     SESSION         *session;
12     NV_REF          locator;
13     NV_INDEX        *nvIndex;
14     BYTE            nvBuffer[sizeof(in->operandB.t.buffer)];
15     TPM2B_NAME      nvName;
16     TPM_CC          commandCode = TPM_CC_PolicyNV;
17     HASH_STATE      hashState;
18     TPM2B_DIGEST    argHash;
19
20     // Input Validation
21
22     // Get pointer to the session structure
23     session = SessionGet(in->policySession);
24
25     //If this is a trial policy, skip all validations and the operation
26     if(session->attributes.isTrialPolicy == CLEAR)
27     {
28         // No need to access the actual NV index information for a trial policy.
29         nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
30
31         // Common read access checks. NvReadAccessChecks() may return
32         // TPM_RC_NV_AUTHORIZATION, TPM_RC_NV_LOCKED, or TPM_RC_NV_UNINITIALIZED
33         result = NvReadAccessChecks(in->authHandle,
34                                   in->nvIndex,
35                                   nvIndex->publicArea.attributes);
36         if(result != TPM_RC_SUCCESS)
37             return result;
38
39         // Make sure that offset is within range
40         if(in->offset > nvIndex->publicArea.dataSize)
41             return TPM_RC_VALUE + RC_PolicyNV_offset;
42
43         // Valid NV data size should not be smaller than input operandB size
44         if((nvIndex->publicArea.dataSize - in->offset) < in->operandB.t.size)
45             return TPM_RC_SIZE + RC_PolicyNV_operandB;
46

```

```
47     // Get NV data. The size of NV data equals the input operand B size
48     NvGetIndexData(nvIndex, locator, in->offset, in->operandB.t.size, nvBuffer);
49
50     // Check to see if the condition is valid
51     if(!PolicySptCheckCondition(in->operation, nvBuffer,
52                               in->operandB.t.buffer, in->operandB.t.size))
53         return TPM_RC_POLICY;
54 }
55 // Internal Data Update
56
57 // Start argument hash
58 argHash.t.size = CryptHashStart(&hashState, session->authHashAlg);
59
60 // add operandB
61 CryptDigestUpdate2B(&hashState, &in->operandB.b);
62
63 // add offset
64 CryptDigestUpdateInt(&hashState, sizeof(UINT16), in->offset);
65
66 // add operation
67 CryptDigestUpdateInt(&hashState, sizeof(TPM_EO), in->operation);
68
69 // complete argument digest
70 CryptHashEnd2B(&hashState, &argHash.b);
71
72 // Update policyDigest
73 // Start digest
74 CryptHashStart(&hashState, session->authHashAlg);
75
76 // add old digest
77 CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
78
79 // add commandCode
80 CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
81
82 // add argument digest
83 CryptDigestUpdate2B(&hashState, &argHash.b);
84
85 // Adding nvName
86 CryptDigestUpdate2B(&hashState, &EntityGetName(in->nvIndex, &nvName)->b);
87
88 // complete the digest
89 CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
90
91 return TPM_RC_SUCCESS;
92 }
93 #endif // CC_PolicyNV
```

23.10 TPM2_PolicyCounterTimer

23.10.1 General Description

This command is used to cause conditional gating of a policy based on the contents of the TPMS_TIME_INFO structure.

If *policySession* is a trial policy session, the TPM will update *policySession*→*policyDigest* as shown in equations (24) and (25) below and return TPM_RC_SUCCESS. It will not perform any validation. The remainder of this general description would apply only if *policySession* is not a trial policy session.

The TPM will perform the indicated arithmetic check on the indicated portion of the TPMS_TIME_INFO structure. If the check fails, the TPM shall return TPM_RC_POLICY and not change *policySession*→*policyDigest*. If the check succeeds, the TPM will hash the arguments:

$$args := H_{policyAlg}(operandB.buffer || offset || operation) \quad (24)$$

where

| | |
|------------------------|--|
| $H_{policyAlg}()$ | hash function using the algorithm of the policy session |
| <i>operandB.buffer</i> | the value used for the comparison |
| <i>offset</i> | offset from the start of the TPMS_TIME_INFO structure at which the comparison starts |
| <i>operation</i> | the operation parameter indicating the comparison being performed |

NOTE There is no security related reason for the double hash.

The value of *args* is extended to *policySession*→*policyDigest* by

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyCounterTimer || args) \quad (25)$$

where

| | |
|-------------------|---|
| $H_{policyAlg}()$ | hash function using the algorithm of the policy session |
| <i>args</i> | value computed in equation (24) |

The signed arithmetic operations are performed using twos-compliment. The indicated portion of the TPMS_TIME_INFO structure begins at *offset* and has a length of *operandB.size*. If the number of octets to be compared overflows the TPMS_TIME_INFO structure, the TPM returns TPM_RC_RANGE. If *offset* is greater than the size of the marshaled TPMS_TIME_INFO structure, the TPM returns TPM_RC_VALUE. The structure is marshaled into its canonical form with no padding. The TPM does not check for alignment of the offset with a TPMS_TIME_INFO structure member.

Magnitude comparisons assume that the octet at offset zero in the referenced location and in *operandB* contain the most significant octet of the data.

23.10.2 Command and Response

Table 138 — TPM2_PolicyCounterTimer Command

| Type | Name | Description |
|---------------------|---------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyCounterTimer |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_OPERAND | operandB | the second operand |
| UINT16 | offset | the octet offset in the TPMS_TIME_INFO structure for the start of operand A |
| TPM_EO | operation | the comparison to make |

Table 139 — TPM2_PolicyCounterTimer Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.10.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyCounterTimer_fp.h"
3  #if CC_PolicyCounterTimer // Conditional expansion of this file
4  #include "Policy_spt_fp.h"

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_POLICY | the comparison of the selected portion of the TPMS_TIME_INFO with <i>operandB</i> failed |
| TPM_RC_RANGE | <i>offset + size</i> exceed size of TPMS_TIME_INFO structure |

```

5  TPM_RC
6  TPM2_PolicyCounterTimer(
7      PolicyCounterTimer_In  *in          // IN: input parameter list
8  )
9  {
10     SESSION                *session;
11     TIME_INFO              infoData;      // data buffer of TPMS_TIME_INFO
12     BYTE                   *pInfoData = (BYTE *)&infoData;
13     UINT16                 infoDataSize;
14     TPM_CC                 commandCode = TPM_CC_PolicyCounterTimer;
15     HASH_STATE             hashState;
16     TPM2B_DIGEST           argHash;
17
18     // Input Validation
19     // Get a marshaled time structure
20     infoDataSize = TimeGetMarshaled(&infoData);
21     // Make sure that the referenced stays within the bounds of the structure.
22     // NOTE: the offset checks are made even for a trial policy because the policy
23     // will not make any sense if the references are out of bounds of the timer
24     // structure.
25     if(in->offset > infoDataSize)
26         return TPM_RCS_VALUE + RC_PolicyCounterTimer_offset;
27     if((UINT32)in->offset + (UINT32)in->operandB.t.size > infoDataSize)
28         return TPM_RCS_RANGE;
29     // Get pointer to the session structure
30     session = SessionGet(in->policySession);
31
32     //If this is a trial policy, skip the check to see if the condition is met.
33     if(session->attributes.isTrialPolicy == CLEAR)
34     {
35         // If the command is going to use any part of the counter or timer, need
36         // to verify that time is advancing.
37         // The time and clock vales are the first two 64-bit values in the clock
38         if(in->offset < sizeof(UINT64) + sizeof(UINT64))
39         {
40             // Using Clock or Time so see if clock is running. Clock doesn't
41             // run while NV is unavailable.
42             // TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned here.
43             RETURN_IF_NV_IS_NOT_AVAILABLE;
44         }
45         // offset to the starting position
46         pInfoData = (BYTE *)infoData;
47         // Check to see if the condition is valid
48         if(!PolicySptCheckCondition(in->operation, pInfoData + in->offset,
49             in->operandB.t.buffer, in->operandB.t.size))
50             return TPM_RC_POLICY;
51     }
52     // Internal Data Update
53     // Start argument list hash

```

```
54     argHash.t.size = CryptHashStart(&hashState, session->authHashAlg);
55     // add operandB
56     CryptDigestUpdate2B(&hashState, &in->operandB.b);
57     // add offset
58     CryptDigestUpdateInt(&hashState, sizeof(UINT16), in->offset);
59     // add operation
60     CryptDigestUpdateInt(&hashState, sizeof(TPM_EO), in->operation);
61     // complete argument hash
62     CryptHashEnd2B(&hashState, &argHash.b);
63
64     // update policyDigest
65     // start hash
66     CryptHashStart(&hashState, session->authHashAlg);
67
68     // add old digest
69     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
70
71     // add commandCode
72     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
73
74     // add argument digest
75     CryptDigestUpdate2B(&hashState, &argHash.b);
76
77     // complete the digest
78     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
79
80     return TPM_RC_SUCCESS;
81 }
82 #endif // CC_PolicyCounterTimer
```

23.11 TPM2_PolicyCommandCode

23.11.1 General Description

This command indicates that the authorization will be limited to a specific command code.

If *policySession*→*commandCode* has its default value, then it will be set to *code*. If *policySession*→*commandCode* does not have its default value, then the TPM will return TPM_RC_VALUE if the two values are not the same.

If *code* is not implemented, the TPM will return TPM_RC_POLICY_CC.

If the TPM does not return an error, it will update *policySession*→*policyDigest* by

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyCommandCode || code) \quad (26)$$

NOTE 1 If a previous TPM2_PolicyCommandCode() had been executed, then it is probable that the policy expression is improperly formed but the TPM does not return an error if *code* is the same.

NOTE 2 A TPM2_PolicyOR() would be used to allow an authorization to be used for multiple commands.

When the policy session is used to authorize a command, the TPM will fail the command if the *commandCode* of that command does not match *policySession*→*commandCode*.

This command, or TPM2_PolicyDuplicationSelect(), is required to enable the policy to be used for ADMIN role authorization.

EXAMPLE Before TPM2_Certify() can be executed, TPM2_PolicyCommandCode() with *code* set to TPM_CC_Certify is required.

23.11.2 Command and Response

Table 140 — TPM2_PolicyCommandCode Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyCommandCode |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM_CC | code | the allowed <i>commandCode</i> |

Table 141 — TPM2_PolicyCommandCode Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.11.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyCommandCode_fp.h"
3  #if CC_PolicyCommandCode // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_VALUE | <i>commandCode</i> of <i>policySession</i> previously set to a different value |

```

4  TPM_RC
5  TPM2_PolicyCommandCode(
6      PolicyCommandCode_In  *in           // IN: input parameter list
7  )
8  {
9      SESSION      *session;
10     TPM_CC       commandCode = TPM_CC_PolicyCommandCode;
11     HASH_STATE   hashState;
12
13     // Input validation
14
15     // Get pointer to the session structure
16     session = SessionGet(in->policySession);
17
18     if(session->commandCode != 0 && session->commandCode != in->code)
19         return TPM_RCS_VALUE + RC_PolicyCommandCode_code;
20     if(CommandCodeToCommandIndex(in->code) == UNIMPLEMENTED_COMMAND_INDEX)
21         return TPM_RCS_POLICY_CC + RC_PolicyCommandCode_code;
22
23     // Internal Data Update
24     // Update policy hash
25     // policyDigeststnew = hash(policyDigeststold || TPM_CC_PolicyCommandCode || code)
26     // Start hash
27     CryptHashStart(&hashState, session->authHashAlg);
28
29     // add old digest
30     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
31
32     // add commandCode
33     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
34
35     // add input commandCode
36     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), in->code);
37
38     // complete the hash and get the results
39     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
40
41     // update commandCode value in session context
42     session->commandCode = in->code;
43
44     return TPM_RC_SUCCESS;
45 }
46 #endif // CC_PolicyCommandCode

```

23.12 TPM2_PolicyPhysicalPresence

23.12.1 General Description

This command indicates that physical presence will need to be asserted at the time the authorization is performed.

If this command is successful, *policySession*→*isPPRequired* will be SET to indicate that this check is required when the policy is used for authorization. Additionally, *policySession*→*policyDigest* is extended with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyPhysicalPresence) \quad (27)$$

23.12.2 Command and Response

Table 142 — TPM2_PolicyPhysicalPresence Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyPhysicalPresence |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |

Table 143 — TPM2_PolicyPhysicalPresence Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.12.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyPhysicalPresence_fp.h"
3  #if CC_PolicyPhysicalPresence // Conditional expansion of this file
4  TPM_RC
5  TPM2_PolicyPhysicalPresence(
6      PolicyPhysicalPresence_In *in // IN: input parameter list
7  )
8  {
9      SESSION *session;
10     TPM_CC  commandCode = TPM_CC_PolicyPhysicalPresence;
11     HASH_STATE hashState;
12
13     // Internal Data Update
14
15     // Get pointer to the session structure
16     session = SessionGet(in->policySession);
17
18     // Update policy hash
19     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyPhysicalPresence)
20     // Start hash
21     CryptHashStart(&hashState, session->authHashAlg);
22
23     // add old digest
24     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
25
26     // add commandCode
27     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
28
29     // complete the digest
30     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
31
32     // update session attribute
33     session->attributes.isPPRequired = SET;
34
35     return TPM_RC_SUCCESS;
36 }
37 #endif // CC_PolicyPhysicalPresence

```


23.13 TPM2_PolicyCpHash

23.13.1 General Description

This command is used to allow a policy to be bound to a specific command and command parameters.

TPM2_PolicySigned(), TPM2_PolicySecret(), and TPM2_PolicyTicket() are designed to allow an authorizing entity to execute an arbitrary command as the *cpHashA* parameter of those commands is not included in *policySession*→*policyDigest*. TPM2_PolicyCommandCode() allows the policy to be bound to a specific Command Code so that only certain entities may authorize specific command codes. This command allows the policy to be restricted such that an entity may only authorize a command with a specific set of parameters.

If *policySession*→*cpHash* is already set and not the same as *cpHashA*, then the TPM shall return TPM_RC_CPHASH. If *cpHashA* does not have the size of the *policySession*→*policyDigest*, the TPM shall return TPM_RC_SIZE.

NOTE 1 If a previous TPM2_PolicyCpHash() had been executed, then it is probable that the policy expression is improperly formed but the TPM does not return an error if *cpHash* is the same.

If the *cpHashA* checks succeed, *policySession*→*cpHash* is set to *cpHashA* and *policySession*→*policyDigest* is updated with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyCpHash || cpHashA) \quad (28)$$

23.13.2 Command and Response

Table 144 — TPM2_PolicyCpHash Command

| Type | Name | Description |
|---------------------|---------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyCpHash |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_DIGEST | cpHashA | the <i>cpHash</i> added to the policy |

Table 145 — TPM2_PolicyCpHash Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.13.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyCpHash_fp.h"
3  #if CC_PolicyCpHash // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_CPHASH | <i>cpHash</i> of <i>policySession</i> has previously been set to a different value |
| TPM_RC_SIZE | <i>cpHashA</i> is not the size of a digest produced by the hash algorithm associated with <i>policySession</i> |

```

4  TPM_RC
5  TPM2_PolicyCpHash(
6      PolicyCpHash_In    *in          // IN: input parameter list
7  )
8  {
9      SESSION    *session;
10     TPM_CC     commandCode = TPM_CC_PolicyCpHash;
11     HASH_STATE hashState;
12
13     // Input Validation
14
15     // Get pointer to the session structure
16     session = SessionGet(in->policySession);
17
18     // A valid cpHash must have the same size as session hash digest
19     // NOTE: the size of the digest can't be zero because TPM_ALG_NULL
20     // can't be used for the authHashAlg.
21     if(in->cpHashA.t.size != CryptHashGetDigestSize(session->authHashAlg))
22         return TPM_RCS_SIZE + RC_PolicyCpHash_cpHashA;
23
24     // error if the cpHash in session context is not empty and is not the same
25     // as the input or is not a cpHash
26     if((session->u1.cpHash.t.size != 0)
27         && (!session->attributes.isCpHashDefined
28             || !MemoryEqual2B(&in->cpHashA.b, &session->u1.cpHash.b)))
29         return TPM_RC_CPHASH;
30
31     // Internal Data Update
32
33     // Update policy hash
34     // policyDigestNew = hash(policyDigestOld || TPM_CC_PolicyCpHash || cpHashA)
35     // Start hash
36     CryptHashStart(&hashState, session->authHashAlg);
37
38     // add old digest
39     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
40
41     // add commandCode
42     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
43
44     // add cpHashA
45     CryptDigestUpdate2B(&hashState, &in->cpHashA.b);
46
47     // complete the digest and get the results
48     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
49
50     // update cpHash in session context
51     session->u1.cpHash = in->cpHashA;
52     session->attributes.isCpHashDefined = SET;
53

```

```
54     return TPM_RC_SUCCESS;  
55 }  
56 #endif // CC_PolicyCpHash
```

23.14 TPM2_PolicyNameHash

23.14.1 General Description

This command allows a policy to be bound to a specific set of TPM entities without being bound to the parameters of the command. This is most useful for commands such as TPM2_Duplicate() and for TPM2_PCR_Event() when the referenced PCR requires a policy.

The *nameHash* parameter should contain the digest of the Names associated with the handles to be used in the authorized command.

EXAMPLE For the TPM2_Duplicate() command, two handles are provided. One is the handle of the object being duplicated and the other is the handle of the new parent. For that command, *nameHash* would contain:

$$nameHash := H_{policyAlg}(objectHandle \rightarrow Name || newParentHandle \rightarrow Name)$$

If *policySession*→*cpHash* is already set, the TPM shall return TPM_RC_CPHASH. If the size of *nameHash* is not the size of *policySession*→*policyDigest*, the TPM shall return TPM_RC_SIZE. Otherwise, *policySession*→*cpHash* is set to *nameHash*.

If this command completes successfully, the *cpHash* of the authorized command will not be used for validation. Only the digest of the Names associated with the handles in the command will be used.

NOTE 1 This allows the space normally used to hold *policySession*→*cpHash* to be used for *policySession*→*nameHash* instead.

The *policySession*→*policyDigest* will be updated with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyNameHash || nameHash) \quad (29)$$

NOTE 2 This command can only be used with TPM2_PolicyAuthorize() or TPM2_PolicyAuthorizeNV. The owner of the object being duplicated provides approval for their object to be migrated to a specific new parent.

Without this approval, the Name of the Object would need to be known at the time that Object's policy is created. However, since the Name of the Object includes its policy, the Name is not known. The Name can be known by the authorizing entity.

23.14.2 Command and Response

Table 146 — TPM2_PolicyNameHash Command

| Type | Name | Description |
|---------------------|---------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyNameHash |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_DIGEST | nameHash | the digest to be added to the policy |

Table 147 — TPM2_PolicyNameHash Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.14.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyNameHash_fp.h"
3  #if CC_PolicyNameHash // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_CPHASH | <i>nameHash</i> has been previously set to a different value |
| TPM_RC_SIZE | <i>nameHash</i> is not the size of the digest produced by the hash algorithm associated with <i>policySession</i> |

```

4  TPM_RC
5  TPM2_PolicyNameHash(
6      PolicyNameHash_In  *in          // IN: input parameter list
7  )
8  {
9      SESSION              *session;
10     TPM_CC                commandCode = TPM_CC_PolicyNameHash;
11     HASH_STATE            hashState;
12
13     // Input Validation
14
15     // Get pointer to the session structure
16     session = SessionGet(in->policySession);
17
18     // A valid nameHash must have the same size as session hash digest
19     // Since the authHashAlg for a session cannot be TPM_ALG_NULL, the digest size
20     // is always non-zero.
21     if(in->nameHash.t.size != CryptHashGetDigestSize(session->authHashAlg))
22         return TPM_RCS_SIZE + RC_PolicyNameHash_nameHash;
23
24     // u1 in the policy session context cannot otherwise be occupied
25     if(session->u1.cpHash.b.size != 0
26        || session->attributes.isBound
27        || session->attributes.isCpHashDefined
28        || session->attributes.isTemplateSet)
29         return TPM_RC_CPHASH;
30
31     // Internal Data Update
32
33     // Update policy hash
34     // policyDigestNew = hash(policyDigestOld || TPM_CC_PolicyNameHash || nameHash)
35     // Start hash
36     CryptHashStart(&hashState, session->authHashAlg);
37
38     // add old digest
39     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
40
41     // add commandCode
42     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
43
44     // add nameHash
45     CryptDigestUpdate2B(&hashState, &in->nameHash.b);
46
47     // complete the digest
48     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
49
50     // update nameHash in session context
51     session->u1.cpHash = in->nameHash;
52
53     return TPM_RC_SUCCESS;

```

```
54 }  
55 #endif // CC_PolicyNameHash
```


23.15 TPM2_PolicyDuplicationSelect

23.15.1 General Description

This command allows qualification of duplication to allow duplication to a selected new parent.

If this command not used in conjunction with a PolicyAuthorize Command, then only the new parent is selected and *includeObject* should be CLEAR.

EXAMPLE When an object is created when the list of allowed duplication targets is known, the policy would be created with *includeObject* CLEAR.

NOTE 1 Only the new parent may be selected because, without TPM2_PolicyAuthorize(), the Name of the Object to be duplicated would need to be known at the time that Object's policy is created. However, since the Name of the Object includes its policy, the Name is not known. The Name can be known by the authorizing entity (a PolicyAuthorize Command) in which case *includeObject* may be SET.

If used in conjunction with TPM2_PolicyAuthorize(), then the authorizer of the new policy has the option of selecting just the new parent or of selecting both the new parent and the duplication Object.

NOTE 2 If the authorizing entity for an TPM2_PolicyAuthorize() only specifies the new parent, then that authorization may be applied to the duplication of any number of other Objects. If the authorizing entity specifies both a new parent and the duplicated Object, then the authorization only applies to that pairing of Object and new parent.

If either *policySession*→*cpHash* or *policySession*→*nameHash* has been previously set, the TPM shall return TPM_RC_CPHASH. Otherwise, *policySession*→*nameHash* will be set to:

$$nameHash := H_{policyAlg}(objectName.name || newParentName.name) \quad (30)$$

NOTE 3 It is allowed that *policySession*→*nameHash* and *policySession*→*cpHash* share the same memory space.

NOTE 4 The Name in these equations uses Name.name, indicating that the UINT16 size is not included in the hash.

The *policySession*→*policyDigest* will be updated according to the setting of *includeObject*. If equal to YES, *policySession*→*policyDigest* is updated by:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyDuplicationSelect || objectName.name || newParentName.name || includeObject) \quad (31)$$

If *includeObject* is NO, *policySession*→*policyDigest* is updated by:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyDuplicationSelect || newParentName.name || includeObject) \quad (32)$$

NOTE 5 *policySession*→*nameHash* receives the digest of both Names so that the check performed in TPM2_Duplicate() may be the same regardless of which Names are included in *policySession*→*policyDigest*. This means that, when TPM2_PolicyDuplicationSelect() is executed, it is only valid for a specific pair of duplication object and new parent.

If the command succeeds, *policySession*→*commandCode* is set to TPM_CC_Duplicate.

NOTE 6 The normal use of this command is before a TPM2_PolicyAuthorize(). An authorized entity would approve a *policyDigest* that allowed duplication to a specific new parent. The authorizing entity may want to limit the authorization so that the approval allows only a specific object to be duplicated to the new parent. In that case, the authorizing entity would approve the *policyDigest* of equation (31).

23.15.2 Command and Response

Table 148 — TPM2_PolicyDuplicationSelect Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyDuplicationSelect |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_NAME | objectName | the Name of the object to be duplicated |
| TPM2B_NAME | newParentName | the Name of the new parent |
| TPMI_YES_NO | includeObject | if YES, the <i>objectName</i> will be included in the value in <i>policySession</i> → <i>policyDigest</i> |

Table 149 — TPM2_PolicyDuplicationSelect Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.15.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyDuplicationSelect_fp.h"
3  #if CC_PolicyDuplicationSelect // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------------|---|
| TPM_RC_COMMAND_CODE | <i>commandCode</i> of <i>policySession</i> ; is not empty |
| TPM_RC_CPHASH | <i>cpHash</i> of <i>policySession</i> is not empty |

```

4  TPM_RC
5  TPM2_PolicyDuplicationSelect(
6      PolicyDuplicationSelect_In *in           // IN: input parameter list
7  )
8  {
9      SESSION          *session;
10     HASH_STATE       hashState;
11     TPM_CC           commandCode = TPM_CC_PolicyDuplicationSelect;
12
13     // Input Validation
14
15     // Get pointer to the session structure
16     session = SessionGet(in->policySession);
17
18     // cpHash in session context must be empty
19     if(session->u1.cpHash.t.size != 0)
20         return TPM_RC_CPHASH;
21
22     // commandCode in session context must be empty
23     if(session->commandCode != 0)
24         return TPM_RC_COMMAND_CODE;
25
26     // Internal Data Update
27
28     // Update name hash
29     session->u1.cpHash.t.size = CryptHashStart(&hashState, session->authHashAlg);
30
31     // add objectName
32     CryptDigestUpdate2B(&hashState, &in->objectName.b);
33
34     // add new parent name
35     CryptDigestUpdate2B(&hashState, &in->newParentName.b);
36
37     // complete hash
38     CryptHashEnd2B(&hashState, &session->u1.cpHash.b);
39
40     // update policy hash
41     // Old policyDigest size should be the same as the new policyDigest size since
42     // they are using the same hash algorithm
43     session->u2.policyDigest.t.size
44         = CryptHashStart(&hashState, session->authHashAlg);
45     // add old policy
46     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
47
48     // add command code
49     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
50
51     // add objectName
52     if(in->includeObject == YES)
53         CryptDigestUpdate2B(&hashState, &in->objectName.b);
54

```

```
55     // add new parent name
56     CryptDigestUpdate2B(&hashState, &in->newParentName.b);
57
58     // add includeObject
59     CryptDigestUpdateInt(&hashState, sizeof(TPMI_YES_NO), in->includeObject);
60
61     // complete digest
62     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
63
64     // set commandCode in session context
65     session->commandCode = TPM_CC_Duplicate;
66
67     return TPM_RC_SUCCESS;
68 }
69 #endif // CC_PolicyDuplicationSelect
```

23.16 TPM2_PolicyAuthorize

23.16.1 General Description

This command allows policies to change. If a policy were static, then it would be difficult to add users to a policy. This command lets a policy authority sign a new policy so that it may be used in an existing policy.

The authorizing entity signs a structure that contains

$$aHash := H_{aHashAlg}(approvedPolicy || policyRef) \quad (33)$$

The *aHashAlg* is required to be the *nameAlg* of the key used to sign the *aHash*. The *aHash* value is then signed (symmetric or asymmetric) by *keySign*. That signature is then checked by the TPM in 20.1 TPM2_VerifySignature() which produces a ticket by

$$HMAC(proof, (TPM_ST_VERIFIED || aHash || keySign \rightarrow Name)) \quad (34)$$

NOTE 1 The reason for the validation is because of the expectation that the policy will be used multiple times and it is more efficient to check a ticket than to load an object each time to check a signature.

The ticket is then used in TPM2_PolicyAuthorize() to validate the parameters.

The *keySign* parameter is required to be a valid object name using *nameAlg* other than TPM_ALG_NULL. If the first two octets of *keySign* are not a valid hash algorithm, the TPM shall return TPM_RC_HASH. If the remainder of the Name is not the size of the indicated digest, the TPM shall return TPM_RC_SIZE.

The TPM validates that the *approvedPolicy* matches the current value of *policySession*→*policyDigest* and if not, shall return TPM_RC_VALUE.

The TPM then validates that the parameters to TPM2_PolicyAuthorize() match the values used to generate the ticket. If so, the TPM will reset *policySession*→*policyDigest* to a Zero Digest. Then it will update *policySession*→*policyDigest* with **PolicyUpdate**() (see 23.2.3).

$$\mathbf{PolicyUpdate}(TPM_CC_PolicyAuthorize, keySign, policyRef) \quad (35)$$

If the ticket is not valid, the TPM shall return TPM_RC_POLICY.

If *policySession* is a trial session, *policySession*→*policyDigest* is extended as if the ticket is valid without actual verification.

NOTE 2 The unmarshaling process requires that a proper TPMT_TK_VERIFIED be provided for *checkTicket* but it may be a NULL Ticket. A NULL ticket is useful in a trial policy, where the caller uses the TPM to perform policy calculations but does not have a valid authorization ticket.

23.16.2 Command and Response

Table 150 — TPM2_PolicyAuthorize Command

| Type | Name | Description |
|---------------------|----------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyAuthorize |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_DIGEST | approvedPolicy | digest of the policy being approved |
| TPM2B_NONCE | policyRef | a policy qualifier |
| TPM2B_NAME | keySign | Name of a key that can sign a policy addition |
| TPMT_TK_VERIFIED | checkTicket | ticket validating that <i>approvedPolicy</i> and <i>policyRef</i> were signed by <i>keySign</i> |

Table 151 — TPM2_PolicyAuthorize Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.16.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyAuthorize_fp.h"
3  #if CC_PolicyAuthorize // Conditional expansion of this file
4  #include "Policy_spt_fp.h"

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_HASH | hash algorithm in <i>keyName</i> is not supported |
| TPM_RC_SIZE | <i>keyName</i> is not the correct size for its hash algorithm |
| TPM_RC_VALUE | the current <i>policyDigest</i> of <i>policySession</i> does not match <i>approvedPolicy</i> ; or <i>checkTicket</i> doesn't match the provided values |

```

5  TPM_RC
6  TPM2_PolicyAuthorize(
7      PolicyAuthorize_In *in          // IN: input parameter list
8  )
9  {
10     SESSION          *session;
11     TPM2B_DIGEST     authHash;
12     HASH_STATE       hashState;
13     TPMT_TK_VERIFIED ticket;
14     TPM_ALG_ID       hashAlg;
15     UINT16           digestSize;
16
17     // Input Validation
18
19     // Get pointer to the session structure
20     session = SessionGet(in->policySession);
21
22     // Extract from the Name of the key, the algorithm used to compute it's Name
23     hashAlg = BYTE_ARRAY_TO_UINT16(in->keySign.t.name);
24
25     // 'keySign' parameter needs to use a supported hash algorithm, otherwise
26     // can't tell how large the digest should be
27     if(!CryptHashIsValidAlg(hashAlg, FALSE))
28         return TPM_RCS_HASH + RC_PolicyAuthorize_keySign;
29
30     digestSize = CryptHashGetDigestSize(hashAlg);
31     if(digestSize != (in->keySign.t.size - 2))
32         return TPM_RCS_SIZE + RC_PolicyAuthorize_keySign;
33
34     //If this is a trial policy, skip all validations
35     if(session->attributes.isTrialPolicy == CLEAR)
36     {
37         // Check that "approvedPolicy" matches the current value of the
38         // policyDigest in policy session
39         if(!MemoryEqual2B(&session->u2.policyDigest.b,
40                          &in->approvedPolicy.b))
41             return TPM_RCS_VALUE + RC_PolicyAuthorize_approvedPolicy;
42
43         // Validate ticket TPMT_TK_VERIFIED
44         // Compute aHash. The authorizing object sign a digest
45         // aHash := hash(approvedPolicy || policyRef).
46         // Start hash
47         authHash.t.size = CryptHashStart(&hashState, hashAlg);
48
49         // add approvedPolicy
50         CryptDigestUpdate2B(&hashState, &in->approvedPolicy.b);
51

```

```
52     // add policyRef
53     CryptDigestUpdate2B(&hashState, &in->policyRef.b);
54
55     // complete hash
56     CryptHashEnd2B(&hashState, &authHash.b);
57
58     // re-compute TPMT_TK_VERIFIED
59     TicketComputeVerified(in->checkTicket.hierarchy, &authHash,
60                          &in->keySign, &ticket);
61
62     // Compare ticket digest.  If not match, return error
63     if(!MemoryEqual2B(&in->checkTicket.digest.b, &ticket.digest.b))
64         return TPM_RCS_VALUE + RC_PolicyAuthorize_checkTicket;
65     }
66
67 // Internal Data Update
68
69     // Set policyDigest to zero digest
70     PolicyDigestClear(session);
71
72     // Update policyDigest
73     PolicyContextUpdate(TPM_CC_PolicyAuthorize, &in->keySign, &in->policyRef,
74                       NULL, 0, session);
75
76     return TPM_RC_SUCCESS;
77 }
78 #endif // CC_PolicyAuthorize
```


23.17 TPM2_PolicyAuthValue

23.17.1 General Description

This command allows a policy to be bound to the authorization value of the authorized entity.

When this command completes successfully, *policySession*→*isAuthValueNeeded* is SET to indicate that the *authValue* will be included in *hmacKey* when the authorization HMAC is computed for the command being authorized using this session. Additionally, *policySession*→*isPasswordNeeded* will be CLEAR.

NOTE If a policy does not use this command, then the *hmacKey* for the authorized command would only use *sessionKey*. If *sessionKey* is not present, then the *hmacKey* is an Empty Buffer and no HMAC would be computed.

If successful, *policySession*→*policyDigest* will be updated with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyAuthValue) \quad (36)$$

23.17.2 Command and Response

Table 152 — TPM2_PolicyAuthValue Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyAuthValue |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |

Table 153 — TPM2_PolicyAuthValue Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.17.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "PolicyAuthValue_fp.h"
3  #if CC_PolicyAuthValue // Conditional expansion of this file
4  #include "Policy_spt_fp.h"
5  TPM_RC
6  TPM2_PolicyAuthValue(
7      PolicyAuthValue_In *in          // IN: input parameter list
8  )
9  {
10     SESSION          *session;
11     TPM_CC            commandCode = TPM_CC_PolicyAuthValue;
12     HASH_STATE       hashState;
13
14     // Internal Data Update
15
16     // Get pointer to the session structure
17     session = SessionGet(in->policySession);
18
19     // Update policy hash
20     // policyDigestNew = hash(policyDigestOld || TPM_CC_PolicyAuthValue)
21     // Start hash
22     CryptHashStart(&hashState, session->authHashAlg);
23
24     // add old digest
25     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
26
27     // add commandCode
28     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
29
30     // complete the hash and get the results
31     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
32
33     // update isAuthValueNeeded bit in the session context
34     session->attributes.isAuthValueNeeded = SET;
35     session->attributes.isPasswordNeeded = CLEAR;
36
37     return TPM_RC_SUCCESS;
38 }
39 #endif // CC_PolicyAuthValue
```

23.18 TPM2_PolicyPassword

23.18.1 General Description

This command allows a policy to be bound to the authorization value of the authorized object.

When this command completes successfully, *policySession*→*isPasswordNeeded* is SET to indicate that *authValue* of the authorized object will be checked when the session is used for authorization. The caller will provide the *authValue* in clear text in the *hmac* parameter of the authorization. The comparison of *hmac* to *authValue* is performed as if the authorization is a password.

NOTE 1 The parameter field in the policy session where the authorization value is provided is called *hmac*. If TPM2_PolicyPassword() is part of the sequence, then the field will contain a password and not an HMAC.

If successful, *policySession*→*policyDigest* will be updated with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyAuthValue) \quad (37)$$

NOTE 2 This is the same extend value as used with TPM2_PolicyAuthValue so that the evaluation may be done using either an HMAC or a password with no change to the *authPolicy* of the object. The reason that two commands are present is to indicate to the TPM if the *hmac* field in the authorization will contain an HMAC or a password value.

When this command is successful, *policySession*→*isAuthValueNeeded* will be CLEAR.

23.18.2 Command and Response

Table 154 — TPM2_PolicyPassword Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyPassword |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |

Table 155 — TPM2_PolicyPassword Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.18.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "PolicyPassword_fp.h"
3  #if CC_PolicyPassword // Conditional expansion of this file
4  #include "Policy_spt_fp.h"
5  TPM_RC
6  TPM2_PolicyPassword(
7      PolicyPassword_In  *in          // IN: input parameter list
8      )
9  {
10     SESSION             *session;
11     TPM_CC              commandCode = TPM_CC_PolicyAuthValue;
12     HASH_STATE          hashState;
13
14     // Internal Data Update
15
16     // Get pointer to the session structure
17     session = SessionGet(in->policySession);
18
19     // Update policy hash
20     // policyDigestNew = hash(policyDigestOld || TPM_CC_PolicyAuthValue)
21     // Start hash
22     CryptHashStart(&hashState, session->authHashAlg);
23
24     // add old digest
25     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
26
27     // add commandCode
28     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
29
30     // complete the digest
31     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
32
33     // Update isPasswordNeeded bit
34     session->attributes.isPasswordNeeded = SET;
35     session->attributes.isAuthValueNeeded = CLEAR;
36
37     return TPM_RC_SUCCESS;
38 }
39 #endif // CC_PolicyPassword
```

23.19 TPM2_PolicyGetDigest

23.19.1 General Description

This command returns the current *policyDigest* of the session. This command allows the TPM to be used to perform the actions required to pre-compute the *authPolicy* for an object.

23.19.2 Command and Response

Table 156 — TPM2_PolicyGetDigest Command

| Type | Name | Description |
|---------------------|---------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyGetDigest |
| TPMI_SH_POLICY | policySession | handle for the policy session Auth Index: None |

Table 157 — TPM2_PolicyGetDigest Response

| Type | Name | Description |
|--------------|--------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_DIGEST | policyDigest | the current value of the <i>policySession</i> → <i>policyDigest</i> |

23.19.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "PolicyGetDigest_fp.h"
3  #if CC_PolicyGetDigest // Conditional expansion of this file
4  TPM_RC
5  TPM2_PolicyGetDigest(
6      PolicyGetDigest_In    *in,           // IN: input parameter list
7      PolicyGetDigest_Out  *out          // OUT: output parameter list
8  )
9  {
10     SESSION    *session;
11
12     // Command Output
13
14     // Get pointer to the session structure
15     session = SessionGet(in->policySession);
16
17     out->policyDigest = session->u2.policyDigest;
18
19     return TPM_RC_SUCCESS;
20 }
21 #endif // CC_PolicyGetDigest
```

23.20 TPM2_PolicyNvWritten

23.20.1 General Description

This command allows a policy to be bound to the TPMA_NV_WRITTEN attributes. This is a deferred assertion. Values are stored in the policy session context and checked when the policy is used for authorization.

If *policySession*→*checkNVWritten* is CLEAR, it is SET and *policySession*→*nvWrittenState* is set to *writtenSet*. If *policySession*→*checkNVWritten* is SET, the TPM will return TPM_RC_VALUE if *policySession*→*nvWrittenState* and *writtenSet* are not the same.

If the TPM does not return an error, it will update *policySession*→*policyDigest* by

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyNvWritten || writtenSet) \quad (38)$$

When the policy session is used to authorize a command, the TPM will fail the command if *policySession*→*checkNVWritten* is SET and *nvIndex*→*attributes*→*TPMA_NV_WRITTEN* does not match *policySession*→*nvWrittenState*.

NOTE 1 A typical use case is a simple policy for the first write during manufacturing provisioning that would require TPMA_NV_WRITTEN CLEAR and a more complex policy for later use that would require TPMA_NV_WRITTEN SET.

NOTE 2 When an Index is written, it has a different authorization name than an Index that has not been written. It is possible to use this change in the NV Index to create a write-once Index.

23.20.2 Command and Response**Table 158 — TPM2_PolicyNvWritten Command**

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyNvWritten |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPMI_YES_NO | writtenSet | YES if NV Index is required to have been written NO if NV Index is required not to have been written |

Table 159 — TPM2_PolicyNvWritten Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.20.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyNvWritten_fp.h"
3  #if CC_PolicyNvWritten // Conditional expansion of this file

```

Make an NV Index policy dependent on the state of the TPMA_NV_WRITTEN attribute of the index.

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_VALUE | a conflicting request for the attribute has already been processed |

```

4  TPM_RC
5  TPM2_PolicyNvWritten(
6      PolicyNvWritten_In *in          // IN: input parameter list
7  )
8  {
9      SESSION      *session;
10     TPM_CC       commandCode = TPM_CC_PolicyNvWritten;
11     HASH_STATE   hashState;
12
13     // Input Validation
14
15     // Get pointer to the session structure
16     session = SessionGet(in->policySession);
17
18     // If already set is this a duplicate (the same setting)? If it
19     // is a conflicting setting, it is an error
20     if(session->attributes.checkNvWritten == SET)
21     {
22         if(((session->attributes.nvWrittenState == SET)
23             != (in->writtenSet == YES)))
24             return TPM_RCS_VALUE + RC_PolicyNvWritten_writtenSet;
25     }
26
27     // Internal Data Update
28
29     // Set session attributes so that the NV Index needs to be checked
30     session->attributes.checkNvWritten = SET;
31     session->attributes.nvWrittenState = (in->writtenSet == YES);
32
33     // Update policy hash
34     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyNvWritten
35     //                        || writtenSet)
36     // Start hash
37     CryptHashStart(&hashState, session->authHashAlg);
38
39     // add old digest
40     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
41
42     // add commandCode
43     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
44
45     // add the byte of writtenState
46     CryptDigestUpdateInt(&hashState, sizeof(TPMI_YES_NO), in->writtenSet);
47
48     // complete the digest
49     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
50
51     return TPM_RC_SUCCESS;
52 }
53 #endif // CC_PolicyNvWritten

```

23.21 TPM2_PolicyTemplate

23.21.1 General Description

This command allows a policy to be bound to a specific creation template. This is most useful for an object creation command such as TPM2_Create(), TPM2_CreatePrimary(), or TPM2_CreateLoaded().

The *templateHash* parameter should contain the digest of the template that will be required for the *inPublic* parameter of an Object creation command.

If *policySession→isTemplateHash* is SET and *policySession→cpHash* is not equal to *templateHash*, the TPM shall return TPM_RC_VALUE.

NOTE 1 Revision 01.38 of this specification permitted the TPM to return TPM_RC_CPHASH.

Otherwise, if *policySession→cpHash* is already set, the TPM shall return TPM_RC_CPHASH.

NOTE 2 Revision 01.38 of this specification permitted the TPM to return TPM_RC_VALUE.

If the size of *templateHash* is not the size of *policySession→policyDigest*, the TPM shall return TPM_RC_SIZE. Otherwise, *policySession→cpHash* is set to *templateHash*.

NOTE 3 The digest calculation includes the TPM2B buffer but not the TPM2B size.

If this command completes successfully, the *cpHash* of the authorized command will not be used for validation. Only the digest of the *inPublic* parameter will be used.

NOTE 4 This allows the space normally used to hold *policySession→cpHash* to be used for *policySession→templateHash* instead.

The *policySession→policyDigest* will be updated with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyTemplate || templateHash) \quad (39)$$

23.21.2 Command and Response

Table 160 — TPM2_PolicyTemplate Command

| Type | Name | Description |
|---------------------|---------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyTemplate |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_DIGEST | templateHash | the digest to be added to the policy |

Table 161 — TPM2_PolicyTemplate Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.21.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PolicyTemplate_fp.h"
3  #if CC_PolicyTemplate // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_CPHASH | <i>cpHash</i> of <i>policySession</i> has previously been set to a different value |
| TPM_RC_SIZE | <i>templateHash</i> is not the size of a digest produced by the hash algorithm associated with <i>policySession</i> |

```

4  TPM_RC
5  TPM2_PolicyTemplate(
6      PolicyTemplate_In    *in           // IN: input parameter list
7  )
8  {
9      SESSION    *session;
10     TPM_CC      commandCode = TPM_CC_PolicyTemplate;
11     HASH_STATE  hashState;
12
13     // Input Validation
14
15     // Get pointer to the session structure
16     session = SessionGet(in->policySession);
17
18     // If the template is set, make sure that it is the same as the input value
19     if(session->attributes.isTemplateSet)
20     {
21         if(!MemoryEqual2B(&in->templateHash.b, &session->u1.cpHash.b))
22             return TPM_RCS_VALUE + RC_PolicyTemplate_templateHash;
23     }
24     // error if cpHash contains something that is not a template
25     else if(session->u1.templateHash.t.size != 0)
26         return TPM_RC_CPHASH;
27
28     // A valid templateHash must have the same size as session hash digest
29     if(in->templateHash.t.size != CryptHashGetDigestSize(session->authHashAlg))
30         return TPM_RCS_SIZE + RC_PolicyTemplate_templateHash;
31
32     // Internal Data Update
33     // Update policy hash
34     // policyDigestnew = hash(policyDigestold || TPM_CC_PolicyCpHash
35     // || cpHashA.buffer)
36     // Start hash
37     CryptHashStart(&hashState, session->authHashAlg);
38
39     // add old digest
40     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
41
42     // add commandCode
43     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
44
45     // add cpHashA
46     CryptDigestUpdate2B(&hashState, &in->templateHash.b);
47
48     // complete the digest and get the results
49     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
50
51     // update cpHash in session context
52     session->u1.templateHash = in->templateHash;
53     session->attributes.isTemplateSet = SET;

```

```
54
55     return TPM_RC_SUCCESS;
56 }
57 #endif // CC_PolicyTemplateHash
```


23.22 TPM2_PolicyAuthorizeNV

23.22.1 General Description

This command provides a capability that is the equivalent of a revocable policy. With TPM2_PolicyAuthorize(), the authorization ticket never expires, so the authorization may not be withdrawn. With this command, the approved policy is kept in an NV Index location so that the policy may be changed as needed to render the old policy unusable.

NOTE 1 This command is useful for Objects but of limited value for other policies that are persistently stored in TPM NV, such as the OwnerPolicy.

An authorization session providing authorization to read the NV Index shall be provided.

The authorization to read the NV Index must succeed even if *policySession* is a trial policy session.

If *policySession* is a trial policy session, the TPM will update *policySession*→*policyDigest* as shown in equation (40) below and return TPM_RC_SUCCESS. It will not perform any further validation. The remainder of this general description would apply only if *policySession* is not a trial policy session.

NOTE 2 If read access is controlled by policy, the policy should include a branch that authorizes a TPM2_PolicyAuthorizeNV().

If TPMA_NV_WRITTEN is not SET in the Index referenced by *nvIndex*, the TPM shall return TPM_RC_NV_UNINITIALIZED. If TPMA_NV_READLOCKED of the NV Index is SET, then the TPM shall return TPM_RC_NV_LOCKED.

The *dataSize* of the NV Index referenced by *nvIndex* is required to be at least large enough to hold a properly formatted TPMT_HA (TPM_RC_INSUFFICIENT).

NOTE 3 A TPMT_HA contains a TPM_ALG_ID followed a digest that is consistent in size with the hash algorithm indicated by the TPM_ALG_ID.

It is an error (TPM_RC_HASH) if the first two octets of the Index are not a TPM_ALG_ID for a hash algorithm implemented on the TPM or if the indicated hash algorithm does not match *policySession*→*authHash*.

NOTE 4 The TPM_ALG_ID is stored in the first two octets in big endian format.

The TPM will compare *policySession*→*policyDigest* to the contents of the NV Index, starting at the first octet after the TPM_ALG_ID (the third octet) and return TPM_RC_VALUE if they are not the same.

NOTE 5 If the Index does not contain enough bytes for the compare, then TPM_RC_INSUFFICIENT is generated as indicated above.

NOTE 6 The *dataSize* of the Index may be larger than is required for this command. This permits the Index to include metadata.

If the comparison is successful, the TPM will reset *policySession*→*policyDigest* to a Zero Digest. Then it will update *policySession*→*policyDigest* with

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_PolicyAuthorizeNV || nvIndex \rightarrow Name) \quad (40)$$

23.22.2 Command and Response

Table 162 — TPM2_PolicyAuthorizeNV Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PolicyAuthorizeNV |
| TPMI_RH_NV_AUTH | @authHandle | handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER |
| TPMI_RH_NV_INDEX | nvIndex | the NV Index of the area to read Auth Index: None |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |

Table 163 — TPM2_PolicyAuthorizeNV Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

23.22.3 Detailed Actions

```

1  #include "Tpm.h"
2  #if CC_PolicyAuthorizeNV // Conditional expansion of this file
3  #include "PolicyAuthorizeNV_fp.h"
4  #include "Policy_spt_fp.h"

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_HASH | hash algorithm in <i>keyName</i> is not supported or is not the same as the hash algorithm of the policy session |
| TPM_RC_SIZE | <i>keyName</i> is not the correct size for its hash algorithm |
| TPM_RC_VALUE | the current <i>policyDigest</i> of <i>policySession</i> does not match <i>approvedPolicy</i> ; or <i>checkTicket</i> doesn't match the provided values |

```

5  TPM_RC
6  TPM2_PolicyAuthorizeNV(
7      PolicyAuthorizeNV_In  *in
8  )
9  {
10     SESSION                *session;
11     TPM_RC                 result;
12     NV_REF                 locator;
13     NV_INDEX               *nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
14     TPM2B_NAME             name;
15     TPMT_HA                policyInNv;
16     BYTE                   nvTemp[sizeof(TPMT_HA)];
17     BYTE                   *buffer = nvTemp;
18     INT32                  size;
19
20     // Input Validation
21     // Get pointer to the session structure
22     session = SessionGet(in->policySession);
23
24     // Skip checks if this is a trial policy
25     if(!session->attributes.isTrialPolicy)
26     {
27         // Check the authorizations for reading
28         // Common read access checks. NvReadAccessChecks() returns
29         // TPM_RC_NV_AUTHORIZATION, TPM_RC_NV_LOCKED, or TPM_RC_NV_UNINITIALIZED
30         // error may be returned at this point
31         result = NvReadAccessChecks(in->authHandle, in->nvIndex,
32                                   nvIndex->publicArea.attributes);
33         if(result != TPM_RC_SUCCESS)
34             return result;
35
36         // Read the contents of the index into a temp buffer
37         size = MIN(nvIndex->publicArea.dataSize, sizeof(TPMT_HA));
38         NvGetIndexData(nvIndex, locator, 0, (UINT16)size, nvTemp);
39
40         // Unmarshal the contents of the buffer into the internal format of a
41         // TPMT_HA so that the hash and digest elements can be accessed from the
42         // structure rather than the byte array that is in the Index (written by
43         // user of the Index).
44         result = TPMT_HA_Unmarshal(&policyInNv, &buffer, &size, FALSE);
45         if(result != TPM_RC_SUCCESS)
46             return result;
47
48         // Verify that the hash is the same
49         if(policyInNv.hashAlg != session->authHashAlg)
50             return TPM_RC_HASH;

```

```
51
52     // See if the contents of the digest in the Index matches the value
53     // in the policy
54     if(!MemoryEqual(&policyInNv.digest, &session->u2.policyDigest.t.buffer,
55                    session->u2.policyDigest.t.size))
56         return TPM_RC_VALUE;
57     }
58
59 // Internal Data Update
60
61     // Set policyDigest to zero digest
62     PolicyDigestClear(session);
63
64     // Update policyDigest
65     PolicyContextUpdate(TPM_CC_PolicyAuthorizeNV, EntityGetName(in->nvIndex, &name),
66                        NULL, NULL, 0, session);
67
68     return TPM_RC_SUCCESS;
69 }
70 #endif // CC_PolicyAuthorize
```

24 Hierarchy Commands

24.1 TPM2_CreatePrimary

24.1.1 General Description

This command is used to create a Primary Object under one of the Primary Seeds or a Temporary Object under TPM_RH_NULL. The command uses a TPM2B_PUBLIC as a template for the object to be created. The size of the *unique* field shall not be checked for consistency with the other object parameters. The command will create and load a Primary Object. The sensitive area is not returned.

NOTE 1 Since the sensitive data is not returned, the key cannot be reloaded. It can either be made persistent or it can be recreated.

NOTE 2 For interoperability, the *unique* field should not be set to a value that is larger than allowed by object parameters, so that the unmarshaling will not fail.

NOTE 3 An Empty Buffer is a legal *unique* field value.

EXAMPLE 1 A TPM_ALG_RSA object with a *keyBits* of 2048 in the objects parameters should have a *unique* field that is no larger than 256 bytes.

EXAMPLE 2 A TPM_ALG_KEYEDHASH or a TPM_ALG_SYMCIPHER object should have a *unique* field this is no larger than the digest produced by the object's *nameAlg*.

Any type of object and attributes combination that is allowed by TPM2_Create() may be created by this command. The constraints on templates and parameters are the same as TPM2_Create() except that a Primary Storage Key and a Temporary Storage Key are not constrained to use the algorithms of their parents.

For setting of the attributes of the created object, *fixedParent*, *fixedTPM*, *decrypt*, and *restricted* are implied to be SET in the parent (a Permanent Handle). The remaining attributes are implied to be CLEAR.

The TPM will derive the object from the Primary Seed indicated in *primaryHandle* using an approved KDF. All of the bits of the template are used in the creation of the Primary Key. Methods for creating a Primary Object from a Primary Seed are described in TPM 2.0 Part 1 and implemented in TPM 2.0 Part 4.

If this command is called multiple times with the same *inPublic* parameter, *inSensitive.data*, and Primary Seed, the TPM shall produce the same Primary Object.

NOTE 4 If the Primary Seed is changed, the Primary Objects generated with the new seed shall be statistically unique even if the parameters of the call are the same.

This command requires authorization. Authorization for a Primary Object attached to the Platform Primary Seed (PPS) shall be provided by *platformAuth* or *platformPolicy*. Authorization for a Primary Object attached to the Storage Primary Seed (SPS) shall be provided by *ownerAuth* or *ownerPolicy*. Authorization for a Primary Key attached to the Endorsement Primary Seed (EPS) shall be provided by *endorsementAuth* or *endorsementPolicy*.

24.1.2 Command and Response

Table 164 — TPM2_CreatePrimary Command

| Type | Name | Description |
|------------------------|----------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_CreatePrimary |
| TPMI_RH_HIERARCHY+ | @primaryHandle | TPM_RH_ENDORSEMENT, TPM_RH_OWNER, TPM_RH_PLATFORM+{PP}, or TPM_RH_NULL Auth Index: 1 Auth Role: USER |
| TPM2B_SENSITIVE_CREATE | inSensitive | the sensitive data, see TPM 2.0 Part 1 Sensitive Values |
| TPM2B_PUBLIC | inPublic | the public template |
| TPM2B_DATA | outsideInfo | data that will be included in the creation data for this object to provide permanent, verifiable linkage between this object and some object owner data |
| TPML_PCR_SELECTION | creationPCR | PCR that will be used in creation data |

Table 165 — TPM2_CreatePrimary Response

| Type | Name | Description |
|---------------------|----------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM_HANDLE | objectHandle | handle of type TPM_HT_TRANSIENT for created Primary Object |
| TPM2B_PUBLIC | outPublic | the public portion of the created object |
| TPM2B_CREATION_DATA | creationData | contains a TPMT_CREATION_DATA |
| TPM2B_DIGEST | creationHash | digest of <i>creationData</i> using <i>nameAlg</i> of <i>outPublic</i> |
| TPMT_TK_CREATION | creationTicket | ticket used by TPM2_CertifyCreation() to validate that the creation data was produced by the TPM |
| TPM2B_NAME | name | the name of the created object |

24.1.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "CreatePrimary_fp.h"
3  #if CC_CreatePrimary // Conditional expansion of this file

```

| Error Returns | Meaning |
|----------------------|--|
| TPM_RC_ATTRIBUTES | <i>sensitiveDataOrigin</i> is CLEAR when sensitive.data is an Empty Buffer <i>fixedTPM</i> , <i>fixedParent</i> , or <i>encryptedDuplication</i> attributes are inconsistent between themselves or with those of the parent object; inconsistent <i>restricted</i> , <i>decrypt</i> and <i>sign</i> attributes attempt to inject sensitive data for an asymmetric key; |
| TPM_RC_KDF | incorrect KDF specified for decrypting keyed hash object |
| TPM_RC_KEY | a provided symmetric key value is not allowed |
| TPM_RC_OBJECT_MEMORY | there is no free slot for the object |
| TPM_RC_SCHEME | inconsistent attributes <i>decrypt</i> , <i>sign</i> , <i>restricted</i> and key's scheme ID; or hash algorithm is inconsistent with the scheme ID for keyed hash object |
| TPM_RC_SIZE | size of public authorization policy or sensitive authorization value does not match digest size of the name algorithm; or sensitive data size for the keyed hash object is larger than is allowed for the scheme |
| TPM_RC_SYMMETRIC | a storage key with no symmetric algorithm specified; or non-storage key with symmetric algorithm different from TPM_ALG_NULL |
| TPM_RC_TYPE | unknown object type |

```

4  TPM_RC
5  TPM2_CreatePrimary(
6      CreatePrimary_In  *in,           // IN: input parameter list
7      CreatePrimary_Out *out          // OUT: output parameter list
8  )
9  {
10     TPM_RC          result = TPM_RC_SUCCESS;
11     TPMT_PUBLIC     *publicArea;
12     DRBG_STATE      rand;
13     OBJECT          *newObject;
14     TPM2B_NAME      name;
15
16     // Input Validation
17     // Will need a place to put the result
18     newObject = FindEmptyObjectSlot(&out->objectHandle);
19     if(newObject == NULL)
20         return TPM_RC_OBJECT_MEMORY;
21     // Get the address of the public area in the new object
22     // (this is just to save typing)
23     publicArea = &newObject->publicArea;
24
25     *publicArea = in->inPublic.publicArea;
26
27     // Check attributes in input public area. CreateChecks() checks the things that
28     // are unique to creation and then validates the attributes and values that are
29     // common to create and load.
30     result = CreateChecks(NULL, publicArea,
31                          in->inSensitive.sensitive.data.t.size);
32     if(result != TPM_RC_SUCCESS)
33         return RcSafeAddToResult(result, RC_CreatePrimary_inPublic);
34     // Validate the sensitive area values

```

```

35     if(!AdjustAuthSize(&in->inSensitive.sensitive.userAuth,
36                       publicArea->nameAlg))
37         return TPM_RCS_SIZE + RC_CreatePrimary_inSensitive;
38 // Command output
39 // Compute the name using out->name as a scratch area (this is not the value
40 // that ultimately will be returned, then instantiate the state that will be
41 // used as a random number generator during the object creation.
42 // The caller does not know the seed values so the actual name does not have
43 // to be over the input, it can be over the unmarshaled structure.
44 result = DRBG_InstantiateSeeded(&rand,
45                               &HierarchyGetPrimarySeed(in->primaryHandle)->b,
46                               PRIMARY_OBJECT_CREATION,
47                               (TPM2B *)PublicMarshalAndComputeName(publicArea, &name),
48                               &in->inSensitive.sensitive.data.b);
49 if(result == TPM_RC_SUCCESS)
50 {
51     newObject->attributes.primary = SET;
52     if(in->primaryHandle == TPM_RH_ENDORSEMENT)
53         newObject->attributes.epsHierarchy = SET;
54
55     // Create the primary object.
56     result = CryptCreateObject(newObject, &in->inSensitive.sensitive,
57                               (RAND_STATE *)&rand);
58 }
59 if(result != TPM_RC_SUCCESS)
60     return result;
61
62 // Set the publicArea and name from the computed values
63 out->outPublic.publicArea = newObject->publicArea;
64 out->name = newObject->name;
65
66 // Fill in creation data
67 FillInCreationData(in->primaryHandle, publicArea->nameAlg,
68                  &in->creationPCR, &in->outsideInfo, &out->creationData,
69                  &out->creationHash);
70
71 // Compute creation ticket
72 TicketComputeCreation(EntityGetHierarchy(in->primaryHandle), &out->name,
73                      &out->creationHash, &out->creationTicket);
74
75 // Set the remaining attributes for a loaded object
76 ObjectSetLoadedAttributes(newObject, in->primaryHandle);
77 return result;
78 }
79 #endif // CC_CreatePrimary

```


24.2 TPM2_HierarchyControl

24.2.1 General Description

This command enables and disables use of a hierarchy and its associated NV storage. The command allows *phEnable*, *phEnableNV*, *shEnable*, and *ehEnable* to be changed when the proper authorization is provided.

This command may be used to CLEAR *phEnable* and *phEnableNV* if *platformAuth/platformPolicy* is provided. *phEnable* may not be SET using this command.

This command may be used to CLEAR *shEnable* if either *platformAuth/platformPolicy* or *ownerAuth/ownerPolicy* is provided. *shEnable* may be SET if *platformAuth/platformPolicy* is provided.

This command may be used to CLEAR *ehEnable* if either *platformAuth/platformPolicy* or *endorsementAuth/endorsementPolicy* is provided. *ehEnable* may be SET if *platformAuth/platformPolicy* is provided.

When this command is used to CLEAR *phEnable*, *shEnable*, or *ehEnable*, the TPM will disable use of any persistent entity associated with the disabled hierarchy and will flush any transient objects associated with the disabled hierarchy.

When this command is used to CLEAR *shEnable*, the TPM will disable access to any NV index that has TPMA_NV_PLATFORMCREATE CLEAR (indicating that the NV Index was defined using Owner Authorization). As long as *shEnable* is CLEAR, the TPM will return an error in response to any command that attempts to operate upon an NV index that has TPMA_NV_PLATFORMCREATE CLEAR.

When this command is used to CLEAR *phEnableNV*, the TPM will disable access to any NV index that has TPMA_NV_PLATFORMCREATE SET (indicating that the NV Index was defined using Platform Authorization). As long as *phEnableNV* is CLEAR, the TPM will return an error in response to any command that attempts to operate upon an NV index that has TPMA_NV_PLATFORMCREATE SET.

24.2.2 Command and Response

Table 166 — TPM2_HierarchyControl Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_HierarchyControl {NV E} |
| TPMI_RH_HIERARCHY | @authHandle | TPM_RH_ENDORSEMENT, TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER |
| TPMI_RH_ENABLES | enable | the enable being modified TPM_RH_ENDORSEMENT, TPM_RH_OWNER, TPM_RH_PLATFORM, or TPM_RH_PLATFORM_NV |
| TPMI_YES_NO | state | YES if the enable should be SET, NO if the enable should be CLEAR |

Table 167 — TPM2_HierarchyControl Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

24.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "HierarchyControl_fp.h"
3  #if CC_HierarchyControl // Conditional expansion of this file

```

| Error Returns | Meaning |
|------------------|--|
| TPM_RC_AUTH_TYPE | <i>authHandle</i> is not applicable to <i>hierarchy</i> in its current state |

```

4  TPM_RC
5  TPM2_HierarchyControl(
6      HierarchyControl_In    *in           // IN: input parameter list
7  )
8  {
9      BOOL          select = (in->state == YES);
10     BOOL          *selected = NULL;
11
12     // Input Validation
13     switch(in->enable)
14     {
15         // Platform hierarchy has to be disabled by PlatformAuth
16         // If the platform hierarchy has already been disabled, only a reboot
17         // can enable it again
18         case TPM_RH_PLATFORM:
19         case TPM_RH_PLATFORM_NV:
20             if(in->authHandle != TPM_RH_PLATFORM)
21                 return TPM_RC_AUTH_TYPE;
22             break;
23
24         // ShEnable may be disabled if PlatformAuth/PlatformPolicy or
25         // OwnerAuth/OwnerPolicy is provided. If ShEnable is disabled, then it
26         // may only be enabled if PlatformAuth/PlatformPolicy is provided.
27         case TPM_RH_OWNER:
28             if(in->authHandle != TPM_RH_PLATFORM
29                && in->authHandle != TPM_RH_OWNER)
30                 return TPM_RC_AUTH_TYPE;
31             if(gc.shEnable == FALSE && in->state == YES
32                && in->authHandle != TPM_RH_PLATFORM)
33                 return TPM_RC_AUTH_TYPE;
34             break;
35
36         // EhEnable may be disabled if either PlatformAuth/PlatformPolicy or
37         // EndorsementAuth/EndorsementPolicy is provided. If EhEnable is disabled,
38         // then it may only be enabled if PlatformAuth/PlatformPolicy is
39         // provided.
40         case TPM_RH_ENDORSEMENT:
41             if(in->authHandle != TPM_RH_PLATFORM
42                && in->authHandle != TPM_RH_ENDORSEMENT)
43                 return TPM_RC_AUTH_TYPE;
44             if(gc.ehEnable == FALSE && in->state == YES
45                && in->authHandle != TPM_RH_PLATFORM)
46                 return TPM_RC_AUTH_TYPE;
47             break;
48         default:
49             FAIL(FATAL_ERROR_INTERNAL);
50             break;
51     }
52
53     // Internal Data Update
54
55     // Enable or disable the selected hierarchy
56     // Note: the authorization processing for this command may keep these

```

```
57 // command actions from being executed. For example, if phEnable is
58 // CLEAR, then platformAuth cannot be used for authorization. This
59 // means that would not be possible to use platformAuth to change the
60 // state of phEnable from CLEAR to SET.
61 // If it is decided that platformPolicy can still be used when phEnable
62 // is CLEAR, then this code could SET phEnable when proper platform
63 // policy is provided.
64 switch(in->enable)
65 {
66     case TPM_RH_OWNER:
67         selected = &gc.shEnable;
68         break;
69     case TPM_RH_ENDORSEMENT:
70         selected = &gc.ehEnable;
71         break;
72     case TPM_RH_PLATFORM:
73         selected = &g_phEnable;
74         break;
75     case TPM_RH_PLATFORM_NV:
76         selected = &gc.phEnableNV;
77         break;
78     default:
79         FAIL(FATAL_ERROR_INTERNAL);
80         break;
81 }
82 if(selected != NULL && *selected != select)
83 {
84     // Before changing the internal state, make sure that NV is available.
85     // Only need to update NV if changing the orderly state
86     RETURN_IF_ORDERLY;
87
88     // state is changing and NV is available so modify
89     *selected = select;
90     // If a hierarchy was just disabled, flush it
91     if(select == CLEAR && in->enable != TPM_RH_PLATFORM_NV)
92         // Flush hierarchy
93         ObjectFlushHierarchy(in->enable);
94
95     // orderly state should be cleared because of the update to state clear data
96     // This gets processed in ExecuteCommand() on the way out.
97     g_clearOrderly = TRUE;
98 }
99 return TPM_RC_SUCCESS;
100 }
101 #endif // CC_HierarchyControl
```

24.3 TPM2_SetPrimaryPolicy

24.3.1 General Description

This command allows setting of the authorization policy for the lockout (*lockoutPolicy*), the platform hierarchy (*platformPolicy*), the storage hierarchy (*ownerPolicy*), and the endorsement hierarchy (*endorsementPolicy*). On TPMs implementing Authenticated Countdown Timers (ACT), this command may also be used to set the authorization policy for an ACT.

The command requires an authorization session. The session shall use the current *authValue* or satisfy the current *authPolicy* for the referenced hierarchy, or the ACT.

The policy that is changed is the policy associated with *authHandle*.

If the enable associated with *authHandle* is not SET, then the associated authorization values (*authValue* or *authPolicy*) may not be used, and the TPM returns TPM_RC_HIERARCHY.

When *hashAlg* is not TPM_ALG_NULL, if the size of *authPolicy* is not consistent with the hash algorithm, the TPM returns TPM_RC_SIZE.

24.3.2 Command and Response

Table 168 — TPM2_SetPrimaryPolicy Command

| Type | Name | Description |
|------------------------------------|----------------------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC TPMI_RH_HIERARCHY_POLICY | commandCode @authHandle | TPM_CC_SetPrimaryPolicy {NV} TPM_RH_LOCKOUT, TPM_RH_ENDORSEMENT, TPM_RH_OWNER, TPML_RH_ACT or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER |
| TPM2B_DIGEST | authPolicy | an authorization policy digest; may be the Empty Buffer If <i>hashAlg</i> is TPM_ALG_NULL, then this shall be an Empty Buffer. |
| TPMI_ALG_HASH+ | hashAlg | the hash algorithm to use for the policy If the <i>authPolicy</i> is an Empty Buffer, then this field shall be TPM_ALG_NULL. |

Table 169 — TPM2_SetPrimaryPolicy Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

24.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "SetPrimaryPolicy_fp.h"
3  #if CC_SetPrimaryPolicy // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_SIZE | size of input <i>authPolicy</i> is not consistent with input hash algorithm |

```

4  TPM_RC
5  TPM2_SetPrimaryPolicy(
6      SetPrimaryPolicy_In    *in           // IN: input parameter list
7  )
8  {
9  // Input Validation
10
11     // Check the authPolicy consistent with hash algorithm. If the policy size is
12     // zero, then the algorithm is required to be TPM_ALG_NULL
13     if(in->authPolicy.t.size != CryptHashGetDigestSize(in->hashAlg))
14         return TPM_RCS_SIZE + RC_SetPrimaryPolicy_authPolicy;
15
16     // The command need NV update for OWNER and ENDORSEMENT hierarchy, and
17     // might need orderlyState update for PLATFORM hierarchy.
18     // Check if NV is available. A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE
19     // error may be returned at this point
20     RETURN_IF_NV_IS_NOT_AVAILABLE;
21
22 // Internal Data Update
23
24 // Set hierarchy policy
25 switch(in->authHandle)
26 {
27     case TPM_RH_OWNER:
28         gp.ownerAlg = in->hashAlg;
29         gp.ownerPolicy = in->authPolicy;
30         NV_SYNC_PERSISTENT(ownerAlg);
31         NV_SYNC_PERSISTENT(ownerPolicy);
32         break;
33     case TPM_RH_ENDORSEMENT:
34         gp.endorsementAlg = in->hashAlg;
35         gp.endorsementPolicy = in->authPolicy;
36         NV_SYNC_PERSISTENT(endorsementAlg);
37         NV_SYNC_PERSISTENT(endorsementPolicy);
38         break;
39     case TPM_RH_PLATFORM:
40         gc.platformAlg = in->hashAlg;
41         gc.platformPolicy = in->authPolicy;
42         // need to update orderly state
43         g_clearOrderly = TRUE;
44         break;
45     case TPM_RH_LOCKOUT:
46         gp.lockoutAlg = in->hashAlg;
47         gp.lockoutPolicy = in->authPolicy;
48         NV_SYNC_PERSISTENT(lockoutAlg);
49         NV_SYNC_PERSISTENT(lockoutPolicy);
50         break;
51
52 #define SET_ACT_POLICY(N)
53     case TPM_RH_ACT_##N:
54         go.ACT_##N.hashAlg = in->hashAlg;
55         go.ACT_##N.authPolicy = in->authPolicy;
56         g_clearOrderly = TRUE;

```

```
57         break;
58
59         FOR_EACH_ACT (SET_ACT_POLICY)
60
61         default:
62             FAIL (FATAL_ERROR_INTERNAL) ;
63             break;
64     }
65
66     return TPM_RC_SUCCESS;
67 }
68 #endif // CC_SetPrimaryPolicy
```


24.4 TPM2_ChangePPS

24.4.1 General Description

This replaces the current platform primary seed (PPS) with a value from the RNG and sets *platformPolicy* to the default initialization value (the Empty Buffer).

NOTE 1 A policy that is the Empty Buffer can match no policy.

NOTE 2 Platform Authorization is not changed.

All resident transient and persistent objects in the Platform hierarchy are flushed.

Saved contexts in the Platform hierarchy that were created under the old PPS will no longer be able to be loaded.

The policy hash algorithm for PCR is reset to TPM_ALG_NULL.

This command does not clear any NV Index values.

NOTE 3 Index values belonging to the Platform are preserved because the indexes may have configuration information that will be the same after the PPS changes. The Platform may remove the indexes that are no longer needed using TPM2_NV_UndefineSpace().

This command requires Platform Authorization.

24.4.2 Command and Response

Table 170 — TPM2_ChangePPS Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ChangePPS {NV E} |
| TPMI_RH_PLATFORM | @authHandle | TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER |

Table 171 — TPM2_ChangePPS Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

24.4.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ChangePPS_fp.h"
3  #if CC_ChangePPS // Conditional expansion of this file
4  TPM_RC
5  TPM2_ChangePPS(
6      ChangePPS_In *in // IN: input parameter list
7  )
8  {
9      UINT32 i;
10
11     // Check if NV is available. A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE
12     // error may be returned at this point
13     RETURN_IF_NV_IS_NOT_AVAILABLE;
14
15     // Input parameter is not reference in command action
16     NOT_REFERENCED(in);
17
18     // Internal Data Update
19
20     // Reset platform hierarchy seed from RNG
21     CryptRandomGenerate(sizeof(gp.PPSeed.t.buffer), gp.PPSeed.t.buffer);
22
23     // Create a new phProof value from RNG to prevent the saved platform
24     // hierarchy contexts being loaded
25     CryptRandomGenerate(sizeof(gp.phProof.t.buffer), gp.phProof.t.buffer);
26
27     // Set platform authPolicy to null
28     gc.platformAlg = TPM_ALG_NULL;
29     gc.platformPolicy.t.size = 0;
30
31     // Flush loaded object in platform hierarchy
32     ObjectFlushHierarchy(TPM_RH_PLATFORM);
33
34     // Flush platform evict object and index in NV
35     NvFlushHierarchy(TPM_RH_PLATFORM);
36
37     // Save hierarchy changes to NV
38     NV_SYNC_PERSISTENT(PPSeed);
39     NV_SYNC_PERSISTENT(phProof);
40
41     // Re-initialize PCR policies
42     #if defined NUM_POLICY_PCR_GROUP && NUM_POLICY_PCR_GROUP > 0
43     for(i = 0; i < NUM_POLICY_PCR_GROUP; i++)
44     {
45         gp.pcrPolicies.hashAlg[i] = TPM_ALG_NULL;
46         gp.pcrPolicies.policy[i].t.size = 0;
47     }
48     NV_SYNC_PERSISTENT(pcrPolicies);
49     #endif
50
51     // orderly state should be cleared because of the update to state clear data
52     g_clearOrderly = TRUE;
53
54     return TPM_RC_SUCCESS;
55 }
56 #endif // CC_ChangePPS

```

24.5 TPM2_ChangeEPS

24.5.1 General Description

This replaces the current endorsement primary seed (EPS) with a value from the RNG and sets the Endorsement hierarchy controls to their default initialization values: *ehEnable* is SET, *endorsementAuth* and *endorsementPolicy* are both set to the Empty Buffer. It will flush any resident objects (transient or persistent) in the Endorsement hierarchy and not allow objects in the hierarchy associated with the previous EPS to be loaded.

NOTE In the reference implementation, *ehProof* is a non-volatile value from the RNG. It is allowed that the *ehProof* be generated by a KDF using both the EPS and SPS as inputs. If generated with a KDF, the *ehProof* can be generated on an as-needed basis or made a non-volatile value.

This command requires Platform Authorization.

24.5.2 Command and Response

Table 172 — TPM2_ChangeEPS Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ChangeEPS {NV E} |
| TPMI_RH_PLATFORM | @authHandle | TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER |

Table 173 — TPM2_ChangeEPS Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

24.5.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ChangeEPS_fp.h"
3  #if CC_ChangeEPS // Conditional expansion of this file
4  TPM_RC
5  TPM2_ChangeEPS(
6      ChangeEPS_In *in // IN: input parameter list
7  )
8  {
9      // The command needs NV update. Check if NV is available.
10     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
11     // this point
12     RETURN_IF_NV_IS_NOT_AVAILABLE;
13
14     // Input parameter is not reference in command action
15     NOT_REFERENCED(in);
16
17     // Internal Data Update
18
19     // Reset endorsement hierarchy seed from RNG
20     CryptRandomGenerate(sizeof(gp.EPSeed.t.buffer), gp.EPSeed.t.buffer);
21
22     // Create new ehProof value from RNG
23     CryptRandomGenerate(sizeof(gp.ehProof.t.buffer), gp.ehProof.t.buffer);
24
25     // Enable endorsement hierarchy
26     gc.ehEnable = TRUE;
27
28     // set authValue buffer to zeros
29     MemorySet(gp.endorsementAuth.t.buffer, 0, gp.endorsementAuth.t.size);
30     // Set endorsement authValue to null
31     gp.endorsementAuth.t.size = 0;
32
33     // Set endorsement authPolicy to null
34     gp.endorsementAlg = TPM_ALG_NULL;
35     gp.endorsementPolicy.t.size = 0;
36
37     // Flush loaded object in endorsement hierarchy
38     ObjectFlushHierarchy(TPM_RH_ENDORSEMENT);
39
40     // Flush evict object of endorsement hierarchy stored in NV
41     NvFlushHierarchy(TPM_RH_ENDORSEMENT);
42
43     // Save hierarchy changes to NV
44     NV_SYNC_PERSISTENT(EPSeed);
45     NV_SYNC_PERSISTENT(ehProof);
46     NV_SYNC_PERSISTENT(endorsementAuth);
47     NV_SYNC_PERSISTENT(endorsementAlg);
48     NV_SYNC_PERSISTENT(endorsementPolicy);
49
50     // orderly state should be cleared because of the update to state clear data
51     g_clearOrderly = TRUE;
52
53     return TPM_RC_SUCCESS;
54 }
55 #endif // CC_ChangeEPS

```

24.6 TPM2_Clear

24.6.1 General Description

This command removes all TPM context associated with a specific Owner.

The clear operation will:

- flush resident objects (persistent and volatile) in the Storage and Endorsement hierarchies;
- delete any NV Index with TPMA_NV_PLATFORMCREATE == CLEAR;
- change the storage primary seed (SPS) to a new value from the TPM's random number generator (RNG),
- change *shProof* and *ehProof*,

NOTE 1 The proof values may be set from the RNG or derived from the associated new Primary Seed. If derived from the Primary Seeds, the derivation of *ehProof* shall use both the SPS and EPS. The computation shall use the SPS as an HMAC key and the derived value may then be a parameter in a second HMAC in which the EPS is the HMAC key. The reference design uses values from the RNG.

- SET *shEnable* and *ehEnable*;
- set *ownerAuth*, *endorsementAuth*, and *lockoutAuth* to the Empty Buffer;
- set *ownerPolicy*, *endorsementPolicy*, and *lockoutPolicy* to the Empty Buffer;
- set *Clock* to zero;
- set *resetCount* to zero;
- set *restartCount* to zero; and
- set *Safe* to YES.
- increment *pcrUpdateCounter*

NOTE 2 This permits an application to create a policy session that is invalidated on TPM2_Clear. The policy needs, ideally as the first term, TPM2_PolicyPCR(). The session is invalidated even if the PCR selection is empty.

This command requires Platform Authorization or Lockout Authorization. If TPM2_ClearControl() has disabled this command, the TPM shall return TPM_RC_DISABLED.

If this command is authorized using *lockoutAuth*, the HMAC in the response shall use the new *lockoutAuth* value (that is, the Empty Buffer) when computing the response HMAC.

24.6.2 Command and Response

Table 174 — TPM2_Clear Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Clear {NV E} |
| TPMI_RH_CLEAR | @authHandle | TPM_RH_LOCKOUT or TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER |

Table 175 — TPM2_Clear Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

24.6.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Clear_fp.h"
3  #if CC_Clear // Conditional expansion of this file

```

| Error Returns | Meaning |
|-----------------|---------------------------------|
| TPM_RC_DISABLED | Clear command has been disabled |

```

4  TPM_RC
5  TPM2_Clear(
6      Clear_In      *in          // IN: input parameter list
7  )
8  {
9      // Input parameter is not reference in command action
10     NOT_REFERENCED(in);
11
12     // The command needs NV update. Check if NV is available.
13     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
14     // this point
15     RETURN_IF_NV_IS_NOT_AVAILABLE;
16
17     // Input Validation
18
19     // If Clear command is disabled, return an error
20     if(gp.disableClear)
21         return TPM_RC_DISABLED;
22
23     // Internal Data Update
24
25     // Reset storage hierarchy seed from RNG
26     CryptRandomGenerate(sizeof(gp.SPSeed.t.buffer), gp.SPSeed.t.buffer);
27
28     // Create new shProof and ehProof value from RNG
29     CryptRandomGenerate(sizeof(gp.shProof.t.buffer), gp.shProof.t.buffer);
30     CryptRandomGenerate(sizeof(gp.ehProof.t.buffer), gp.ehProof.t.buffer);
31
32     // Enable storage and endorsement hierarchy
33     gc.shEnable = gc.ehEnable = TRUE;
34
35     // set the authValue buffers to zero
36     MemorySet(&gp.ownerAuth, 0, sizeof(gp.ownerAuth));
37     MemorySet(&gp.endorsementAuth, 0, sizeof(gp.endorsementAuth));
38     MemorySet(&gp.lockoutAuth, 0, sizeof(gp.lockoutAuth));
39
40     // Set storage, endorsement, and lockout authPolicy to null
41     gp.ownerAlg = gp.endorsementAlg = gp.lockoutAlg = TPM_ALG_NULL;
42     MemorySet(&gp.ownerPolicy, 0, sizeof(gp.ownerPolicy));
43     MemorySet(&gp.endorsementPolicy, 0, sizeof(gp.endorsementPolicy));
44     MemorySet(&gp.lockoutPolicy, 0, sizeof(gp.lockoutPolicy));
45
46     // Flush loaded object in storage and endorsement hierarchy
47     ObjectFlushHierarchy(TPM_RH_OWNER);
48     ObjectFlushHierarchy(TPM_RH_ENDORSEMENT);
49
50     // Flush owner and endorsement object and owner index in NV
51     NvFlushHierarchy(TPM_RH_OWNER);
52     NvFlushHierarchy(TPM_RH_ENDORSEMENT);
53
54     // Initialize dictionary attack parameters
55     DAPreInstall_Init();
56

```

```
57     // Reset clock
58     go.clock = 0;
59     go.clockSafe = YES;
60     NvWrite(NV_ORDERLY_DATA, sizeof(ORDERLY_DATA), &go);
61
62     // Reset counters
63     gp.resetCount = gr.restartCount = gr.clearCount = 0;
64     gp.auditCounter = 0;
65
66     // Save persistent data changes to NV
67     // Note: since there are so many changes to the persistent data structure, the
68     // entire PERSISTENT_DATA structure is written as a unit
69     NvWrite(NV_PERSISTENT_DATA, sizeof(PERSISTENT_DATA), &gp);
70
71     // Reset the PCR authValues (this does not change the PCRs)
72     PCR_ClearAuth();
73
74     // Bump the PCR counter
75     PCRChanged(0);
76
77     // orderly state should be cleared because of the update to state clear data
78     g_clearOrderly = TRUE;
79
80     return TPM_RC_SUCCESS;
81 }
82 #endif // CC_Clear
```

24.7 TPM2_ClearControl

24.7.1 General Description

TPM2_ClearControl() disables and enables the execution of TPM2_Clear().

The TPM will SET the TPM's TPMA_PERMANENT.*disableClear* attribute if *disable* is YES and will CLEAR the attribute if *disable* is NO. When the attribute is SET, TPM2_Clear() may not be executed.

NOTE This is to simplify the logic of TPM2_Clear(). TPM2_ClearControl() can be called using Platform Authorization to CLEAR the *disableClear* attribute and then execute TPM2_Clear().

Lockout Authorization may be used to SET *disableClear* but not to CLEAR it.

Platform Authorization may be used to SET or CLEAR *disableClear*.

24.7.2 Command and Response

Table 176 — TPM2_ClearControl Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ClearControl {NV} |
| TPMI_RH_CLEAR | @auth | TPM_RH_LOCKOUT or TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER |
| TPMI_YES_NO | disable | YES if the <i>disableOwnerClear</i> flag is to be SET, NO if the flag is to be CLEAR. |

Table 177 — TPM2_ClearControl Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

24.7.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ClearControl_fp.h"
3  #if CC_ClearControl // Conditional expansion of this file

```

| Error Returns | Meaning |
|------------------|-------------------------------------|
| TPM_RC_AUTH_FAIL | authorization is not properly given |

```

4  TPM_RC
5  TPM2_ClearControl(
6      ClearControl_In    *in           // IN: input parameter list
7  )
8  {
9      // The command needs NV update.
10     RETURN_IF_NV_IS_NOT_AVAILABLE;
11
12     // Input Validation
13
14     // LockoutAuth may be used to set disableLockoutClear to TRUE but not to FALSE
15     if(in->auth == TPM_RH_LOCKOUT && in->disable == NO)
16         return TPM_RC_AUTH_FAIL;
17
18     // Internal Data Update
19
20     if(in->disable == YES)
21         gp.disableClear = TRUE;
22     else
23         gp.disableClear = FALSE;
24
25     // Record the change to NV
26     NV_SYNC_PERSISTENT(disableClear);
27
28     return TPM_RC_SUCCESS;
29 }
30 #endif // CC_ClearControl

```

24.8 TPM2_HierarchyChangeAuth

24.8.1 General Description

This command allows the authorization secret for a hierarchy or lockout to be changed using the current authorization value as the command authorization.

If *authHandle* is TPM_RH_PLATFORM, then *platformAuth* is changed. If *authHandle* is TPM_RH_OWNER, then *ownerAuth* is changed. If *authHandle* is TPM_RH_ENDORSEMENT, then *endorsementAuth* is changed. If *authHandle* is TPM_RH_LOCKOUT, then *lockoutAuth* is changed. The HMAC in the response shall use the new authorization value when computing the response HMAC.

If *authHandle* is TPM_RH_PLATFORM, then Physical Presence may need to be asserted for this command to succeed (see 26.2, *TPM2_PP_Commands*).

The authorization value may be no larger than the digest produced by the hash algorithm used for context integrity.

EXAMPLE If SHA384 is used in the computation of the integrity values for saved contexts, then the largest authorization value is 48 octets.

24.8.2 Command and Response

Table 178 — TPM2_HierarchyChangeAuth Command

| Type | Name | Description |
|------------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_HierarchyChangeAuth {NV} |
| TPMI_RH_HIERARCHY_AUTH | @authHandle | TPM_RH_LOCKOUT, TPM_RH_ENDORSEMENT, TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER |
| TPM2B_AUTH | newAuth | new authorization value |

Table 179 — TPM2_HierarchyChangeAuth Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

24.8.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "HierarchyChangeAuth_fp.h"
3  #if CC_HierarchyChangeAuth // Conditional expansion of this file
4  #include "Object_spt_fp.h"

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_SIZE | <i>newAuth</i> size is greater than that of integrity hash digest |

```

5  TPM_RC
6  TPM2_HierarchyChangeAuth(
7      HierarchyChangeAuth_In *in // IN: input parameter list
8  )
9  {
10     // The command needs NV update.
11     RETURN_IF_NV_IS_NOT_AVAILABLE;
12
13     // Make sure that the authorization value is a reasonable size (not larger than
14     // the size of the digest produced by the integrity hash. The integrity
15     // hash is assumed to produce the longest digest of any hash implemented
16     // on the TPM. This will also remove trailing zeros from the authValue.
17     if (MemoryRemoveTrailingZeros(&in->newAuth) > CONTEXT_INTEGRITY_HASH_SIZE)
18         return TPM_RC_SIZE + RC_HierarchyChangeAuth_newAuth;
19
20     // Set hierarchy authValue
21     switch(in->authHandle)
22     {
23         case TPM_RH_OWNER:
24             gp.ownerAuth = in->newAuth;
25             NV_SYNC_PERSISTENT(ownerAuth);
26             break;
27         case TPM_RH_ENDORSEMENT:
28             gp.endorsementAuth = in->newAuth;
29             NV_SYNC_PERSISTENT(endorsementAuth);
30             break;
31         case TPM_RH_PLATFORM:
32             gc.platformAuth = in->newAuth;
33             // orderly state should be cleared
34             g_clearOrderly = TRUE;
35             break;
36         case TPM_RH_LOCKOUT:
37             gp.lockoutAuth = in->newAuth;
38             NV_SYNC_PERSISTENT(lockoutAuth);
39             break;
40         default:
41             FAIL(FATAL_ERROR_INTERNAL);
42             break;
43     }
44
45     return TPM_RC_SUCCESS;
46 }
47 #endif // CC_HierarchyChangeAuth

```


25 Dictionary Attack Functions

25.1 Introduction

A TPM is required to have support for logic that will help prevent a dictionary attack on an authorization value. The protection is provided by a counter that increments when a password authorization or an HMAC authorization fails. When the counter reaches a predefined value, the TPM will not accept, for some time interval, further requests that require authorization and the TPM is in Lockout mode. While the TPM is in Lockout mode, the TPM will return `TPM_RC_LOCKOUT` if the command requires use of an object's or Index's *authValue* unless the authorization applies to an entry in the Platform hierarchy.

NOTE 1 Authorizations for objects and NV Index values in the Platform hierarchy are never locked out. However, a command that requires multiple authorizations will not be accepted when the TPM is in Lockout mode unless all of the authorizations reference objects and indexes in the Platform hierarchy.

If the TPM is continuously powered for the duration of *newRecoveryTime* and no authorization failures occur, the authorization failure counter will be decremented by one. This property is called "self-healing." Self-healing shall not cause the count of failed attempts to decrement below zero.

The count of failed attempts, the lockout interval, and self-healing interval are settable using `TPM2_DictionaryAttackParameters()`. The lockout parameters and the current value of the lockout counter can be read with `TPM2_GetCapability()`.

Dictionary attack protection does not apply to an entity associated with a permanent handle (handle type == `TPM_HT_PERMANENT`) other than `TPM_RH_LOCKOUT`

25.2 TPM2_DictionaryAttackLockReset

25.2.1 General Description

This command cancels the effect of a TPM lockout due to a number of successive authorization failures. If this command is properly authorized, the lockout counter is set to zero.

Only one *lockoutAuth* authorization failure is allowed for this command during a *lockoutRecovery* interval (set using `TPM2_DictionaryAttackParameters()`).

25.2.2 Command and Response

Table 180 — TPM2_DictionaryAttackLockReset Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_DictionaryAttackLockReset {NV} |
| TPMI_RH_LOCKOUT | @lockHandle | TPM_RH_LOCKOUT Auth Index: 1 Auth Role: USER |

Table 181 — TPM2_DictionaryAttackLockReset Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

25.2.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "DictionaryAttackLockReset_fp.h"
3  #if CC_DictionaryAttackLockReset // Conditional expansion of this file
4  TPM_RC
5  TPM2_DictionaryAttackLockReset(
6      DictionaryAttackLockReset_In *in // IN: input parameter list
7  )
8  {
9      // Input parameter is not reference in command action
10     NOT_REFERENCED(in);
11
12     // The command needs NV update.
13     RETURN_IF_NV_IS_NOT_AVAILABLE;
14
15     // Internal Data Update
16
17     // Set failed tries to 0
18     gp.failedTries = 0;
19
20     // Record the changes to NV
21     NV_SYNC_PERSISTENT(failedTries);
22
23     return TPM_RC_SUCCESS;
24 }
25 #endif // CC_DictionaryAttackLockReset
```

25.3 TPM2_DictionaryAttackParameters

25.3.1 General Description

This command changes the lockout parameters.

The command requires Lockout Authorization.

The timeout parameters (*newRecoveryTime* and *lockoutRecovery*) indicate values that are measured with respect to the *Time* and not *Clock*.

NOTE Use of *Time* means that the TPM shall be continuously powered for the duration of a timeout.

If *newRecoveryTime* is zero, then DA protection is disabled. Authorizations are checked but authorization failures will not cause the TPM to enter lockout.

If *newMaxTries* is zero, the TPM will be in lockout and use of DA protected entities will be disabled.

If *lockoutRecovery* is zero, then the recovery interval is `_TPM_Init` followed by `TPM2_Startup()`.

Only one *lockoutAuth* authorization failure is allowed for this command during a *lockoutRecovery* interval.

25.3.2 Command and Response

Table 182 — TPM2_DictionaryAttackParameters Command

| Type | Name | Description |
|---------------------|-----------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_DictionaryAttackParameters {NV} |
| TPMI_RH_LOCKOUT | @lockHandle | TPM_RH_LOCKOUT Auth Index: 1 Auth Role: USER |
| UINT32 | newMaxTries | count of authorization failures before the lockout is imposed |
| UINT32 | newRecoveryTime | time in seconds before the authorization failure count is automatically decremented A value of zero indicates that DA protection is disabled. |
| UINT32 | lockoutRecovery | time in seconds after a <i>lockoutAuth</i> failure before use of <i>lockoutAuth</i> is allowed A value of zero indicates that a reboot is required. |

Table 183 — TPM2_DictionaryAttackParameters Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

25.3.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "DictionaryAttackParameters_fp.h"
3  #if CC_DictionaryAttackParameters // Conditional expansion of this file
4  TPM_RC
5  TPM2_DictionaryAttackParameters(
6      DictionaryAttackParameters_In *in // IN: input parameter list
7  )
8  {
9      // The command needs NV update.
10     RETURN_IF_NV_IS_NOT_AVAILABLE;
11
12     // Internal Data Update
13
14     // Set dictionary attack parameters
15     gp.maxTries = in->newMaxTries;
16     gp.recoveryTime = in->newRecoveryTime;
17     gp.lockoutRecovery = in->lockoutRecovery;
18
19     #if 0 // Errata eliminates this code
20         // This functionality has been disabled. The preferred implementation is now
21         // to leave failedTries unchanged when the parameters are changed. This could
22         // have the effect of putting the TPM into DA lockout if in->newMaxTries is
23         // not greater than the current value of gp.failedTries.
24         // Set failed tries to 0
25         gp.failedTries = 0;
26     #endif
27
28     // Record the changes to NV
29     NV_SYNC_PERSISTENT(failedTries);
30     NV_SYNC_PERSISTENT(maxTries);
31     NV_SYNC_PERSISTENT(recoveryTime);
32     NV_SYNC_PERSISTENT(lockoutRecovery);
33
34     return TPM_RC_SUCCESS;
35 }
36 #endif // CC_DictionaryAttackParameters
```

26 Miscellaneous Management Functions

26.1 Introduction

This clause contains commands that do not logically group with any other commands.

26.2 TPM2_PP_Commands

26.2.1 General Description

This command is used to determine which commands require assertion of Physical Presence (PP) in addition to *platformAuth/platformPolicy*.

This command requires that *auth* is TPM_RH_PLATFORM and that Physical Presence be asserted.

After this command executes successfully, the commands listed in *setList* will be added to the list of commands that require that Physical Presence be asserted when the handle associated with the authorization is TPM_RH_PLATFORM. The commands in *clearList* will no longer require assertion of Physical Presence in order to authorize a command.

If a command is not in either list, its state is not changed. If a command is in both lists, then it will no longer require Physical Presence (for example, *setList* is processed first).

Only commands with handle types of TPML_RH_PLATFORM, TPML_RH_PROVISION, TPML_RH_CLEAR, or TPML_RH_HIERARCHY can be gated with Physical Presence. If any other command is in either list, it is discarded.

When a command requires that Physical Presence be provided, then Physical Presence shall be asserted for either an HMAC or a Policy authorization.

NOTE 1 Physical Presence may be made a requirement of any policy.

NOTE 2 If the TPM does not implement this command, the command list is vendor specific. A platform-specific specification may require that the command list be initialized in a specific way.

TPM2_PP_Commands() always requires assertion of Physical Presence.

26.2.2 Command and Response

Table 184 — TPM2_PP_Commands Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_PP_Commands {NV} |
| TPMI_RH_PLATFORM | @auth | TPM_RH_PLATFORM+PP Auth Index: 1 Auth Role: USER + Physical Presence |
| TPML_CC | setList | list of commands to be added to those that will require that Physical Presence be asserted |
| TPML_CC | clearList | list of commands that will no longer require that Physical Presence be asserted |

Table 185 — TPM2_PP_Commands Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

26.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "PP_Commands_fp.h"
3  #if CC_PP_Commands // Conditional expansion of this file
4  TPM_RC
5  TPM2_PP_Commands(
6      PP_Commands_In *in // IN: input parameter list
7  )
8  {
9      UINT32 i;
10
11     // The command needs NV update. Check if NV is available.
12     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
13     // this point
14     RETURN_IF_NV_IS_NOT_AVAILABLE;
15
16     // Internal Data Update
17
18     // Process set list
19     for(i = 0; i < in->setList.count; i++)
20         // If command is implemented, set it as PP required. If the input
21         // command is not a PP command, it will be ignored at
22         // PhysicalPresenceCommandSet().
23         // Note: PhysicalPresenceCommandSet() checks if the command is implemented.
24         PhysicalPresenceCommandSet(in->setList.commandCodes[i]);
25
26     // Process clear list
27     for(i = 0; i < in->clearList.count; i++)
28         // If command is implemented, clear it as PP required. If the input
29         // command is not a PP command, it will be ignored at
30         // PhysicalPresenceCommandClear(). If the input command is
31         // TPM2_PP_Commands, it will be ignored as well
32         PhysicalPresenceCommandClear(in->clearList.commandCodes[i]);
33
34     // Save the change of PP list
35     NV_SYNC_PERSISTENT(ppList);
36
37     return TPM_RC_SUCCESS;
38 }
39 #endif // CC_PP_Commands

```

26.3 TPM2_SetAlgorithmSet

26.3.1 General Description

This command allows the platform to change the set of algorithms that are used by the TPM. The *algorithmSet* setting is a vendor-dependent value.

If the changing of the algorithm set results in a change of the algorithms of PCR banks, then the TPM will need to be reset (`_TPM_Init` and `TPM2_Startup(TPM_SU_CLEAR)`) before the new PCR settings take effect. After this command executes successfully, if *startupType* in the next `TPM2_Startup()` is not `TPM_SU_CLEAR`, the TPM shall return `TPM_RC_VALUE` and may enter Failure mode.

Other than PCR, when an algorithm is no longer supported, the behavior of this command is vendor-dependent.

EXAMPLE Entities may remain resident. Persistent objects, transient objects, or sessions may be flushed. NV Indexes may be undefined. Policies may be erased.

NOTE The reference implementation does not have support for this command. In particular, it does not support use of this command to selectively disable algorithms. Proper support would require modification of the unmarshaling code so that each time an algorithm is unmarshaled, it would be verified as being enabled.

26.3.2 Command and Response

Table 186 — TPM2_SetAlgorithmSet Command

| Type | Name | Description |
|---------------------|--------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_SetAlgorithmSet {NV} |
| TPMI_RH_PLATFORM | @authHandle | TPM_RH_PLATFORM Auth Index: 1 Auth Role: USER |
| UINT32 | algorithmSet | a TPM vendor-dependent value indicating the algorithm set selection |

Table 187 — TPM2_SetAlgorithmSet Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

26.3.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "SetAlgorithmSet_fp.h"
3  #if CC_SetAlgorithmSet // Conditional expansion of this file
4  TPM_RC
5  TPM2_SetAlgorithmSet(
6      SetAlgorithmSet_In *in          // IN: input parameter list
7      )
8  {
9      // The command needs NV update. Check if NV is available.
10     // A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may be returned at
11     // this point
12     RETURN_IF_NV_IS_NOT_AVAILABLE;
13
14     // Internal Data Update
15     gp.algorithmSet = in->algorithmSet;
16
17     // Write the algorithm set changes to NV
18     NV_SYNC_PERSISTENT(algorithmSet);
19
20     return TPM_RC_SUCCESS;
21 }
22 #endif // CC_SetAlgorithmSet
```

27 Field Upgrade

27.1 Introduction

This clause contains the commands for managing field upgrade of the firmware in the TPM. The field upgrade scheme may be used for replacement or augmentation of the firmware installed in the TPM.

EXAMPLE 1 If an algorithm is found to be flawed, a patch of that algorithm might be installed using the firmware upgrade process. The patch might be a replacement of a portion of the code or a complete replacement of the firmware.

EXAMPLE 2 If an additional set of ECC parameters is needed, the firmware process may be used to add the parameters to the TPM data set.

The field upgrade process uses two commands (TPM2_FieldUpgradeStart() and TPM2_FieldUpgradeData()). TPM2_FieldUpgradeStart() validates that a signature on the provided digest is from the TPM manufacturer and that proper authorization is provided using *platformPolicy*.

NOTE 1 The *platformPolicy* for field upgraded is defined by the PM and may include requirements that the upgrade be signed by the PM or the TPM owner and include any other constraints that are desired by the PM.

If the proper authorization is given, the TPM will retain the signed digest and enter the Field Upgrade mode (FUM). While in FUM, the TPM will accept TPM2_FieldUpgradeData() commands. It may accept other commands if it is able to complete them using the previously installed firmware. Otherwise, it will return TPM_RC_UPGRADE.

Each block of the field upgrade shall contain the digest of the next block of the field upgrade data. That digest shall be included in the digest of the previous block. The digest of the first block is signed by the TPM manufacturer. That signature and first block digest are the parameters for TPM2_FieldUpgradeStart(). The digest is saved in the TPM as the required digest for the next field upgrade data block and as the identifier of the field upgrade sequence.

For each field upgrade data block that is sent to the TPM by TPM2_FieldUpgradeData(), the TPM shall validate that the digest matches the required digest and if not, shall return TPM_RC_VALUE. The TPM shall extract the digest of the next expected block and return that value to the caller, along with the digest of the first data block of the update sequence.

The system may attempt to abandon the firmware upgrade by using a zero-length buffer in TPM2_FieldUpdateData(). If the TPM is able to resume operation using the firmware present when the upgrade started, then the TPM will indicate that it has abandon the update by setting the digest of the next block to the Empty Buffer. If the TPM cannot abandon the update, it will return the expected next digest.

The system may also attempt to abandon the update because of a power interruption. If the TPM is able to resume normal operations, then it will respond normally to TPM2_Startup(). If the TPM is not able to resume normal operations, then it will respond to any command but TPM2_FieldUpgradeData() with TPM_RC_UPGRADE.

After a _TPM_Init, system software may not be able to resume the field upgrade that was in process when the power interruption occurred. In such case, the TPM firmware may be reset to one of two other values:

- the original firmware that was installed at the factory (“initial firmware”); or
- the firmware that was in the TPM when the field upgrade process started (“previous firmware”).

The TPM retains the digest of the first block for these firmware images and checks to see if the first block after _TPM_Init matches either of those digests. If so, the firmware update process restarts and the original firmware may be loaded.

NOTE 2 The TPM is required to accept the previous firmware as either a vendor-provided update or as recovered from the TPM using TPM2_FirmwareRead().

When the last block of the firmware upgrade is loaded into the TPM (indicated to the TPM by data in the data block in a TPM vendor-specific manner), the TPM will complete the upgrade process. If the TPM is able to resume normal operations without a reboot, it will set the hash algorithm of the next block to TPM_ALG_NULL and return TPM_RC_SUCCESS. If a reboot is required, the TPM shall return TPM_RC_REBOOT in response to the last TPM2_FieldUpgradeData() and all subsequent TPM commands until a _TPM_Init is received.

NOTE 3 Because no additional data is allowed when the response code is not TPM_RC_SUCCESS, the TPM returns TPM_RC_SUCCESS for all calls to TPM2_FieldUpgradeData() except the last. In this manner, the TPM is able to indicate the digest of the next block. If a _TPM_Init occurs while the TPM is in FUM, the next block may be the digest for the first block of the original firmware. If it is not, then the TPM will not accept the original firmware until the next _TPM_Init when the TPM is in FUM.

During the field upgrade process, either the one specified in this clause or a vendor proprietary field upgrade process, the TPM should preserve:

- Primary Seeds;
- Hierarchy *authValue*, *authPolicy*, and *proof* values;
- Lockout *authValue* and authorization failure count values;
- PCR *authValue* and *authPolicy* values;
- NV Index allocations and contents;
- Persistent object allocations and contents; and
- Clock.

NOTE 4 A platform manufacturer may provide a means to change preserved data to accommodate a case where a field upgrade fixes a flaw that might have compromised TPM secrets.

27.2 TPM2_FieldUpgradeStart

27.2.1 General Description

This command uses *platformPolicy* and a TPM Vendor Authorization Key to authorize a Field Upgrade Manifest.

If the signature checks succeed, the authorization is valid and the TPM will accept TPM2_FieldUpgradeData().

This signature is checked against the loaded key referenced by *keyHandle*. This key will have a Name that is the same as a value that is part of the TPM firmware data. If the signature is not valid, the TPM shall return TPM_RC_SIGNATURE.

NOTE A loaded key is used rather than a hard-coded key to reduce the amount of memory needed for this key data in case more than one vendor key is needed.

27.2.2 Command and Response

Table 188 — TPM2_FieldUpgradeStart Command

| Type | Name | Description |
|---------------------|-------------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_FieldUpgradeStart |
| TPMI_RH_PLATFORM | @authorization | TPM_RH_PLATFORM+{PP} Auth Index:1 Auth Role: ADMIN |
| TPMI_DH_OBJECT | keyHandle | handle of a public area that contains the TPM Vendor Authorization Key that will be used to validate <i>manifestSignature</i> Auth Index: None |
| TPM2B_DIGEST | fuDigest | digest of the first block in the field upgrade sequence |
| TPMT_SIGNATURE | manifestSignature | signature over <i>fuDigest</i> using the key associated with <i>keyHandle</i> (not optional) |

Table 189 — TPM2_FieldUpgradeStart Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

27.2.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "FieldUpgradeStart_fp.h"
3  #if CC_FieldUpgradeStart // Conditional expansion of this file
4  TPM_RC
5  TPM2_FieldUpgradeStart(
6      FieldUpgradeStart_In *in // IN: input parameter list
7  )
8  {
9      // Not implemented
10     UNUSED_PARAMETER(in);
11     return TPM_RC_SUCCESS;
12 }
13 #endif
```

27.3 TPM2_FieldUpgradeData

27.3.1 General Description

This command will take the actual field upgrade image to be installed on the TPM. The exact format of *fuData* is vendor-specific. This command is only possible following a successful TPM2_FieldUpgradeStart(). If the TPM has not received a properly authorized TPM2_FieldUpgradeStart(), then the TPM shall return TPM_RC_FIELDUPGRADE.

The TPM will validate that the digest of *fuData* matches an expected value. If so, the TPM may buffer or immediately apply the update. If the digest of *fuData* does not match an expected value, the TPM shall return TPM_RC_VALUE.

27.3.2 Command and Response

Table 190 — TPM2_FieldUpgradeData Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or decrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_FieldUpgradeData {NV} |
| TPM2B_MAX_BUFFER | fuData | field upgrade image data |

Table 191 — TPM2_FieldUpgradeData Response

| Type | Name | Description |
|----------|--------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMT_HA+ | nextDigest | tagged digest of the next block TPM_ALG_NULL if field update is complete |
| TPMT_HA | firstDigest | tagged digest of the first block of the sequence |

27.3.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "FieldUpgradeData_fp.h"
3  #if CC_FieldUpgradeData // Conditional expansion of this file
4  TPM_RC
5  TPM2_FieldUpgradeData(
6      FieldUpgradeData_In *in,           // IN: input parameter list
7      FieldUpgradeData_Out *out         // OUT: output parameter list
8  )
9  {
10     // Not implemented
11     UNUSED_PARAMETER(in);
12     UNUSED_PARAMETER(out);
13     return TPM_RC_SUCCESS;
14 }
15 #endif
```

27.4 TPM2_FirmwareRead

27.4.1 General Description

This command is used to read a copy of the current firmware installed in the TPM.

The presumption is that the data will be returned in reverse order so that the last block in the sequence would be the first block given to the TPM in case of a failure recovery. If the TPM2_FirmwareRead sequence completes successfully, then the data provided from the TPM will be sufficient to allow the TPM to recover from an abandoned upgrade of this firmware.

To start the sequence of retrieving the data, the caller sets *sequenceNumber* to zero. When the TPM has returned all the firmware data, the TPM will return the Empty Buffer as *fuData*.

The contents of *fuData* are opaque to the caller.

NOTE 1 The caller should retain the ordering of the update blocks so that the blocks sent to the TPM have the same size and inverse order as the blocks returned by a sequence of calls to this command.

NOTE 2 Support for this command is optional even if the TPM implements TPM2_FieldUpgradeStart() and TPM2_FieldUpgradeData().

27.4.2 Command and Response

Table 192 — TPM2_FirmwareRead Command

| Type | Name | Description |
|---------------------|----------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_FirmwareRead |
| UINT32 | sequenceNumber | the number of previous calls to this command in this sequence set to 0 on the first call |

Table 193 — TPM2_FirmwareRead Response

| Type | Name | Description |
|------------------|--------------|--------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_MAX_BUFFER | fuData | field upgrade image data |

27.4.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "FirmwareRead_fp.h"
3  #if CC_FirmwareRead // Conditional expansion of this file
4  TPM_RC
5  TPM2_FirmwareRead(
6      FirmwareRead_In    *in,           // IN: input parameter list
7      FirmwareRead_Out   *out          // OUT: output parameter list
8  )
9  {
10     // Not implemented
11     UNUSED_PARAMETER(in);
12     UNUSED_PARAMETER(out);
13     return TPM_RC_SUCCESS;
14 }
15 #endif // CC_FirmwareRead
```

28 Context Management

28.1 Introduction

Three of the commands in this clause (TPM2_ContextSave(), TPM2_ContextLoad(), and TPM2_FlushContext()) implement the resource management described in the "Context Management" clause in TPM 2.0 Part 1.

The fourth command in this clause (TPM2_EvictControl()) is used to control the persistence of loadable objects in TPM memory. Background for this command may be found in the "Owner and Platform Evict Objects" clause in TPM 2.0 Part 1.

28.2 TPM2_ContextSave

28.2.1 General Description

This command saves a session context, object context, or sequence object context outside the TPM.

No authorization sessions of any type are allowed with this command and tag is required to be TPM_ST_NO_SESSIONS.

NOTE This preclusion avoids complex issues of dealing with the same session in *handle* and in the session area. While it might be possible to provide specificity, it would add unnecessary complexity to the TPM and, because this capability would provide no application benefit, use of authorization sessions for audit or encryption is prohibited.

The TPM shall encrypt and integrity protect the TPM2B_CONTEXT_SENSITIVE *context* as described in the "Context Protections" clause in TPM 2.0 Part 1.

See the "Context Data" clause in TPM 2.0 Part 2 for a description of the *context* structure in the response.

28.2.2 Command and Response

Table 194 — TPM2_ContextSave Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ContextSave |
| TPMI_DH_CONTEXT | saveHandle | handle of the resource to save Auth Index: None |

Table 195 — TPM2_ContextSave Response

| Type | Name | Description |
|--------------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMS_CONTEXT | context | |

28.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ContextSave_fp.h"
3  #if CC_ContextSave // Conditional expansion of this file
4  #include "Context_spt_fp.h"

```

| Error Returns | Meaning |
|--------------------------|---|
| TPM_RC_CONTEXT_GAP | a <i>contextID</i> could not be assigned for a session context save |
| TPM_RC_TOO_MANY_CONTEXTS | no more contexts can be saved as the counter has maxed out |

```

5  TPM_RC
6  TPM2_ContextSave(
7      ContextSave_In      *in,          // IN: input parameter list
8      ContextSave_Out     *out         // OUT: output parameter list
9  )
10 {
11     TPM_RC                result = TPM_RC_SUCCESS;
12     UINT16                fingerprintSize; // The size of fingerprint in context
13     // blob.
14     UINT64                contextID = 0;   // session context ID
15     TPM2B_SYM_KEY         symKey;
16     TPM2B_IV              iv;
17
18     TPM2B_DIGEST          integrity;
19     UINT16                integritySize;
20     BYTE                  *buffer;
21
22     // This command may cause the orderlyState to be cleared due to
23     // the update of state reset data. If the state is orderly and
24     // cannot be changed, exit early.
25     RETURN_IF_ORDERLY;
26
27     // Internal Data Update
28
29     // This implementation does not do things in quite the same way as described in
30     // Part 2 of the specification. In Part 2, it indicates that the
31     // TPMS_CONTEXT_DATA contains two TPM2B values. That is not how this is
32     // implemented. Rather, the size field of the TPM2B_CONTEXT_DATA is used to
33     // determine the amount of data in the encrypted data. That part is not
34     // independently sized. This makes the actual size 2 bytes smaller than
35     // calculated using Part 2. Since this is opaque to the caller, it is not
36     // necessary to fix. The actual size is returned by TPM2_GetCapabilities().
37
38     // Initialize output handle. At the end of command action, the output
39     // handle of an object will be replaced, while the output handle
40     // for a session will be the same as input
41     out->context.savedHandle = in->saveHandle;
42
43     // Get the size of fingerprint in context blob. The sequence value in
44     // TPMS_CONTEXT structure is used as the fingerprint
45     fingerprintSize = sizeof(out->context.sequence);
46
47     // Compute the integrity size at the beginning of context blob
48     integritySize = sizeof(integrity.t.size)
49         + CryptHashGetDigestSize(CONTEXT_INTEGRITY_HASH_ALG);
50
51     // Perform object or session specific context save
52     switch(HandleGetType(in->saveHandle))
53     {
54         case TPM_HT_TRANSIENT:

```

```

55     {
56         OBJECT          *object = HandleToObject(in->saveHandle);
57         ANY_OBJECT_BUFFER *outObject;
58         UINT16          objectSize = ObjectIsSequence(object)
59             ? sizeof(HASH_OBJECT) : sizeof(OBJECT);
60
61         outObject = (ANY_OBJECT_BUFFER *) (out->context.contextBlob.t.buffer
62             + integritySize + fingerprintSize);
63
64         // Set size of the context data. The contents of context blob is vendor
65         // defined. In this implementation, the size is size of integrity
66         // plus fingerprint plus the whole internal OBJECT structure
67         out->context.contextBlob.t.size = integritySize +
68             fingerprintSize + objectSize;
69     #if ALG_RSA
70         // For an RSA key, make sure that the key has had the private exponent
71         // computed before saving.
72         if(object->publicArea.type == TPM_ALG_RSA &&
73             !(object->attributes.publicOnly))
74             CryptRsaLoadPrivateExponent(&object->publicArea, &object->sensitive);
75     #endif
76         // Make sure things fit
77         pAssert(out->context.contextBlob.t.size
78             <= sizeof(out->context.contextBlob.t.buffer));
79         // Copy the whole internal OBJECT structure to context blob
80         MemoryCopy(outObject, object, objectSize);
81
82         // Increment object context ID
83         gr.objectContextID++;
84         // If object context ID overflows, TPM should be put in failure mode
85         if(gr.objectContextID == 0)
86             FAIL(FATAL_ERROR_INTERNAL);
87
88         // Fill in other return values for an object.
89         out->context.sequence = gr.objectContextID;
90         // For regular object, savedHandle is 0x80000000. For sequence object,
91         // savedHandle is 0x80000001. For object with stClear, savedHandle
92         // is 0x80000002
93         if(ObjectIsSequence(object))
94         {
95             out->context.savedHandle = 0x80000001;
96             SequenceDataExport((HASH_OBJECT *)object,
97                 (HASH_OBJECT_BUFFER *)outObject);
98         }
99         else
100             out->context.savedHandle = (object->attributes.stClear == SET)
101                 ? 0x80000002 : 0x80000000;
102         // Get object hierarchy
103         out->context.hierarchy = ObjectGetHierarchy(object);
104
105         break;
106     }
107     case TPM_HT_HMAC_SESSION:
108     case TPM_HT_POLICY_SESSION:
109     {
110         SESSION          *session = SessionGet(in->saveHandle);
111
112         // Set size of the context data. The contents of context blob is vendor
113         // defined. In this implementation, the size of context blob is the
114         // size of a internal session structure plus the size of
115         // fingerprint plus the size of integrity
116         out->context.contextBlob.t.size = integritySize +
117             fingerprintSize + sizeof(*session);
118
119         // Make sure things fit
120         pAssert(out->context.contextBlob.t.size

```

```

121         < sizeof(out->context.contextBlob.t.buffer));
122
123         // Copy the whole internal SESSION structure to context blob.
124         // Save space for fingerprint at the beginning of the buffer
125         // This is done before anything else so that the actual context
126         // can be reclaimed after this call
127         pAssert(sizeof(*session) <= sizeof(out->context.contextBlob.t.buffer)
128             - integritySize - fingerprintSize);
129         MemoryCopy(out->context.contextBlob.t.buffer + integritySize
130             + fingerprintSize, session, sizeof(*session));
131         // Fill in the other return parameters for a session
132         // Get a context ID and set the session tracking values appropriately
133         // TPM_RC_CONTEXT_GAP is a possible error.
134         // SessionContextSave() will flush the in-memory context
135         // so no additional errors may occur after this call.
136         result = SessionContextSave(out->context.savedHandle, &contextID);
137         if(result != TPM_RC_SUCCESS)
138             return result;
139         // sequence number is the current session contextID
140         out->context.sequence = contextID;
141
142         // use TPM_RH_NULL as hierarchy for session context
143         out->context.hierarchy = TPM_RH_NULL;
144
145         break;
146     }
147     default:
148         // SaveContext may only take an object handle or a session handle.
149         // All the other handle type should be filtered out at unmarshal
150         FAIL(FATAL_ERROR_INTERNAL);
151         break;
152 }
153
154 // Save fingerprint at the beginning of encrypted area of context blob.
155 // Reserve the integrity space
156 pAssert(sizeof(out->context.sequence) <=
157     sizeof(out->context.contextBlob.t.buffer) - integritySize);
158 MemoryCopy(out->context.contextBlob.t.buffer + integritySize,
159     &out->context.sequence, sizeof(out->context.sequence));
160
161 // Compute context encryption key
162 ComputeContextProtectionKey(&out->context, &symKey, &iv);
163
164 // Encrypt context blob
165 CryptSymmetricEncrypt(out->context.contextBlob.t.buffer + integritySize,
166     CONTEXT_ENCRYPT_ALG, CONTEXT_ENCRYPT_KEY_BITS,
167     symKey.t.buffer, &iv, ALG_CFB_VALUE,
168     out->context.contextBlob.t.size - integritySize,
169     out->context.contextBlob.t.buffer + integritySize);
170
171 // Compute integrity hash for the object
172 // In this implementation, the same routine is used for both sessions
173 // and objects.
174 ComputeContextIntegrity(&out->context, &integrity);
175
176 // add integrity at the beginning of context blob
177 buffer = out->context.contextBlob.t.buffer;
178 TPM2B_DIGEST_Marshal(&integrity, &buffer, NULL);
179
180 // orderly state should be cleared because of the update of state reset and
181 // state clear data
182 g_clearOrderly = TRUE;
183
184 return result;
185 }
186 #endif // CC_ContextSave

```

28.3 TPM2_ContextLoad

28.3.1 General Description

This command is used to reload a context that has been saved by TPM2_ContextSave().

No authorization sessions of any type are allowed with this command and tag is required to be TPM_ST_NO_SESSIONS (see note in 28.2.1).

The TPM will return TPM_RC_HIERARCHY if the context is associated with a hierarchy that is disabled.

NOTE Contexts for authorization sessions and for sequence objects belong to the NULL hierarchy, which is never disabled.

See the "Context Data" clause in TPM 2.0 Part 2 for a description of the values in the *context* parameter.

If the integrity HMAC of the saved context is not valid, the TPM shall return TPM_RC_INTEGRITY.

The TPM shall perform a check on the decrypted context as described in the "Context Confidentiality Protection" clause of TPM 2.0 Part 1 and enter failure mode if the check fails.

28.3.2 Command and Response

Table 196 — TPM2_ContextLoad Command

| Type | Name | Description |
|---------------------|-------------|--------------------|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ContextLoad |
| TPMS_CONTEXT | context | the context blob |

Table 197 — TPM2_ContextLoad Response

| Type | Name | Description |
|-----------------|--------------|---|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMI_DH_CONTEXT | loadedHandle | the handle assigned to the resource after it has been successfully loaded |

28.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ContextLoad_fp.h"
3  #if CC_ContextLoad // Conditional expansion of this file
4  #include "Context_spt_fp.h"

```

| Error Returns | Meaning |
|-----------------------|---|
| TPM_RC_CONTEXT_GAP | there is only one available slot and this is not the oldest saved session context |
| TPM_RC_HANDLE | <i>context.savedHandle</i> does not reference a saved session |
| TPM_RC_HIERARCHY | <i>context.hierarchy</i> is disabled |
| TPM_RC_INTEGRITY | <i>context</i> integrity check fail |
| TPM_RC_OBJECT_MEMORY | no free slot for an object |
| TPM_RC_SESSION_MEMORY | no free session slots |
| TPM_RC_SIZE | incorrect context blob size |

```

5  TPM_RC
6  TPM2_ContextLoad(
7      ContextLoad_In      *in,          // IN: input parameter list
8      ContextLoad_Out     *out         // OUT: output parameter list
9  )
10 {
11     TPM_RC                result;
12     TPM2B_DIGEST          integrityToCompare;
13     TPM2B_DIGEST          integrity;
14     BYTE                  *buffer;     // defined to save some typing
15     INT32                 size;       // defined to save some typing
16     TPM_HT                handleType;
17     TPM2B_SYM_KEY         symKey;
18     TPM2B_IV              iv;
19
20 // Input Validation
21
22 // See discussion about the context format in TPM2_ContextSave Detailed Actions
23
24 // IF this is a session context, make sure that the sequence number is
25 // consistent with the version in the slot
26
27 // Check context blob size
28 handleType = HandleGetType(in->context.savedHandle);
29
30 // Get integrity from context blob
31 buffer = in->context.contextBlob.t.buffer;
32 size = (INT32)in->context.contextBlob.t.size;
33 result = TPM2B_DIGEST_Unmarshal(&integrity, &buffer, &size);
34 if(result != TPM_RC_SUCCESS)
35     return result;
36
37 // the size of the integrity value has to match the size of digest produced
38 // by the integrity hash
39 if(integrity.t.size != CryptHashGetDigestSize(CONTEXT_INTEGRITY_HASH_ALG))
40     return TPM_RC_SIZE + RC_ContextLoad_context;
41
42 // Make sure that the context blob has enough space for the fingerprint. This
43 // is elastic pants to go with the belt and suspenders we already have to make
44 // sure that the context is complete and untampered.

```

```

45     if((unsigned)size < sizeof(in->context.sequence))
46         return TPM_RCS_SIZE + RC_ContextLoad_context;
47
48     // After unmarshaling the integrity value, 'buffer' is pointing at the first
49     // byte of the integrity protected and encrypted buffer and 'size' is the number
50     // of integrity protected and encrypted bytes.
51
52     // Compute context integrity
53     ComputeContextIntegrity(&in->context, &integrityToCompare);
54
55     // Compare integrity
56     if(!MemoryEqual2B(&integrity.b, &integrityToCompare.b))
57         return TPM_RCS_INTEGRITY + RC_ContextLoad_context;
58     // Compute context encryption key
59     ComputeContextProtectionKey(&in->context, &symKey, &iv);
60
61     // Decrypt context data in place
62     CryptSymmetricDecrypt(buffer, CONTEXT_ENCRYPT_ALG, CONTEXT_ENCRYPT_KEY_BITS,
63                           symKey.t.buffer, &iv, ALG_CFB_VALUE, size, buffer);
64     // See if the fingerprint value matches. If not, it is symptomatic of either
65     // a broken TPM or that the TPM is under attack so go into failure mode.
66     if(!MemoryEqual(buffer, &in->context.sequence, sizeof(in->context.sequence)))
67         FAIL(FATAL_ERROR_INTERNAL);
68
69     // step over fingerprint
70     buffer += sizeof(in->context.sequence);
71
72     // set the remaining size of the context
73     size -= sizeof(in->context.sequence);
74
75     // Perform object or session specific input check
76     switch(handleType)
77     {
78         case TPM_HT_TRANSIENT:
79             {
80                 OBJECT      *outObject;
81
82                 if(size > (INT32)sizeof(OBJECT))
83                     FAIL(FATAL_ERROR_INTERNAL);
84
85                 // Discard any changes to the handle that the TRM might have made
86                 in->context.savedHandle = TRANSIENT_FIRST;
87
88                 // If hierarchy is disabled, no object context can be loaded in this
89                 // hierarchy
90                 if(!HierarchyIsEnabled(in->context.hierarchy))
91                     return TPM_RCS_HIERARCHY + RC_ContextLoad_context;
92
93                 // Restore object. If there is no empty space, indicate as much
94                 outObject = ObjectContextLoad((ANY_OBJECT_BUFFER *)buffer,
95                                               &out->loadedHandle);
96                 if(outObject == NULL)
97                     return TPM_RC_OBJECT_MEMORY;
98
99                 break;
100            }
101         case TPM_HT_POLICY_SESSION:
102         case TPM_HT_HMAC_SESSION:
103             {
104                 if(size != sizeof(SESSION))
105                     FAIL(FATAL_ERROR_INTERNAL);
106
107                 // This command may cause the orderlyState to be cleared due to
108                 // the update of state reset data. If this is the case, check if NV is
109                 // available first
110                 RETURN_IF_ORDERLY;

```



```
111
112     // Check if input handle points to a valid saved session and that the
113     // sequence number makes sense
114     if(!SequenceNumberForSavedContextIsValid(&in->context))
115         return TPM_RC_HANDLE + RC_ContextLoad_context;
116
117     // Restore session. A TPM_RC_SESSION_MEMORY, TPM_RC_CONTEXT_GAP error
118     // may be returned at this point
119     result = SessionContextLoad((SESSION_BUF *)buffer,
120                               &in->context.savedHandle);
121     if(result != TPM_RC_SUCCESS)
122         return result;
123
124     out->loadedHandle = in->context.savedHandle;
125
126     // orderly state should be cleared because of the update of state
127     // reset and state clear data
128     g_clearOrderly = TRUE;
129
130     break;
131 }
132 default:
133     // Context blob may only have an object handle or a session handle.
134     // All the other handle type should be filtered out at unmarshal
135     FAIL(FATAL_ERROR_INTERNAL);
136     break;
137 }
138
139     return TPM_RC_SUCCESS;
140 }
141 #endif // CC_ContextLoad
```

28.4 TPM2_FlushContext

28.4.1 General Description

This command causes all context associated with a loaded object, sequence object, or session to be removed from TPM memory.

This command may not be used to remove a persistent object from the TPM. Use TPM2_EvictControl to remove a persistent object.

A session does not have to be loaded in TPM memory to have its context flushed. The saved session context associated with the indicated handle is invalidated. When flushing a session, the upper byte of the handle is ignored.

EXAMPLE A command to flush session handle 0x20000000 will flush session handle 0x03000000.

No sessions of any type are allowed with this command and tag is required to be TPM_ST_NO_SESSIONS (see note in 28.2.1).

If the handle is for a Transient Object and the handle is not associated with a loaded object, then the TPM shall return TPM_RC_HANDLE.

If the handle is for an authorization session and the handle does not reference a loaded or active session, then the TPM shall return TPM_RC_HANDLE.

NOTE *flushHandle* is a parameter and not a handle. If it were in the handle area, the TPM would validate that the context for the referenced entity is in the TPM. When a TPM2_FlushContext references a saved session context, it is not necessary for the context to be in the TPM. When the *flushHandle* is in the parameter area, the TPM does not validate that associated context is actually in the TPM.

28.4.2 Command and Response

Table 198 — TPM2_FlushContext Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_FlushContext |
| TPMI_DH_CONTEXT | flushHandle | the handle of the item to flush NOTE This is a use of a handle as a parameter. |

Table 199 — TPM2_FlushContext Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

28.4.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "FlushContext_fp.h"
3  #if CC_FlushContext // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_HANDLE | <i>flushHandle</i> does not reference a loaded object or session |

```

4  TPM_RC
5  TPM2_FlushContext(
6      FlushContext_In    *in           // IN: input parameter list
7  )
8  {
9  // Internal Data Update
10
11 // Call object or session specific routine to flush
12 switch(HandleGetType(in->flushHandle))
13 {
14     case TPM_HT_TRANSIENT:
15         if(!IsObjectPresent(in->flushHandle))
16             return TPM_RCS_HANDLE + RC_FlushContext_flushHandle;
17         // Flush object
18         FlushObject(in->flushHandle);
19         break;
20     case TPM_HT_HMAC_SESSION:
21     case TPM_HT_POLICY_SESSION:
22         if(!SessionIsLoaded(in->flushHandle)
23             && !SessionIsSaved(in->flushHandle)
24             )
25             return TPM_RCS_HANDLE + RC_FlushContext_flushHandle;
26
27         // If the session to be flushed is the exclusive audit session, then
28         // indicate that there is no exclusive audit session any longer.
29         if(in->flushHandle == g_exclusiveAuditSession)
30             g_exclusiveAuditSession = TPM_RH_UNASSIGNED;
31
32         // Flush session
33         SessionFlush(in->flushHandle);
34         break;
35     default:
36         // This command only takes object or session handle. Other handles
37         // should be filtered out at handle unmarshal
38         FAIL(FATAL_ERROR_INTERNAL);
39         break;
40 }
41
42 return TPM_RC_SUCCESS;
43 }
44 #endif // CC_FlushContext

```

28.5 TPM2_EvictControl

28.5.1 General Description

This command allows certain Transient Objects to be made persistent or a persistent object to be evicted.

NOTE 1 A transient object is one that may be removed from TPM memory using either TPM2_FlushContext or TPM2_Startup(). A persistent object is not removed from TPM memory by TPM2_FlushContext() or TPM2_Startup().

If *objectHandle* is a Transient Object, then this call makes a persistent copy of the object and assigns *persistentHandle* to the persistent version of the object. If *objectHandle* is a persistent object, then the call evicts the persistent object. The call does not affect the transient object.

Before execution of TPM2_EvictControl code below, the TPM verifies that *objectHandle* references an object that is resident on the TPM and that *persistentHandle* is a valid handle for a persistent object.

NOTE 2 This requirement simplifies the unmarshaling code so that it only need check that *persistentHandle* is always a persistent object.

If *objectHandle* references a Transient Object:

- a) The TPM shall return TPM_RC_ATTRIBUTES if
- 1) it is in the hierarchy of TPM_RH_NULL,
 - 2) only the public portion of the object is loaded, or

NOTE 3 This is for NV space efficiency. Loading an object whose private part is empty would unnecessarily consume NV resources.

- 3) the *stClear* is SET in the object or in an ancestor key.
- b) The TPM shall return TPM_RC_HIERARCHY if the object is not in the proper hierarchy as determined by *auth*.
- 1) If *auth* is TPM_RH_PLATFORM, the proper hierarchy is the Platform hierarchy.
 - 2) If *auth* is TPM_RH_OWNER, the proper hierarchy is either the Storage or the Endorsement hierarchy.
- c) The TPM shall return TPM_RC_RANGE if *persistentHandle* is not in the proper range as determined by *auth*.
- 1) If *auth* is TPM_RH_OWNER, then *persistentHandle* shall be in the inclusive range of 81 00 00 00₁₆ to 81 7F FF FF₁₆.
 - 2) If *auth* is TPM_RH_PLATFORM, then *persistentHandle* shall be in the inclusive range of 81 80 00 00₁₆ to 81 FF FF FF₁₆.

NOTE 4 This separation permits the platform (the platform OEM) a range of indexes that will not interfere with indexes used by the TPM owner (the OS or applications).

- d) The TPM shall return TPM_RC_NV_DEFINED if a persistent object exists with the same handle as *persistentHandle*.
- e) The TPM shall return TPM_RC_NV_SPACE if insufficient space is available to make the object persistent.
- f) The TPM shall return TPM_RC_NV_SPACE if execution of this command will prevent the TPM from being able to hold two transient objects of any kind.

NOTE 5 This requirement anticipates that a TPM may be implemented such that all TPM memory is non-volatile and not subject to endurance issues. In such case, there is no movement of an object

between memory of different types and it is necessary that the TPM ensure that it is always possible for the management software to move objects to/from TPM memory in order to ensure that the objects required for command execution can be context restored.

- g) If the TPM returns TPM_RC_SUCCESS, the object referenced by *objectHandle* will not be flushed and both *objectHandle* and *persistentHandle* may be used to access the object.

If *objectHandle* references a persistent object:

- a) The TPM shall return TPM_RC_RANGE if *objectHandle* is not in the proper range as determined by *auth*. If *auth* is TPM_RC_OWNER, *objectHandle* shall be in the inclusive range of 81 00 00 00₁₆ to 81 7F FF FF₁₆. If *auth* is TPM_RC_PLATFORM, *objectHandle* may be any valid persistent object handle.
- b) If *objectHandle* is not the same value as *persistentHandle*, return TPM_RC_HANDLE.
- c) If the TPM returns TPM_RC_SUCCESS, *objectHandle* will be removed from persistent memory and no longer be accessible.

NOTE 5 The persistent object is not converted to a transient object, as this would prevent the immediate revocation of an object by removing it from persistent memory.

28.5.2 Command and Response

Table 200 — TPM2_EvictControl Command

| Type | Name | Description |
|---------------------|------------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_EvictControl {NV} |
| TPMI_RH_PROVISION | @auth | TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER |
| TPMI_DH_OBJECT | objectHandle | the handle of a loaded object Auth Index: None |
| TPMI_DH_PERSISTENT | persistentHandle | if <i>objectHandle</i> is a transient object handle, then this is the persistent handle for the object if <i>objectHandle</i> is a persistent object handle, then it shall be the same value as <i>persistentHandle</i> |

Table 201 — TPM2_EvictControl Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

28.5.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "EvictControl_fp.h"
3  #if CC_EvictControl // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|---|
| TPM_RC_ATTRIBUTES | an object with <i>temporary</i> , <i>stClear</i> or <i>publicOnly</i> attribute SET cannot be made persistent |
| TPM_RC_HIERARCHY | <i>auth</i> cannot authorize the operation in the hierarchy of <i>evictObject</i> |
| TPM_RC_HANDLE | <i>evictHandle</i> of the persistent object to be evicted is not the same as the <i>persistentHandle</i> argument |
| TPM_RC_NV_HANDLE | <i>persistentHandle</i> is unavailable |
| TPM_RC_NV_SPACE | no space in NV to make <i>evictHandle</i> persistent |
| TPM_RC_RANGE | <i>persistentHandle</i> is not in the range corresponding to the hierarchy of <i>evictObject</i> |

```

4  TPM_RC
5  TPM2_EvictControl(
6      EvictControl_In      *in          // IN: input parameter list
7  )
8  {
9      TPM_RC      result;
10     OBJECT      *evictObject;
11
12     // Input Validation
13
14     // Get internal object pointer
15     evictObject = HandleToObject(in->objectHandle);
16
17     // Temporary, stClear or public only objects can not be made persistent
18     if(evictObject->attributes.temporary == SET
19        || evictObject->attributes.stClear == SET
20        || evictObject->attributes.publicOnly == SET)
21         return TPM_RCS_ATTRIBUTES + RC_EvictControl_objectHandle;
22
23     // If objectHandle refers to a persistent object, it should be the same as
24     // input persistentHandle
25     if(evictObject->attributes.evict == SET
26        && evictObject->evictHandle != in->persistentHandle)
27         return TPM_RCS_HANDLE + RC_EvictControl_objectHandle;
28
29     // Additional authorization validation
30     if(in->auth == TPM_RH_PLATFORM)
31     {
32         // To make persistent
33         if(evictObject->attributes.evict == CLEAR)
34         {
35             // PlatformAuth can not set evict object in storage or endorsement
36             // hierarchy
37             if(evictObject->attributes.ppsHierarchy == CLEAR)
38                 return TPM_RCS_HIERARCHY + RC_EvictControl_objectHandle;
39             // Platform cannot use a handle outside of platform persistent range.
40             if(!NvIsPlatformPersistentHandle(in->persistentHandle))
41                 return TPM_RCS_RANGE + RC_EvictControl_persistentHandle;
42         }
43         // PlatformAuth can delete any persistent object
44     }

```



```
45     else if (in->auth == TPM_RH_OWNER)
46     {
47         // OwnerAuth can not set or clear evict object in platform hierarchy
48         if (evictObject->attributes.ppsHierarchy == SET)
49             return TPM_RCS_HIERARCHY + RC_EvictControl_objectHandle;
50
51         // Owner cannot use a handle outside of owner persistent range.
52         if (evictObject->attributes.evict == CLEAR
53             && !NvIsOwnerPersistentHandle(in->persistentHandle))
54             return TPM_RCS_RANGE + RC_EvictControl_persistentHandle;
55     }
56     else
57     {
58         // Other authorization is not allowed in this command and should have been
59         // filtered out in unmarshal process
60         FAIL(FATAL_ERROR_INTERNAL);
61     }
62 // Internal Data Update
63 // Change evict state
64 if (evictObject->attributes.evict == CLEAR)
65 {
66     // Make object persistent
67     if (NvFindHandle(in->persistentHandle) != 0)
68         return TPM_RC_NV_DEFINED;
69     // A TPM_RC_NV_HANDLE or TPM_RC_NV_SPACE error may be returned at this
70     // point
71     result = NvAddEvictObject(in->persistentHandle, evictObject);
72 }
73 else
74 {
75     // Delete the persistent object in NV
76     result = NvDeleteEvict(evictObject->evictHandle);
77 }
78 return result;
79 }
80 #endif // CC_EvictControl
```

29 Clocks and Timers

29.1 TPM2_ReadClock

29.1.1 General Description

This command reads the current TPMS_TIME_INFO structure that contains the current setting of *Time*, *Clock*, *resetCount*, and *restartCount*.

29.1.2 Command and Response

Table 202 — TPM2_ReadClock Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ReadClock |

Table 203 — TPM2_ReadClock Response

| Type | Name | Description |
|----------------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMS_TIME_INFO | currentTime | |

29.1.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "ReadClock_fp.h"
3  #if CC_ReadClock // Conditional expansion of this file
4  TPM_RC
5  TPM2_ReadClock(
6      ReadClock_Out *out           // OUT: output parameter list
7      )
8  {
9  // Command Output
10
11      out->currentTime.time = g_time;
12      TimeFillInfo(&out->currentTime.clockInfo);
13
14      return TPM_RC_SUCCESS;
15  }
16 #endif // CC_ReadClock
```

29.2 TPM2_ClockSet

29.2.1 General Description

This command is used to advance the value of the TPM's *Clock*. The command will fail if *newTime* is less than the current value of *Clock* or if the new time is greater than FF FF 00 00 00 00 00 00₁₆. If both of these checks succeed, *Clock* is set to *newTime*. If either of these checks fails, the TPM shall return TPM_RC_VALUE and make no change to *Clock*.

NOTE This maximum setting would prevent *Clock* from rolling over to zero for approximately 8,000 years at the real time *Clock* update rate. If the *Clock* update rate was set so that TPM time was passing 33 percent faster than real time, it would still be more than 6,000 years before *Clock* would roll over to zero. Because *Clock* will not roll over in the lifetime of the TPM, there is no need for external software to deal with the possibility that *Clock* may wrap around.

If the value of *Clock* after the update makes the volatile and non-volatile versions of TPMS_CLOCK_INFO.*clock* differ by more than the reported update interval, then the TPM shall update the non-volatile version of TPMS_CLOCK_INFO.*clock* before returning.

This command requires Platform Authorization or Owner Authorization.

29.2.2 Command and Response

Table 204 — TPM2_ClockSet Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ClockSet {NV} |
| TPMI_RH_PROVISION | @auth | TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER |
| UINT64 | newTime | new <i>Clock</i> setting in milliseconds |

Table 205 — TPM2_ClockSet Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

29.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ClockSet_fp.h"
3  #if CC_ClockSet // Conditional expansion of this file

```

Read the current TPMS_TIMER_INFO structure settings

| Error Returns | Meaning |
|-----------------------|---|
| TPM_RC_NV_RATE | NV is unavailable because of rate limit |
| TPM_RC_NV_UNAVAILABLE | NV is inaccessible |
| TPM_RC_VALUE | invalid new clock |

```

4  TPM_RC
5  TPM2_ClockSet(
6      ClockSet_In      *in          // IN: input parameter list
7  )
8  {
9  // Input Validation
10     // new time can not be bigger than 0xFFFF000000000000 or smaller than
11     // current clock
12     if(in->newTime > 0xFFFF000000000000ULL
13         || in->newTime < go.clock)
14         return TPM_RCS_VALUE + RC_ClockSet_newTime;
15
16 // Internal Data Update
17 // Can't modify the clock if NV is not available.
18 RETURN_IF_NV_IS_NOT_AVAILABLE;
19
20     TimeClockUpdate(in->newTime);
21     return TPM_RC_SUCCESS;
22 }
23 #endif // CC_ClockSet

```

29.3 TPM2_ClockRateAdjust

29.3.1 General Description

This command adjusts the rate of advance of *Clock* and *Time* to provide a better approximation to real time.

The *rateAdjust* value is relative to the current rate and not the nominal rate of advance.

EXAMPLE 1 If this command had been called three times with *rateAdjust* = TPM_CLOCK_COARSE_SLOWER and once with *rateAdjust* = TPM_CLOCK_COARSE_FASTER, the net effect will be as if the command had been called twice with *rateAdjust* = TPM_CLOCK_COARSE_SLOWER.

The range of adjustment shall be sufficient to allow *Clock* and *Time* to advance at real time but no more. If the requested adjustment would make the rate advance faster or slower than the nominal accuracy of the input frequency, the TPM shall return TPM_RC_VALUE.

EXAMPLE 2 If the frequency tolerance of the TPM's input clock is +/-10 percent, then the TPM will return TPM_RC_VALUE if the adjustment would make *Clock* run more than 10 percent faster or slower than nominal. That is, if the input oscillator were nominally 100 megahertz (MHz), then 1 millisecond (ms) would normally take 100,000 counts. The update *Clock* should be adjustable so that 1 ms is between 90,000 and 110,000 counts.

The interpretation of “fine” and “coarse” adjustments is implementation-specific.

The nominal rate of advance for *Clock* and *Time* shall be accurate to within 15 percent. That is, with no adjustment applied, *Clock* and *Time* shall be advanced at a rate within 15 percent of actual time.

NOTE If the adjustments are incorrect, it will be possible to make the difference between advance of *Clock/Time* and real time to be as much as 1.15^2 or ~ 1.33 .

Changes to the current *Clock* update rate adjustment need not be persisted across TPM power cycles.

29.3.2 Command and Response

Table 206 — TPM2_ClockRateAdjust Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ClockRateAdjust |
| TPMI_RH_PROVISION | @auth | TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Handle: 1 Auth Role: USER |
| TPM_CLOCK_ADJUST | rateAdjust | Adjustment to current <i>Clock</i> update rate |

Table 207 — TPM2_ClockRateAdjust Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

29.3.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "ClockRateAdjust_fp.h"
3  #if CC_ClockRateAdjust // Conditional expansion of this file
4  TPM_RC
5  TPM2_ClockRateAdjust(
6      ClockRateAdjust_In *in          // IN: input parameter list
7      )
8  {
9  // Internal Data Update
10     TimeSetAdjustRate(in->rateAdjust);
11
12     return TPM_RC_SUCCESS;
13 }
14 #endif // CC_ClockRateAdjust
```

30 Capability Commands

30.1 Introduction

The TPM has numerous values that indicate the state, capabilities, and properties of the TPM. These values are needed for proper management of the TPM. The TPM2_GetCapability() command is used to access these values.

TPM2_GetCapability() allows reporting of multiple values in a single call. The values are grouped according to type.

NOTE TPM2_TestParms() is used to determine if a TPM supports a particular combination of algorithm parameters

30.2 TPM2_GetCapability

30.2.1 General Description

This command returns various information regarding the TPM and its current state.

The *capability* parameter determines the category of data returned. The *property* parameter selects the first value of the selected category to be returned. If there is no property that corresponds to the value of *property*, the next higher value is returned, if it exists.

EXAMPLE 1 The list of handles of transient objects currently loaded in the TPM may be read one at a time. On the first read, set the property to TRANSIENT_FIRST and *propertyCount* to one. If a transient object is present, the lowest numbered handle is returned and *moreData* will be YES if transient objects with higher handles are loaded. On the subsequent call, use returned handle value plus 1 in order to access the next higher handle.

The *propertyCount* parameter indicates the number of capabilities in the indicated group that are requested. The TPM will return no more than the number of requested values (*propertyCount*) or until the last property of the requested type has been returned.

NOTE 1 The type of the capability is derived from a combination of *capability* and *property*.

NOTE 2 If the *property* selects an unimplemented property, the next higher implemented property is returned.

When all of the properties of the requested type have been returned, the *moreData* parameter in the response will be set to NO. Otherwise, it will be set to YES.

NOTE 3 The *moreData* parameter will be YES if there are more properties even if the requested number of capabilities has been returned.

The TPM is not required to return more than one value at a time. It is not required to provide the same number of values in response to subsequent requests.

EXAMPLE 2 A TPM may return 4 properties in response to a TPM2_GetCapability(*capability* = TPM_CAP_TPM_PROPERTY, *property* = TPM_PT_MANUFACTURER, *propertyCount* = 8) and for a latter request with the same parameters, the TPM may return as few as one and as many as 8 values.

When the TPM is in Failure mode, a TPM is required to allow use of this command for access of the following capabilities:

- TPM_PT_MANUFACTURER
- TPM_PT_VENDOR_STRING_1
- TPM_PT_VENDOR_STRING_2 (NOTE 4)
- TPM_PT_VENDOR_STRING_3 (NOTE 4)
- TPM_PT_VENDOR_STRING_4 (NOTE 4)
- TPM_PT_VENDOR_TPM_TYPE
- TPM_PT_FIRMWARE_VERSION_1
- TPM_PT_FIRMWARE_VERSION_2

NOTE 4 If the vendor string does not require one of these values, the property type does not need to exist.

A vendor may optionally allow the TPM to return other values.

If in Failure mode and a capability is requested that is not available in Failure mode, the TPM shall return no value.

EXAMPLE 3 Assume the TPM is in Failure mode and the TPM only supports reporting of the minimum required set of properties (the limited subset of TPML_TAGGED_TPM_PROPERTY values). If a TPM2_GetCapability is received requesting a capability that has a property type value greater than TPM_PT_FIRMWARE_VERSION_2, the TPM may return a zero length list with the moreData parameter set to NO or return the property TPM_PT_FIRMWARE_VERSION_2. If the property type is less than TPM_PT_MANUFACTURER, the TPM will return properties beginning with TPM_PT_MANUFACTURER.

In Failure mode, *tag* is required to be TPM_ST_NO_SESSIONS or the TPM shall return TPM_RC_FAILURE.

The capability categories and the types of the return values are:

| <i>capability</i> | <i>property</i> | Return Type |
|---|------------------------------|------------------------------|
| TPM_CAP_ALGS | TPM_ALG_ID ⁽¹⁾ | TPML_ALG_PROPERTY |
| TPM_CAP_HANDLES | TPM_HANDLE | TPML_HANDLE |
| TPM_CAP_COMMANDS | TPM_CC | TPML_CCA |
| TPM_CAP_PP_COMMANDS | TPM_CC | TPML_CC |
| TPM_CAP_AUDIT_COMMANDS | TPM_CC | TPML_CC |
| TPM_CAP_PCERS | Reserved | TPML_PCR_SELECTION |
| TPM_CAP_TPM_PROPERTIES | TPM_PT | TPML_TAGGED_TPM_PROPERTY |
| TPM_CAP_PCR_PROPERTIES | TPM_PT_PCR | TPML_TAGGED_PCR_PROPERTY |
| TPM_CAP_ECC_CURVES | TPM_ECC_CURVE ⁽¹⁾ | TPML_ECC_CURVE |
| TPM_CAP_AUTH_POLICIES ⁽³⁾ | TPM_HANDLE ⁽²⁾ | TPML_TAGGED_POLICY |
| TPM_CAP_ACT ⁽⁴⁾ | TPM_HANDLE ⁽²⁾ | TPML_ACT_DATA |
| TPM_CAP_VENDOR_PROPERTY | manufacturer specific | manufacturer-specific values |
| NOTES: (1) The TPM_ALG_ID or TPM_ECC_CURVE is cast to a UINT32 (2) The TPM will return TPM_RC_VALUE if the handle does not reference the range for permanent handles. (3) TPM_CAP_AUTH_POLICIES was added in revision 01.32. (4) TPM_CAP_ACT was added in revision 01.56. | | |

- **TPM_CAP_ALGS** – Returns a list of TPMS_ALG_PROPERTIES. Each entry is an algorithm ID and a set of properties of the algorithm.
- **TPM_CAP_HANDLES** – Returns a list of all of the handles within the handle range of the *property* parameter. The range of the returned handles is determined by the handle type (the most-significant octet (MSO) of the *property*). Any of the defined handle types is allowed

EXAMPLE 4 If the MSO of *property* is TPM_HT_NV_INDEX, then the TPM will return a list of NV Index values.

EXAMPLE 5 If the MSO of *property* is TPM_HT_PCR, then the TPM will return a list of PCR.

- For this capability, use of TPM_HT_LOADED_SESSION and TPM_HT_SAVED_SESSION is allowed. Requesting handles with a handle type of TPM_HT_LOADED_SESSION will return handles for loaded sessions. The returned handle values will have a handle type of either TPM_HT_HMAC_SESSION or TPM_HT_POLICY_SESSION. If saved sessions are requested, all returned values will have the TPM_HT_HMAC_SESSION handle type because the TPM does not track the session type of saved sessions.

NOTE 5 TPM_HT_LOADED_SESSION and TPM_HT_HMAC_SESSION have the same value, as do TPM_HT_SAVED_SESSION and TPM_HT_POLICY_SESSION. It is not possible to request that the TPM return a list of loaded HMAC sessions without including the policy sessions.

- **TPM_CAP_COMMANDS** – Returns a list of the command attributes for all of the commands implemented in the TPM, starting with the TPM_CC indicated by the *property* parameter. If vendor specific commands are implemented, the vendor-specific command attribute with the lowest *commandIndex*, is returned after the non-vendor-specific (base) command.

NOTE 6 The type of the property parameter is a TPM_CC while the type of the returned list is TPML_CCA.

- **TPM_CAP_PP_COMMANDS** – Returns a list of all of the commands currently requiring Physical Presence for confirmation of platform authorization. The list will start with the TPM_CC indicated by *property*.
- **TPM_CAP_AUDIT_COMMANDS** – Returns a list of all of the commands currently set for command audit.
- **TPM_CAP_PCERS** – Returns the current allocation of PCR in a TPML_PCR_SELECTION. The *property* parameter shall be zero. The TPM will always respond to this command with the full PCR allocation and *moreData* will be NO.

The TPML_PCR_SELECTION must include a TPMS_PCR_SELECTION for each PCR bank in which there is at least one allocated PCR. The TPML_PCR_SELECTION may return a TPMS_PCR_SELECTION for each implemented PCR bank. The TPML_PCR_SELECTION may return a TPMS_PCR_SELECTION for each implemented hash algorithm.

- **TPM_CAP_TPM_PROPERTIES** – Returns a list of tagged properties. The tag is a TPM_PT and the property is a 32-bit value. The properties are returned in groups. Each property group is on a 256-value boundary (that is, the boundary occurs when the TPM_PT is evenly divisible by 256). The TPM will only return values in the same group as the *property* parameter in the command.
- **TPM_CAP_PCR_PROPERTIES** – Returns a list of tagged PCR properties. The tag is a TPM_PT_PCR and the property is a TPMS_PCR_SELECT.

The input command property is a TPM_PT_PCR (see TPM 2.0 Part 2 for PCR properties to be requested) that specifies the first property to be returned. If *propertyCount* is greater than 1, the list of properties begins with that property and proceeds in TPM_PT_PCR sequence.

Each item in the list is a TPMS_PCR_SELECT structure that contains a bitmap of all PCR.

NOTE 7 A PCR index in all banks (all hash algorithms) has the same properties, so the hash algorithm is not specified here.

- TPM_CAP_TPM_ECC_CURVES – Returns a list of ECC curve identifiers currently available for use in the TPM.
- TPM_CAP_AUTH_POLICIES - Returns a list of tagged policies reporting the authorization policies for the permanent handles.
- TPM_CAP_ACT – Returns a list of TPMS_ACT_DATA, each of which contains the handle for the ACT, the remaining time before it expires, and the ACT attributes.

The *moreData* parameter will have a value of YES if there are more values of the requested type that were not returned.

If no next capability exists, the TPM will return a zero-length list and *moreData* will have a value of NO.

30.2.2 Command and Response

Table 208 — TPM2_GetCapability Command

| Type | Name | Description |
|---------------------|---------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_GetCapability |
| TPM_CAP | capability | group selection; determines the format of the response |
| UINT32 | property | further definition of information |
| UINT32 | propertyCount | number of properties of the indicated type to return |

Table 209 — TPM2_GetCapability Response

| Type | Name | Description |
|----------------------|----------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMI_YES_NO | moreData | flag to indicate if there are more values of this type |
| TPMS_CAPABILITY_DATA | capabilityData | the capability data |

30.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "GetCapability_fp.h"
3  #if CC_GetCapability // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|--|
| TPM_RC_HANDLE | value of <i>property</i> is in an unsupported handle range for the TPM_CAP_HANDLES <i>capability</i> value |
| TPM_RC_VALUE | invalid <i>capability</i> , or <i>property</i> is not 0 for the TPM_CAP_PCERS <i>capability</i> value |

```

4  TPM_RC
5  TPM2_GetCapability(
6      GetCapability_In    *in,           // IN: input parameter list
7      GetCapability_Out  *out          // OUT: output parameter list
8  )
9  {
10     TPMU_CAPABILITIES  *data = &out->capabilityData.data;
11     // Command Output
12
13     // Set output capability type the same as input type
14     out->capabilityData.capability = in->capability;
15
16     switch(in->capability)
17     {
18         case TPM_CAP_ALGS:
19             out->moreData = AlgorithmCapGetImplemented((TPM_ALG_ID)in->property,
20                                                         in->propertyCount,
21                                                         &data->algorithms);
22             break;
23         case TPM_CAP_HANDLES:
24             switch(HandleGetType((TPM_HANDLE)in->property))
25             {
26                 case TPM_HT_TRANSIENT:
27                     // Get list of handles of loaded transient objects
28                     out->moreData = ObjectCapGetLoaded((TPM_HANDLE)in->property,
29                                                         in->propertyCount,
30                                                         &data->handles);
31                     break;
32                 case TPM_HT_PERSISTENT:
33                     // Get list of handles of persistent objects
34                     out->moreData = NvCapGetPersistent((TPM_HANDLE)in->property,
35                                                         in->propertyCount,
36                                                         &data->handles);
37                     break;
38                 case TPM_HT_NV_INDEX:
39                     // Get list of defined NV index
40                     out->moreData = NvCapGetIndex((TPM_HANDLE)in->property,
41                                                         in->propertyCount,
42                                                         &data->handles);
43                     break;
44                 case TPM_HT_LOADED_SESSION:
45                     // Get list of handles of loaded sessions
46                     out->moreData = SessionCapGetLoaded((TPM_HANDLE)in->property,
47                                                         in->propertyCount,
48                                                         &data->handles);
49                     break;
50                 #ifdef TPM_HT_SAVED_SESSION
51                     case TPM_HT_SAVED_SESSION:
52                     #else
53                     #endif

```



```

53         case TPM_HT_ACTIVE_SESSION:
54 #endif
55         // Get list of handles of
56         out->moreData = SessionCapGetSaved((TPM_HANDLE)in->property,
57                                           in->propertyCount,
58                                           &data->handles);
59         break;
60     case TPM_HT_PCR:
61         // Get list of handles of PCR
62         out->moreData = PCRCapGetHandles((TPM_HANDLE)in->property,
63                                         in->propertyCount,
64                                         &data->handles);
65         break;
66     case TPM_HT_PERMANENT:
67         // Get list of permanent handles
68         out->moreData = PermanentCapGetHandles((TPM_HANDLE)in->property,
69                                               in->propertyCount,
70                                               &data->handles);
71         break;
72     default:
73         // Unsupported input handle type
74         return TPM_RCS_HANDLE + RC_GetCapability_property;
75         break;
76     }
77     break;
78 case TPM_CAP_COMMANDS:
79     out->moreData = CommandCapGetCCList((TPM_CC)in->property,
80                                       in->propertyCount,
81                                       &data->command);
82     break;
83 case TPM_CAP_PP_COMMANDS:
84     out->moreData = PhysicalPresenceCapGetCCList((TPM_CC)in->property,
85                                                in->propertyCount,
86                                                &data->ppCommands);
87     break;
88 case TPM_CAP_AUDIT_COMMANDS:
89     out->moreData = CommandAuditCapGetCCList((TPM_CC)in->property,
90                                             in->propertyCount,
91                                             &data->auditCommands);
92     break;
93 case TPM_CAP_PCERS:
94     // Input property must be 0
95     if(in->property != 0)
96         return TPM_RCS_VALUE + RC_GetCapability_property;
97     out->moreData = PCRCapGetAllocation(in->propertyCount,
98                                       &data->assignedPCR);
99     break;
100 case TPM_CAP_PCR_PROPERTIES:
101     out->moreData = PCRCapGetProperties((TPM_PT_PCR)in->property,
102                                       in->propertyCount,
103                                       &data->pcrProperties);
104     break;
105 case TPM_CAP_TPM_PROPERTIES:
106     out->moreData = TPMCapGetProperties((TPM_PT)in->property,
107                                       in->propertyCount,
108                                       &data->tpmProperties);
109     break;
110 #if ALG_ECC
111     case TPM_CAP_ECC_CURVES:
112         out->moreData = CryptCapGetECCurve((TPM_ECC_CURVE)in->property,
113                                           in->propertyCount,
114                                           &data->eccCurves);
115         break;
116 #endif // ALG_ECC
117     case TPM_CAP_AUTH_POLICIES:
118         if(HandleGetType((TPM_HANDLE)in->property) != TPM_HT_PERMANENT)

```

```
119         return TPM_RCS_VALUE + RC_GetCapability_property;
120     out->moreData = PermanentHandleGetPolicy((TPM_HANDLE)in->property,
121                                             in->propertyCount,
122                                             &data->authPolicies);
123     break;
124     case TPM_CAP_ACT:
125         if(((TPM_RH)in->property < TPM_RH_ACT_0)
126           || ((TPM_RH)in->property > TPM_RH_ACT_F))
127             return TPM_RCS_VALUE + RC_GetCapability_property;
128         out->moreData = ActGetCapabilityData((TPM_HANDLE)in->property,
129                                           in->propertyCount,
130                                           &data->actData);
131     break;
132     case TPM_CAP_VENDOR_PROPERTY:
133         // vendor property is not implemented
134     default:
135         // Unsupported TPM_CAP value
136         return TPM_RCS_VALUE + RC_GetCapability_capability;
137     break;
138 }
139
140 return TPM_RC_SUCCESS;
141 }
142 #endif // CC_GetCapability
```

30.3 TPM2_TestParms

30.3.1 General Description

This command is used to check to see if specific combinations of algorithm parameters are supported.

The TPM will unmarshal the provided TPMT_PUBLIC_PARMS. If the parameters unmarshal correctly, then the TPM will return TPM_RC_SUCCESS, indicating that the parameters are valid for the TPM. The TPM will return the appropriate unmarshaling error if a parameter is not valid.

30.3.2 Command and Response

Table 210 — TPM2_TestParms Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_TestParms |
| TPMT_PUBLIC_PARMS | parameters | algorithm parameters to be validated |

Table 211 — TPM2_TestParms Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | TPM_RC |

30.3.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "TestParms_fp.h"
3  #if CC_TestParms // Conditional expansion of this file
4  TPM_RC
5  TPM2_TestParms(
6      TestParms_In *in // IN: input parameter list
7  )
8  {
9      // Input parameter is not reference in command action
10     NOT_REFERENCED(in);
11
12     // The parameters are tested at unmarshal process. We do nothing in command
13     // action
14     return TPM_RC_SUCCESS;
15 }
16 #endif // CC_TestParms
```

31 Non-volatile Storage

31.1 Introduction

The NV commands are used to create, update, read, and delete allocations of space in NV memory. Before an Index may be used, it must be defined (TPM2_NV_DefineSpace()).

An Index may be modified if the proper write authorization is provided or read if the proper read authorization is provided. Different controls are available for reading and writing.

An Index may have an Index-specific *authValue* and *authPolicy*. The *authValue* may be used to authorize reading if TPMA_NV_AUTHREAD is SET and writing if TPMA_NV_AUTHWRITE is SET. The *authPolicy* may be used to authorize reading if TPMA_NV_POLICYREAD is SET and writing if TPMA_NV_POLICYWRITE is SET.

For commands that have both *authHandle* and *nvIndex* parameters, *authHandle* can be an NV Index, Platform Authorization, or Owner Authorization. If *authHandle* is an NV Index, it must be the same as *nvIndex* (TPM_RC_NV_AUTHORIZATION).

TPMA_NV_PPREAD and TPMA_NV_PPWRITE indicate if reading or writing of the NV Index may be authorized by *platformAuth* or *platformPolicy*.

TPMA_NV_OWNERREAD and TPMA_NV_OWNERWRITE indicate if reading or writing of the NV Index may be authorized by *ownerAuth* or *ownerPolicy*.

If an operation on an NV index requires authorization, and the *authHandle* parameter is the handle of an NV Index, then the *nvIndex* parameter must have the same value or the TPM will return TPM_RC_NV_AUTHORIZATION.

NOTE 1 This check ensures that the authorization that was provided is associated with the NV Index being authorized.

For creating an Index, Owner Authorization may not be used if *shEnable* is CLEAR and Platform Authorization may not be used if *phEnableNV* is CLEAR.

If an Index was defined using Platform Authorization, then that Index is not accessible when *phEnableNV* is CLEAR. If an Index was defined using Owner Authorization, then that Index is not accessible when *shEnable* is CLEAR.

For read access control, any combination of TPMA_NV_PPREAD, TPMA_NV_OWNERREAD, TPMA_NV_AUTHREAD, or TPMA_NV_POLICYREAD is allowed as long as at least one is SET.

For write access control, any combination of TPMA_NV_PPWRITE, TPMA_NV_OWNERWRITE, TPMA_NV_AUTHWRITE, or TPMA_NV_POLICYWRITE is allowed as long as at least one is SET.

If an Index has been defined and not written, then any operation on the NV Index that requires read authorization will fail (TPM_RC_NV_INITIALIZED). This check may be made before or after other authorization checks but shall be performed before checking the NV Index *authValue*. An authorization failure due to the NV Index not having been written shall not be logged by the dictionary attack logic.

If TPMA_NV_CLEAR_STCLEAR is SET, then the TPMA_NV_WRITTEN will be CLEAR on each TPM2_Startup(TPM_SU_CLEAR). TPMA_NV_CLEAR_STCLEAR shall not be SET if the *nvIndexType* is TPM_NT_COUNTER.

The code in the “Detailed Actions” clause of each command is written to interface with an implementation-dependent library that allows access to NV memory. The actions assume no specific layout of the structure of the NV data.

Only one NV Index may be directly referenced in a command.

NOTE 2 This means that, if *authHandle* references an NV Index, then *nvIndex* will have the same value. However, this does not limit the number of changes that may occur as side effects. For example, any number of NV Indexes might be relocated as a result of deleting or adding a NV Index.

31.2 NV Counters

When an Index has the TPM_NT_COUNTER attribute, it behaves as a monotonic counter and may only be updated using TPM2_NV_Increment().

When an NV counter is created, the TPM shall initialize the 8-octet counter value with a number that is greater than any count value for any NV counter on the TPM since the time of TPM manufacture.

An NV counter may be defined with the TPMA_NV_ORDERLY attribute to indicate that the NV Index is expected to be modified at a high frequency and that the data is only required to persist when the TPM goes through an orderly shutdown process. The TPM may update the counter value in RAM and occasionally update the non-volatile version of the counter. An orderly shutdown is one occasion to update the non-volatile count. If the difference between the volatile and non-volatile version of the counter becomes as large as MAX_ORDERLY_COUNT, this shall be another occasion for updating the non-volatile count.

Before an NV counter can be used, the TPM shall validate that the count is not less than a previously reported value. If the TPMA_NV_ORDERLY attribute is not SET, or if the TPM experienced an orderly shutdown, then the count is assumed to be correct. If the TPMA_NV_ORDERLY attribute is SET, and the TPM shutdown was not orderly, then the TPM shall OR MAX_ORDERLY_COUNT to the contents of the non-volatile counter and set that as the current count.

- NOTE 1 Because the TPM would have updated the NV Index if the difference between the count values was equal to MAX_ORDERLY_COUNT + 1, the highest value that could have been in the NV Index is MAX_ORDERLY_COUNT so it is safe to restore that value.
- NOTE 2 The TPM may implement the RAM portion of the counter such that the effective value of the NV counter is the sum of both the volatile and non-volatile parts. If so, then the TPM may initialize the RAM version of the counter to MAX_ORDERLY_COUNT and no update of NV is necessary.
- NOTE 3 When a new NV counter is created, the TPM may search all the counters to determine which has the highest value. In this search, the TPM would use the sum of the non-volatile and RAM portions of the counter. The RAM portion of the counter shall be properly initialized to reflect shutdown process (orderly or not) of the TPM.

31.3 TPM2_NV_DefineSpace

31.3.1 General Description

This command defines the attributes of an NV Index and causes the TPM to reserve space to hold the data associated with the NV Index. If a definition already exists at the NV Index, the TPM will return TPM_RC_NV_DEFINED.

The TPM will return TPM_RC_ATTRIBUTES if *nvIndexType* has a reserved value in *publicInfo*.

NOTE 1 It is not required that any of these three attributes be set.

The TPM shall return TPM_RC_ATTRIBUTES if TPMA_NV_WRITTEN, TPMA_NV_READLOCKED, or TPMA_NV_WRITELOCKED is SET.

If *nvIndexType* is TPM_NT_COUNTER, TPM_NT_BITS, TPM_NT_PIN_FAIL, or TPM_NT_PIN_PASS, then *publicInfo*→*dataSize* shall be set to eight (8) or the TPM shall return TPM_RC_SIZE.

If *nvIndexType* is TPM_NT_EXTEND, then *publicInfo*→*dataSize* shall match the digest size of the *publicInfo.nameAlg* or the TPM shall return TPM_RC_SIZE.

NOTE 2 TPM_RC_ATTRIBUTES could be returned by a TPM that is based on the reference code of older versions of the specification but the correct response for this error is TPM_RC_SIZE.

If the NV Index is an ordinary Index and *publicInfo*→*dataSize* is larger than supported by the TPM implementation then the TPM shall return TPM_RC_SIZE.

NOTE 3 The limit for the data size may vary according to the type of the index. For example, if the index has TPMA_NV_ORDERLY SET, then the maximum size of an ordinary NV Index may be less than the size of an ordinary NV Index that has TPMA_NV_ORDERLY CLEAR.

At least one of TPMA_NV_PPREAD, TPMA_NV_OWNERREAD, TPMA_NV_AUTHREAD, or TPMA_NV_POLICYREAD shall be SET or the TPM shall return TPM_RC_ATTRIBUTES.

At least one of TPMA_NV_PPWRITE, TPMA_NV_OWNERWRITE, TPMA_NV_AUTHWRITE, or TPMA_NV_POLICYWRITE shall be SET or the TPM shall return TPM_RC_ATTRIBUTES.

If TPMA_NV_CLEAR_STCLEAR is SET, then *nvIndexType* shall not be TPM_NT_COUNTER or the TPM shall return TPM_RC_ATTRIBUTES.

If *platformAuth/platformPolicy* is used for authorization, then TPMA_NV_PLATFORMCREATE shall be SET in *publicInfo*. If *ownerAuth/ownerPolicy* is used for authorization, TPMA_NV_PLATFORMCREATE shall be CLEAR in *publicInfo*. If TPMA_NV_PLATFORMCREATE is not set correctly for the authorization, the TPM shall return TPM_RC_ATTRIBUTES.

If TPMA_NV_POLICY_DELETE is SET, then the authorization shall be with Platform Authorization or the TPM shall return TPM_RC_ATTRIBUTES.

If *nvIndexType* is TPM_NT_PIN_FAIL, then TPMA_NV_NO_DA shall be SET. Otherwise, the TPM shall return TPM_RC_ATTRIBUTES.

NOTE 4 The intent of a PIN Fail index is that its DA protection is on a per-index basis, not based on the global DA protection. This avoids conflict over which type of dictionary attack protection is in use.

If *nvIndexType* is TPM_NT_PIN_FAIL or TPM_NT_PIN_PASS, then at least one of TPMA_NV_PPWRITE, TPMA_NV_OWNERWRITE, or TPMA_NV_POLICYWRITE shall be SET or the TPM shall return TPM_RC_ATTRIBUTES. TPMA_NV_AUTHWRITE shall be CLEAR. Otherwise, the TPM shall return TPM_RC_ATTRIBUTES.

NOTE 5 If TPMA_NV_AUTHWRITE was SET for a PIN Pass index, a user knowing the authorization value could decrease pinCount or increase pinLimit, defeating the purpose of a PIN Pass index. The requirement is also enforced for a PIN Fail index for consistency.

If the implementation does not support TPM2_NV_Increment(), the TPM shall return TPM_RC_ATTRIBUTES if *nvIndexType* is TPM_NT_COUNTER.

If the implementation does not support TPM2_NV_SetBits(), the TPM shall return TPM_RC_ATTRIBUTES if *nvIndexType* is TPM_NT_BITS.

If the implementation does not support TPM2_NV_Extend(), the TPM shall return TPM_RC_ATTRIBUTES if *nvIndexType* is TPM_NT_EXTEND.

If the implementation does not support TPM2_NV_UndefineSpaceSpecial(), the TPM shall return TPM_RC_ATTRIBUTES if TPMA_NV_POLICY_DELETE is SET.

After the successful completion of this command, the NV Index exists but TPMA_NV_WRITTEN will be CLEAR. Any access of the NV data will return TPM_RC_NV_UNINITIALIZED.

In some implementations, an NV Index with the TPM_NT_COUNTER attribute may require special TPM resources that provide higher endurance than regular NV. For those implementations, if this command fails because of lack of resources, the TPM will return TPM_RC_NV_SPACE.

The value of *auth* is saved in the created structure. The size of *auth* is limited to be no larger than the size of the digest produced by the NV Index's *nameAlg* (TPM_RC_SIZE).

31.3.2 Command and Response

Table 212 — TPM2_NV_DefineSpace Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_DefineSpace {NV} |
| TPMI_RH_PROVISION | @authHandle | TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER |
| TPM2B_AUTH | auth | the authorization value |
| TPM2B_NV_PUBLIC | publicInfo | the public parameters of the NV area |

Table 213 — TPM2_NV_DefineSpace Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

31.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "NV_DefineSpace_fp.h"
3  #if CC_NV_DefineSpace // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|--|
| TPM_RC_HIERARCHY | for authorizations using TPM_RH_PLATFORM <i>phEnable_NV</i> is clear preventing access to NV data in the platform hierarchy. |
| TPM_RC_ATTRIBUTES | attributes of the index are not consistent |
| TPM_RC_NV_DEFINED | index already exists |
| TPM_RC_NV_SPACE | insufficient space for the index |
| TPM_RC_SIZE | 'auth->size' or ' <i>publicInfo->authPolicy.size</i> ' is larger than the digest size of ' <i>publicInfo->nameAlg</i> '; or ' <i>publicInfo->dataSize</i> ' is not consistent with ' <i>publicInfo->attributes</i> ' (this includes the case when the index is larger than a MAX_NV_BUFFER_SIZE but the TPMA_NV_WRITEALL attribute is SET) |

```

4  TPM_RC
5  TPM2_NV_DefineSpace(
6      NV_DefineSpace_In  *in          // IN: input parameter list
7  )
8  {
9      TPMA_NV      attributes = in->publicInfo.nvPublic.attributes;
10     UINT16      nameSize;
11
12     nameSize = CryptHashGetDigestSize(in->publicInfo.nvPublic.nameAlg);
13
14     // Input Validation
15
16     // Checks not specific to type
17
18     // If the UndefineSpaceSpecial command is not implemented, then can't have
19     // an index that can only be deleted with policy
20     #if CC_NV_UndefineSpaceSpecial == NO
21         if (IS_ATTRIBUTE(attributes, TPMA_NV, POLICY_DELETE))
22             return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace_publicInfo;
23     #endif
24
25     // check that the authPolicy consistent with hash algorithm
26
27     if (in->publicInfo.nvPublic.authPolicy.t.size != 0
28         && in->publicInfo.nvPublic.authPolicy.t.size != nameSize)
29         return TPM_RCS_SIZE + RC_NV_DefineSpace_publicInfo;
30
31     // make sure that the authValue is not too large
32     if (MemoryRemoveTrailingZeros (&in->auth)
33         > CryptHashGetDigestSize(in->publicInfo.nvPublic.nameAlg))
34         return TPM_RCS_SIZE + RC_NV_DefineSpace_auth;
35
36     // If an index is being created by the owner and shEnable is
37     // clear, then we would not reach this point because ownerAuth
38     // can't be given when shEnable is CLEAR. However, if phEnable
39     // is SET but phEnableNV is CLEAR, we have to check here
40     if (in->authHandle == TPM_RH_PLATFORM && gc.phEnableNV == CLEAR)
41         return TPM_RCS_HIERARCHY + RC_NV_DefineSpace_authHandle;
42
43     // Attribute checks
44     // Eliminate the unsupported types

```

```

45     switch(GET_TPM_NT(attributes))
46     {
47 #if CC_NV_Increment == YES
48     case TPM_NT_COUNTER:
49 #endif
50 #if CC_NV_SetBits == YES
51     case TPM_NT_BITS:
52 #endif
53 #if CC_NV_Extend == YES
54     case TPM_NT_EXTEND:
55 #endif
56 #if CC_PolicySecret == YES && defined TPM_NT_PIN_PASS
57     case TPM_NT_PIN_PASS:
58     case TPM_NT_PIN_FAIL:
59 #endif
60     case TPM_NT_ORDINARY:
61         break;
62     default:
63         return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace_publicInfo;
64         break;
65     }
66     // Check that the sizes are OK based on the type
67     switch(GET_TPM_NT(attributes))
68     {
69     case TPM_NT_ORDINARY:
70         // Can't exceed the allowed size for the implementation
71         if(in->publicInfo.nvPublic.dataSize > MAX_NV_INDEX_SIZE)
72             return TPM_RCS_SIZE + RC_NV_DefineSpace_publicInfo;
73         break;
74     case TPM_NT_EXTEND:
75         if(in->publicInfo.nvPublic.dataSize != nameSize)
76             return TPM_RCS_SIZE + RC_NV_DefineSpace_publicInfo;
77         break;
78     default:
79         // Everything else needs a size of 8
80         if(in->publicInfo.nvPublic.dataSize != 8)
81             return TPM_RCS_SIZE + RC_NV_DefineSpace_publicInfo;
82         break;
83     }
84     // Handle other specifics
85     switch(GET_TPM_NT(attributes))
86     {
87     case TPM_NT_COUNTER:
88         // Counter can't have TPMA_NV_CLEAR_STCLEAR SET (don't clear counters)
89         if(IS_ATTRIBUTE(attributes, TPMA_NV, CLEAR_STCLEAR))
90             return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace_publicInfo;
91         break;
92 #ifndef TPM_NT_PIN_FAIL
93     case TPM_NT_PIN_FAIL:
94         // NV_NO_DA must be SET and AUTHWRITE must be CLEAR
95         // NOTE: As with a PIN_PASS index, the authValue of the index is not
96         // available until the index is written. If AUTHWRITE is the only way to
97         // write then index, it could never be written. Rather than go through
98         // all of the other possible ways to write the Index, it is simply
99         // prohibited to write the index with the authValue. Other checks
100        // below will insure that there seems to be a way to write the index
101        // (i.e., with platform authorization , owner authorization,
102        // or with policyAuth.)
103        // It is not allowed to create a PIN Index that can't be modified.
104        if(!IS_ATTRIBUTE(attributes, TPMA_NV, NO_DA))
105            return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace_publicInfo;
106 #endif
107 #ifndef TPM_NT_PIN_PASS
108     case TPM_NT_PIN_PASS:
109         // AUTHWRITE must be CLEAR (see note above to TPM_NT_PIN_FAIL)
110         if(IS_ATTRIBUTE(attributes, TPMA_NV, AUTHWRITE)

```

```

111         || IS_ATTRIBUTE(attributes, TPMA_NV, GLOBALLOCK)
112         || IS_ATTRIBUTE(attributes, TPMA_NV, WRITEDEFINE))
113         return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace_publicInfo;
114 #endif // this comes before break because PIN_FAIL falls through
115         break;
116     default:
117         break;
118     }
119
120     // Locks may not be SET and written cannot be SET
121     if(IS_ATTRIBUTE(attributes, TPMA_NV, WRITTEN)
122        || IS_ATTRIBUTE(attributes, TPMA_NV, WRITELOCKED)
123        || IS_ATTRIBUTE(attributes, TPMA_NV, READLOCKED))
124         return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace_publicInfo;
125
126     // There must be a way to read the index.
127     if(!IS_ATTRIBUTE(attributes, TPMA_NV, OWNERREAD)
128        && !IS_ATTRIBUTE(attributes, TPMA_NV, PPREAD)
129        && !IS_ATTRIBUTE(attributes, TPMA_NV, AUTHREAD)
130        && !IS_ATTRIBUTE(attributes, TPMA_NV, POLICYREAD))
131         return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace_publicInfo;
132
133     // There must be a way to write the index
134     if(!IS_ATTRIBUTE(attributes, TPMA_NV, OWNERWRITE)
135        && !IS_ATTRIBUTE(attributes, TPMA_NV, PPWRITE)
136        && !IS_ATTRIBUTE(attributes, TPMA_NV, AUTHWRITE)
137        && !IS_ATTRIBUTE(attributes, TPMA_NV, POLICYWRITE))
138         return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace_publicInfo;
139
140     // An index with TPMA_NV_CLEAR_STCLEAR can't have TPMA_NV_WRITEDEFINE SET
141     if(IS_ATTRIBUTE(attributes, TPMA_NV, CLEAR_STCLEAR)
142        && IS_ATTRIBUTE(attributes, TPMA_NV, WRITEDEFINE))
143         return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace_publicInfo;
144
145     // Make sure that the creator of the index can delete the index
146     if((IS_ATTRIBUTE(attributes, TPMA_NV, PLATFORMCREATE)
147        && in->authHandle == TPM_RH_OWNER)
148        || (!IS_ATTRIBUTE(attributes, TPMA_NV, PLATFORMCREATE)
149        && in->authHandle == TPM_RH_PLATFORM))
150         return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace_authHandle;
151
152     // If TPMA_NV_POLICY_DELETE is SET, then the index must be defined by
153     // the platform
154     if(IS_ATTRIBUTE(attributes, TPMA_NV, POLICY_DELETE)
155        && TPM_RH_PLATFORM != in->authHandle)
156         return TPM_RCS_ATTRIBUTES + RC_NV_DefineSpace_publicInfo;
157
158     // Make sure that the TPMA_NV_WRITEALL is not set if the index size is larger
159     // than the allowed NV buffer size.
160     if(in->publicInfo.nvPublic.dataSize > MAX_NV_BUFFER_SIZE
161        && IS_ATTRIBUTE(attributes, TPMA_NV, WRITEALL))
162         return TPM_RCS_SIZE + RC_NV_DefineSpace_publicInfo;
163
164     // And finally, see if the index is already defined.
165     if(NvIndexIsDefined(in->publicInfo.nvPublic.nvIndex))
166         return TPM_RC_NV_DEFINED;
167
168     // Internal Data Update
169     // define the space. A TPM_RC_NV_SPACE error may be returned at this point
170     return NvDefineIndex(&in->publicInfo.nvPublic, &in->auth);
171 }
172 #endif // CC_NV_DefineSpace

```

31.4 TPM2_NV_UndefineSpace

31.4.1 General Description

This command removes an Index from the TPM.

If *nvIndex* is not defined, the TPM shall return TPM_RC_HANDLE.

If *nvIndex* references an Index that has its TPMA_NV_PLATFORMCREATE attribute SET, the TPM shall return TPM_RC_NV_AUTHORIZATION unless Platform Authorization is provided.

If *nvIndex* references an Index that has its TPMA_NV_POLICY_DELETE attribute SET, the TPM shall return TPM_RC_ATTRIBUTES.

NOTE An Index with TPMA_NV_PLATFORMCREATE CLEAR may be deleted with Platform Authorization as long as shEnable is SET. If shEnable is CLEAR, indexes created using Owner Authorization are not accessible even for deletion by the platform.

31.4.2 Command and Response

Table 214 — TPM2_NV_UndefineSpace Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_UndefineSpace {NV} |
| TPMI_RH_PROVISION | @authHandle | TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER |
| TPMI_RH_NV_INDEX | nvIndex | the NV Index to remove from NV space Auth Index: None |

Table 215 — TPM2_NV_UndefineSpace Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

31.4.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "NV_UndefineSpace_fp.h"
3  #if CC_NV_UndefineSpace // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------------|--|
| TPM_RC_ATTRIBUTES | TPMA_NV_POLICY_DELETE is SET in the Index referenced by <i>nvIndex</i> so this command may not be used to delete this Index (see TPM2_NV_UndefineSpaceSpecial()) |
| TPM_RC_NV_AUTHORIZATION | attempt to use <i>ownerAuth</i> to delete an index created by the platform |

```

4  TPM_RC
5  TPM2_NV_UndefineSpace(
6      NV_UndefineSpace_In    *in           // IN: input parameter list
7  )
8  {
9      NV_REF                locator;
10     NV_INDEX               *nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
11
12     // Input Validation
13     // This command can't be used to delete an index with TPMA_NV_POLICY_DELETE SET
14     if(IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, POLICY_DELETE))
15         return TPM_RCS_ATTRIBUTES + RC_NV_UndefineSpace_nvIndex;
16
17     // The owner may only delete an index that was defined with ownerAuth. The
18     // platform may delete an index that was created with either authorization.
19     if(in->authHandle == TPM_RH_OWNER
20         && IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, PLATFORMCREATE))
21         return TPM_RC_NV_AUTHORIZATION;
22
23     // Internal Data Update
24
25     // Call implementation dependent internal routine to delete NV index
26     return NvDeleteIndex(nvIndex, locator);
27 }
28 #endif // CC_NV_UndefineSpace

```


31.5 TPM2_NV_UndefineSpaceSpecial

31.5.1 General Description

This command allows removal of a platform-created NV Index that has TPMA_NV_POLICY_DELETE SET.

This command requires that the policy of the NV Index be satisfied before the NV Index may be deleted. Because administrative role is required, the policy must contain a command that sets the policy command code to TPM_CC_NV_UndefineSpaceSpecial. This indicates that the policy that is being used is a policy that is for this command, and not a policy that would approve another use. That is, authority to use an entity does not grant authority to undefine the entity.

Since the index is deleted, the Empty Buffer is used as the authValue when generating the response HMAC.

If *nvIndex* is not defined, the TPM shall return TPM_RC_HANDLE.

If *nvIndex* references an Index that has its TPMA_NV_PLATFORMCREATE or TPMA_NV_POLICY_DELETE attribute CLEAR, the TPM shall return TPM_RC_ATTRIBUTES.

NOTE An Index with TPMA_NV_PLATFORMCREATE CLEAR may be deleted with TPM2_UndefineSpace() as long as shEnable is SET. If shEnable is CLEAR, indexes created using Owner Authorization are not accessible even for deletion by the platform.

31.5.2 Command and Response

Table 216 — TPM2_NV_UndefineSpaceSpecial Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_UndefineSpaceSpecial {NV} |
| TPMI_RH_NV_INDEX | @nvIndex | Index to be deleted Auth Index: 1 Auth Role: ADMIN |
| TPMI_RH_PLATFORM | @platform | TPM_RH_PLATFORM + {PP} Auth Index: 2 Auth Role: USER |

Table 217 — TPM2_NV_UndefineSpaceSpecial Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

31.5.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "NV_UndefineSpaceSpecial_fp.h"
3  #include "SessionProcess_fp.h"
4  #if CC_NV_UndefineSpaceSpecial // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|--|
| TPM_RC_ATTRIBUTES | TPMA_NV_POLICY_DELETE is not SET in the Index referenced by <i>nvIndex</i> |

```

5  TPM_RC
6  TPM2_NV_UndefineSpaceSpecial(
7      NV_UndefineSpaceSpecial_In *in // IN: input parameter list
8  )
9  {
10     TPM_RC      result;
11     NV_REF      locator;
12     NV_INDEX    *nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
13 // Input Validation
14 // This operation only applies when the TPMA_NV_POLICY_DELETE attribute is SET
15 if(!IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, POLICY_DELETE))
16     return TPM_RCS_ATTRIBUTES + RC_NV_UndefineSpaceSpecial_nvIndex;
17 // Internal Data Update
18 // Call implementation dependent internal routine to delete NV index
19 result = NvDeleteIndex(nvIndex, locator);
20
21 // If we just removed the index providing the authorization, make sure that the
22 // authorization session computation is modified so that it doesn't try to
23 // access the authValue of the just deleted index
24 if(result == TPM_RC_SUCCESS)
25     SessionRemoveAssociationToHandle(in->nvIndex);
26     return result;
27 }
28 #endif // CC_NV_UndefineSpaceSpecial

```

31.6 TPM2_NV_ReadPublic

31.6.1 General Description

This command is used to read the public area and Name of an NV Index. The public area of an Index is not privacy-sensitive and no authorization is required to read this data.

31.6.2 Command and Response

Table 218 — TPM2_NV_ReadPublic Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit or encrypt session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_ReadPublic |
| TPMI_RH_NV_INDEX | nvIndex | the NV Index Auth Index: None |

Table 219 — TPM2_NV_ReadPublic Response

| Type | Name | Description |
|-----------------|--------------|---------------------------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_NV_PUBLIC | nvPublic | the public area of the NV Index |
| TPM2B_NAME | nvName | the Name of the <i>nvIndex</i> |

31.6.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "NV_ReadPublic_fp.h"
3  #if CC_NV_ReadPublic // Conditional expansion of this file
4  TPM_RC
5  TPM2_NV_ReadPublic(
6      NV_ReadPublic_In  *in,          // IN: input parameter list
7      NV_ReadPublic_Out *out         // OUT: output parameter list
8  )
9  {
10     NV_INDEX      *nvIndex = NvGetIndexInfo(in->nvIndex, NULL);
11
12     // Command Output
13
14     // Copy index public data to output
15     out->nvPublic.nvPublic = nvIndex->publicArea;
16
17     // Compute NV name
18     NvGetIndexName(nvIndex, &out->nvName);
19
20     return TPM_RC_SUCCESS;
21 }
22 #endif // CC_NV_ReadPublic
```

31.7 TPM2_NV_Write

31.7.1 General Description

This command writes a value to an area in NV memory that was previously defined by TPM2_NV_DefineSpace().

Proper authorizations are required for this command as determined by TPMA_NV_PPWRITE; TPMA_NV_OWNERWRITE; TPMA_NV_AUTHWRITE; and, if TPMA_NV_POLICY_WRITE is SET, the *authPolicy* of the NV Index.

If the TPMA_NV_WRITELOCKED attribute of the NV Index is SET, then the TPM shall return TPM_RC_NV_LOCKED.

NOTE 1 If authorization sessions are present, they are checked before checks to see if writes to the NV Index are locked.

If *nvIndexType* is TPM_NT_COUNTER, TPM_NT_BITS or TPM_NT_EXTEND, then the TPM shall return TPM_RC_ATTRIBUTES.

If *offset* and the *size* field of *data* add to a value that is greater than the *dataSize* field of the NV Index referenced by *nvIndex*, the TPM shall return an error (TPM_RC_NV_RANGE). The implementation may return an error (TPM_RC_VALUE) if it performs an additional check and determines that *offset* is greater than the *dataSize* field of the NV Index.

If the TPMA_NV_WRITEALL attribute of the NV Index is SET, then the TPM shall return TPM_RC_NV_RANGE if the size of the *data* parameter of the command is not the same as the *data* field of the NV Index.

If all checks succeed, the TPM will merge the *data.size* octets of *data.buffer* value into the *nvIndex→data* starting at *nvIndex→data[offset]*. If the NV memory is implemented with a technology that has endurance limitations, the TPM shall check that the merged data is different from the current contents of the NV Index and only perform a write to NV memory if they differ.

After successful completion of this command, TPMA_NV_WRITTEN for the NV Index will be SET.

NOTE 2 Once SET, TPMA_NV_WRITTEN remains SET until the NV Index is undefined or the NV Index is cleared.

31.7.2 Command and Response

Table 220 — TPM2_NV_Write Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_Write {NV} |
| TPMI_RH_NV_AUTH | @authHandle | handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER |
| TPMI_RH_NV_INDEX | nvIndex | the NV Index of the area to write Auth Index: None |
| TPM2B_MAX_NV_BUFFER | data | the data to write |
| UINT16 | offset | the octet offset into the NV Area |

Table 221 — TPM2_NV_Write Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

31.7.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "NV_Write_fp.h"
3  #if CC_NV_Write // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------------|---|
| TPM_RC_ATTRIBUTES | Index referenced by <i>nvIndex</i> has either TPMA_NV_BITS, TPMA_NV_COUNTER, or TPMA_NV_EVENT attribute SET |
| TPM_RC_NV_AUTHORIZATION | the authorization was valid but the authorizing entity (<i>authHandle</i>) is not allowed to write to the Index referenced by <i>nvIndex</i> |
| TPM_RC_NV_LOCKED | Index referenced by <i>nvIndex</i> is write locked |
| TPM_RC_NV_RANGE | if TPMA_NV_WRITEALL is SET then the write is not the size of the Index referenced by <i>nvIndex</i> ; otherwise, the write extends beyond the limits of the Index |

```

4  TPM_RC
5  TPM2_NV_Write(
6      NV_Write_In      *in          // IN: input parameter list
7  )
8  {
9      NV_INDEX          *nvIndex = NvGetIndexInfo(in->nvIndex, NULL);
10     TPMA_NV           attributes = nvIndex->publicArea.attributes;
11     TPM_RC            result;
12
13     // Input Validation
14
15     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
16     // or TPM_RC_NV_LOCKED
17     result = NvWriteAccessChecks(in->authHandle,
18                                 in->nvIndex,
19                                 attributes);
20     if(result != TPM_RC_SUCCESS)
21         return result;
22
23     // Bits index, extend index or counter index may not be updated by
24     // TPM2_NV_Write
25     if(IsNvCounterIndex(attributes)
26         || IsNvBitsIndex(attributes)
27         || IsNvExtendIndex(attributes))
28         return TPM_RC_ATTRIBUTES;
29
30     // Make sure that the offset is not too large
31     if(in->offset > nvIndex->publicArea.dataSize)
32         return TPM_RCS_VALUE + RC_NV_Write_offset;
33
34     // Make sure that the selection is within the range of the Index
35     if(in->data.t.size > (nvIndex->publicArea.dataSize - in->offset))
36         return TPM_RC_NV_RANGE;
37
38     // If this index requires a full sized write, make sure that input range is
39     // full sized.
40     // Note: if the requested size is the same as the Index data size, then offset
41     // will have to be zero. Otherwise, the range check above would have failed.
42     if(IS_ATTRIBUTE(attributes, TPMA_NV, WRITEALL)
43         && in->data.t.size < nvIndex->publicArea.dataSize)
44         return TPM_RC_NV_RANGE;
45
46     // Internal Data Update
47

```

```
48     // Perform the write. This called routine will SET the TPMA_NV_WRITTEN
49     // attribute if it has not already been SET. If NV isn't available, an error
50     // will be returned.
51     return NvWriteIndexData(nvIndex, in->offset, in->data.t.size,
52                             in->data.t.buffer);
53 }
54 #endif // CC_NV_Write
```

31.8 TPM2_NV_Increment

31.8.1 General Description

This command is used to increment the value in an NV Index that has the TPM_NT_COUNTER attribute. The data value of the NV Index is incremented by one.

NOTE 1 The NV Index counter is an unsigned value.

If *nvIndexType* is not TPM_NT_COUNTER in the indicated NV Index, the TPM shall return TPM_RC_ATTRIBUTES.

If TPMA_NV_WRITELOCKED is SET, the TPM shall return TPM_RC_NV_LOCKED.

If TPMA_NV_WRITTEN is CLEAR, it will be SET.

If TPMA_NV_ORDERLY is SET, and the difference between the volatile and non-volatile versions of this field is greater than MAX_ORDERLY_COUNT, then the non-volatile version of the counter is updated.

NOTE 2 If a TPM implements TPMA_NV_ORDERLY and an Index is defined with TPMA_NV_ORDERLY and TPM_NT_COUNTER both SET, then in the Event of a non-orderly shutdown, the non-volatile value for the counter Index will be advanced by MAX_ORDERLY_COUNT at the next TPM2_Startup().

NOTE 3 An allowed implementation would keep a counter value in NV and a resettable counter in RAM. The reported value of the NV Index would be the sum of the two values. When the RAM count increments past the maximum allowed value (MAX_ORDERLY_COUNT), the non-volatile version of the count is updated with the sum of the values and the RAM count is reset to zero.

31.8.2 Command and Response

Table 222 — TPM2_NV_Increment Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_Increment {NV} |
| TPMI_RH_NV_AUTH | @authHandle | handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER |
| TPMI_RH_NV_INDEX | nvIndex | the NV Index to increment Auth Index: None |

Table 223 — TPM2_NV_Increment Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

31.8.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "NV_Increment_fp.h"
3  #if CC_NV_Increment // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------------|---------------------------|
| TPM_RC_ATTRIBUTES | NV index is not a counter |
| TPM_RC_NV_AUTHORIZATION | authorization failure |
| TPM_RC_NV_LOCKED | Index is write locked |

```

4  TPM_RC
5  TPM2_NV_Increment(
6      NV_Increment_In      *in          // IN: input parameter list
7  )
8  {
9      TPM_RC      result;
10     NV_REF      locator;
11     NV_INDEX    *nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
12     UINT64      countValue;
13
14     // Input Validation
15
16     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
17     // or TPM_RC_NV_LOCKED
18     result = NvWriteAccessChecks(in->authHandle,
19                                 in->nvIndex,
20                                 nvIndex->publicArea.attributes);
21     if(result != TPM_RC_SUCCESS)
22         return result;
23
24     // Make sure that this is a counter
25     if(!IsNvCounterIndex(nvIndex->publicArea.attributes))
26         return TPM_RC_ATTRIBUTES + RC_NV_Increment_nvIndex;
27
28     // Internal Data Update
29
30     // If counter index is not been written, initialize it
31     if(!IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, WRITTEN))
32         countValue = NvReadMaxCount();
33     else
34         // Read NV data in native format for TPM CPU.
35         countValue = NvGetUINT64Data(nvIndex, locator);
36
37     // Do the increment
38     countValue++;
39
40     // Write NV data back. A TPM_RC_NV_UNAVAILABLE or TPM_RC_NV_RATE error may
41     // be returned at this point. If necessary, this function will set the
42     // TPMA_NV WRITTEN attribute
43     result = NvWriteUINT64Data(nvIndex, countValue);
44     if(result == TPM_RC_SUCCESS)
45     {
46         // If a counter just rolled over, then force the NV update.
47         // Note, if this is an orderly counter, then the write-back needs to be
48         // forced, for other counters, the write-back will happen anyway
49         if(IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, ORDERLY)
50            && (countValue & MAX_ORDERLY_COUNT) == 0 )
51         {
52             // Need to force an NV update of orderly data

```

```
53         SET_NV_UPDATE(UT_ORDERLY);
54     }
55 }
56 return result;
57 }
58 #endif // CC_NV_Increment
```

31.9 TPM2_NV_Extend

31.9.1 General Description

This command extends a value to an area in NV memory that was previously defined by TPM2_NV_DefineSpace.

If *nvIndexType* is not TPM_NT_EXTEND, then the TPM shall return TPM_RC_ATTRIBUTES.

Proper write authorizations are required for this command as determined by TPMA_NV_PPWRITE, TPMA_NV_OWNERWRITE, TPMA_NV_AUTHWRITE, and the *authPolicy* of the NV Index.

After successful completion of this command, TPMA_NV_WRITTEN for the NV Index will be SET.

NOTE 1 Once SET, TPMA_NV_WRITTEN remains SET until the NV Index is undefined, unless the TPMA_NV_CLEAR_STCLEAR attribute is SET and a TPM Reset or TPM Restart occurs.

If the TPMA_NV_WRITELOCKED attribute of the NV Index is SET, then the TPM shall return TPM_RC_NV_LOCKED.

NOTE 2 If authorization sessions are present, they are checked before checks to see if writes to the NV Index are locked.

The *data.buffer* parameter may be larger than the defined size of the NV Index.

The Index will be updated by:

$$nvIndex \rightarrow data_{new} := H_{nameAlg}(nvIndex \rightarrow data_{old} || data.buffer) \quad (41)$$

where

| | |
|---|--|
| <i>nvIndex</i> → <i>data</i> _{new} | the value of the data field in the NV Index after the command returns |
| $H_{nameAlg}()$ | the hash algorithm indicated in <i>nvIndex</i> → <i>nameAlg</i> |
| <i>nvIndex</i> → <i>data</i> _{old} | the value of the data field in the NV Index before the command is called |
| <i>data.buffer</i> | the data buffer of the command parameter |

NOTE 3 If TPMA_NV_WRITTEN is CLEAR, then *nvIndex*→*data*_{old} is a Zero Digest.

31.9.2 Command and Response

Table 224 — TPM2_NV_Extend Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_Extend {NV} |
| TPMI_RH_NV_AUTH | @authHandle | handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER |
| TPMI_RH_NV_INDEX | nvIndex | the NV Index to extend Auth Index: None |
| TPM2B_MAX_NV_BUFFER | data | the data to extend |

Table 225 — TPM2_NV_Extend Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

31.9.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "NV_Extend_fp.h"
3  #if CC_NV_Extend // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------------|--|
| TPM_RC_ATTRIBUTES | the TPMA_NV_EXTEND attribute is not SET in the Index referenced by <i>nvIndex</i> |
| TPM_RC_NV_AUTHORIZATION | the authorization was valid but the authorizing entity (<i>authHandle</i>) is not allowed to write to the Index referenced by <i>nvIndex</i> |
| TPM_RC_NV_LOCKED | the Index referenced by <i>nvIndex</i> is locked for writing |

```

4  TPM_RC
5  TPM2_NV_Extend(
6      NV_Extend_In    *in           // IN: input parameter list
7  )
8  {
9      TPM_RC          result;
10     NV_REF          locator;
11     NV_INDEX        *nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
12
13     TPM2B_DIGEST    oldDigest;
14     TPM2B_DIGEST    newDigest;
15     HASH_STATE      hashState;
16
17     // Input Validation
18
19     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
20     // or TPM_RC_NV_LOCKED
21     result = NvWriteAccessChecks(in->authHandle,
22                                 in->nvIndex,
23                                 nvIndex->publicArea.attributes);
24     if(result != TPM_RC_SUCCESS)
25         return result;
26
27     // Make sure that this is an extend index
28     if(!IsNvExtendIndex(nvIndex->publicArea.attributes))
29         return TPM_RCS_ATTRIBUTES + RC_NV_Extend_nvIndex;
30
31     // Internal Data Update
32
33     // Perform the write.
34     oldDigest.t.size = CryptHashGetDigestSize(nvIndex->publicArea.nameAlg);
35     pAssert(oldDigest.t.size <= sizeof(oldDigest.t.buffer));
36     if(IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, WRITTEN))
37     {
38         NvGetIndexData(nvIndex, locator, 0, oldDigest.t.size, oldDigest.t.buffer);
39     }
40     else
41     {
42         MemorySet(oldDigest.t.buffer, 0, oldDigest.t.size);
43     }
44     // Start hash
45     newDigest.t.size = CryptHashStart(&hashState, nvIndex->publicArea.nameAlg);
46
47     // Adding old digest
48     CryptDigestUpdate2B(&hashState, &oldDigest.b);
49
50     // Adding new data

```

```
51     CryptDigestUpdate2B(&hashState, &in->data.b);
52
53     // Complete hash
54     CryptHashEnd2B(&hashState, &newDigest.b);
55
56     // Write extended hash back.
57     // Note, this routine will SET the TPMA_NV_WRITTEN attribute if necessary
58     return NvWriteIndexData(nvIndex, 0, newDigest.t.size, newDigest.t.buffer);
59 }
60 #endif // CC_NV_Extend
```

31.10 TPM2_NV_SetBits

31.10.1 General Description

This command is used to SET bits in an NV Index that was created as a bit field. Any number of bits from 0 to 64 may be SET. The contents of *bits* are ORed with the current contents of the NV Index.

If TPMA_NV_WRITTEN is not SET, then, for the purposes of this command, the NV Index is considered to contain all zero bits and *data* is ORed with that value.

If TPM_NT_BITS is not SET, then the TPM shall return TPM_RC_ATTRIBUTES.

After successful completion of this command, TPMA_NV_WRITTEN for the NV Index will be SET.

NOTE TPMA_NV_WRITTEN will be SET even if no bits were SET.

31.10.2 Command and Response

Table 226 — TPM2_NV_SetBits Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_SetBits {NV} |
| TPMI_RH_NV_AUTH | @authHandle | handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER |
| TPMI_RH_NV_INDEX | nvIndex | NV Index of the area in which the bit is to be set Auth Index: None |
| UINT64 | bits | the data to OR with the current contents |

Table 227 — TPM2_NV_SetBits Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

31.10.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "NV_SetBits_fp.h"
3  #if CC_NV_SetBits // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------------|--|
| TPM_RC_ATTRIBUTES | the TPMA_NV_BITS attribute is not SET in the Index referenced by <i>nvIndex</i> |
| TPM_RC_NV_AUTHORIZATION | the authorization was valid but the authorizing entity (<i>authHandle</i>) is not allowed to write to the Index referenced by <i>nvIndex</i> |
| TPM_RC_NV_LOCKED | the Index referenced by <i>nvIndex</i> is locked for writing |

```

4  TPM_RC
5  TPM2_NV_SetBits(
6      NV_SetBits_In  *in           // IN: input parameter list
7      )
8  {
9      TPM_RC          result;
10     NV_REF          locator;
11     NV_INDEX        *nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
12     UINT64          oldValue;
13     UINT64          newValue;
14
15     // Input Validation
16
17     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
18     // or TPM_RC_NV_LOCKED
19     result = NvWriteAccessChecks(in->authHandle,
20                                 in->nvIndex,
21                                 nvIndex->publicArea.attributes);
22     if(result != TPM_RC_SUCCESS)
23         return result;
24
25     // Make sure that this is a bit field
26     if(!IsNvBitsIndex(nvIndex->publicArea.attributes))
27         return TPM_RCS_ATTRIBUTES + RC_NV_SetBits_nvIndex;
28
29     // If index is not been written, initialize it
30     if(!IS_ATTRIBUTE(nvIndex->publicArea.attributes, TPMA_NV, WRITTEN))
31         oldValue = 0;
32     else
33         // Read index data
34         oldValue = NvGetUINT64Data(nvIndex, locator);
35
36     // Figure out what the new value is going to be
37     newValue = oldValue | in->bits;
38
39     // Internal Data Update
40     return NvWriteUINT64Data(nvIndex, newValue);
41 }
42 #endif // CC_NV_SetBits

```

31.11 TPM2_NV_WriteLock

31.11.1 General Description

If the TPMA_NV_WRITEDEFINE or TPMA_NV_WRITE_STCLEAR attributes of an NV location are SET, then this command may be used to inhibit further writes of the NV Index.

Proper write authorization is required for this command as determined by TPMA_NV_PPWRITE, TPMA_NV_OWNERWRITE, TPMA_NV_AUTHWRITE, and the *authPolicy* of the NV Index.

It is not an error if TPMA_NV_WRITELOCKED for the NV Index is already SET.

If neither TPMA_NV_WRITEDEFINE nor TPMA_NV_WRITE_STCLEAR of the NV Index is SET, then the TPM shall return TPM_RC_ATTRIBUTES.

If the command is properly authorized and TPMA_NV_WRITE_STCLEAR or TPMA_NV_WRITEDEFINE is SET, then the TPM shall SET TPMA_NV_WRITELOCKED for the NV Index. TPMA_NV_WRITELOCKED will be clear on the next TPM2_Startup(TPM_SU_CLEAR) if either TPMA_NV_WRITEDEFINE is CLEAR or TPMA_NV_WRITTEN is CLEAR.

31.11.2 Command and Response

Table 228 — TPM2_NV_WriteLock Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_WriteLock {NV} |
| TPMI_RH_NV_AUTH | @authHandle | handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER |
| TPMI_RH_NV_INDEX | nvIndex | the NV Index of the area to lock Auth Index: None |

Table 229 — TPM2_NV_WriteLock Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

31.11.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "NV_WriteLock_fp.h"
3  #if CC_NV_WriteLock // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------------|--|
| TPM_RC_ATTRIBUTES | neither TPMA_NV_WRITEDEFINE nor TPMA_NV_WRITE_STCLEAR is SET in Index referenced by <i>nvIndex</i> |
| TPM_RC_NV_AUTHORIZATION | the authorization was valid but the authorizing entity (<i>authHandle</i>) is not allowed to write to the Index referenced by <i>nvIndex</i> |

```

4  TPM_RC
5  TPM2_NV_WriteLock(
6      NV_WriteLock_In    *in          // IN: input parameter list
7  )
8  {
9      TPM_RC          result;
10     NV_REF          locator;
11     NV_INDEX        *nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
12     TPMA_NV         nvAttributes = nvIndex->publicArea.attributes;
13
14     // Input Validation:
15
16     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
17     // or TPM_RC_NV_LOCKED
18     result = NvWriteAccessChecks(in->authHandle, in->nvIndex, nvAttributes);
19     if(result != TPM_RC_SUCCESS)
20     {
21         if(result == TPM_RC_NV_AUTHORIZATION)
22             return result;
23         // If write access failed because the index is already locked, then it is
24         // no error.
25         return TPM_RC_SUCCESS;
26     }
27     // if neither TPMA_NV_WRITEDEFINE nor TPMA_NV_WRITE_STCLEAR is set, the index
28     // can not be write-locked
29     if(!IS_ATTRIBUTE(nvAttributes, TPMA_NV, WRITEDEFINE)
30         && !IS_ATTRIBUTE(nvAttributes, TPMA_NV, WRITE_STCLEAR))
31         return TPM_RCS_ATTRIBUTES + RC_NV_WriteLock_nvIndex;
32     // Internal Data Update
33     // Set the WRITELOCK attribute.
34     // Note: if TPMA_NV_WRITELOCKED were already SET, then the write access check
35     // above would have failed and this code isn't executed.
36     SET_ATTRIBUTE(nvAttributes, TPMA_NV, WRITELOCKED);
37
38     // Write index info back
39     return NvWriteIndexAttributes(nvIndex->publicArea.nvIndex, locator,
40                                 nvAttributes);
41 }
42 #endif // CC_NV_WriteLock

```


31.12 TPM2_NV_GlobalWriteLock

31.12.1 General Description

The command will SET TPMA_NV_WRITELOCKED for all indexes that have their TPMA_NV_GLOBALLOCK attribute SET.

If an Index has both TPMA_NV_GLOBALLOCK and TPMA_NV_WRITEDEFINE SET, then this command will permanently lock the NV Index for writing unless TPMA_NV_WRITTEN is CLEAR.

NOTE If an Index is defined with TPMA_NV_GLOBALLOCK SET, then the global lock does not apply until the next time this command is executed.

This command requires either platformAuth/platformPolicy or ownerAuth/ownerPolicy.

31.12.2 Command and Response

Table 230 — TPM2_NV_GlobalWriteLock Command

| Type | Name | Description |
|---------------------|-------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_GlobalWriteLock {NV} |
| TPMI_RH_PROVISION | @authHandle | TPM_RH_OWNER or TPM_RH_PLATFORM+{PP} Auth Index: 1 Auth Role: USER |

Table 231 — TPM2_NV_GlobalWriteLock Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

31.12.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "NV_GlobalWriteLock_fp.h"
3  #if CC_NV_GlobalWriteLock // Conditional expansion of this file
4  TPM_RC
5  TPM2_NV_GlobalWriteLock(
6      NV_GlobalWriteLock_In *in // IN: input parameter list
7  )
8  {
9      // Input parameter (the authorization handle) is not reference in command action.
10     NOT_REFERENCED(in);
11
12     // Internal Data Update
13
14     // Implementation dependent method of setting the global lock
15     return NvSetGlobalLock();
16 }
17 #endif // CC_NV_GlobalWriteLock
```

31.13 TPM2_NV_Read

31.13.1 General Description

This command reads a value from an area in NV memory previously defined by TPM2_NV_DefineSpace().

Proper authorizations are required for this command as determined by TPMA_NV_PPREAD, TPMA_NV_OWNERREAD, TPMA_NV_AUTHREAD, and the *authPolicy* of the NV Index.

If TPMA_NV_READLOCKED of the NV Index is SET, then the TPM shall return TPM_RC_NV_LOCKED.

If *offset* and the *size* field of *data* add to a value that is greater than the *dataSize* field of the NV Index referenced by *nvIndex*, the TPM shall return an error (TPM_RC_NV_RANGE). The implementation may return an error (TPM_RC_VALUE) if it performs an additional check and determines that *offset* is greater than the *dataSize* field of the NV Index.

For an NV Index with the TPM_NT_COUNTER or TPM_NT_BITS attribute SET, the TPM may ignore the *offset* parameter and use an offset of 0. Therefore, it is recommended that the caller set the *offset* parameter to 0 for interoperability.

NOTE 1 If authorization sessions are present, they are checked before the read-lock status of the NV Index is checked.

If the NV Index has been defined but the TPMA_NV_WRITTEN attribute is CLEAR, then this command shall return TPM_RC_NV_UNINITIALIZED even if *size* is zero.

The *data* parameter in the response may be encrypted using parameter encryption.

31.13.2 Command and Response

Table 232 — TPM2_NV_Read Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_Read |
| TPMI_RH_NV_AUTH | @authHandle | the handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER |
| TPMI_RH_NV_INDEX | nvIndex | the NV Index to be read Auth Index: None |
| UINT16 | size | number of octets to read |
| UINT16 | offset | octet offset into the NV area This value shall be less than or equal to the size of the <i>nvIndex</i> data. |

Table 233 — TPM2_NV_Read Response

| Type | Name | Description |
|---------------------|--------------|---------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPM2B_MAX_NV_BUFFER | data | the data read |

31.13.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "NV_Read_fp.h"
3  #if CC_NV_Read // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------------|---|
| TPM_RC_NV_AUTHORIZATION | the authorization was valid but the authorizing entity (<i>authHandle</i>) is not allowed to read from the Index referenced by <i>nvIndex</i> |
| TPM_RC_NV_LOCKED | the Index referenced by <i>nvIndex</i> is read locked |
| TPM_RC_NV_RANGE | read range defined by <i>size</i> and <i>offset</i> is outside the range of the Index referenced by <i>nvIndex</i> |
| TPM_RC_NV_UNINITIALIZED | the Index referenced by <i>nvIndex</i> has not been initialized (written) |
| TPM_RC_VALUE | the read size is larger than the MAX_NV_BUFFER_SIZE |

```

4  TPM_RC
5  TPM2_NV_Read(
6      NV_Read_In      *in,          // IN: input parameter list
7      NV_Read_Out     *out         // OUT: output parameter list
8  )
9  {
10     NV_REF           locator;
11     NV_INDEX        *nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
12     TPM_RC          result;
13
14     // Input Validation
15     // Common read access checks. NvReadAccessChecks() may return
16     // TPM_RC_NV_AUTHORIZATION, TPM_RC_NV_LOCKED, or TPM_RC_NV_UNINITIALIZED
17     result = NvReadAccessChecks(in->authHandle, in->nvIndex,
18                               nvIndex->publicArea.attributes);
19     if(result != TPM_RC_SUCCESS)
20         return result;
21
22     // Make sure the data will fit the return buffer
23     if(in->size > MAX_NV_BUFFER_SIZE)
24         return TPM_RCS_VALUE + RC_NV_Read_size;
25
26     // Verify that the offset is not too large
27     if(in->offset > nvIndex->publicArea.dataSize)
28         return TPM_RCS_VALUE + RC_NV_Read_offset;
29
30     // Make sure that the selection is within the range of the Index
31     if(in->size > (nvIndex->publicArea.dataSize - in->offset))
32         return TPM_RC_NV_RANGE;
33
34     // Command Output
35     // Set the return size
36     out->data.t.size = in->size;
37
38     // Perform the read
39     NvGetIndexData(nvIndex, locator, in->offset, in->size, out->data.t.buffer);
40
41     return TPM_RC_SUCCESS;
42 }
43 #endif // CC_NV_Read

```

31.14 TPM2_NV_ReadLock

31.14.1 General Description

If TPMA_NV_READ_STCLEAR is SET in an Index, then this command may be used to prevent further reads of the NV Index until the next TPM2_Startup (TPM_SU_CLEAR).

Proper authorizations are required for this command as determined by TPMA_NV_PPREAD, TPMA_NV_OWNERREAD, TPMA_NV_AUTHREAD, and the *authPolicy* of the NV Index.

NOTE Only an entity that may read an Index is allowed to lock the NV Index for read.

If the command is properly authorized and TPMA_NV_READ_STCLEAR of the NV Index is SET, then the TPM shall SET TPMA_NV_READLOCKED for the NV Index. If TPMA_NV_READ_STCLEAR of the NV Index is CLEAR, then the TPM shall return TPM_RC_ATTRIBUTES. TPMA_NV_READLOCKED will be CLEAR by the next TPM2_Startup(TPM_SU_CLEAR).

It is not an error to use this command for an Index that is already locked for reading.

An Index that had not been written may be locked for reading.

31.14.2 Command and Response

Table 234 — TPM2_NV_ReadLock Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_ReadLock {NV} |
| TPMI_RH_NV_AUTH | @authHandle | the handle indicating the source of the authorization value Auth Index: 1 Auth Role: USER |
| TPMI_RH_NV_INDEX | nvIndex | the NV Index to be locked Auth Index: None |

Table 235 — TPM2_NV_ReadLock Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

31.14.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "NV_ReadLock_fp.h"
3  #if CC_NV_ReadLock // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------------|---|
| TPM_RC_ATTRIBUTES | TPMA_NV_READ_STCLEAR is not SET so Index referenced by <i>nvIndex</i> may not be write locked |
| TPM_RC_NV_AUTHORIZATION | the authorization was valid but the authorizing entity (<i>authHandle</i>) is not allowed to read from the Index referenced by <i>nvIndex</i> |

```

4  TPM_RC
5  TPM2_NV_ReadLock(
6      NV_ReadLock_In *in // IN: input parameter list
7  )
8  {
9      TPM_RC result;
10     NV_REF locator;
11     // The referenced index has been checked multiple times before this is called
12     // so it must be present and will be loaded into cache
13     NV_INDEX *nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
14     TPMA_NV nvAttributes = nvIndex->publicArea.attributes;
15
16     // Input Validation
17     // Common read access checks. NvReadAccessChecks() may return
18     // TPM_RC_NV_AUTHORIZATION, TPM_RC_NV_LOCKED, or TPM_RC_NV_UNINITIALIZED
19     result = NvReadAccessChecks(in->authHandle,
20                               in->nvIndex,
21                               nvAttributes);
22     if(result == TPM_RC_NV_AUTHORIZATION)
23         return TPM_RC_NV_AUTHORIZATION;
24     // Index is already locked for write
25     else if(result == TPM_RC_NV_LOCKED)
26         return TPM_RC_SUCCESS;
27
28     // If NvReadAccessChecks return TPM_RC_NV_UNINITIALIZED, then continue.
29     // It is not an error to read lock an uninitialized Index.
30
31     // if TPMA_NV_READ_STCLEAR is not set, the index can not be read-locked
32     if(!IS_ATTRIBUTE(nvAttributes, TPMA_NV, READ_STCLEAR))
33         return TPM_RCS_ATTRIBUTES + RC_NV_ReadLock_nvIndex;
34
35     // Internal Data Update
36
37     // Set the READLOCK attribute
38     SET_ATTRIBUTE(nvAttributes, TPMA_NV, READLOCKED);
39
40     // Write NV info back
41     return NvWriteIndexAttributes(nvIndex->publicArea.nvIndex,
42                                 locator,
43                                 nvAttributes);
44 }
45 #endif // CC_NV_ReadLock

```

31.15 TPM2_NV_ChangeAuth

31.15.1 General Description

This command allows the authorization secret for an NV Index to be changed.

If successful, the authorization secret (*authValue*) of the NV Index associated with *nvIndex* is changed.

This command requires that a policy session be used for authorization of *nvIndex* so that the ADMIN role may be asserted and that *commandCode* in the policy session context shall be TPM_CC_NV_ChangeAuth. That is, the policy must contain a specific authorization for changing the authorization value of the referenced entity.

NOTE The reason for this restriction is to ensure that the administrative actions on *nvIndex* require explicit approval while other commands may use policy that is not command-dependent.

The size of the *newAuth* value may be no larger than the size of the digest produced by the *nameAlg* of the NV Index.

Since the NV Index authorization is changed before the response HMAC is calculated, the *newAuth* value is used when generating the response HMAC key if required. See TPM 2.0 Part 4 ComputeResponseHMAC().

31.15.2 Command and Response

Table 236 — TPM2_NV_ChangeAuth Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_ChangeAuth {NV} |
| TPMI_RH_NV_INDEX | @nvIndex | handle of the entity Auth Index: 1 Auth Role: ADMIN |
| TPM2B_AUTH | newAuth | new authorization value |

Table 237 — TPM2_NV_ChangeAuth Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

31.15.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "NV_ChangeAuth_fp.h"
3  #if CC_NV_ChangeAuth // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_SIZE | <i>newAuth</i> size is larger than the digest size of the Name algorithm for the Index referenced by <i>nvIndex</i> |

```

4  TPM_RC
5  TPM2_NV_ChangeAuth(
6      NV_ChangeAuth_In  *in           // IN: input parameter list
7  )
8  {
9      NV_REF             locator;
10     NV_INDEX           *nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
11
12     // Input Validation
13
14     // Remove trailing zeros and make sure that the result is not larger than the
15     // digest of the nameAlg.
16     if(MemoryRemoveTrailingZeros(&in->newAuth)
17         > CryptHashGetDigestSize(nvIndex->publicArea.nameAlg))
18         return TPM_RCS_SIZE + RC_NV_ChangeAuth_newAuth;
19
20     // Internal Data Update
21     // Change authValue
22     return NvWriteIndexAuth(locator, &in->newAuth);
23 }
24 #endif // CC_NV_ChangeAuth

```

31.16 TPM2_NV_Certify

31.16.1 General Description

The purpose of this command is to certify the contents of an NV Index or portion of an NV Index.

If the *sign* attribute is not SET in the key referenced by *signHandle* then the TPM shall return TPM_RC_KEY.

If the NV Index has been defined but the TPMA_NV_WRITTEN attribute is CLEAR, then this command shall return TPM_RC_NV_UNINITIALIZED even if *size* is zero.

If proper authorization for reading the NV Index is provided, the portion of the NV Index selected by *size* and *offset* are included in an attestation block and signed using the key indicated by *signHandle*. The attestation includes *size* and *offset* so that the range of the data can be determined. It also includes the NV index Name.

For an NV Index with the TPM_NT_COUNTER or TPM_NT_BITS attribute SET, the TPM may ignore the *offset* parameter and use an offset of 0. Therefore, it is recommended that the caller set the *offset* parameter to 0 for interoperability.

If *offset* and *size* add to a value that is greater than the *dataSize* field of the NV Index referenced by *nvIndex*, the TPM shall return an error (TPM_RC_NV_RANGE). The implementation may return an error (TPM_RC_VALUE) if it performs an additional check and determines that *offset* is greater than the *dataSize* field of the NV Index, or if *size* is greater than MAX_NV_BUFFER_SIZE.

NOTE 1 See 18.1 for description of how the signing scheme is selected.

NOTE 2 If *signHandle* is TPM_RH_NULL, the TPMS_ATTEST structure is returned and *signature* is a NULL Signature.

If *size* and *offset* are both zero (0), then *certifyInfo* in the response will contain a TPMS_NV_DIGEST_CERTIFY_INFO, otherwise, it will contain a TPMS_NV_CERTIFY_INFO. The digest in the TPMS_NV_DIGEST_CERTIFY_INFO is created using the digest of the selected signing scheme.

NOTE 3 TPMS_NV_DIGEST_CERTIFY_INFO was added in revision 01.53. It permits TPM2_NV_Certify() to certify NV Index contents that are larger than MAX_NV_BUFFER_SIZE.

31.16.2 Command and Response

Table 238 — TPM2_NV_Certify Command

| Type | Name | Description |
|---------------------|----------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_NV_Certify |
| TPMI_DH_OBJECT+ | @signHandle | handle of the key used to sign the attestation structure Auth Index: 1 Auth Role: USER |
| TPMI_RH_NV_AUTH | @authHandle | handle indicating the source of the authorization value for the NV Index Auth Index: 2 Auth Role: USER |
| TPMI_RH_NV_INDEX | nvIndex | Index for the area to be certified Auth Index: None |
| TPM2B_DATA | qualifyingData | user-provided qualifying data |
| TPMT_SIG_SCHEME+ | inScheme | signing scheme to use if the <i>scheme</i> for <i>signHandle</i> is TPM_ALG_NULL |
| UINT16 | size | number of octets to certify |
| UINT16 | offset | octet offset into the NV area This value shall be less than or equal to the size of the <i>nvIndex</i> data. |

Table 239 — TPM2_NV_Certify Response

| Type | Name | Description |
|----------------|--------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | . |
| TPM2B_ATTEST | certifyInfo | the structure that was signed |
| TPMT_SIGNATURE | signature | the asymmetric signature over <i>certifyInfo</i> using the key referenced by <i>signHandle</i> |

31.16.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Attest_spt_fp.h"
3  #include "NV_Certify_fp.h"
4  #if CC_NV_Certify // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------------|---|
| TPM_RC_NV_AUTHORIZATION | the authorization was valid but the authorizing entity (<i>authHandle</i>) is not allowed to read from the Index referenced by <i>nvIndex</i> |
| TPM_RC_KEY | <i>signHandle</i> does not reference a signing key |
| TPM_RC_NV_LOCKED | Index referenced by <i>nvIndex</i> is locked for reading |
| TPM_RC_NV_RANGE | <i>offset</i> plus <i>size</i> extends outside of the data range of the Index referenced by <i>nvIndex</i> |
| TPM_RC_NV_UNINITIALIZED | Index referenced by <i>nvIndex</i> has not been written |
| TPM_RC_SCHEME | <i>inScheme</i> is not an allowed value for the key definition |

```

5  TPM_RC
6  TPM2_NV_Certify(
7      NV_Certify_In  *in,           // IN: input parameter list
8      NV_Certify_Out *out          // OUT: output parameter list
9  )
10 {
11     TPM_RC          result;
12     NV_REF          locator;
13     NV_INDEX        *nvIndex = NvGetIndexInfo(in->nvIndex, &locator);
14     TPMS_ATTEST     certifyInfo;
15     OBJECT          *signObject = HandleToObject(in->signHandle);
16 // Input Validation
17     if(!IsSigningObject(signObject))
18         return TPM_RCS_KEY + RC_NV_Certify_signHandle;
19     if(!CryptSelectSignScheme(signObject, &in->inScheme))
20         return TPM_RCS_SCHEME + RC_NV_Certify_inScheme;
21
22     // Common access checks, NvWriteAccessCheck() may return TPM_RC_NV_AUTHORIZATION
23     // or TPM_RC_NV_LOCKED
24     result = NvReadAccessChecks(in->authHandle, in->nvIndex,
25                               nvIndex->publicArea.attributes);
26     if(result != TPM_RC_SUCCESS)
27         return result;
28
29     // make sure that the selection is within the range of the Index (cast to avoid
30     // any wrap issues with addition)
31     if((UINT32)in->size + (UINT32)in->offset > (UINT32)nvIndex->publicArea.dataSize)
32         return TPM_RC_NV_RANGE;
33     // Make sure the data will fit the return buffer.
34     // NOTE: This check may be modified if the output buffer will not hold the
35     // maximum sized NV buffer as part of the certified data. The difference in
36     // size could be substantial if the signature scheme was produced a large
37     // signature (e.g., RSA 4096).
38     if(in->size > MAX_NV_BUFFER_SIZE)
39         return TPM_RCS_VALUE + RC_NV_Certify_size;
40
41 // Command Output
42
43     // Fill in attest information common fields
44     FillInAttestInfo(in->signHandle, &in->inScheme, &in->qualifyingData,
45                     &certifyInfo);

```

```
46
47 // Get the name of the index
48 NvGetIndexName(nvIndex, &certifyInfo.attested.nv.indexName);
49
50 // See if this is old format or new format
51 if ((in->size != 0) || (in->offset != 0))
52 {
53     // NV certify specific fields
54     // Attestation type
55     certifyInfo.type = TPM_ST_ATTEST_NV;
56
57     // Set the return size
58     certifyInfo.attested.nv.nvContents.t.size = in->size;
59
60     // Set the offset
61     certifyInfo.attested.nv.offset = in->offset;
62
63     // Perform the read
64     NvGetIndexData(nvIndex, locator, in->offset, in->size,
65                   certifyInfo.attested.nv.nvContents.t.buffer);
66 }
67 else
68 {
69     HASH_STATE hashState;
70     // This is to sign a digest of the data
71     certifyInfo.type = TPM_ST_ATTEST_NV_DIGEST;
72     // Initialize the hash before calling the function to add the Index data to
73     // the hash.
74     certifyInfo.attested.nvDigest.nvDigest.t.size =
75         CryptHashStart(&hashState, in->inScheme.details.any.hashAlg);
76     NvHashIndexData(&hashState, nvIndex, locator, 0,
77                   nvIndex->publicArea.dataSize);
78     CryptHashEnd2B(&hashState, &certifyInfo.attested.nvDigest.nvDigest.b);
79 }
80 // Sign attestation structure. A NULL signature will be returned if
81 // signObject is NULL.
82 return SignAttestInfo(signObject, &in->inScheme, &certifyInfo,
83                      &in->qualifyingData, &out->certifyInfo, &out->signature);
84 }
85 #endif // CC_NV_Certify
```


32 Attached Components

32.1 Introduction

This section contains commands that allow interaction with an Attached Component (AC).

NOTE The Attached Component feature was added in revision 01.40.

32.2 TPM2_AC_GetCapability

32.2.1 General Description

The purpose of this command is to obtain information about an Attached Component referenced by an AC handle.

The returned list contains 0 or more values starting at the first tagged value that is equal to or greater than *capability*.

The list returned in *capabilitiesData* contains tagged values that indicate the type of the value.

The TPM will return the lesser of a) the available values, b) the number requested in *count*, or c) the number that will fit within the available response buffer. If additional values with higher *capability* numbers are available, *moreData* will be YES.

NOTE TPM2_AC_GetCapability() was added in revision 01.40.

32.2.2 Command and Response

Table 240 — TPM2_AC_GetCapability Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_AC_GetCapability |
| TPMI_RH_AC | ac | handle indicating the Attached Component Auth Index: None |
| TPM_AT | capability | starting info type |
| UINT32 | count | maximum number of values to return |

Table 241 — TPM2_AC_GetCapability Response

| Type | Name | Description |
|----------------------|------------------|--|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | . |
| TPMI_YES_NO | moreData | flag to indicate whether there are more values |
| TPML_AC_CAPABILITIES | capabilitiesData | list of capabilities |

32.2.3 Detailed Actions

```
1  #include "Tpm.h"
2  #include "AC_GetCapability_fp.h"
3  #include "AC_spt_fp.h"
4  #if CC_AC_GetCapability // Conditional expansion of this file
5  TPM_RC
6  TPM2_AC_GetCapability(
7      AC_GetCapability_In  *in,           // IN: input parameter list
8      AC_GetCapability_Out *out          // OUT: output parameter list
9  )
10 {
11 // Command Output
12     out->moreData = AcCapabilitiesGet(in->ac, in->count, &out->capabilitiesData);
13
14     return TPM_RC_SUCCESS;
15 }
16 #endif // CC_AC_GetCapability
```

32.3 TPM2_AC_Send

32.3.1 General Description

The purpose of this command is to send (copy) a loaded object from the TPM to an Attached Component.

The Object referenced by *sendObject* is required to have *fixedTpm*, *fixedParent*, and *encryptedDuplication* attributes CLEAR (TPM_RC_ATTRIBUTES). Authorization for *sendObject* is required to be a policy session. The *policySession→commandCode* of the policy session context is required to be TPM_CC_AC_Send (TPM_RC_POLICY_FAIL) to demonstrate that the policy is specific for this command.

Authorization to send to the *ac* is provided by the session associated with *authHandle*.

If an NV Alias is not defined for *ac*, then *authHandle* is required to be either TPM_RH_OWNER or TPM_RH_PLATFORM (TPM_RC_HANDLE).

If an NV Alias is defined for *ac*, then the authorization for *authHandle* is required to be compatible with the write authorization attributes (TPMA_NV_PPWRITE, TPMA_NV_OWNERWRITE, TPMA_NV_AUTHWRITE, and TPMA_NV_POLICYWRITE) in the NV Alias (TPM_RC_NV_AUTHORIZATION).

NOTE 1 If authorization for *authHandle* is the handle of an NV Index, then it is required to be the NV Alias value for *ac* (TPM_RC_NV_AUTHORIZATION).

If authorization succeeds, the TPM will attempt to send *acDataIn* and relevant portions of *sendObject* to the AC referenced by *ac*.

The TPM will return TPM_RC_SUCCESS if it succeeds in performing all the required authorizations and validations. If problems occur in the process of sending the object from the TPM to the AC, the response code will be TPM_RC_SUCCESS with the AC-dependent error reported in *acDataOut*.

NOTE 2 TPM2_AC_Send() was added in revision 01.40.

32.3.2 Command and Response

Table 242 — TPM2_AC_Send Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | Tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_AC_Send |
| TPMI_DH_OBJECT | @sendObject | handle of the object being sent to ac Auth Index: 1 Auth Role: DUP |
| TPMI_RH_NV_AUTH | @authHandle | the handle indicating the source of the authorization value Auth Index: 2 Auth Role: USER |
| TPMI_RH_AC | ac | handle indicating the Attached Component to which the object will be sent Auth Index: None |
| TPM2B_MAX_BUFFER | acDataIn | Optional non sensitive information related to the object |

Table 243 — TPM2_AC_Send Response

| Type | Name | Description |
|----------------|--------------|---|
| TPM_ST | Tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |
| TPMS_AC_OUTPUT | acDataOut | May include AC specific data or information about an error. |

32.3.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "AC_Send_fp.h"
3  #include "AC_spt_fp.h"
4  #if CC_AC_Send // Conditional expansion of this file

```

| Error Returns | Meaning |
|-------------------|---|
| TPM_RC_ATTRIBUTES | key to duplicate has <i>fixedParent</i> SET |
| TPM_RC_HASH | for an RSA key, the <i>nameAlg</i> digest size for the <i>newParent</i> is not compatible with the key size |
| TPM_RC_HIERARCHY | <i>encryptedDuplication</i> is SET and <i>newParentHandle</i> specifies Null Hierarchy |
| TPM_RC_KEY | <i>newParentHandle</i> references invalid ECC key (public point not on the curve) |
| TPM_RC_SIZE | input encryption key size does not match the size specified in symmetric algorithm |
| TPM_RC_SYMMETRIC | <i>encryptedDuplication</i> is SET but no symmetric algorithm is provided |
| TPM_RC_TYPE | <i>newParentHandle</i> is neither a storage key nor TPM_RH_NULL; or the object has a NULL <i>nameAlg</i> |
| TPM_RC_VALUE | for an RSA <i>newParent</i> , the sizes of the digest and the encryption key are too large to be OAEP encoded |

```

5  TPM_RC
6  TPM2_AC_Send(
7      AC_Send_In    *in,           // IN: input parameter list
8      AC_Send_Out  *out           // OUT: output parameter list
9  )
10 {
11     NV_REF          locator;
12     TPM_HANDLE     nvAlias = ((in->ac - AC_FIRST) + NV_AC_FIRST);
13     NV_INDEX       *nvIndex = NvGetIndexInfo(nvAlias, &locator);
14     OBJECT         *object = HandleToObject(in->sendObject);
15     TPM_RC         result;
16     // Input validation
17     // If there is an NV alias, then the index must allow the authorization provided
18     if(nvIndex != NULL)
19     {
20         // Common access checks, NvWriteAccessCheck() may return
21         // TPM_RC_NV_AUTHORIZATION or TPM_RC_NV_LOCKED
22         result = NvWriteAccessChecks(in->authHandle, nvAlias,
23                                   nvIndex->publicArea.attributes);
24         if(result != TPM_RC_SUCCESS)
25             return result;
26     }
27     // If 'ac' did not have an alias then the authorization had to be with either
28     // platform or owner authorization. The type of TPMSI_RH_NV_AUTH only allows
29     // owner or platform or an NV index. If it was a valid index, it would have had
30     // an alias and be processed above, so only success here is if this is a
31     // permanent handle.
32     else if(HandleGetType(in->authHandle) != TPM_HT_PERMANENT)
33         return TPM_RCS_HANDLE + RC_AC_Send_authHandle;
34     // Make sure that the object to be duplicated has the right attributes
35     if(IS_ATTRIBUTE(object->publicArea.objectAttributes,
36                    TPMA_OBJECT, encryptedDuplication)
37        || IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT,
38                       fixedParent)

```

```
39     || IS_ATTRIBUTE(object->publicArea.objectAttributes, TPMA_OBJECT, fixedTPM))
40     return TPM_RCS_ATTRIBUTES + RC_AC_Send_sendObject;
41 // Command output
42 // Do the implementation dependent send
43     return AcSendObject(in->ac, object, &out->acDataOut);
44 }
45 #endif // TPM_CC_AC_Send
```


32.4 TPM2_Policy_AC_SendSelect

32.4.1 General Description

This command allows qualification of the sending (copying) of an Object to an Attached Component (AC). Qualification includes selection of the receiving AC and the method of authentication for the AC, and, in certain circumstances, the Object to be sent may be specified.

If this command is not used in conjunction with TPM2_PolicyAuthorize(), then only the *authHandleName* and *acName* are selected and *includeObject* should be CLEAR.

NOTE 1 In the absence of TPM2_PolicyAuthorize(), a policy session cannot create a *policyDigest* that simultaneously equals the *authPolicy* in an Object and names that Object. This is because the *authPolicy* recorded in an Object is unable to include the Name of the Object as the Name of an Object depends on the Object's *authPolicy*.

NOTE 2 An object's *authPolicy* can incorporate the use of TPM2_PolicyAuthorize(). If the authorizing entity for the TPM2_PolicyAuthorize() command specifies only the *ac* and the *authHandle*, then the resultant *policyDigest* may be applied to the sending of any number of Objects. If the authorizing entity for the TPM2_PolicyAuthorize() specifies also the Name of the Object to be sent, then the resultant *policyDigest* applies only to that specific Object.

If either policySession→cpHash or policySession→nameHash has been previously set, the TPM shall return TPM_RC_CPHASH. Otherwise, policySession→nameHash will be set to: nameHash := H_{policyAlg}(objectName || authHandleName || acName)(42)

NOTE 3 A policy cannot specify both *cpHash* and *nameHash* because *policySession→nameHash* and *policySession→cpHash* may share the same memory space.

If the command succeeds, *policySession→policyDigest* will be updated according to the setting of the input parameter *includeObject*. If *includeObject* is SET, *policySession→policyDigest* is updated by:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_Policy_AC_SendSelect || objectName || authHandleName || acName || includeObject) \quad (43)$$

but if *includeObject* is CLEAR, *policySession→policyDigest* is updated by:

$$policyDigest_{new} := H_{policyAlg}(policyDigest_{old} || TPM_CC_Policy_AC_SendSelect || authHandleName || acName || includeObject) \quad (44)$$

NOTE 4 *policySession→nameHash* receives the digest of all Names so that the check performed in TPM2_AC_Send() may be the same regardless of which Names are included in *policySession→policyDigest*. This means that, when TPM2_Policy_AC_SendSelect() is executed, it is only valid for a specific triple of *objectName*, *authHandleName*, and *acName*.

If the command succeeds, *policySession→commandCode* is set to TPM_CC_AC_Send.

NOTE 5 The normal use of TPM2_Policy_AC_SendSelect() is before a TPM2_PolicyAuthorize(). An authorized entity would approve a *policyDigest* that allows sending to a specific Attached Component. The authorizing entity may want to limit the authorization so that the approval allows only a specific Object to be sent to the Attached Component. In that case, the authorizing entity would approve the *policyDigest* of equation (44).

NOTE 6 TPM2_Policy_AC_SendSelect() was added in revision 01.40.

32.4.2 Command and Response

Table 244 — TPM2_Policy_AC_SendSelect Command

| Type | Name | Description |
|---------------------|----------------|---|
| TPMI_ST_COMMAND_TAG | Tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Policy_AC_SendSelect |
| TPMI_SH_POLICY | policySession | handle for the policy session being extended Auth Index: None |
| TPM2B_NAME | objectName | the Name of the Object to be sent |
| TPM2B_NAME | authHandleName | the Name associated with <i>authHandle</i> used in the TPM2_AC_Send() command |
| TPM2B_NAME | acName | the Name of the Attached Component to which the Object will be sent |
| TPMI_YES_NO | includeObject | if SET, <i>objectName</i> will be included in the value in <i>policySession</i> → <i>policyDigest</i> |

Table 245 — TPM2_Policy_AC_SendSelect Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

32.4.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "Policy_AC_SendSelect_fp.h"
3  #if CC_Policy_AC_SendSelect      // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------------|---|
| TPM_RC_COMMAND_CODE | <i>commandCode</i> of <i>policySession</i> ; is not empty |
| TPM_RC_CPHASH | <i>cpHash</i> of <i>policySession</i> is not empty |

```

4  TPM_RC
5  TPM2_Policy_AC_SendSelect(
6      Policy_AC_SendSelect_In *in          // IN: input parameter list
7  )
8  {
9      SESSION          *session;
10     HASH_STATE       hashState;
11     TPM_CC           commandCode = TPM_CC_Policy_AC_SendSelect;
12
13     // Input Validation
14
15     // Get pointer to the session structure
16     session = SessionGet(in->policySession);
17
18     // cpHash in session context must be empty
19     if(session->u1.cpHash.t.size != 0)
20         return TPM_RC_CPHASH;
21     // commandCode in session context must be empty
22     if(session->commandCode != 0)
23         return TPM_RC_COMMAND_CODE;
24     // Internal Data Update
25     // Update name hash
26     session->u1.cpHash.t.size = CryptHashStart(&hashState, session->authHashAlg);
27
28     // add objectName
29     CryptDigestUpdate2B(&hashState, &in->objectName.b);
30
31     // add authHandleName
32     CryptDigestUpdate2B(&hashState, &in->authHandleName.b);
33
34     // add ac name
35     CryptDigestUpdate2B(&hashState, &in->acName.b);
36
37     // complete hash
38     CryptHashEnd2B(&hashState, &session->u1.cpHash.b);
39
40     // update policy hash
41     // Old policyDigest size should be the same as the new policyDigest size since
42     // they are using the same hash algorithm
43     session->u2.policyDigest.t.size
44         = CryptHashStart(&hashState, session->authHashAlg);
45     // add old policy
46     CryptDigestUpdate2B(&hashState, &session->u2.policyDigest.b);
47
48     // add command code
49     CryptDigestUpdateInt(&hashState, sizeof(TPM_CC), commandCode);
50
51     // add objectName
52     if(in->includeObject == YES)
53         CryptDigestUpdate2B(&hashState, &in->objectName.b);
54

```

```
55     // add authHandleName
56     CryptDigestUpdate2B(&hashState, &in->authHandleName.b);
57
58     // add acName
59     CryptDigestUpdate2B(&hashState, &in->acName.b);
60
61     // add includeObject
62     CryptDigestUpdateInt(&hashState, sizeof(TPMI_YES_NO), in->includeObject);
63
64     // complete digest
65     CryptHashEnd2B(&hashState, &session->u2.policyDigest.b);
66
67     // set commandCode in session context
68     session->commandCode = TPM_CC_AC_Send;
69
70     return TPM_RC_SUCCESS;
71 }
72 #endif // CC_Policy_AC_SendSelect
```

33 Authenticated Countdown Timer

33.1 Introduction

This section contains commands that allow interaction with an Authenticated Countdown Timer (ACT).

NOTE The Authenticated Countdown Timer was added in revision 01.56.

33.2 TPM2_ACT_SetTimeout

33.2.1 General Description

This command is used to set the time remaining before an Authenticated Countdown Timer (ACT) expires.

This command sets TPMS_ACT_DATA.*timeout* (ACT Timeout) to *startTimeout*. The *startTimeout* value is an integer number of seconds and may be zero. The *startTimeout* parameter may be greater, equal, or less than the current value of ACT Timeout.

When ACT Timeout is non-zero, it will count down, once per second until it reaches zero, at which time the *signaled* attribute of the TPMA_ACT associated with *actHandle* is SET.

When ACT Timeout is zero and the *signaled* attribute is SET, writing a *startTimeout* of FF FF FF FF₁₆ will clear *signaled* and stop the counting.

There are four states for ACT Timeout and *startTimeout*. The *signaled* attribute will be set as follows:

- 1) If ACT Timeout is zero and *startTimeout* is non-zero, then *signaled* will be CLEAR.
- 2) If ACT Timeout is non-zero and *startTimeout* is non-zero, then *signaled* will be CLEAR.
- 3) If ACT Timeout is zero and *startTimeout* is zero, then *signaled* will be unchanged.
- 4) If ACT Timeout is non-zero and *startTimeout* is zero, then *signaled* will be SET.

NOTE 1 The ACT signals on a transition from non-zero to zero. The transition can occur either due to TPM2_ACT_SetTimeout() or a decrement. The effect of *signaled* is platform dependent.

NOTE 2 It may take up to one second until ACT Timeout will be set and *signaled* will be CLEAR or SET by TPM2_ACT_SetTimeout() or TPM2_Startup(STATE). This allows the counting and signaling to take place synchronously with the hardware clock tick.

NOTE 3 TPM2_ACT_SetTimeout() was added in revision 01.56.

33.2.2 Command and Response

Table 246 — TPM2_ACT_SetTimeout Command

| Type | Name | Description |
|---------------------|--------------|--|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_ACT_SetTimeout |
| TPMI_RH_ACT | @actHandle | Handle of the selected ACT Auth Index: 1 Auth Role: USER |
| UINT32 | startTimeout | the start timeout value for the ACT in seconds |

Table 247 — TPM2_ACT_SetTimeout Response

| Type | Name | Description |
|--------|--------------|--------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | |

33.2.3 Detailed Actions

```

1  #include "Tpm.h"
2  #include "ACT_SetTimeout_fp.h"
3  #if CC_ACT_SetTimeout // Conditional expansion of this file

```

| Error Returns | Meaning |
|---------------|---|
| TPM_RC_RETRY | returned when an update for the selected ACT is already pending |
| TPM_RC_VALUE | attempt to disable signaling from an ACT that has not expired |

```

4  TPM_RC
5  TPM2_ACT_SetTimeout(
6      ACT_SetTimeout_In      *in          // IN: input parameter list
7      )
8  {
9      // If 'startTimeout' is UINT32_MAX, then this is an attempt to disable the ACT
10     // and turn off the signaling for the ACT. This is only valid if the ACT
11     // is signaling.
12     if((in->startTimeout == UINT32_MAX) && !ActGetSignaled(in->actHandle))
13         return TPM_RC_VALUE + RC_ACT_SetTimeout_startTimeout;
14     return ActCounterUpdate(in->actHandle, in->startTimeout);
15 }
16 #endif // CC_ACT_SetTimeout

```

34 Vendor Specific

34.1 Introduction

This section contains commands that are vendor specific but made public in order to prevent proliferation.

This specification does define TPM2_Vendor_TCG_Test() in order to have at least one command that can be used to ensure the proper operation of the command dispatch code when processing a vendor-specific command.

34.2 TPM2_Vendor_TCG_Test

34.2.1 General Description

This is a placeholder to allow testing of the dispatch code.

34.2.2 Command and Response

Table 248 — TPM2_Vendor_TCG_Test Command

| Type | Name | Description |
|---------------------|-------------|---|
| TPMI_ST_COMMAND_TAG | tag | TPM_ST_SESSIONS if an audit session is present; otherwise, TPM_ST_NO_SESSIONS |
| UINT32 | commandSize | |
| TPM_CC | commandCode | TPM_CC_Vendor_TCG_Test |
| TPM2B_DATA | inputData | dummy data |

Table 249 — TPM2_Vendor_TCG_Test Response

| Type | Name | Description |
|------------|--------------|----------------|
| TPM_ST | tag | see clause 6 |
| UINT32 | responseSize | |
| TPM_RC | responseCode | TPM_RC_SUCCESS |
| TPM2B_DATA | outputData | dummy data |

34.2.3 Detailed Actions

```
1  #include "Tpm.h"
2  #if CC_Vendor_TCG_Test    // Conditional expansion of this file
3  #include "Vendor_TCG_Test_fp.h"
4  TPM_RC
5  TPM2_Vendor_TCG_Test(
6      Vendor_TCG_Test_In      *in,          // IN: input parameter list
7      Vendor_TCG_Test_Out     *out         // OUT: output parameter list
8  )
9  {
10     out->outputData = in->inputData;
11     return TPM_RC_SUCCESS;
12 }
13 #endif // CC_Vendor_TCG_Test
```